

# ***Workshop of ESNT***

## Explicitly Correlated N-Electron Valence State Perturbation Theory (NEVPT2-F12)

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# Born-Oppenheimer Approximation



## ■ Nonrelativistic Hamiltonian

$$\begin{aligned} H &= -\frac{1}{2} \sum_i \nabla_i^2 - \sum_A \frac{1}{2M_A} \nabla_A^2 - \sum_{A,i} \frac{Z_A}{r_{Ai}} + \sum_{A>B} \frac{Z_A Z_B}{R_{AB}} + \sum_{i>j} \frac{1}{r_{ij}} \\ &= T_e(\vec{r}) + T_N(\vec{R}) + V_{Ne}(\vec{r}, \vec{R}) + V_{NN}(\vec{R}) + V_{ee}(\vec{r}) \end{aligned}$$

## ■ Electronic Hamiltonian and Schrödinger equation

$$H_{ele} = T_e(\vec{r}) + V_{Ne}(\vec{r}, \vec{R}) + V_{NN}(\vec{R}) + V_{ee}(\vec{r})$$

$$H_{ele} \Psi(\vec{r}, \vec{R}) = E_{ele} \Psi(\vec{r}, \vec{R})$$

# Hartree-Fock (HF) approximation



## ■ Slater Determinant (HF wavefunction)

$$\begin{aligned}\Psi_{HF} = \Phi &= \frac{1}{\sqrt{N!}} \begin{bmatrix} \varphi_1(\vec{r}_1) & \cdots & \varphi_N(\vec{r}_1) \\ \vdots & \ddots & \vdots \\ \varphi_1(\vec{r}_N) & \cdots & \varphi_N(\vec{r}_N) \end{bmatrix} \\ &= |\varphi_1 \varphi_2 \cdots \varphi_N\rangle\end{aligned}$$

## ■ Variational theorem

$$E_{ele} = \langle \Psi | H_{ele} | \Psi \rangle$$

## ■ Hartree-Fock approximation

$$E_{HF} = \sum_i \left\langle \varphi_i \left| -\frac{1}{2} \sum_i \nabla_i^2 - \sum_{A,i} \frac{Z_A}{r_{Ai}} \right| \varphi_i \right\rangle + \sum_{i>j} \langle \varphi_i \varphi_j | \varphi_i \varphi_j \rangle - \langle \varphi_i \varphi_j | \varphi_j \varphi_i \rangle + C$$

# Single-reference methods



- Perturbation theory (PT)
  - Size-consistent
  - Not variational
  
- Configuration interaction (CI)
  - Variational
  - Not Size-consistent
  
- Coupled Cluster (CC)
  - Size-consistent
  - Not variational
  - CCSD(T) “Golden Standard”



- MC self-consistent-field (MCSCF) wave function

$$\Psi_{MCSCF} = \sum_I^{CI} c_I \Phi_I$$

- Advantages
  - Qualitative correct bond-breaking curve
  - Open-shell low spin states
  - Degenerate states
- Disadvantages
  - Not a black-box methods
  - Dynamic correlation is absent

# Multi-reference (MR) methods



## ■ MR Perturbation methods (MRPT)

- Complete Active Space 2<sup>nd</sup>-order Perturbation Theory (CASPT2)
- 2<sup>nd</sup>-order N-Electron Valence state Perturbation Theory (NEVPT2)

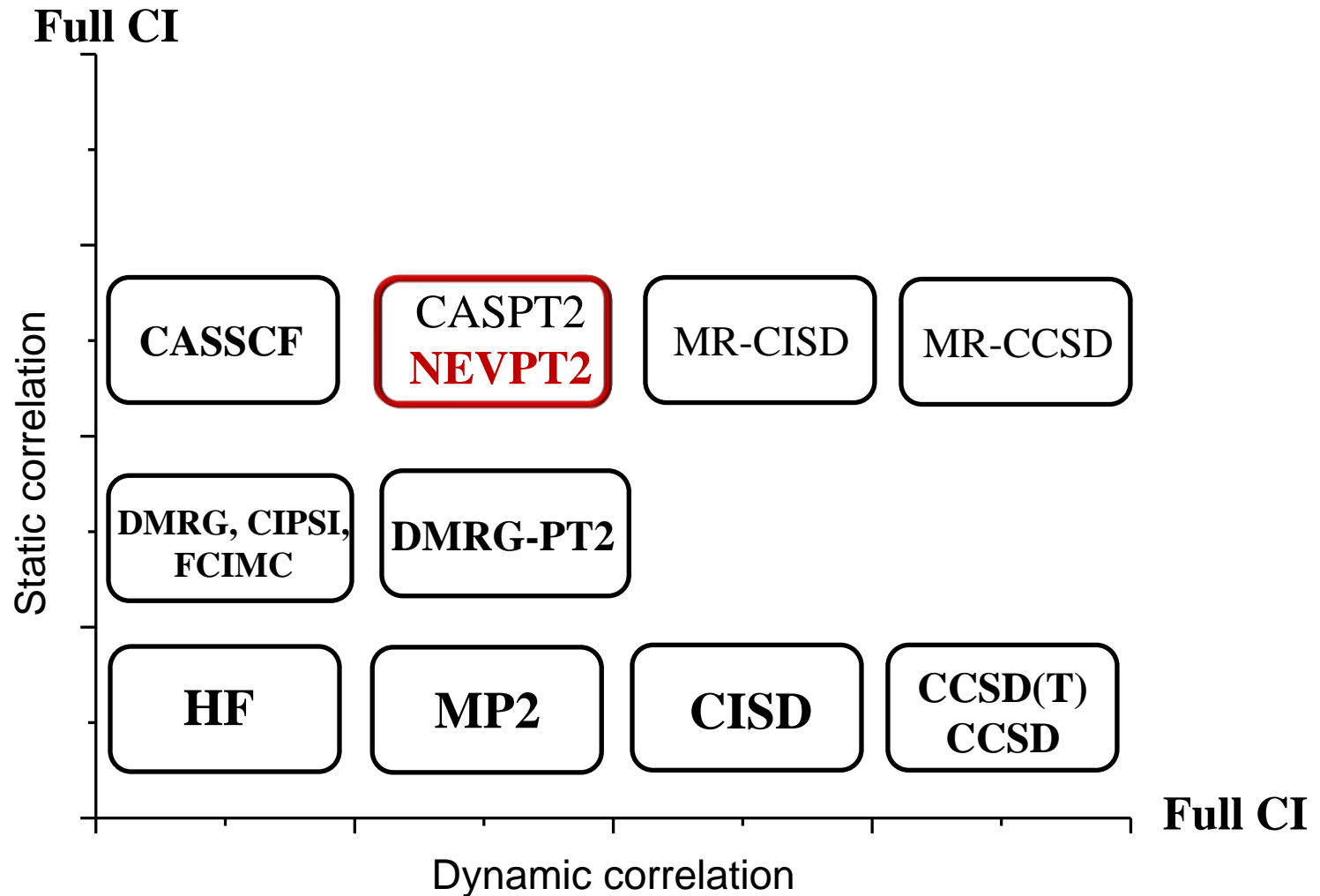
## ■ MR Configuration interaction (MRCI)

- Uncontracted MRCI
- (Semi-)Internally contracted MRCI
- Strongly contracted MRCI

## ■ MR Coupled Cluster theory (MRCC)

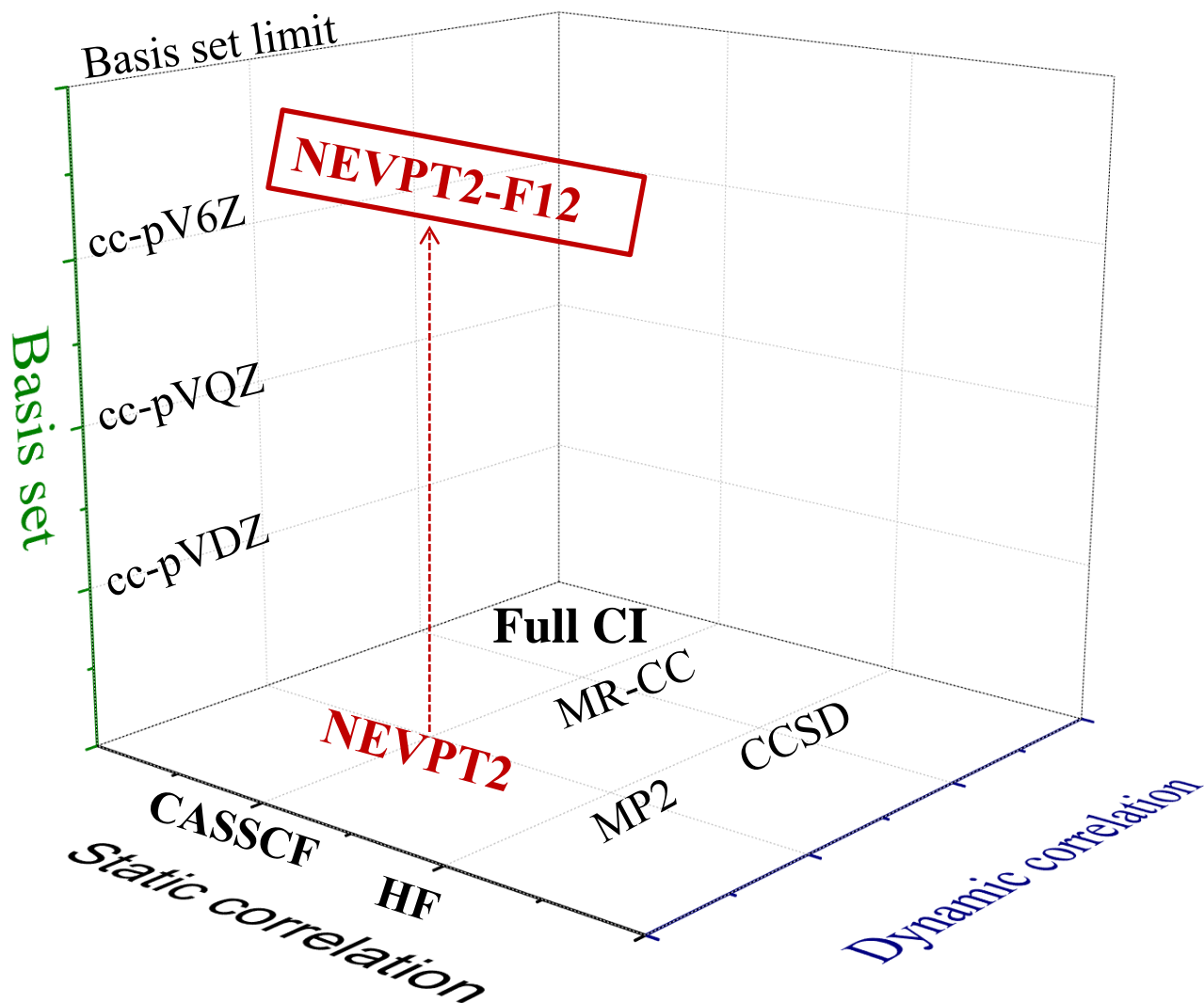
- Hilbert Space MRCC
- Fock Space MRCC

# SR & MR methods





## CBS-Full CI





# Most widely used MRPT methods

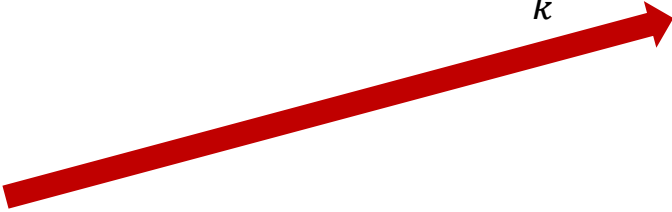


- CASPT2

- First successful MRPT method
- Size consistent problem
- Intruder state

- **NEVPT2**

- Size consistent
- Intruder state free
- No inter subspace interactions
- Energy invariance under the unitary transformation within each space  
(internal space/active space/ virtual space)

$$E^{PT} = - \sum_k \frac{\langle 0 | H | k \rangle \langle k | H | 0 \rangle}{E_k - E_0}$$


