# Emergence, Effective Field Theory, Gravitation and Nuclei

- 1) "Philosophical" comments on QM, Emergence etc.
- 2) Effective field theory
- 3) General Relativity as an Effective Field Theory
- 4) Nuclear potential in the massless limit

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# **Physics is an experimental science**

Dreams of a final theory are nice, but ...

We can only learn about fundamental theory layer by layer - even our **theory techniques** (i.e. QM, QFT) come from expt.

Present layer is the Standard Model, but more layers needed

The structure of the ultimate high energy theory is always unknown

Do not know the particles nor their interactions, nor.....

So, how do we live with the unknown?

### Why do quantum calculations work?

The problem: QM says to sum over all intermediate states

$$\sum_{I} \frac{\langle f|V|I \rangle \langle I|V|i \rangle}{E_i - E_I}$$

So, how can you sum over all states if you don't know what they are or how they interact??

### Some possible solutions:

1) The energy denominator suppresses high energy states

$$\sum_{I} \frac{1}{E_i - E_I} \to \int d^3 p_I \frac{1}{E_i - \frac{p_I^2}{2m}}$$

2) Perhaps matrix elements are small to high energy states

**NO!** All observables sensitive to high energy at some order in PT

#### **Even worse: our fields and particles are tentative: Emergent fields**

Take a series of masses interacting with neighbors:

$$S = \int dt L[y_i, \dot{y}_i] = \int dt \sum_i \left[\frac{1}{2}m\dot{y}_i^2 - V(y_i - y_{i-1})\right] \approx \int dt \sum_i \left[\frac{1}{2}m\dot{y}_i^2 - \frac{1}{2}k(y_i - y_{i-1})^2\right]$$

Go to the continuum limit:

$$y_j(t) \equiv \sqrt{\frac{1}{ka}}\phi(x,t)$$
  $x = aj$ 

Get a field satisfying the wave eq. (= massless 1D Klein Gordon equation  $S = \int dx dt \frac{1}{2} \left[\frac{1}{2} \left(\frac{\partial \phi}{\partial \phi}\right)^2 - \left(\frac{\partial \phi}{\partial \phi}\right)^2\right] = \int dx dt \frac{1}{2} \partial_\mu \phi \partial^\mu \phi$ 

$$S = \int dx dt \frac{1}{2} \left[ \frac{1}{v^2} \left( \frac{\partial \phi}{\partial t} \right)^2 - \left( \frac{\partial \phi}{\partial x} \right)^2 \right] = \int dx dt \frac{1}{2} \partial_\mu \phi \partial^\mu \phi$$

with

$$\partial_{\mu} = (\frac{1}{v_s} \frac{\partial}{\partial t}, -\frac{\partial}{\partial x}) \qquad \qquad v = \sqrt{\frac{ka^2}{m}}$$

#### **Phonons**

#### Atoms bumping into each other - described as acoustic waves

$$L = \int d^3x \left[\frac{1}{2}\partial_\mu \phi \partial^\mu \phi\right]$$

$$\partial_{\mu} = \left(\frac{1}{v_s}\frac{\partial}{\partial t}, -\nabla\right)$$

Generates massless wave equation:

$$\begin{aligned} & [\frac{1}{v^2} \frac{\partial^2}{\partial t^2} - \frac{\partial^2}{\partial x^2}]\phi = 0 \\ & E = pv_s \end{aligned}$$



Different status for light waves and sound waves - FOR NOW!

### **Even our symmetries may not be sacred: Emergent symmetry**

Three emergent symmetries in phonon/string examples:

1) Translation symmetry

 $x \rightarrow x + c$ 

2) Lorentz-like symmetry  $L = \int d^3x \left[\frac{1}{2}\partial_\mu \phi \partial^\mu \phi\right]$ 

leads to extra invariance

$$x'^{\mu} = \Lambda^{\mu}_{\nu} x^{\nu}$$

## 3) Shift symmetry:

- why the massless wave equation? shift symmetry  $\phi \rightarrow \phi + c$ 

corresponds to translating the overall system -no cost in energy

These are not symmetries of the original system but emerge



#### **Examples of violation of emergent symmetry**

- 1) Translation invariance violated at small scales
- 2) Waves do not exist at small wavelength Emergent DOF no longer exist
- 3) Next order in L is not Lorentz invariant:

$$V(y_i - y_{i-1}) = \frac{1}{2}k(y_i - y_{i-1})^2 + \frac{1}{4}\lambda(y_i - y_{i-1})^4 + \dots$$



Then there is a new term in the action without Lorentz-like symmetry

$$S = \int dx dt \frac{1}{2} [\frac{1}{v^2} (\frac{\partial \phi}{\partial t})^2 - (\frac{\partial \phi}{\partial x})^2 + \bar{\lambda} (\frac{\partial \phi}{\partial x})^4]$$

These are generic features of an emergent symmetry – eventually sensitive to lack of symmetry

