

Internal density functional theory and center-of-mass correlations.

ESNT-CEA workshop

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Introduction

- **Stationary case (first demonstrations of the internal DFT theorem, but approximate Kohn-Sham scheme)**

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N. Barnea, Phys. Rev. C76, 067302 (2007)

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Further speech

1) Reminder of internal DFT formalism

Translational invariance: one of the most obvious symmetries of isolated self-bound systems

Implies that center-of mass (c.m.) properties can be separated from “internal properties”

The ones of experimental properties

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If not treated correctly: spurious coupling between the c.m. motion and internal properties
can have non-negligible effects (even for intermediate-sized nuclei...)

“Sacrificed” within Hartree-Fock (HF) ansatz

-HF with effective interaction => addition of a $-\langle \mathbf{P}^2 / 2mN \rangle$ to “restore” a part of the c.m. correlations => not sufficient

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=> large numerical cost, unmanageable in the time-dependent case

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A more fundamental *and* numerically manageable way?

- Internal DFT with a c.m. correlations functional

Translationally invariant N-body Hamiltonian:

$$H = \sum_{i=1}^N \frac{\mathbf{p}_i^2}{2m} + \underbrace{\sum_{\substack{i,j=1 \\ i>j}}^N u(\mathbf{r}_i - \mathbf{r}_j)}_{\text{Isolated self-bound Hamiltonian}} + \sum_{i=1}^N v^{\text{int}}(\mathbf{r}_i - \mathbf{R})$$

Corresponding eigenstate $\psi(\mathbf{r}_1, \dots, \mathbf{r}_N)$ is Galilean invariant

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- helps the “internal” DFT theorem demonstration

- c.m. coordinate: $\mathbf{R} = \frac{1}{N} \sum_{j=1}^N \mathbf{r}_j$

-(N-1) Jacobi coordinates: $\xi_1 = \mathbf{r}_2 - \mathbf{r}_1, \quad \xi_2 = \mathbf{r}_3 - \frac{\mathbf{r}_2 + \mathbf{r}_1}{2}, \quad \dots, \quad \xi_{N-1} = \frac{N}{N-1} (\mathbf{r}_N - \mathbf{R})$

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Permits to separate the Hamiltonian into:

$$H_{CM} = -\frac{\hbar^2}{2mN} \Delta_{\mathbf{R}}$$

$$H_{int} = \sum_{\alpha=1}^{N-1} \frac{\tau_{\alpha}^2}{2\mu_{\alpha}} + U(\xi_1, \dots, \xi_{N-1}) + V^{int}(\xi_1, \dots, \xi_{N-1})$$

and the wave function into:

$$\psi(\mathbf{r}_1, \dots, \mathbf{r}_N) = \Gamma(\mathbf{R}) \psi_{int}(\xi_1, \dots, \xi_{N-1})$$

with: $H_{CM}\Gamma = E_{cm}\Gamma$

$$H_{int}\psi_{int} = E_{int}\psi_{int}$$

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$\psi_{int}(\xi_1, \dots, \xi_{N-1})$ can be rewritten $\psi_{int}(\mathbf{r}_1, \dots, \mathbf{r}_N)$ but one coordinate \mathbf{r}_i is then redundant
 \Rightarrow C.M. CORRELATIONS EFFECT WE WANT TO TREAT FUNDAMENTALLY

Internal DFT theorem

1) Define the internal density (i.e. measured in the **c.m. frame**, the most simple observable, that is always recovered experimentally):

$$\rho_{int}(\mathbf{r}) = N \int d\mathbf{r}_1 \cdots d\mathbf{r}_N \delta(\mathbf{R}) |\psi_{int}(\mathbf{r}_1, \dots, \mathbf{r}_N)|^2 \delta(\mathbf{r} - (\mathbf{r}_i - \mathbf{R}))$$



Difference with laboratory frame density => one coordinate redundant in the c.m. frame

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2) Existence theorem:

For a non-degenerate ground state (and a given kind of particle), ψ_{int} can be expressed as a unique functional of ρ_{int}
 $\Rightarrow \psi_{int}[\rho_{int}] \Rightarrow$ every observable can be expressed as a unique functional of ρ_{int} .

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HOW?

Nota: Theorem always valid because ψ_{int} is always normalizable.