# Open questions about NEVPT2

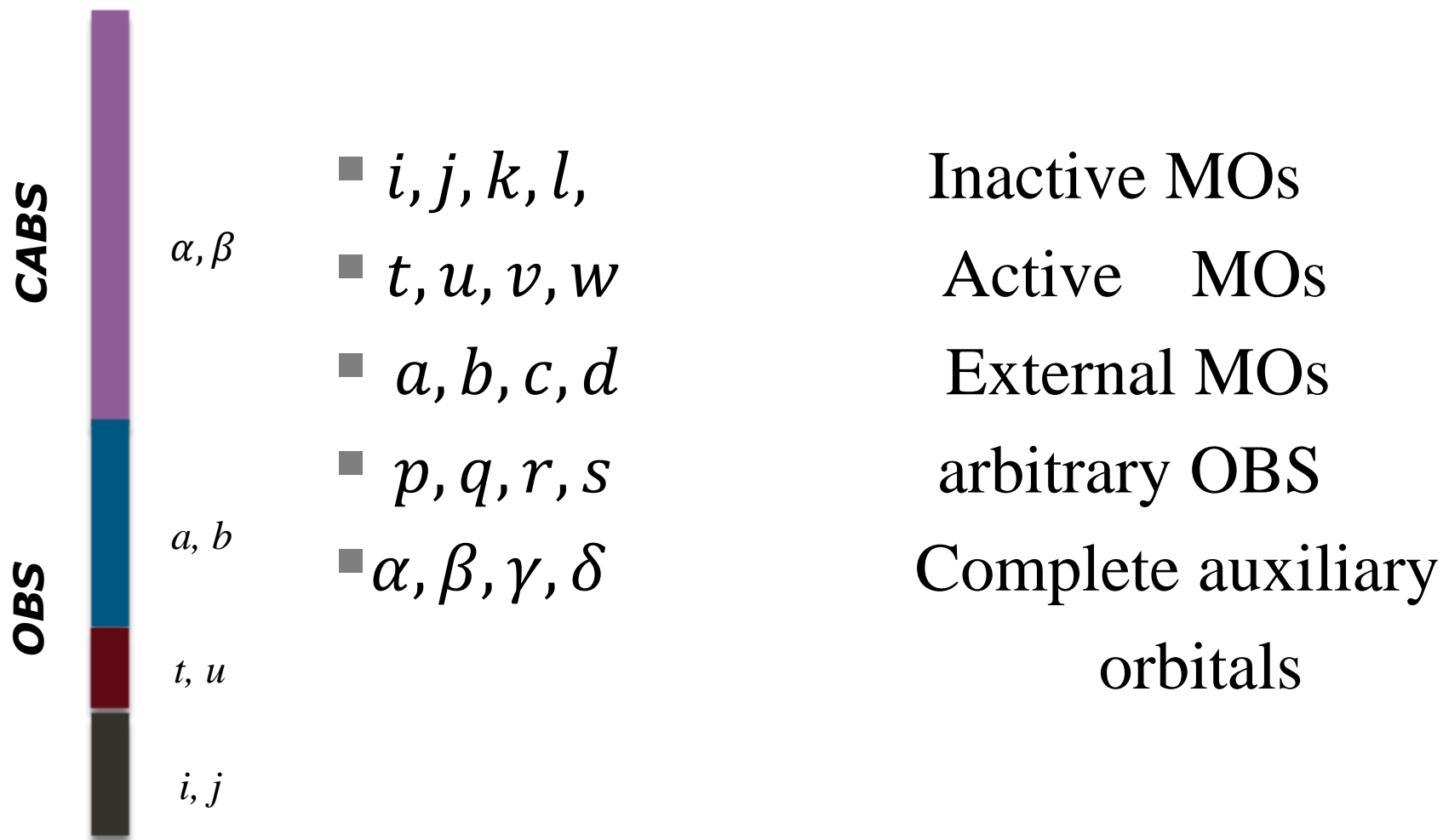


- The prohibitive cost for systems with large active space.
  - Malrieu's group
  - Chan's and Reiher's group
  - Alavi's group
  - .....
- The high computational cost for very large systems with moderate active space.
  - DLPNO-NEVPT2
- How to achieve basis set limit.
  - NEVPT2-F12
  - Linear scaling NEVPT2-F12



***NEVPT2-F12***

# Symbols



# Recap of NEVPT2



## ■ 0<sup>th</sup>-order WFN and Hamiltonian

$$E_p^q = a_{q\uparrow}^+ a_{p\uparrow} + a_{q\downarrow}^+ a_{p\downarrow}$$

$$|0\rangle = \sum_I C_I |\Phi_I\rangle$$

$$H_0 = \sum_{k,l} H_l^{(k)} = \sum_{k,l} P_l^{(k)} H^{Dyall} P_l^{(k)} + P_{CAS} H^{Dyall} P_{CAS}$$

$$H^{Dyall} = \sum_{ij} F_{ij} E_i^j + \sum_{ab} F_{ab} E_a^b + \sum_{tu} F_{tu} E_u^t + \frac{1}{2} \sum_{tuvw} (tv|uw) (E_v^t E_w^u - \delta_v^u E_w^t) + C$$

## ■ Hylleraas functional of NEVPT2

$$H_{yl} = \langle 1 | H_0 - E_0 | 1 \rangle + 2 \langle 1 | H | 0 \rangle$$

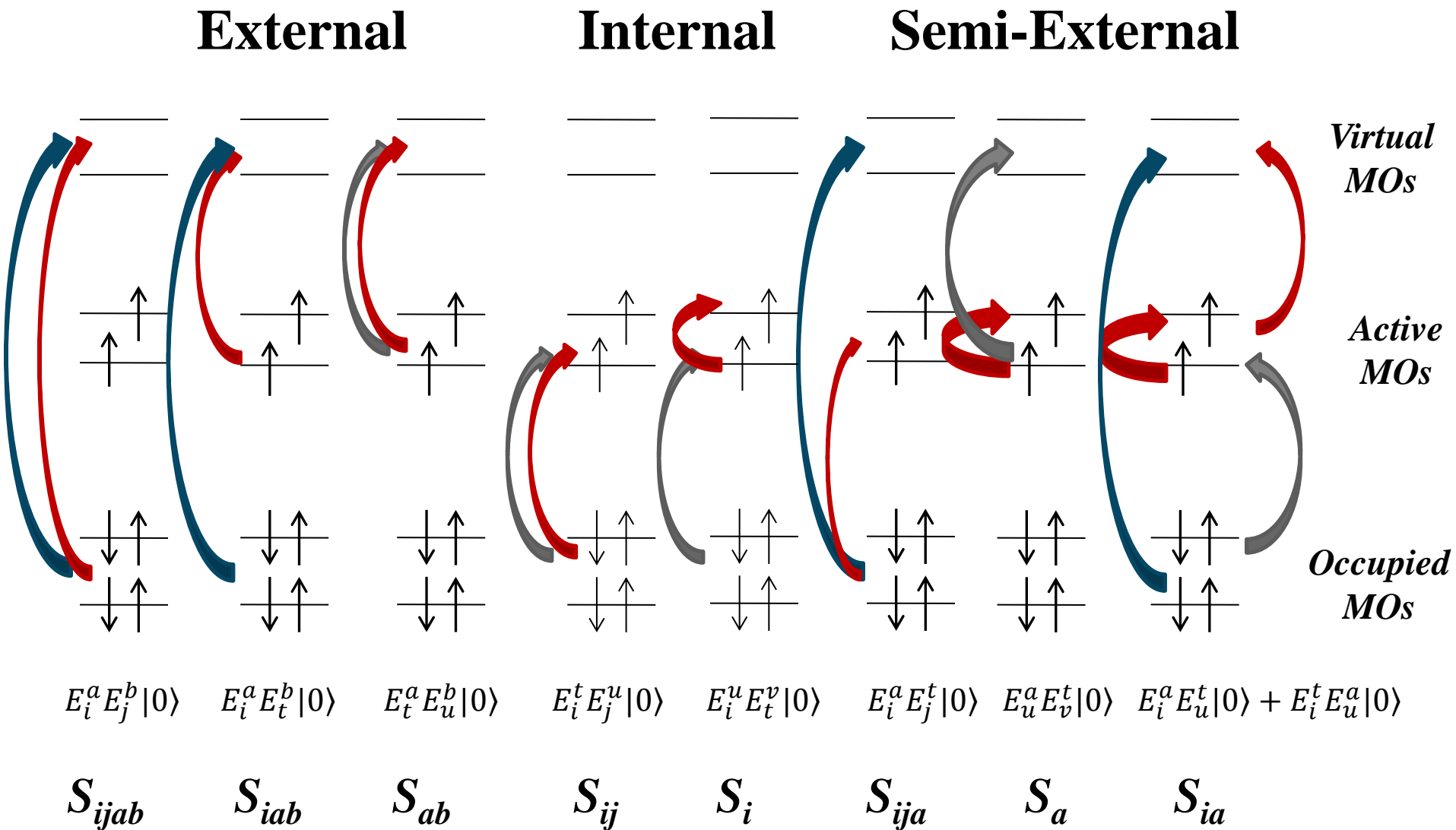
Insert  $|1\rangle = \sum_I C_I^{(1)} |\Psi_I\rangle$  into  $\delta(H_{yl}) = \langle H_0 - E_0 | 1 \rangle + \langle H^{BO} | 0 \rangle = 0$

$$R = \sum_I \langle \Psi_K | E_0 - H_0 | \Psi_I \rangle C_I^{(1)} - \langle \Psi_K | H_v | 0 \rangle$$