#### Need to continue experimental tests

## Tests for violation of gauge symmetries

- in case gauge symmetries are emergent
- 1) General relativity

$$\mathcal{L} = \mathcal{L}_{EH} + \sum_{i=1}^{7} a_i \mathcal{L}_i + \mathcal{L}_m$$
. with

$$\mathcal{L}_{1} = -g^{\mu\nu}\Gamma^{\alpha}_{\mu\lambda}\Gamma^{\lambda}_{\nu\alpha}, \quad \mathcal{L}_{2} = -g^{\mu\nu}\Gamma^{\alpha}_{\mu\nu}\Gamma^{\lambda}_{\lambda\alpha} 
\mathcal{L}_{3} = -g^{\alpha\gamma}g^{\beta\rho}g_{\mu\nu}\Gamma^{\mu}_{\alpha\beta}\Gamma^{\nu}_{\gamma\rho}, \quad \mathcal{L}_{4} = -g^{\alpha\gamma}g_{\beta\lambda}g^{\mu\nu}\Gamma^{\lambda}_{\mu\nu}\Gamma^{\beta}_{\gamma\alpha} 
\mathcal{L}_{5} = -g^{\alpha\beta}\Gamma^{\lambda}_{\lambda\alpha}\Gamma^{\mu}_{\mu\beta}, \quad \mathcal{L}_{6} = -g^{\mu\nu}\partial_{\nu}\Gamma^{\lambda}_{\mu\lambda} 
\mathcal{L}_{7} = -g^{\mu\nu}\partial_{\lambda}\Gamma^{\lambda}_{\mu\nu},$$
(3)

2) QED

$$\mathcal{L}_{gv} = -\frac{1}{8}\kappa A_{\mu}A^{\mu}A_{\nu}A^{\nu}$$

Can get significant constraints

JFD Anber Aydemir El-Menoufi **Experimental hint**: Perfectly fine to quantize phonons and other quasiparticles even though they do not exist as fundamental particles at all scales

- specific heat of solids, transport properties...

We need to live with the particles and interactions that exist at our energy scale.

Effective field theory to the rescue

# What is Effective Field Theory?

- clear thinking about energy scales in usual field theory <u>Effective</u>:

1) Low energy limit of a more complete theory

no very massive particles in the effective theory2) Useful – able to have effect

Assumptions:

QM (QFT) as we know it Set of D.O.F seen at a given energy scale Observed symmetries

### In practice:

- include only low energy DOF in theory
- allow all terms in Lagrangian consistent with symmetry
- order predictions in powers of (low E scale)/(high E scale)

### Why do quantum calculations work?

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### Some possible solutions:

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## The key to the solution: the uncertainty principle

High energy effects look local - very short range

- Looks like some term in a local Lagrangian

Mass term or charge coupling Shift in mass or coupling



Applequist Carrazone theorem:

- -effects of high energy either absorbed in "coupling constants" or suppressed by powers of the heavy scale
- uncertainty principle is more general



Note: Even U.P. and locality is experimentally based -brane example

# **Key Steps**

# 1) High energy effects are local (when viewed at low E)

Example = W exchange



Even loops

=> local mass counterterm

Low energy particle propagate long distances:



 $L = g_1 L_1 + g_2 L_2 + g_3 L_3 + \dots$ 

Even if you don't know the true effect, you know that it is local -use most general local Lagrangian

### 2) Energy Expansion

Order lagrangians by powers of (low scale/high scale)<sup>N</sup>

Only a finite number needed to a given accuracy

#### Then:

Quantization: use lowest order Lagrangian Renormalization:

-U.V. divergences are local

- can be absorbed into couplings of local Lagrangian

Remaining effects are predictions

## **Renormalization Program**

Effects of high energy go into measured values of the parameters -including unknown physics and potential divergences

Renormalizable Field Theory

- finite number of parameters sensitive to high energy
- terms (in Lagrangian) suppressed by powers of heavy scale are not allowed

Effective Field Theory

- allow terms suppressed by powers of heavy scale
- quantum effects from low energy D.O.F. only
- more general

# **General procedure from bottom up**

### 1) Identify Lagrangian

- -- include low energy particles
- -- most general (given symmetries)
- -- order by energy expansion

### 2) Calculate and renormalize

- -- start with lowest order
- -- renormalize parameters

### 3) Phenomenology

- -- measure parameters
- -- residual relations are predictions

Note: Two differences from textbook renormalizable field theory:

1) no restriction to renormalizable terms only

2) energy expansion

# The "Hydrogen atom" of EFT:

Chiral Perturbation Theory

-QCD at very low energies –pions and photons **Non-linear lagrangian** required by symmetry:

 $\mathcal{L} = F_{\pi}^{2} Tr(D_{\mu}UD^{\mu}U^{\dagger}) + L_{1}[Tr(D_{\mu}UD^{\mu}U^{\dagger})]^{2} + \dots$ 



**Very well studied**: Theory and phenomenology - energy expansion, loops, symmetry breaking, experimental constraints, connection to QCD.

### Sample calculation:

-no direct couplings at low energy

- pure loops

-essentially parameter free at low energy



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#### We have come to think of all of our theories as effective field theories

Theories are tested over some distance/energy scale -we know D.O.F. and interactions for that scale -can do calculations at that scale

But, there likely are new particles and new interactions at higher energy -these do not propagate at low energy -only give suppressed local interactions

All theories likely modified as we go to higher energy

### **Gravity as an Effective Field Theory**

1) Calculable quantum correction to gravitational interactions

$$V(r) = -\frac{GMm}{r} \left[ 1 + 3\frac{G(M+m)}{rc^2} + \frac{41}{10\pi}\frac{G\hbar}{r^2c^3} \right]$$

$$\theta \simeq \frac{4G_NM}{b} + \frac{15}{4}\frac{G^2M^2\pi}{b^2} + \left(8bu^S + 9 - 48\log\frac{b}{2b_0}\right)\frac{\hbar G_N^2M}{\pi b^3} + \dots$$

2) The quantum theory of general relativity <u>at ordinary energies</u> exists and is of the form called an "effective field theory"

# What is the problem with quantum gravity?

"Quantum mechanics and relativity are contradictory to each other and therefore cannot both be correct."