Problem in case of standard DFT if applied to self-bound systems, because the laboratory wave function is then not normalizable!

Internal Kohn-Sham (KS) scheme

KS assumption: there exists, in the c.m. frame, a N-body “non-interacting” system (i.e. a local single-particle potential) that reproduces the exact density ρ_{int} .

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$$\rho_{int}(\mathbf{r}) = \sum_{i=1}^N |\varphi_{int}^i(\mathbf{r})|^2$$

We then can rewrite (adding&subtracting the “non-interacting” kinetic energy $\sum_{i=1}^N (\varphi_{int}^i | \frac{\mathbf{p}^2}{2m} | \varphi_{int}^i)$)

$$E_{int}[\rho_{int}] = \sum_{i=1}^N (\varphi_{int}^i | \frac{\mathbf{p}^2}{2m} | \varphi_{int}^i) + E_{HXC}[\rho_{int}] + \int d\mathbf{r} v_{int}(\mathbf{r}) \rho_{int}(\mathbf{r})$$

with $E_{HXC}[\rho_{int}] = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' \gamma_{int}[\rho_{int}](\mathbf{r}, \mathbf{r}') u(\mathbf{r} - \mathbf{r}') + E_{\Delta kin}[\rho_{int}]$

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$\delta(\mathbf{R})$ Only term of the functional where c.m. correlations appear explicitly (difference with standard DFT)

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Only term of the functional where c.m. correlations appear explicitly (difference with standard DFT)

Nota:

-All functionals are implicitly functionals of ρ_{int} because the KS assumption implies $\varphi_{int}^i[\rho_{int}]$

=> permits us to perform KS variation

-Reason for N orbitals: interpretation, explicit antisymmetrization, classical limit straightforward; better non-interacting v-representability? => same question in standard DFT

Internal Kohn-Sham (KS) scheme

Minimizing the total energy under orthonormality constraint:

$$\left(-\frac{\hbar^2}{2m}\Delta + U_{HXC}[\rho_{int}] + v_{int} \right) \varphi_{int}^i = \epsilon_i \varphi_{int}^i$$

where all quantum effects (including c.m. correlations) are described by the effective local potential:

$$U_{HXC}[\rho_{int}](\mathbf{r}) = \frac{\delta E_{HXC}[\rho_{int}]}{\delta \rho_{int}(\mathbf{r})}$$

All c.m. correlations effects can ultimately be accounted for by a local functional => question of the parameterization

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$$\left(-\frac{\hbar^2}{2m}\Delta + U_{HXC}[\rho_{int}] + v_{int} \right) \varphi_{int}^i = \epsilon_i \varphi_{int}^i$$

where all quantum effects (including c.m. correlations) are described by the effective local potential:

$$U_{HXC}[\rho_{int}](\mathbf{r}) = \frac{\delta E_{HXC}[\rho_{int}]}{\delta \rho_{int}(\mathbf{r})}$$

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In particular the “non-interacting” kinetic energy does not necessarily give a first order approximation of the true (“interacting”) kinetic energy in the case of a self-bound system!

$$E_{\Delta kin}[\rho_{int}] = \underbrace{\int d\mathbf{r}_1 \cdots d\mathbf{r}_N \delta(\mathbf{R}) \psi_{int}^*(\mathbf{r}_1, \dots, \mathbf{r}_N) \sum_{i=1}^N \frac{\mathbf{p}_i^2}{2m} \psi_{int}(\mathbf{r}_1, \dots, \mathbf{r}_N)}_{\text{True (“interacting”) kinetic energy}} - \underbrace{\sum_{i=1}^N (\varphi_{int}^i | \frac{\mathbf{p}^2}{2m} | \varphi_{int}^i)}_{\text{“non-interacting” kinetic energy of the KS system}}$$

True (“interacting”) kinetic energy = $\underbrace{E_{\Delta kin}[\rho_{int}]}_{\text{Not-small even for intermediary sized nuclei, because of c.m. correlations!}}$ + “non-interacting” kinetic energy of the KS system

Not-small even for intermediary sized nuclei, because of c.m. correlations!

Origin of the quantum c.m. correlations?

In the c.m. frame, only the points of space that satisfy $\delta(\mathbf{R})=0$, i.e. $\sum_{j=1}^N \mathbf{r}_j = 0$, are allowed.