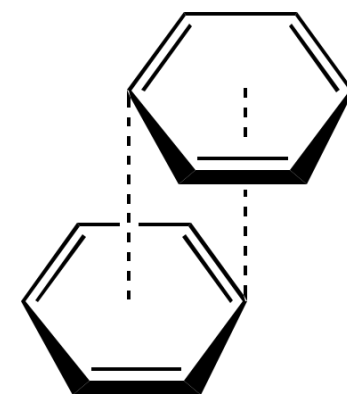
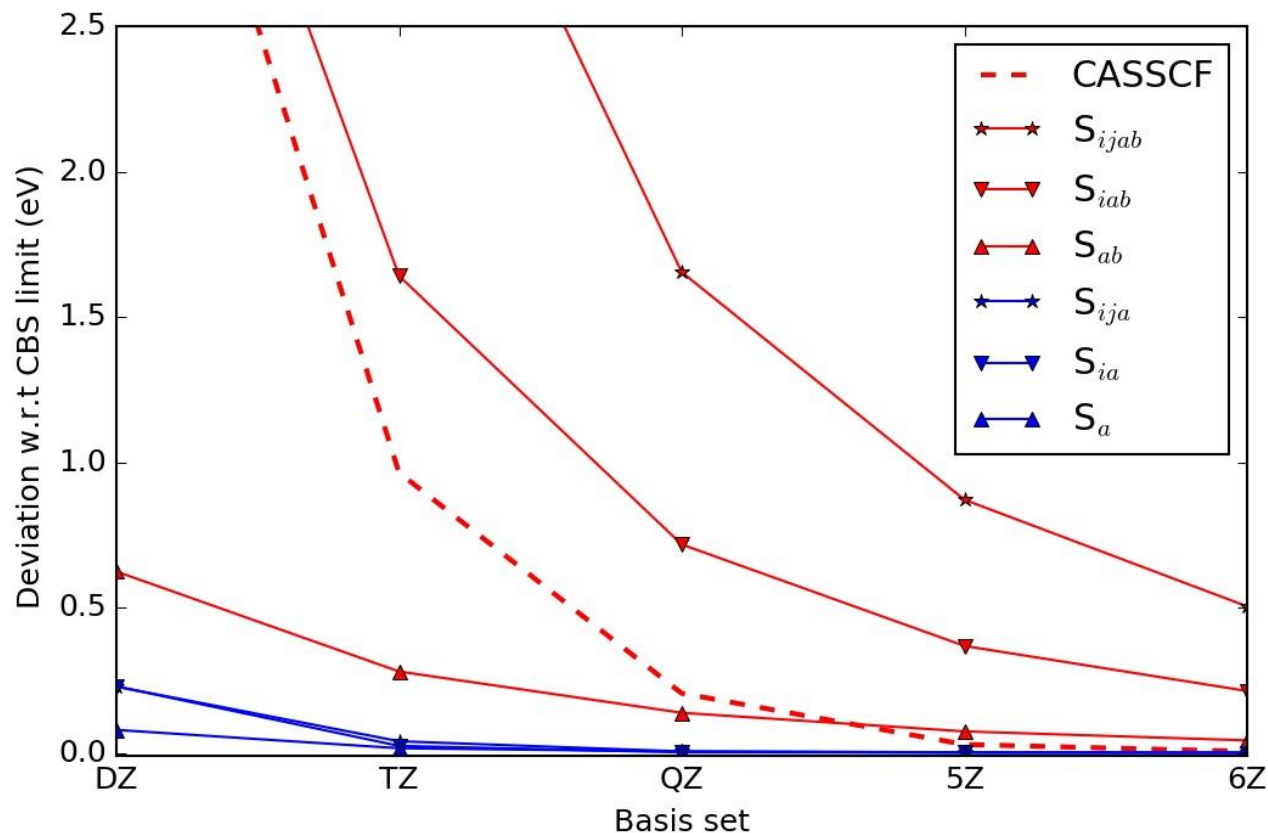
K. G. Dyall, *J. Chem. Phys.* **102**, 4909 (1995).

C. Angeli, R. Cimiraglia, S. Evangelisti, T. Leininger *et al.*, *J. Chem. Phys.* **114**, 10252 (2001).

# 1st-order wave functions



# Basis set convergence of NEVPT2



CASSCF(12,12)

**cc-pV6Z** result still has very large error w.r.t CBS limit, 0.767 eV !

# Development of MR-F12 methods



- MRCI-R12 by Gdanitz
- MR-MP2-F12 by Tenno
- CASPT2-F12 & MRCI-F12 by Werner
- The  $[2]R_{12}$  and SF- $[2]R_{12}$  by Valeev
- The Brillouin-Wigner CC-F12 by Noga
- Mk-MRPT2-F12 by Haunschuld
- The ic-MRCCSD by Köhn

**NEVPT2-F12 not yet implemented !**



# General equations of NEVPT2-F12



For the simplest subspace  $S_{ij,ab}$ , its F12 equations are exactly the same as MP2-F12.

$$|1^{S_{ij,ab}^{(0)}}\rangle = \frac{1}{2} E_{ij}^{ab} |0\rangle T_{ab}^{ij} + \frac{1}{2} E_{ij}^{\alpha\beta} |0\rangle W_{\alpha\beta}^{kl} T_{kl}^{ij} \quad (W_{\alpha\beta}^{kl} = \langle\alpha\beta|Q_{12}\hat{f}_{12}|kl\rangle)$$

$$|\tilde{\Phi}^{S_{ij,ab}^{(0)}}\rangle = 2/6 (E_{ij}^{ab} + W_{\alpha\beta}^{kl} E_{ij}^{\alpha\beta}) |0\rangle + 1/6 (E_{ij}^{ba} + W_{\alpha\beta}^{lk} E_{ij}^{\beta\alpha}) |0\rangle$$

$$R = \sum_I \langle \Psi_K | E_0 - H_0 | \Psi_I \rangle C_I^{(1)} - \langle \Psi_K | H_v | 0 \rangle$$

$$R_{ab}^{ij} = (ia|jb) + (\varepsilon_a + \varepsilon_b) T_{ab}^{ij} - (\varepsilon_i + \varepsilon_j) T_{ab}^{ij} + C_{ab}^{kl} T_{kl}^{ij}$$

$$R_{kl}^{ij} = V_{ij}^{kl} + B_{ij}^{kl} T_{kl}^{ij} - (\varepsilon_i + \varepsilon_j) X_{ij}^{kl} T_{kl}^{ij} + C_{ij}^{ab} T_{ab}^{kl}$$

$$E^{S_{ij,ab}^{(0)}} = (2T_{ab}^{ij} - T_{ab}^{ji}) \{ (ia|jb) + R_{ab}^{ij} \} + (2T_{kl}^{ij} - T_{kl}^{ji}) \{ V_{ij}^{kl} + R_{kl}^{ij} \}$$

$$V_{ij}^{kl} = (i\alpha|j\beta) W_{\alpha\beta}^{ij}, B_{ij}^{kl} = W_{\alpha\beta}^{lk} (\varepsilon_\alpha + \varepsilon_\beta) W_{\alpha\beta}^{ij}, X_{ij}^{kl} = W_{\alpha\beta}^{lk} W_{\alpha\beta}^{ij}, C_{ij}^{ab} = f_{a\gamma} W_{\gamma b}^{ij} + f_{b\gamma} W_{\gamma a}^{ij}$$

# Fixed amplitudes ansatz



## ■ Fixed amplitudes ansatz

$$T_{kl}^{ij} = \frac{3}{8} \delta_{ik} \delta_{jl} + \frac{1}{8} \delta_{il} \delta_{jk}$$

- Based on wave function cusp conditions
- Unitary invariant
- Size-consistent
- Only diagonal elements of intermediates are needed

## ■ Energy expression

$$\begin{aligned} E_{Total}^{S_{ij,ab}^{(0)}} &= (\tilde{T}_0^{ij} - \frac{\tilde{R}_{ab}^{ij}}{\Delta_{ijab}})(ia|jb) + \tilde{T}_{kl}^{ij} [V_{ij}^{kl} + R_{kl}^{ij}] \\ &= E_{NEVPT}^{S_{ij,ab}^{(0)}} - T_{ab}^{ij} C_{ab}^{kl} \tilde{T}_{kl}^{ij} + \tilde{T}_{kl}^{ij} [V_{ij}^{kl} + R_{kl}^{ij}] \\ &= E_{NEVPT}^{S_{ij,ab}^{(0)}} + E_{F12}^{S_{ij,ab}^{(0)}} \end{aligned}$$

S. Ten-no, *J. Chem. Phys.* **121**, 117 (2004).

C. Hättig, W. Klopper, A. Köhn, and D. P. Tew, *Chem. Rev.* **112**, 4 (2012).

# 1<sup>st</sup>-order WFN for NEVPT2-F12



Subspace	$ \mathbf{1}_{NEVPT2}\rangle$	$ \mathbf{1}_{NEVPT2-F12}\rangle$
$S_{ij,ab}^{(0)}$	$\frac{1}{2}E_i^a E_j^b  0\rangle T_{ab}^{ij}$	$\frac{1}{2}W_{\alpha\beta}^{kl} E_i^\alpha E_j^\beta  0\rangle T_{kl}^{ij}$
$S_{i,ab}^{(-1)}$	$E_i^a E_t^b  0\rangle T_{ab}^{it}$	$W_{\alpha\beta}^{ju} E_i^\alpha E_t^\beta  0\rangle T_{ju}^{it}$
$S_{ab}^{(-2)}$	$E_t^a E_u^b  0\rangle T_{ab}^{tu}$	$W_{\alpha\beta}^{vw} E_t^\alpha E_u^\beta  0\rangle T_{vw}^{tu}$
$S_{ij,a}^{(1)}$	$E_i^a E_j^t  0\rangle T_{at}^{ij}$	$W_{\alpha t}^{kl} E_i^\alpha E_j^t  0\rangle T_{kl}^{ij}$
$S_{i,a}^{(0)}$	$E_i^a E_u^t  0\rangle T_{at}^{iu} + E_i^t E_u^a  0\rangle T_{ta}^{iu}$	$W_{\alpha t}^{jv} (E_i^\alpha E_u^t - \langle 0 E_u^t 0\rangle E_i^\alpha)  0\rangle T_{jv}^{iu} + W_{t\alpha}^{jv} \left( E_i^t E_u^\alpha + \frac{1}{2} \langle 0 E_u^t 0\rangle E_i^\alpha \right)  0\rangle T_{jv}^{iu}$
$S_a^{(-1)}$	$E_u^a E_v^t  0\rangle T_{at}^{uv}$	$W_{\alpha t}^{wx} \left( E_u^\alpha E_v^t - \sum_{xy} \frac{\langle 0 E_v^t E_u^x 0\rangle}{\langle 0 E_y^x 0\rangle} E_y^\alpha \right)  0\rangle T_{wx}^{uv}$

For  $S_{i,a}^{(0)}$  and  $S_a^{(-1)}$  subspaces, the single excitations must be projected out.