*"The existence of gravity clashes with our description of the rest of physics by quantum fields"* 

"Attempting to combine general relativity and quantum mechanics leads to a meaningless quantum field theory with unmanageable divergences."

*"The application of conventional field quantization to GR fails because it yields a nonrenormalizable theory"* 

"Quantum mechanics and general relativity are incompatible"

### These statements are old-fashioned and misleading

**Rather**: Quantum general relativity at E<<M<sub>P</sub> exists and is described by "effective field theory"

### **Effective field theory:**

- calculate quantum effects at a given energy scale -shifts focus from U.V. to I.R.

-handles main obstacle

- quantum effects involve all scales

### Completion of program of Feynman, De Witt,...Weinberg... ..... 't Hooft, Veltman .....

Previously: Quantization and divergence structure E.F.T - Extraction of quantum predictions Known vs unknown physics Both General Relativity and Quantum Mechanics known and tested over common range of scales

Is there an incompatibility **at those scales** ?

Or are problems only at uncharted high energies?

Need to study GR with a careful consideration of scales

### Aspects of GR that we will use:

1) The gravitational field –deviation from flat space

$$ds^{2} = g_{\mu\nu}(x)dx^{\mu}dx^{\nu}$$
$$g_{\mu\nu}(x) = \eta_{\mu\nu} + h_{\mu\nu}(x)$$



2) Symmetry – general coordinate invariance- restricts Lagrangian to invariant terms

$$\mathcal{L} = \frac{1}{G}R \sim \frac{1}{G}\left[\partial h\partial h + h\partial h\partial h + \dots\right]$$

3) Gravity couples to energy and to itself – Einstein's equation

$$\nabla^2 h = G \left[ H_{\text{matter}} + \partial h \partial h \right]$$

### The general Lagrangian

**The Einstein action**:  $S_{grav} = \int d^4x \sqrt{-g} \left[ \frac{2}{\kappa^2} R \right]$ 

 $\kappa^2 = 32\pi G, g = det g_{\mu\nu}, g_{\mu\nu}$  is the metric tensor and  $R = g^{\mu\nu} R_{\mu\nu}$ 

$$R_{\mu\nu} = \partial_{\nu}\Gamma^{\lambda}_{\mu\lambda} - \partial_{\lambda}\Gamma^{\lambda}_{\mu\nu} + \Gamma^{\sigma}_{\mu\lambda}\Gamma^{\lambda}_{\nu\sigma} - \Gamma^{\sigma}_{\mu\nu}\Gamma^{\lambda}_{\lambda\sigma}$$
$$\Gamma^{\lambda}_{\alpha\beta} = \frac{g^{\lambda\sigma}}{2} \left(\partial_{\alpha}g_{\beta\sigma} + \partial_{\beta}g_{\alpha\sigma} - \partial_{\sigma}g_{\alpha\beta}\right)$$

But this is not the most general lagrangian consistent with general covariance.

Key: R depends on <u>two derivatives</u> of the metric - Energy expansion – expansion in number of derivatives

$$S_{grav} = \int d^4x \sqrt{-g} \left\{ \Lambda + \frac{2}{\kappa^2} R + c_1 R^2 + c_2 R_{\mu\nu} R^{\mu\nu} + \ldots \right\}$$

#### **Parameters**

$$S_{grav} = \int d^4x \sqrt{-g} \left\{ \Lambda + \frac{2}{\kappa^2} R + c_1 R^2 + c_2 R_{\mu\nu} R^{\mu\nu} + \ldots \right\}$$

1) 
$$\Lambda = cosmological constant$$

$$\Lambda = (1.2 \pm 0.4) \times 10^{-123} M_P^4$$

$$M_P = 1.22 \times 10^{19} \text{ GeV}$$

-this is observable only on cosmological scales -neglect for rest of talk -interesting aspects

#### 2) Newton's constant

 $\kappa^2 = 32\pi G$ 

#### Note: G does not run

#### 3) Curvature –squared terms c<sub>1</sub>, c<sub>2</sub>

- studied by Stelle
- modify gravity at very small scales
- -essentially unconstrained by experiment

Note: Naturalness **not** part of this process

- EFT takes what experiment measures
- these parameters need not be natural
- range of utility may depend on size, but EFT exists independent of this

 $c_1(\mu), c_2(\mu) < 10^{65}$ 

If new dimensions at  $M_*$ , then  $c_i \sim (M_P/M_*)^2$ 

# Quantizing general relativity

Feynman quantized gravity in the 1960's

Quanta = gravitons (massless, spin 2)

Rules for Feynman diagrams given

Subtle features:

 $h_{\mu\nu}$  has 4x4 components – only 2 are physical DOF! -need to remove effects of unphysical ones

Gauge invariance (general coordinate invariance)

- calculations done in some gauge
- -need to maintain symmetry

In the end, the techniques used are very similar to other gauge theories



# Quantization

### "Easy" to quantize gravity:

-Covariant quantization Feynman deWitt
-gauge fixing
-ghosts fields
-Background field method 't Hooft Veltman
-retains symmetries of GR
-path integral

# **Background field**:

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + \kappa h_{\mu\nu}$$
  
$$g^{\mu\nu} = \bar{g}^{\mu\nu} - \kappa h^{\mu\nu} + \kappa^2 h^{\mu}_{\lambda} h^{\lambda\nu} + \dots$$