# Equation of $S_{i,ab}$ subspace



$$R = \sum_I \langle \tilde{\Psi}_K | E_0 - H_0 | \Psi_I \rangle C_I^{(1)} - \langle \tilde{\Psi}_K | H_v | 0 \rangle$$

$$R_{ab}^{it} = (ia|ub)\Gamma_u^t + (\varepsilon_a + \varepsilon_b)T_{ab}^{iu}\Gamma_u^t + T_{ab}^{iu}K_u^t - \varepsilon_i T_{ab}^{iu}\Gamma_u^t + T_{ju}^{it}C_{jv}^{ab}\Gamma_v^u$$

$$R_{ju}^{it} = V_{it}^{jv}\Gamma_u^v + T_{ju}^{it}B_{it}^{jv}\Gamma_u^v + T_{ju}^{it}X_{it}^{jv}K_u^v - \varepsilon_i T_{ju}^{it}X_{it}^{jv}\Gamma_u^v + C_{jv}^{ab}T_{ab}^{it}\Gamma_v^u$$

$$\begin{aligned} E_{Total}^{S_{i,ab}(-1)} &= \tilde{T}_{ab}^{it}\Gamma_u^t(ia|ub) + \tilde{T}_{ju}^{it}\Gamma_u^t[V_{it}^{ju} + R_{ju}^{it}] \\ &= (\tilde{T}_{0ab}^{it} - S_t^t \frac{\bar{R}_{ab}^{it}}{\Delta_{i\bar{t}ab}})\Gamma_u^t(ia|ub) + \tilde{T}_{ju}^{it}\Gamma_u^t[V_{it}^{ju} + R_{ju}^{it}] \\ &= E_{NEVPT}^{S_{i,ab}(-1)} - T_{ab}^{it}\Gamma_u^t C_{ab}^{ju} \tilde{T}_{ju}^{it} + \tilde{T}_{ju}^{it}\Gamma_u^t[V_{it}^{ju} + R_{ju}^{it}] \\ &= E_{NEVPT}^{S_{i,ab}(-1)} + E_{F12}^{S_{i,ab}(-1)} \end{aligned}$$

# Semi-external subspace $S_a$



$$R = \sum_I \langle \Psi_K | E_0 - H_0 | \Psi_I \rangle C_I^{(1)} - \langle \Psi_K | H_v | 0 \rangle$$

$$R_{at}^{uv} = (wa|xy) \Gamma_{tuv}^{wxy} + F'_{wa} \Gamma'_{tuv}{}^w + \varepsilon_a T_{aw}^{xy} \Gamma_{tuv}^{wxy} + T_{aw}^{xy} K_{tuv}^{wxy} \\ + T_{xy}^{uv} \Gamma_{tuv}^{wxy} W_{aw}^{xy}$$

$$R_{xy}^{uv} = W_{aw}^{xy} (\alpha u|tv) \tilde{\Gamma}_{tuv}^{wxy} + \varepsilon_a W_{at}^{uv} W_{aw}^{xy} \tilde{\Gamma}_{tuv}^{wxy} T_{xy}^{uv} + W_{aw}^{xy} W_{at}^{uv} \tilde{K}_{tuv}^{wxy} T_{xy}^{uv} \\ + W_{aw}^{xy} \tilde{\Gamma}_{tuv}^{wxy} T_{at}^{uv}$$

$$E_{Total}^{S_a^{(-1)}} = E_{NEVPT}^{S_a^{(-1)}} + T_{ta}^{uv} [T_{xy}^{uv} \Gamma_{tuv}^{wxy} W_{aw}^{xy}] + T_{xy}^{uv} [W_{aw}^{xy} \tilde{\Gamma}_{tuv}^{wxy} (t\alpha|uv) + R_{xy}^{uv}] \\ = E_{NEVPT}^{S_a^{(-1)}} + E_{F12}^{S_a^{(-1)}}$$

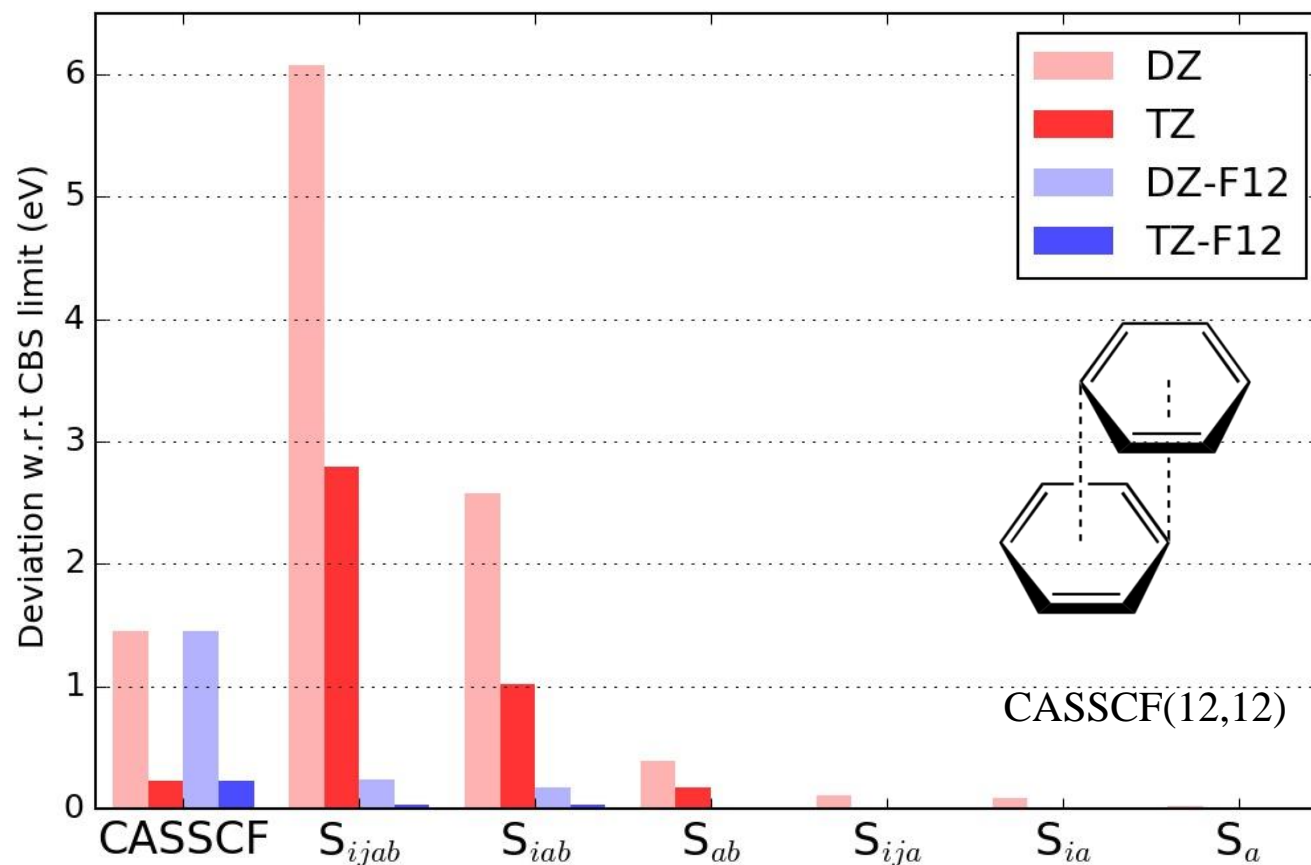
$$\tilde{\Gamma}_{tuv}^{wxy} = \Gamma_{tuv}^{wxy} - \sum_{zz'} \Gamma_{tu}^{vz} \Gamma_{wx}^{yz'} (\Gamma^{-1})_z^{z'} = \langle 0 | E_w^y E_u^x E_v^t | 0 \rangle - \sum_{zz'} \Gamma_{tu}^{vz} \Gamma_{wx}^{yz'} (\Gamma^{-1})_z^{z'}$$

$$\tilde{K}_{tuv}^{wxy} = A_{tuv}^{wxy} - \sum_{zz'} B_{tu}^{vz} \Gamma_{wx}^{yz'} (\Gamma^{-1})_z^{z'} - \sum_{zz'} \Gamma_{tu}^{vz} C_{wx}^{yz'} (\Gamma^{-1})_z^{z'} + \sum_{\substack{z_1 z_2 \\ z_3 z_4}} D_{z_4}^{z_3} \Gamma_{tu}^{vz_1} \Gamma_{wx}^{yz_2} (\Gamma^{-1})_{z_1}^{z_3} (\Gamma^{-1})_{z_2}^{z_4}$$

C. Angeli, R. Cimiraglia, and J.-P. Malrieu, *J. Chem. Phys.* **117**, 9138 (2002).

Y. Guo, K. Sivalingam, E. F. Valeev, and F. Neese, *J. Chem. Phys.* **147**, 064110 (2017).

# Accuracy of NEVPT2-F12



With F12 correction, CASSCF is less accurate than correlation energies.

# [2]<sub>s</sub> Correction for CASSCF



## ■ Brillouin condition:

$$\langle 0 | \hat{E}_i^a H | 0 \rangle = 0, \quad \langle 0 | \hat{E}_t^a H | 0 \rangle = 0 \quad (a \in \text{vir})$$

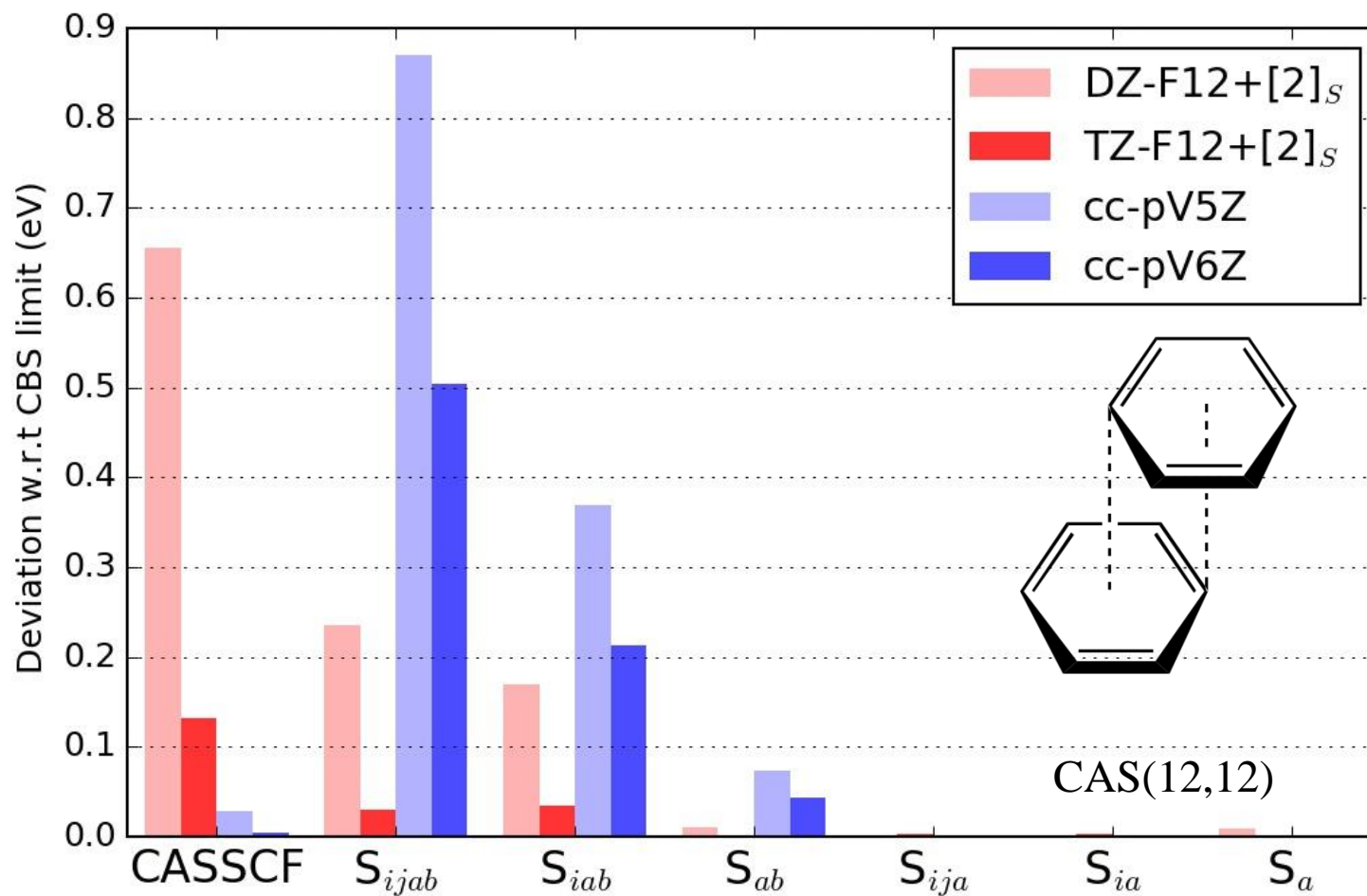
$$\langle 0 | \hat{E}_i^\alpha H | 0 \rangle = 0, \quad \langle 0 | \hat{E}_t^\alpha H | 0 \rangle = 0 \quad (\alpha \in \text{CBS})$$

## ■ Perturbative correction to CASSCF

$$R_\alpha^i = \langle 0 | \hat{E}_p^\alpha (H_0 - E_{\text{CASSCF}}) \hat{E}_q^\beta | 0 \rangle T_q^\beta + \langle 0 | \hat{E}_p^\alpha H | 0 \rangle$$

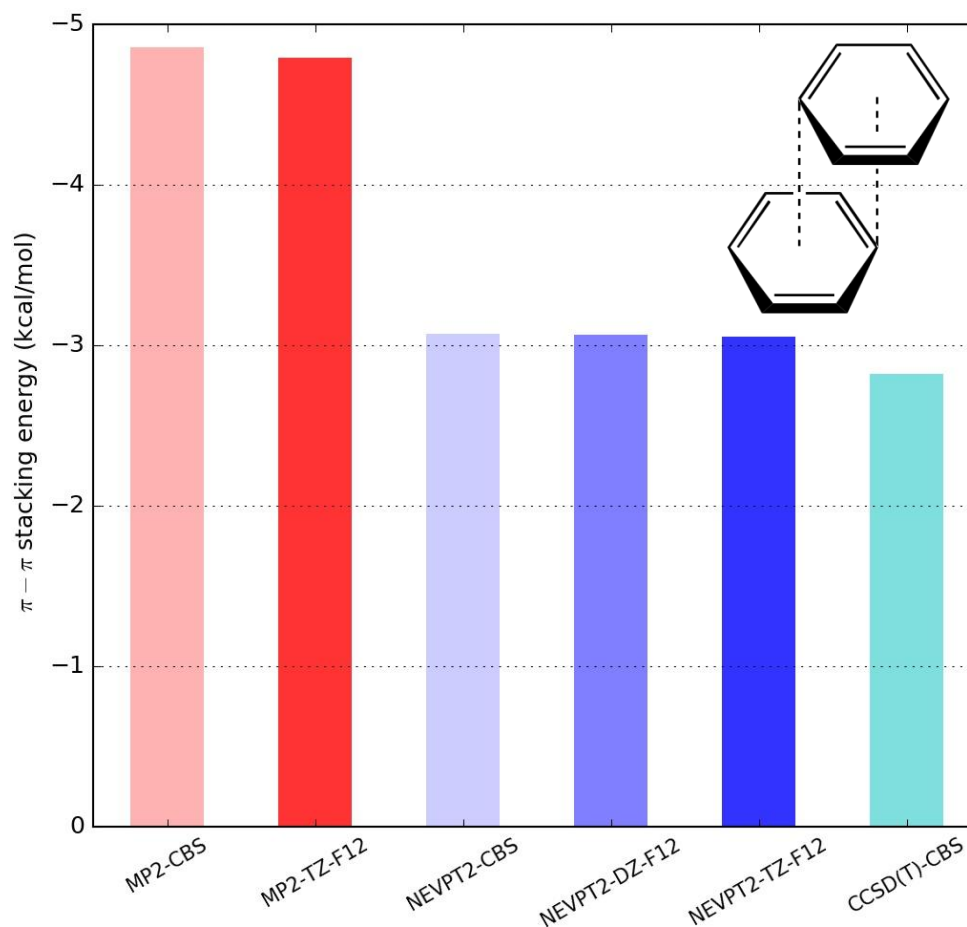
$$E_{[2]s} = \sum T_\alpha^p \langle 0 | H \hat{E}_p^\alpha | 0 \rangle \quad (p \in \text{occ}, \text{act})$$

# Absolute Energy



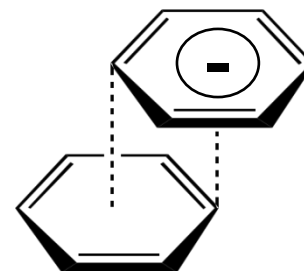
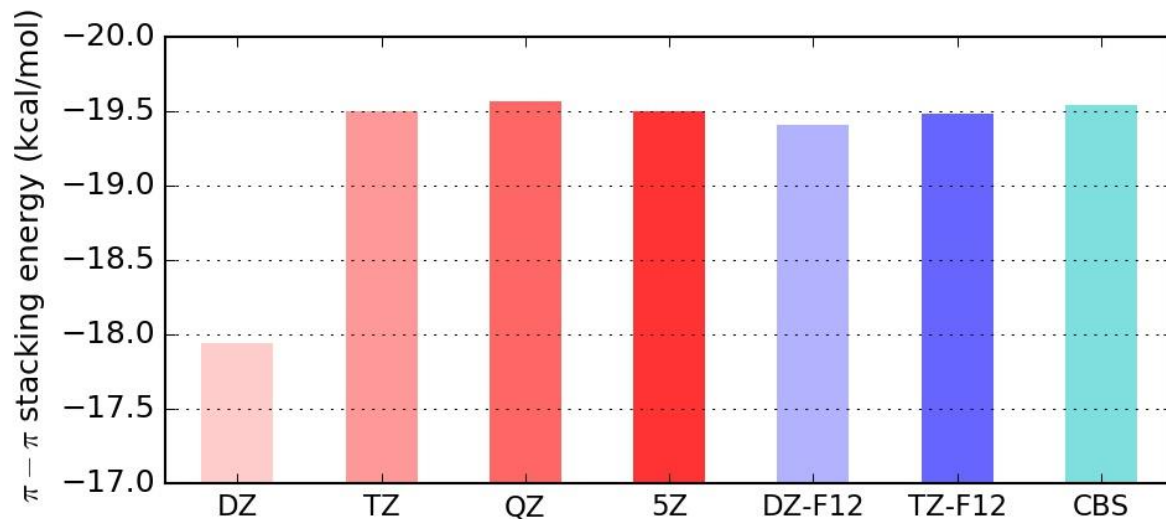


# Relative energy

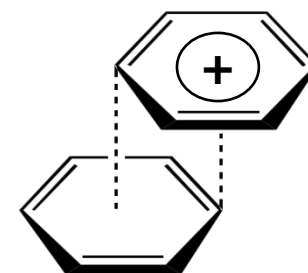
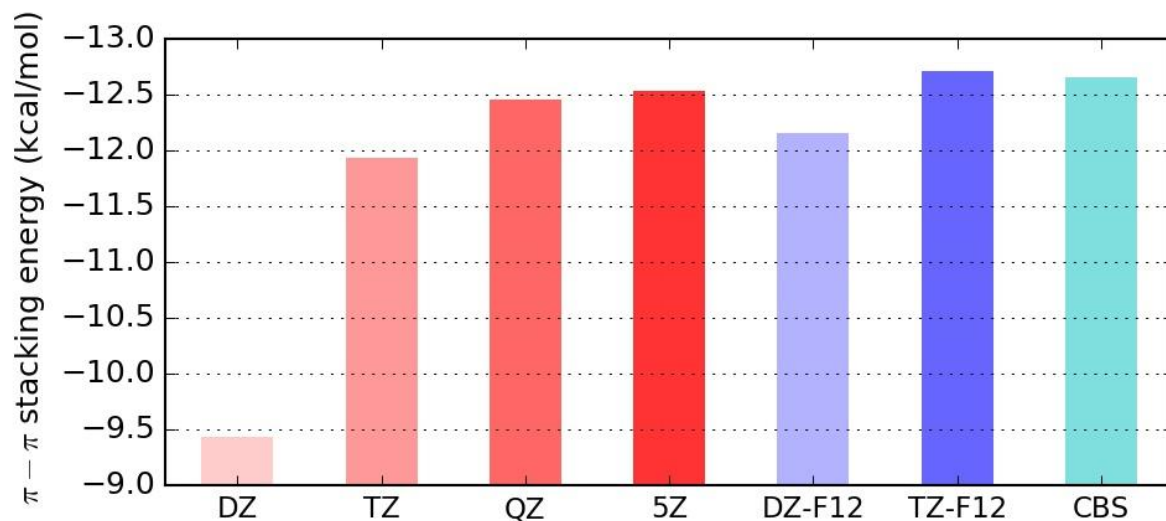


CAS(12,12)/NEVPT2 can reproduce very accurate interaction energy!