Expand around this background:

$$S_{grav} = \int d^4x \sqrt{-\bar{g}} \left[ \frac{2\bar{R}}{\kappa^2} + \mathcal{L}_g^{(1)} + \mathcal{L}_g^{(2)} + \dots \right]$$
$$\mathcal{L}_g^{(1)} = \frac{h_{\mu\nu}}{\kappa} \left[ \bar{g}^{\mu\nu} \bar{R} - 2\bar{R}^{\mu\nu} \right]$$
$$\mathcal{L}_g^{(2)} = \frac{1}{2} h_{\mu\nu;\alpha} h^{\mu\nu;\alpha} - \frac{1}{2} h_{;\alpha} h^{;\alpha} + h_{;\alpha} h^{\alpha\beta}_{;\beta} - h_{\mu\beta;\alpha} h^{\mu\alpha;\beta} + \bar{R} \left( \frac{1}{4} h^2 - \frac{1}{2} h_{\mu\nu} h^{\mu\nu} \right) + \left( 2h^{\lambda}_{\mu} h_{\nu\lambda} - h h_{\mu\nu} \right) \bar{R}^{\mu\nu}$$

Linear term vanishes by Einstein Eq.

$$\bar{R}^{\mu\nu} - \frac{1}{2}\bar{g}^{\mu\nu}\bar{R} = -\frac{\kappa^2}{4}T^{\mu\nu}$$

#### Gauge fixing:

-harmonic gauge

$$\mathcal{L}_{gf} = \sqrt{-\bar{g}} \left\{ \left( h_{\mu\nu}^{;\nu} - \frac{1}{2} h_{;\mu} \right) \left( h^{\mu\lambda}_{;\lambda} - h^{;\mu} \right) \right\} \qquad \qquad h \equiv h_{\lambda}^{\lambda}$$

#### **Ghost fields**:

$$\mathcal{L}_{ghost} = \sqrt{-\bar{g}}\eta^{*\mu} \left\{ \eta_{\mu;\lambda}^{;\lambda} - \bar{R}_{\mu\nu}\eta^{\nu} \right\}$$

vector fields anticommuting, in loops only

> Interesting note: Feynman introduced ghost fields in GR before F-P in YM

### **Quantum lagrangian**:

$$S_{eff} = \int d_x^4 \sqrt{\bar{g}} \left\{ \bar{\mathcal{L}}(\bar{g}) - \frac{1}{2} h_{\alpha\beta} D^{\alpha\beta\gamma\delta} h_{\gamma\delta} + \ldots \right\}$$

with

$$D^{\alpha\beta\gamma\delta} = I^{\alpha\beta,\mu\nu}d_{\lambda}d^{\lambda}I_{\mu\nu,}^{\gamma\delta} - \frac{1}{2}\bar{g}^{\alpha\beta}d_{\lambda}d^{\lambda}\bar{g}^{\gamma\delta} + \bar{g}^{\alpha\beta}d^{\gamma}d^{\delta} + d^{\alpha}d^{\beta}\bar{g}^{\gamma\delta} -2I^{\alpha\beta,\mu\nu}d_{\sigma}d_{\lambda}I_{\mu}^{\sigma,\gamma\delta} + \bar{R}\left(I^{\alpha\beta,\gamma\delta} - \frac{1}{2}g^{\alpha\beta}g^{\gamma\delta}\right) + \left(\bar{g}^{\alpha\beta}\bar{R}^{\gamma\delta} + \bar{R}^{\alpha\beta}\bar{g}^{\gamma\delta}\right) - 4I^{\alpha\beta,\lambda\mu}\bar{R}_{\mu\nu}I_{\lambda}^{\nu,\gamma\delta}$$

and

$$I^{\alpha\beta,\gamma\delta} = \frac{1}{2} \left( \bar{g}^{\alpha\gamma} \bar{g}^{\beta\delta} + \bar{g}^{\alpha\delta} \bar{g}^{\beta\gamma} \right)$$

#### **Propagator around flat space:**

$$iD_{\mu\nu\alpha\beta}(q) = \frac{i}{q^2 - i\epsilon} P_{\mu\nu,\alpha\beta}$$

$$P_{\mu\nu,\alpha\beta} = \frac{1}{2} \left[ \eta_{\mu\alpha} \eta_{\nu\beta} + \eta_{\nu\alpha} \eta_{\mu\beta} - \eta_{\mu\nu} \eta_{\alpha\beta} \right]$$

#### Feynman rules:

A.1 Scalar propagator

The massive scalar propagator is:

$$=\frac{i}{q^2-m^2+i\epsilon}$$

A.2 Graviton propagator

The graviton propagator in harmonic gauge can be written in the form:

where 
$$\begin{array}{l} \alpha\beta & \overbrace{q}{\partial\gamma\delta} & = \frac{i\mathcal{P}^{\alpha\beta\gamma\delta}}{q^2 + i\epsilon} \\ \mathcal{P}^{\alpha\beta\gamma\delta} &= \frac{1}{2} \left[ \eta^{\alpha\gamma}\eta^{\beta\delta} + \eta^{\beta\gamma}\eta^{\alpha\delta} - \eta^{\alpha\beta}\eta^{\gamma\delta} \right] \end{array}$$

A.3 2-scalar-1-graviton vertex

The 2-scalar-1-graviton vertex is discussed in the literature. We write it as:

$$\begin{array}{c} \overset{p^{\mu}}{\underset{q \rightarrow}{\longrightarrow}} \\ \end{array} = \tau_1^{\mu\nu}(p,p',m) \end{array}$$

where

$$\tau_1^{\mu\nu}(p,p',m) = -\frac{i\kappa}{2} \left[ p^{\mu}p'^{\nu} + p^{\nu}p'^{\mu} - \eta^{\mu\nu} \left( (p \cdot p') - m^2 \right) \right]$$