# Relative energy

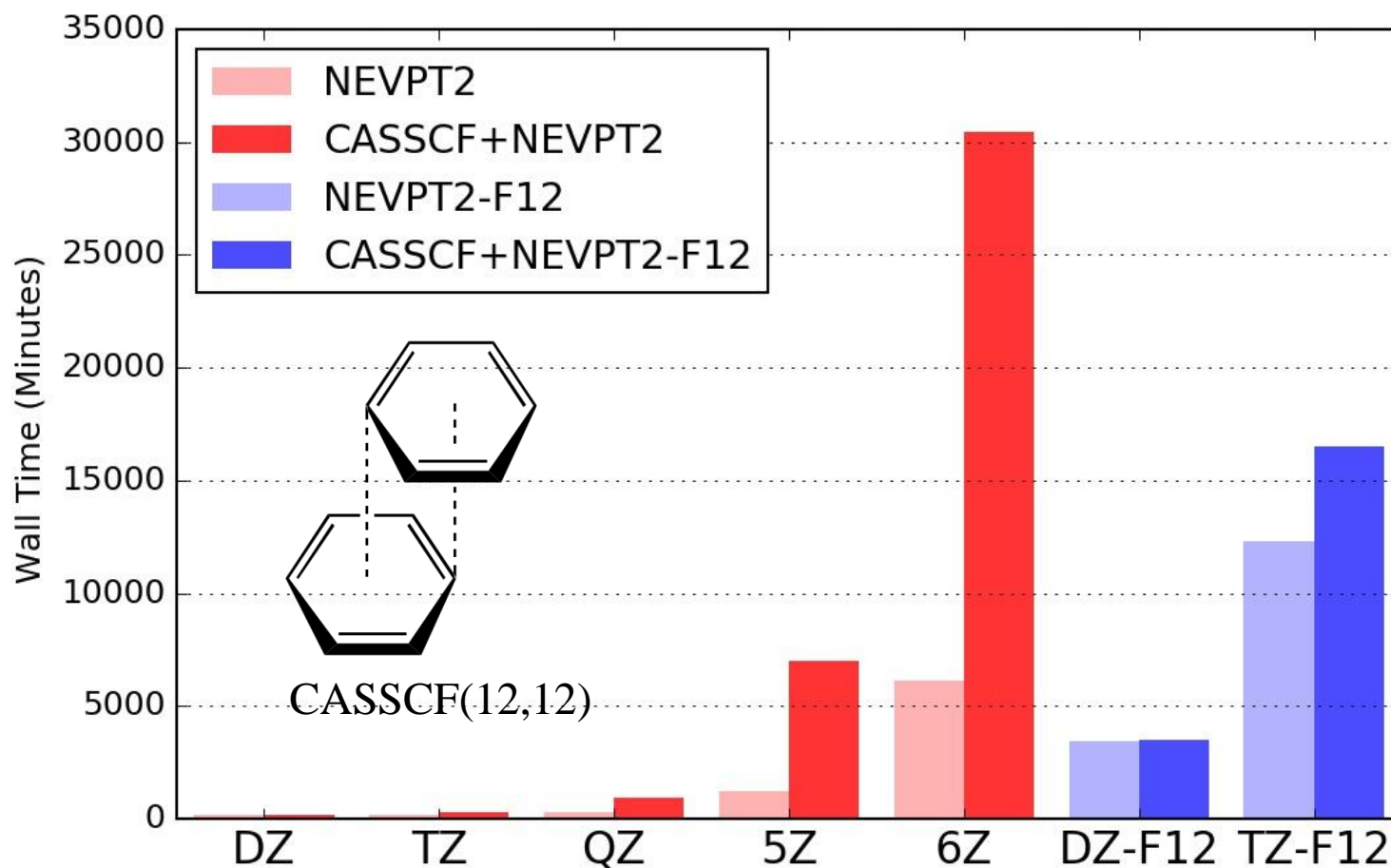


CAS(13,12)/NEVPT2



CAS(11,12)/NEVPT2

# Bottleneck of NEVPT2-F12





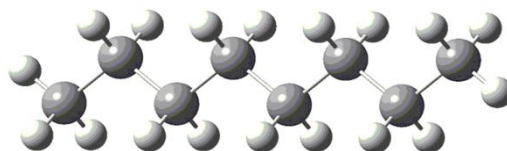
***Domain based local  
pair natural orbital  
(DLPNO)-NEVPT2-F12***

# Introduction to DLPNO



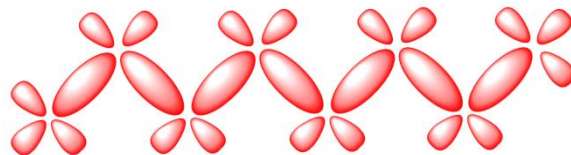
$$E^{cor} = \sum_{ijab} [2(ia|jb) - (ib|ja)] \tau_{ij}^{ab}$$

Molecule

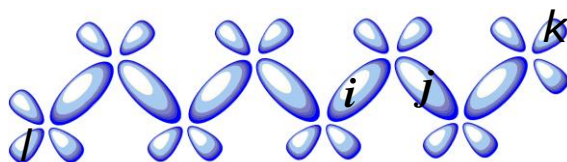


Truncation of  
occupied space  
(Pre-screen)

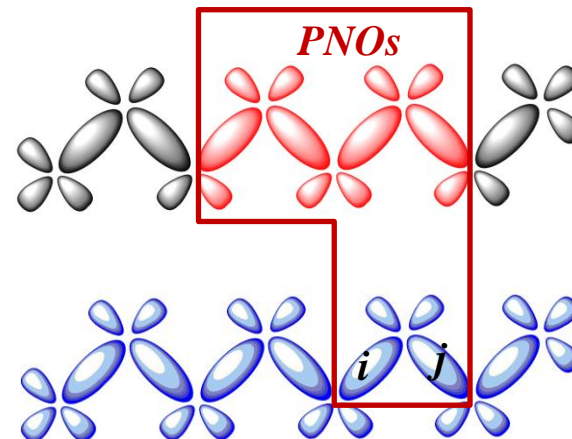
Virtual MOs



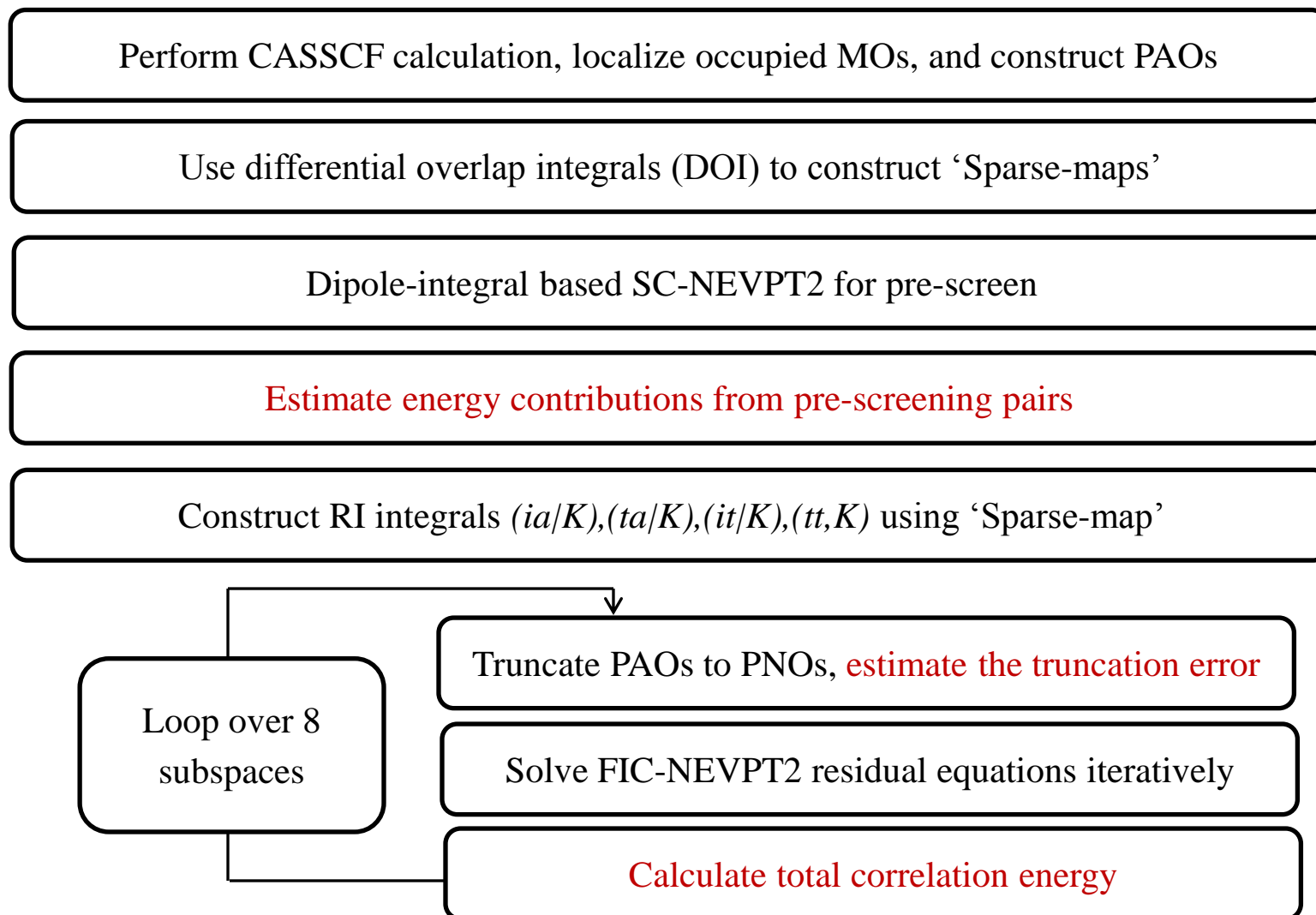
Occupied LMOs



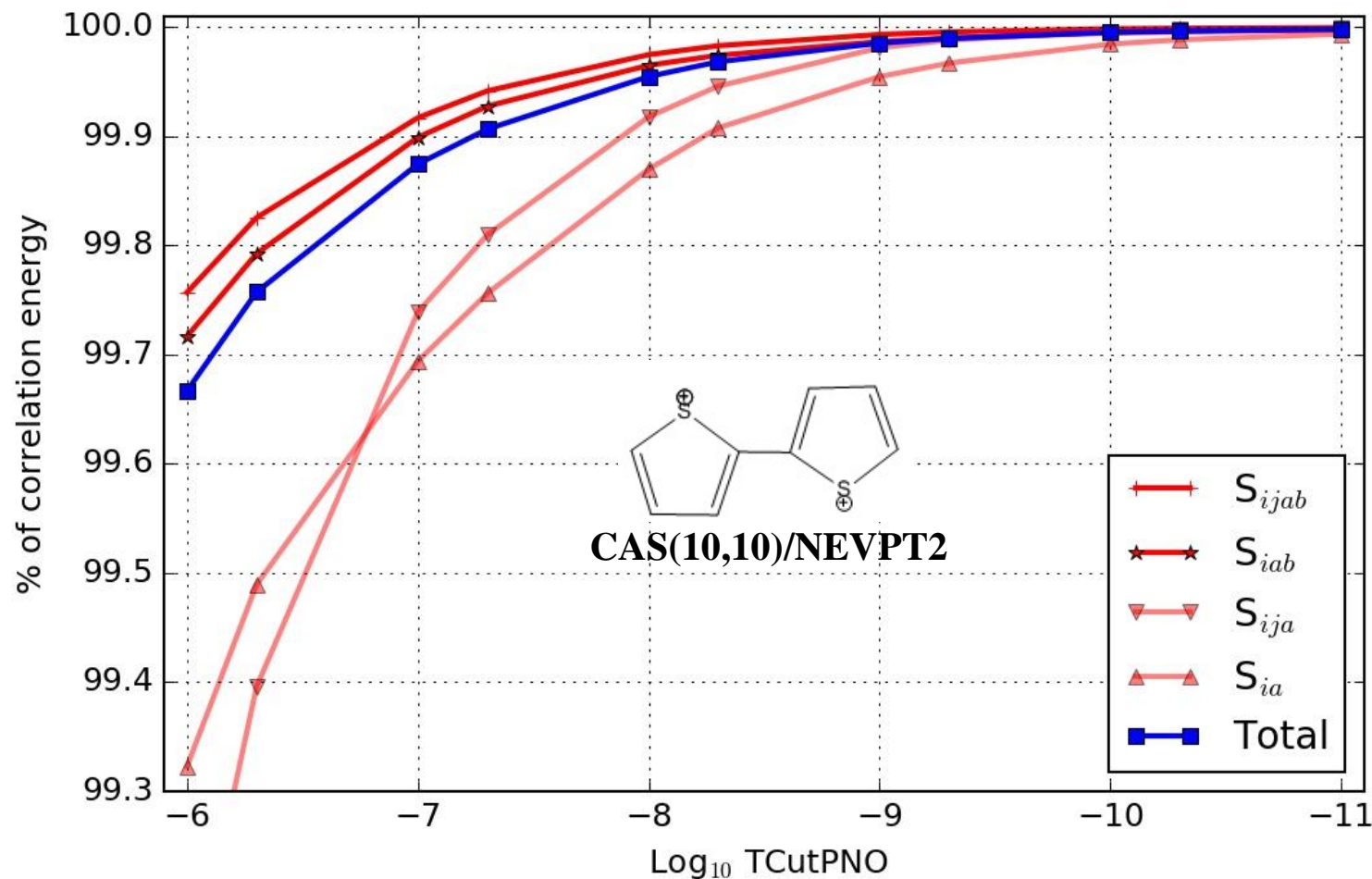
Truncation of  
virtual space (PNO)



# DLPNO-NEVPT2 algorithm

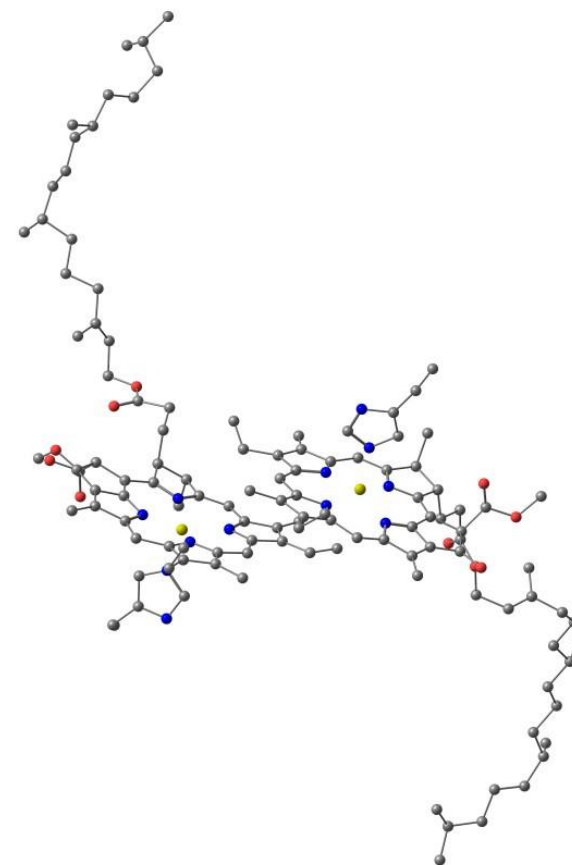
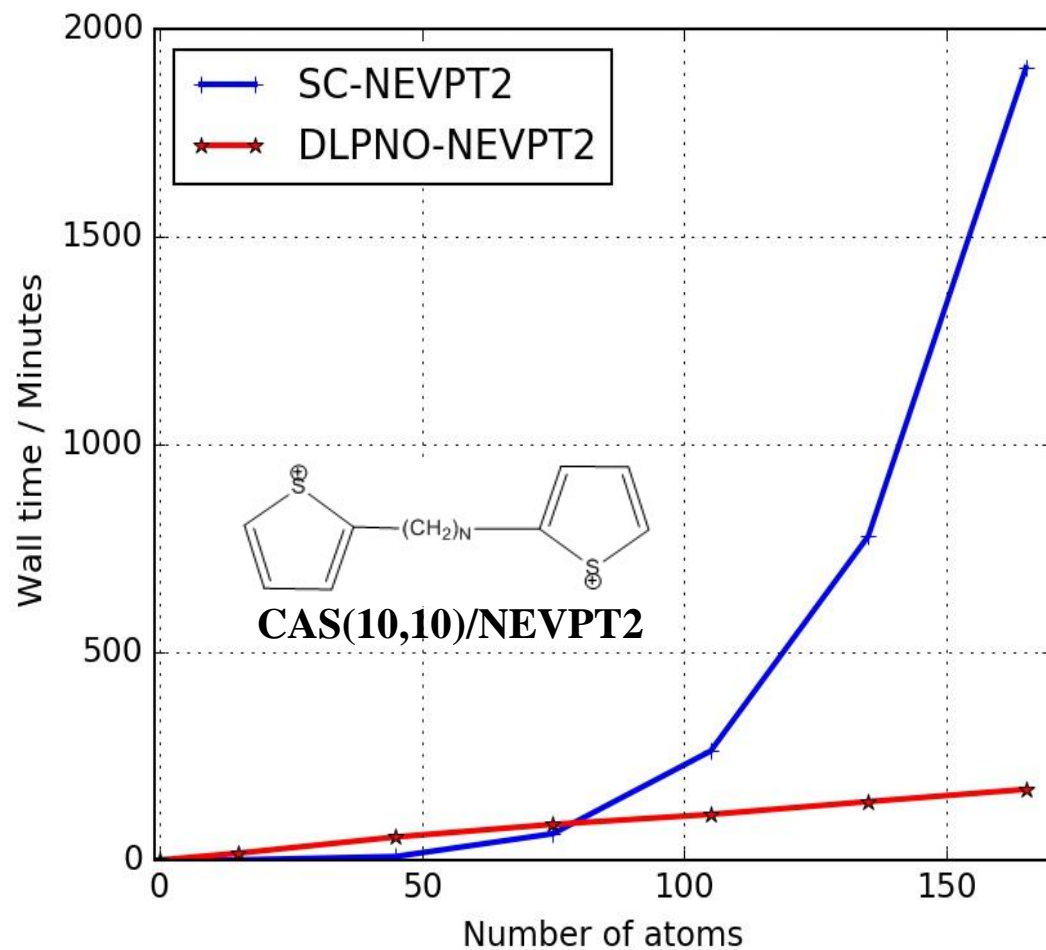


# Accuracy of DLPNO-NEVPT2



Y. Guo, K. Sivalingam, E. F. Valeev, and F. Neese, *J. Chem. Phys.* **144**, 094111 (2016).

# Scaling behavior



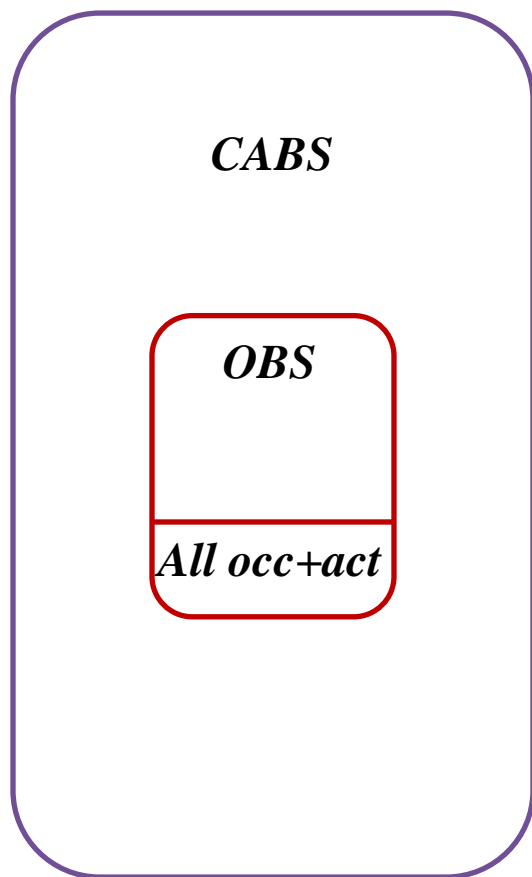
**5426 basis functions**  
**62 hours**



# Basic idea of DLPNO-NEVPT2-F12



## Canonical NEVPT2-F12



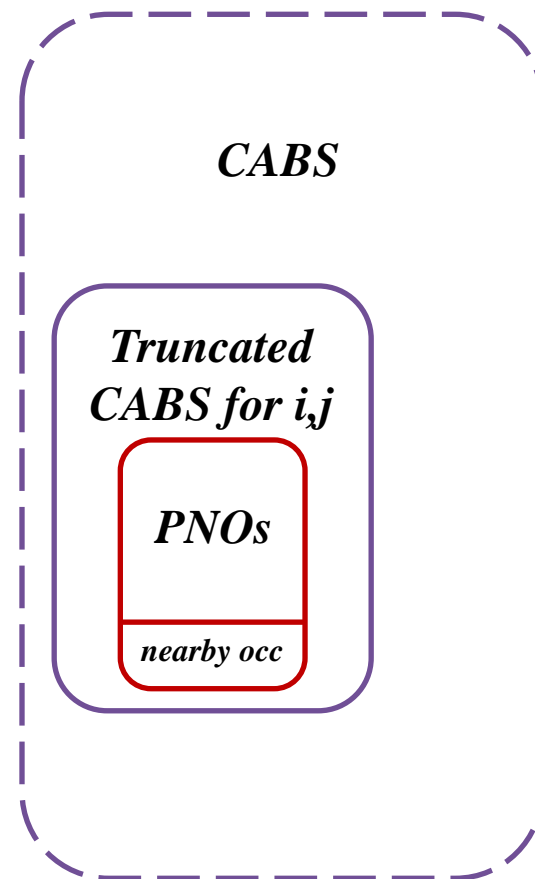
*CABS*  
 $\alpha, \beta$

*Virtual*  
 $a, b$

*Active*  
 $t, u$

*Inactive*  
 $i, j$

## DLPNO-NEVPT2-F12



*CABS*  
 $\alpha', \beta'$   
for  $i, t$

*PNOs*  
for  $ij$

*Active*  
 $t, u$

*Inactive*  
 $i, j$

# Equations of $S_{i,ab}$



## ■ Canonical MO residual expressions

$$R_{ab}^{it} = (ia|ub)\Gamma_u^t + (\varepsilon_a + \varepsilon_b)T_{ab}^{iu}\Gamma_u^t + T_{ab}^{iu}K_u^t - \varepsilon_i T_{ab}^{iu}\Gamma_u^t + T_{ju}^{it}C_{jv}^{ab}\Gamma_v^u$$