A.4 2-scalar-2-graviton vertex

The 2-scalar-2-graviton vertex is also discussed in the literature. We write it here with the full symmetry of the two gravitons:

$$=\tau_2^{\eta\lambda\rho\sigma}(p,p',m)$$

$$\begin{aligned} \tau_2^{\eta\lambda\rho\sigma}(p,p') &= i\kappa^2 \bigg[ \left\{ I^{\eta\lambda\alpha\delta} I^{\rho\sigma\beta}{}_{\delta} - \frac{1}{4} \left\{ \eta^{\eta\lambda} I^{\rho\sigma\alpha\beta} + \eta^{\rho\sigma} I^{\eta\lambda\alpha\beta} \right\} \right\} \left( p_{\alpha} p_{\beta}' + p_{\alpha}' p_{\beta} \right) \\ &- \frac{1}{2} \left\{ I^{\eta\lambda\rho\sigma} - \frac{1}{2} \eta^{\eta\lambda} \eta^{\rho\sigma} \right\} \left[ (p \cdot p') - m^2 \right] \bigg] \end{aligned}$$

$$(61)$$

with

$$I_{\alpha\beta\gamma\delta} = \frac{1}{2}(\eta_{\alpha\gamma}\eta_{\beta\delta} + \eta_{\alpha\delta}\eta_{\beta\gamma}).$$

A.5 3-graviton vertex

The 3-graviton vertex can be derived via the background field method and has the form[9],[10]

$$\begin{array}{c} \gamma \delta \\ \pi \end{array} \stackrel{\gamma}{\rightarrow} \\ \alpha \beta \\ k \end{array} \stackrel{\gamma}{\rightarrow} \\ \pi \end{array} = \tau s^{\mu \nu}_{\alpha \beta \gamma \delta}(k,q)$$

where

$$\begin{aligned} \tau_{3}^{\mu\nu}_{\alpha\beta\gamma\delta}(k,q) &= -\frac{i\kappa}{2} \times \left( \mathcal{P}_{\alpha\beta\gamma\delta} \Big[ k^{\mu}k^{\nu} + (k-q)^{\mu}(k-q)^{\nu} + q^{\mu}q^{\nu} - \frac{3}{2}\eta^{\mu\nu}q^{2} \Big] \\ &+ 2q_{\lambda}q_{\sigma} \Big[ I_{\alpha\beta}{}^{\sigma\lambda}I_{\gamma\delta}{}^{\mu\nu} + I_{\gamma\delta}{}^{\sigma\lambda}I_{\alpha\beta}{}^{\mu\nu} - I_{\alpha\beta}{}^{\mu\sigma}I_{\gamma\delta}{}^{\nu\lambda} - I_{\gamma\delta}{}^{\mu\sigma}I_{\alpha\beta}{}^{\nu\lambda} \Big] \\ &+ \Big[ q_{\lambda}q^{\mu} \left( \eta_{\alpha\beta}I_{\gamma\delta}{}^{\nu\lambda} + \eta_{\gamma\delta}I_{\alpha\beta}{}^{\nu\lambda} \right) + q_{\lambda}q^{\nu} \left( \eta_{\alpha\beta}I_{\gamma\delta}{}^{\mu\lambda} + \eta_{\gamma\delta}I_{\alpha\beta}{}^{\mu\lambda} \right) \\ &- q^{2} \left( \eta_{\alpha\beta}I_{\gamma\delta}{}^{\mu\nu} - \eta_{\gamma\delta}I_{\alpha\beta}{}^{\mu\nu} \right) - \eta^{\mu\nu}q_{\sigma}q_{\lambda} \left( \eta_{\alpha\beta}I_{\gamma\delta}{}^{\sigma\lambda} + \eta_{\gamma\delta}I_{\alpha\beta}{}^{\sigma\lambda} \right) \Big] \\ &+ \Big[ 2q_{\lambda} \left( I_{\alpha\beta}{}^{\lambda\sigma}I_{\gamma\delta\sigma}{}^{\nu}(k-q)^{\mu} + I_{\alpha\beta}{}^{\lambda\sigma}I_{\gamma\delta\sigma}{}^{\mu}(k-q)^{\nu} - I_{\gamma\delta}{}^{\lambda\sigma}I_{\alpha\beta\sigma}{}^{\nu}k^{\mu} - I_{\gamma\delta}{}^{\lambda\sigma} \Big] \\ &+ q^{2} \left( I_{\alpha\beta\sigma}{}^{\mu}I_{\gamma\delta}{}^{\nu\sigma} + I_{\alpha\beta}{}^{\nu\sigma}I_{\gamma\delta\sigma}{}^{\mu} \right) + \eta^{\mu\nu}q_{\sigma}q_{\lambda} \left( I_{\alpha\beta}{}^{\lambda\rho}I_{\gamma\delta\rho}{}^{\sigma} + I_{\gamma\delta}{}^{\lambda\rho}I_{\alpha\beta\rho}{}^{\sigma} \right) \Big] \\ &+ \Big\{ (k^{2} + (k-q)^{2}) [I_{\alpha\beta}{}^{\mu\sigma}I_{\gamma\delta\sigma}{}^{\nu} + I_{\gamma\delta}{}^{\mu\sigma}I_{\alpha\beta\sigma}{}^{\nu} - \frac{1}{2}\eta^{\mu\nu}\mathcal{P}_{\alpha\beta\gamma\delta}] \\ &- \left( I_{\gamma\delta}{}^{\mu\nu}\eta_{\alpha\beta}k^{2} + I_{\alpha\beta}{}^{\mu\nu}\eta_{\gamma\delta}(k-q)^{2} \right) \Big\} \Big) \end{aligned}$$