$$R_{ju}^{it} = V_{it}^{jv}\Gamma_u^v + T_{ju}^{it}B_{it}^{jv}\Gamma_u^v + T_{ju}^{it}X_{it}^{jv}K_u^v - \varepsilon_i T_{ju}^{it}X_{it}^{jv}\Gamma_u^v + C_{jv}^{ab}T_{ab}^{it}\Gamma_v^u$$

NEVPT2 residuals are never solved in practice.

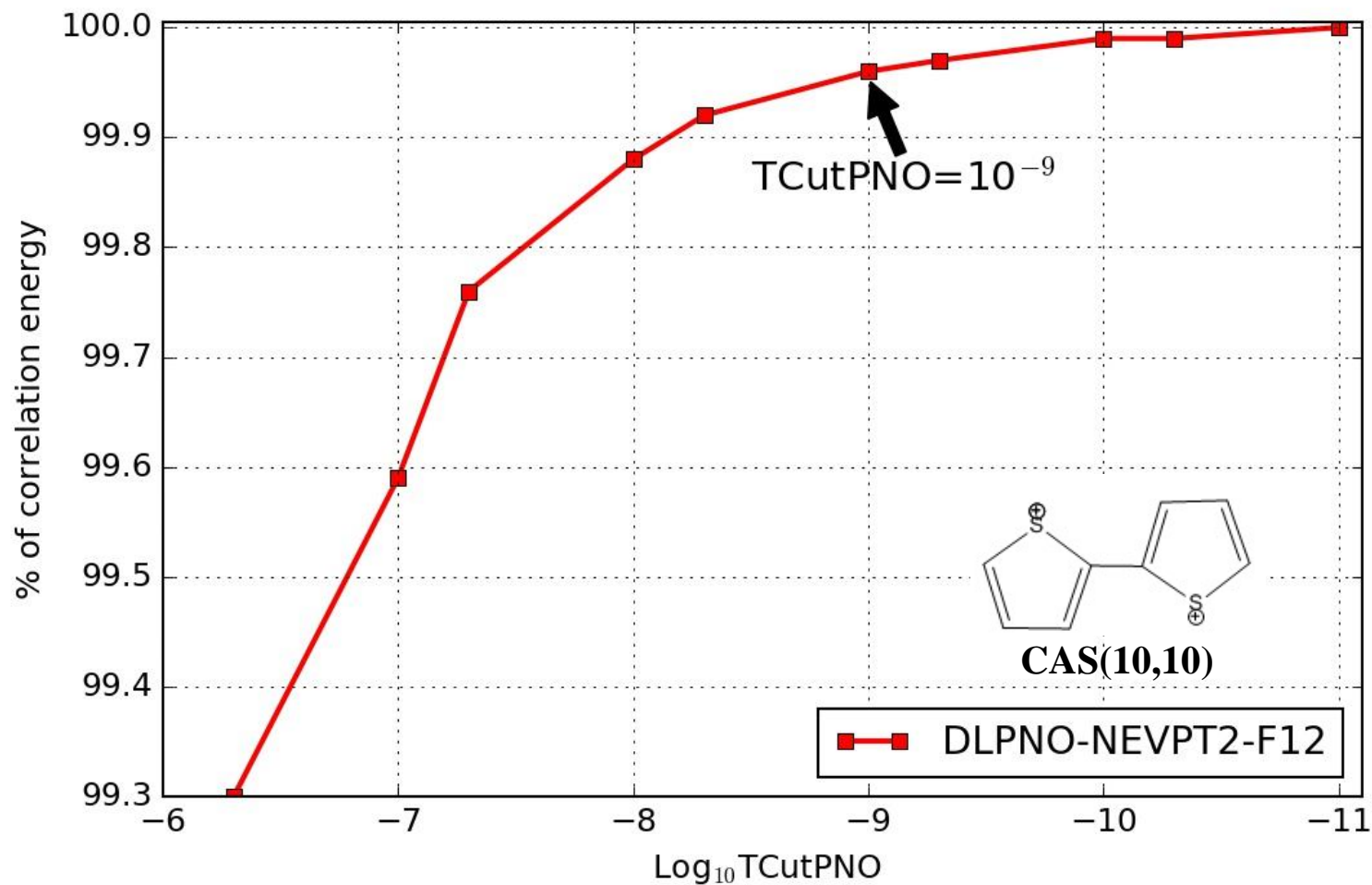
## ■ Localized occupied MO residual expressions

$$R_{ab}^{it} = (ia|ub)\Gamma_u^t + (\varepsilon_a + \varepsilon_b)T_{ab}^{iu}\Gamma_u^t + T_{ab}^{iu}K_u^t - F_{ik}T_{ab}^{ku}\Gamma_u^t + T_{ju}^{it}C_{jv}^{ab}\Gamma_v^u$$

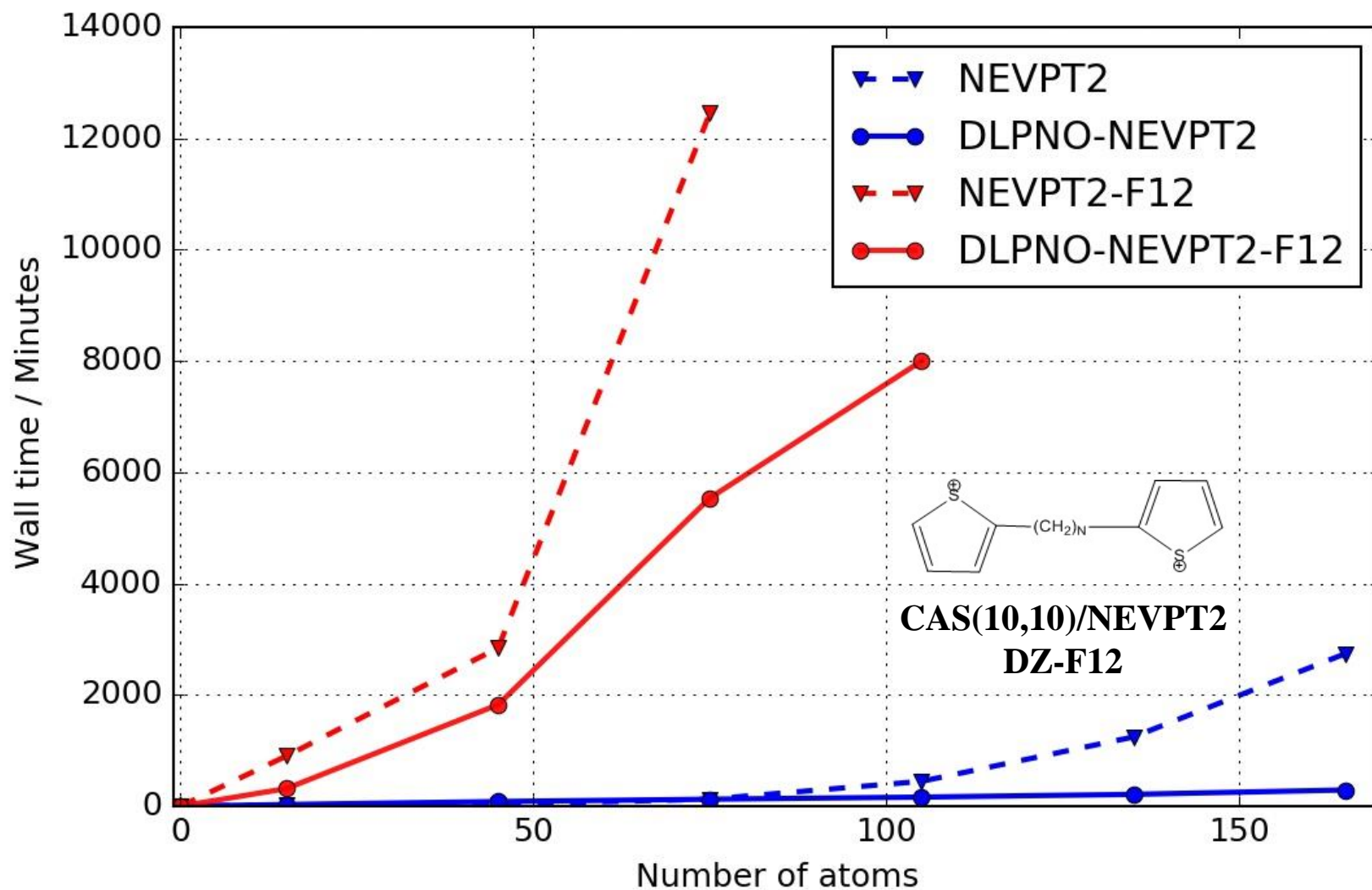
$$R_{ju}^{it} = V_{it}^{jv}\Gamma_u^v + T_{ju}^{it}B_{it}^{jv}\Gamma_u^v + T_{ju}^{it}X_{it}^{jv}K_u^v - T_{ju}^{it}F_{ik}X_{kt}^{jv}\Gamma_u^v + C_{jv}^{ab}T_{ab}^{it}\Gamma_v^u$$

NEVPT2 residuals have to be solved iteratively.

# Accuracy



# Scaling behavior





## ■ Conclusion

- NEVPT2-F12 method is developed for the first time.
- Accurate CBS limit NEVPT2 results can be calculated with double- $\zeta$  basis.
- Linear scaling version of NEVPT2-F12 (DLPNO-NEVPT2-F12) is developed.

## ■ Outlook

- NEVPT2 Algorithms based on large active space 0<sup>th</sup>-order wave function are undergoing.

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*Thank you for your attention!*