### **Performing quantum calculations**

Quantization was straightforward, but what do you do next? - calculations are not as simple

### Next step: Renormalization

-divergences arise at high energies -not of the form of the basic lagraingian

**Solution**: Effective field theory and renormalization - renormalize divergences into parameters of the most general lagrangian  $(c_1, c_2...)$ 

**Power counting theorem**: (pure gravity,  $\Lambda$ =0) -each graviton loop = 2 more powers in energy expansion -1 loop = Order (E)<sup>4</sup> -2 loop = Order (E)<sup>6</sup>

### **Power counting theorem**

Simplified form – just count powers of  $1/M_P^2$ 

- 1) Tree from leading Lagrangian is leading power of  $M_P$
- 2) Effect of second Lagrangian is down by  $E^2/M_P^2$
- 3) Each loop from lowest L contributes extra  $E^2/M_P^2$
- 4) Loops from higher L's yet higher in energy

Effects ordered in Energy Expansion

## Renormalization

**One loop calculation**: 't Hooft and Veltman

 $Z[\phi, J] = TrlnD$ 

Divergences are local:

$$\Delta \mathcal{L}_{0}^{(1)} = \frac{1}{8\pi^{2}} \frac{1}{\epsilon} \left\{ \frac{1}{120} R^{2} + \frac{7}{20} R_{\mu\nu} R^{\mu\nu} \right\} \quad \epsilon = 4 - d$$

dim. reg. preserves symmetry

**Renormalize** parameters in general action:

$$c_1^{(r)} = c_1 + \frac{1}{960\pi^2\epsilon}$$
$$c_2^{(r)} = c_2 + \frac{7}{160\pi^2\epsilon}$$

Pure gravity "one loop finite" since  $R_{\mu\nu}=0$ 

Note: Two loop calculation known in pure gravity

Goroff and Sagnotti

$$\Delta \mathcal{L}^{(2)} = \frac{209 \,\kappa}{2880(16\pi^2)^2} \frac{1}{\epsilon} \sqrt{-g} R^{\alpha\beta}_{\ \gamma\delta} R^{\gamma\delta}_{\ \rho\sigma} R^{\rho\sigma}_{\ \alpha\beta}$$
  
Order of six derivatives

### What are the quantum predictions?

### Not the divergences

-they come from the Planck scale -unreliable part of theory

### Not the parameters

- -local terms in L
- -we would have to measure them

# $Amp \sim q^2 \ln(-q^2) \quad , \quad \sqrt{-q^2}$

### Low energy propagation

- -not the same as terms in the Lagrangian
- most always non-analytic dependence in momentum space
- -can't be Taylor expanded can't be part of a local Lagrangian
- -long distance in coordinate space

## **Example- Corrections to Newtonian Potential**

Here discuss scattering potential of two heavy masses. JFD 1994 JFD, Holstein, Bjerrum-Bohr 2002 Khriplovich and Kirilin Other references later

$$\langle f|T|i\rangle \equiv (2\pi)^4 \delta^{(4)}(p-p')(\mathcal{M}(q)) = -(2\pi)\delta(E-E')\langle f|\tilde{V}(\mathbf{q})|i\rangle$$

Potential found using from

 $V(\mathbf{x}) = \frac{1}{2m_1} \frac{1}{2m_2} \int \frac{d^3q}{(2\pi)^3} e^{i\mathbf{q}\cdot\mathbf{x}} \mathcal{M}(\vec{q})$ 

Classical potential has been well studied

Iwasaki Gupta-Radford Hiida-Okamura

#### Lowest order:

one graviton exchange

$$(m_1) \approx (m_2)$$

$$k_1 \quad k_3$$

$$iM_{1(a)}(\vec{q}) = \tau_1^{\mu\nu}(k_1, k_2, m_1) \Big[\frac{i\mathcal{P}_{\mu\nu\alpha\beta}}{q^2}\Big]\tau_1^{\alpha\beta}(k_3, k_4, m_2)$$

Non-relativistic reduction:

$$M_{1(a)}(\vec{q}) = -\frac{4\pi G m_1 m_2}{\vec{q}^2}$$

**Potential:** 

$$V_{1(a)}(r) = -\frac{Gm_1m_2}{r}$$

#### What to expect:



$$\int \frac{d^3 q}{(2\pi)^3} e^{i\mathbf{q}\cdot\mathbf{r}} \frac{1}{|\mathbf{q}|^2} = \frac{1}{4\pi r}$$
$$\int \frac{d^3 q}{(2\pi)^3} e^{i\mathbf{q}\cdot\mathbf{r}} \frac{1}{|\mathbf{q}|} = \frac{1}{2\pi^2 r^2}$$
$$\int \frac{d^3 q}{(2\pi)^3} e^{i\mathbf{q}\cdot\mathbf{r}} \ln(\mathbf{q}^2) = \frac{-1}{2\pi r^3}$$

#### **Parameter free and divergence free**

Recall: divergences like local Lagrangian ~R<sup>2</sup>

Also unknown parameters in local Lagrangian  $\sim c_1, c_2$ 

But this generates only "short distance term"

Note: R<sup>2</sup> has 4 derivatives  $R^2 \sim q^4$ 



Local lagrangian gives only short range terms

#### The calculation:



# Results:

Pull out non-analytic terms:-for example the vertex corrections:

$$M_{5(a)+5(b)}(\vec{q}) = 2G^2 m_1 m_2 \left(\frac{\pi^2(m_1+m_2)}{|\vec{q}|} + \frac{5}{3}\log\vec{q}^2\right)$$
$$M_{5(c)+5(d)}(\vec{q}) = -\frac{52}{3}G^2 m_1 m_2\log\vec{q}^2$$

#### Sum diagrams:

$$V(r) = -\frac{Gm_1m_2}{r} \left[ 1 + 3\frac{G(m_1 + m_2)}{r} + \frac{41}{10\pi} \frac{G\hbar}{r^2} \right]$$
  
Gives precession  
of Mercury, etc  
(Iwasaki ;  
Gupta + Radford)  
$$Quantumcorrection$$

## Comments

Both classical and quantum emerge from a one loop calculation!
 - classical first done by Gupta and Radford (1980)

1) Unmeasurably small correction:

- best perturbation theory known(!)
- 3) Quantum loop well behaved no conflict of GR and QM
- 4) Other calculations

(Radikowski, Duff, JFD; Muzinich and Vokos; Hamber and Liu; Akhundov, Bellucci, and Sheikh ; Khriplovich and Kirilin ) -other potentials or mistakes

5) Why not done 30 years ago?power of effective field theory reasoning

# **Aside: Classical Physics from Quantum Loops**:

JFD, Holstein 2004 PRL

#### **Field theory folk lore**:

Loop expansion is an expansion in ħ "Proofs" in field theory books

#### This is not really true.

- numerous counter examples – such as the gravitational potential

- can remove a power of h via kinematic dependence

$$\sqrt{\frac{m^2}{-q^2}} = \frac{m}{\hbar\sqrt{-k^2}}$$

- classical behavior seen when massless particles are involved

### **On-shell techniques and loops from unitarity**

- On-shell amplitudes only

JFD, Bjerrum-Bohr Vanhove

- No ghosts needed axial gauge
- Both unitarity cuts and dispersion relation methods
- Gravity is square of gauge theory

$$iM^{1-\text{loop}}\big|_{disc} = \int \frac{d^D\ell}{(2\pi)^D} \frac{\sum_{\lambda_1,\lambda_2} M_{\lambda_1\lambda_2}^{\text{tree}}(p_1, p_2, -\ell_2^{\lambda_2}, \ell_1^{\lambda_1})(M_{\lambda_1\lambda_2}^{\text{tree}}(p_3, p_4, \ell_2^{\lambda_2}, -\ell_1^{\lambda_1}))^*}{\ell_1^2 \ell_2^2}\Big|_{cut},$$

$$iM_0^{\text{tree}}(p_1, p_2, k_1^+, k_2^+) = \frac{\kappa_{(4)}^2}{16} \frac{1}{(k_1 \cdot k_2)} \frac{m^4 [k_1 k_2]^4}{(k_1 \cdot p_1)(k_1 \cdot p_2)},$$
  
$$iM_0^{\text{tree}}(p_1, p_2, k_1^-, k_2^+) = \frac{\kappa_{(4)}^2}{16} \frac{1}{(k_1 \cdot k_2)} \frac{\langle k_1 | p_1 | k_2 ]^2 \langle k_1 | p_2 | k_2 ]^2}{(k_1 \cdot p_1)(k_1 \cdot p_2)},$$



Confirm results (and gauge invariance)

### **One loop universality/soft theorem**

### Tree level soft theorems

- Compton amplitudes and gravitational
  - Compton amplitudes are universal at leading order
- Conservation of charge/energy and ang. mom.

#### **One loop soft theorem**

- E&M and gravitational potentials
- formed by square of Compton amplitudes
- quantum term down from classical by  $\sqrt{-q^2}$
- first found in direct calculations by Holstein and Ross

Low Gell-Mann Goldberger Weinberg Gross Jackiw

# Light bending at one loop

- Again using unitarity methods
- Gravity Compton as square of EM Compton
- Compare massless spin 0 and photon

Bjerrum-Bohr, JFD, Holstein Plante, Vanhove Bai and Huang



$$\begin{aligned} \mathcal{M}_{\eta} &= \kappa^2 \frac{M^2 \omega^2}{\mathbf{q}^2} + \kappa^4 \frac{15M^3 \omega^2}{512|\mathbf{q}|} + \kappa^4 \frac{15M^2 \omega^2}{512\pi^2} \log\left(\frac{\mathbf{q}^2}{M^2}\right) - \kappa^4 \frac{M^2 \omega^2}{32\pi^2} \log^2\left(\frac{\mathbf{q}^2}{\mu^2}\right) \\ &- \kappa^4 \frac{\mathbf{b} \mathbf{u}^{\eta}}{(8\pi)^2} M^2 \omega^2 \log\left(\frac{\mathbf{q}^2}{\mu^2}\right) - \kappa^4 \frac{M^3 \omega^3}{8\pi} \frac{i}{\mathbf{q}^2} \log\left(\frac{\mathbf{q}^2}{M^2}\right), \\ & \uparrow \\ &\mathbf{b} \mathbf{u}^{\varphi} &= \frac{371}{120}, \quad \mathbf{b} \mathbf{u}^{\gamma} = \frac{113}{120}. \qquad \begin{array}{c} bu^i i \text{s different} \\ & \text{coefficient for} \\ & \text{spin 0 and 1} \end{array} \end{aligned}$$

### Bending angle calculatable using eikonal amplitude - saddle point approximation

$$\mathcal{M}^{0+1}\left(\mathbf{\Delta}^{\perp}\right) = 2(s - M_{\sigma}^2) \int d^2 \mathbf{b}^{\perp} e^{-i\mathbf{\Delta}^{\perp} \cdot \mathbf{b}^{\perp}} \left[e^{i(\chi_0 - i\ln[1 + i\chi_2])} - 1\right]$$



Using Akhoury Ryo Sterman

 $r_0$  is IR cutoff can turn into energy dependence depending on resolution of IR singularity

### Massless particles no longer move on null geodesics!

- irreducible tidal effects from loops
- also non-universal violation of some forms of EP
- perhaps energy dependence

#### Limitations of the effective field theory

Corrections grow like 
$$Amp \sim A_0 \left[ 1 + Gq^2 + Gq^2 \ln q^2 \right]$$

Overwhelm lowest order at  $q^2 \sim M_P^2$ 

Also sicknesses of  $R+R^2$  theories beyond  $M_P$  (J. Simon)

#### Effective theory predicts its own breakdown at M<sub>P</sub> -could in principle be earlier

Needs to be replaced by more complete theory at that scale (String theory??)

Treating quantum GR beyond the Planck scale is <u>likely</u> not sensible

### **Reformulate problem of quantum gravity**

Old view: GR and Quantum Mechanics incompatible

### Unacceptable

<u>New view:</u> We need to find the right "high energy" theory which includes gravity

Less shocking: -not a conflict of GR and QM -just incomplete knowledge

THIS IS PROGRESS!

# A Modern Viewpoint:

A lot of portentous drivel has been written about the quantum theory of gravity, so I'd like to begin by making a fundamental observation about it that tends to be obfuscated. There is a perfectly well-defined quantum theory of gravity that agrees accurately with all available experimental data.

Frank Wilczek Physics Today August 2002

# Another thoughtful quote:

"I also question the assertion that we presently have no quantum field theory of gravitation. It is true that there is no *closed*, internally consistent theory of quantum gravity valid at all distance scales. But such theories are hard to come by, and in any case, are not very relevant in practice. But as an *open* theory, quantum gravity is arguably our *best* quantum field theory, not the worst. ....

### *{Here he describes the effective field theory treatment}*

From this viewpoint, quantum gravity, when treated –as described above- as an effective field theory, has the largest bandwidth; it is credible over 60 orders of magnitude, from the cosmological to the Planck scale of distances."

J.D. Bjorken

# **Nuclear Physics**

Imagine that pions were strictly massless – chiral symmetry

They would be almost as ubiquitous as light

Condensed Matter and Atomic physics would involve pions

New couplings would be known:



### **The pions would interact with themselves also** - weakly

Perhaps someone clever (Albert Pionstein?) would propose a theory with an effective Lagrangian

$$\mathcal{L} = \frac{F^2}{4} \operatorname{Tr}(\partial_{\mu} U \partial^{\mu} U^{\dagger}) \qquad \text{with} \qquad U = exp\left[\frac{i\tau \cdot \pi}{F}\right]$$

But this we would need EFT to interpret this

#### **EFT techniques could be applied unambiguously at low energy**



In this case, many components to the potential:

$$V(r) = V_S(r) + V_T(r) \ \tau_1 \cdot \tau_2(\sigma_1 \cdot \hat{r}\sigma_1 \cdot \hat{r} - \frac{1}{3}\sigma_1 \cdot \sigma_2) + \dots$$

Van Kolck, Kaiser, Epelbaum, Meißner ...

# **Results:**

$$V_T(r) = \frac{1}{16\pi^4} \frac{g_A^2}{F_\pi^2} \frac{1}{r^3} \left[ 1 - \frac{c_4}{\pi F_\pi^2} \frac{1}{r^3} \right]$$

$$V_S(r) = \frac{9}{16\pi^2 F_\pi^2} \left[ \frac{g_A^2 c_3}{r^6} - \frac{2\bar{c}^2}{\pi r^7} \right] \qquad \bar{c}^2 = [c_3 + \frac{c_2}{6}]^2 + \frac{c_2^2}{45}$$

Plus local contact interactions:

- need to cut off singular potentials and adjust LECs

# Low energy folks would see new scale

- having all the features of the Planck scale for us

$$V_T(r) = -29 \text{ MeV}\left[\left(\frac{r_0}{r}\right)^3 - 0.66 \left(\frac{r_0}{r}\right)^6\right] \qquad r_0 = 1 \text{ fm} = (200 \text{ MeV})^{-1})$$
$$V_S(r) = -300 \text{ MeV}\left[\left(\frac{r_0}{r}\right)^6 + 0.24 \left(\frac{r_0}{r}\right)^7\right]$$

#### What is the chance that they could come up with QCD?

## This could be a good starting point for Nuclear Physics

Start with pion massless and nucleon heavy

- unambiguous EFT
- power-law potentials

Then back off from these limits towards the real world

I have some papers that (sort of) do the reverse

(2006,8)

- calculate these with present masses, then vary mass
- some deviations from low order ChPTh (unitarization)





Figure 9: The attractive scalar-isoscalar potential in the physical case (dashed line) and in the chiral limit (solid line).

# **Summary:**

EFT helps us make sense of the world

Quantum Theory of General Relativity exists - it is an EFT

Rigorous predictions can be made

Still work to be done – in EFT and for UV completion

#### **Our Core Theory**