Because in quantum mechanics particles have zero-point motion, those motions must be coupled in the c.m. frame so that $\delta(\mathbf{R})=0$ is satisfied.

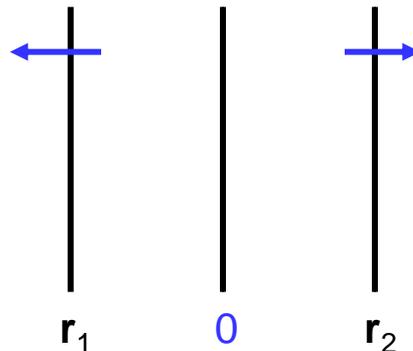
=> Purely quantum contribution to the c.m. correlations.

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2 particles case, in the c.m. frame: if something tends to move the first particle in one direction, the second particle will tend to move in the opposite direction because of the c.m. correlations.

- Bosons systems
- Time-dependent case (fusion, coulomb excitation, laser irradiation...)
- Multi-component systems (proton+neutron, mixtures of ^3He and ^4He , molecules where nuclei are treated explicitly and quantum mechanically)
 - => includes standard DFT

2) Proposition of a new local c.m.
correlations functional

Theory

C.m. correlations parameterization

All c.m. correlations effects can ultimately be accounted for through a local functional \Rightarrow parameterization?

$$E_{HXC}[\rho_{int}] = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' \gamma_{int}[\rho_{int}](\mathbf{r}, \mathbf{r}') u(\mathbf{r} - \mathbf{r}') + E_{\Delta kin}[\rho_{int}] \rightarrow \text{Hartree + total XC energy (including c.m correlations)}$$

\Rightarrow Well parameterized by standard effective interactions, apart from the c.m. correlations

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\Rightarrow It is mostly this term that is not satisfyingly parameterized by standard effective interactions

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We thus have $E_{HXC}[\rho_{int}] = \underbrace{E_{HXC}^{stand}[\rho_{int}]}_{\text{Standard effective interactions (Skyrme...)}} + \underbrace{E_{cm}[\rho_{int}]}_{\text{Approximation of } E_{\Delta kin}[\rho_{int}] \text{ where only c.m. correlation effects are considered}}$

Standard effective interactions (Skyrme...)

Approximation of $E_{\Delta kin}[\rho_{int}]$ where only c.m. correlation effects are considered

$\Rightarrow E_{cm}[\rho_{int}]$ can be evaluated from $E_{\Delta kin}[\rho_{int}]$ neglecting the exchange and « standard » correlations terms

An ansatz: $\sqrt{\delta(\mathbf{R})}\psi_{int}(\mathbf{r}_1, \dots, \mathbf{r}_N) \approx \psi^{aux}(\mathbf{r}_1, \dots, \mathbf{r}_N)$, where $\psi^{aux}(\mathbf{r}_1, \dots, \mathbf{r}_N) = \frac{1}{\sqrt{N!}} \sum_P (-1)^P \prod_{i=1}^N \varphi_{int}^{P(i)}(\mathbf{r}_i)$ is the KS Slater

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We obtain (standard correlations neglected by construction & exchange terms naturally cancel):

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=> standard form for the c.m. correction.

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Limitation Holds if and only if our approximation holds at least to first order. Cannot be the case in general because

- ψ^{aux} is far from being null when $\sum_{i=1}^N \mathbf{r}_i \neq 0$,
- ψ^{aux} contains a c.m. vibration typical of Slater determinants ($(\psi^{aux} | \mathbf{P}^n | \psi^{aux}) \neq 0$ for $n \geq 2$), whereas $\sqrt{\delta(\mathbf{R})}\psi_{int}$ does not contain such a vibration ($(\psi^{int} | \mathbf{P}^n | \psi^{int}) = 0, \forall n$).

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Why? In the ansatz, the c.m. correlations $\delta(\mathbf{R})$ are implicitly considered as included in the KS Slater determinant.

=> Find a better ansatz where the c.m. correlations, i.e. the $\delta(\mathbf{R})$, remains explicit.

New improved local form for the c.m. correlations potential ⁴⁹

Proposition of a better ansatz: $\sqrt{\delta(\mathbf{R})}\psi_{int}(\mathbf{r}_1, \dots, \mathbf{r}_N) \approx \sqrt{\delta(\mathbf{R})} \frac{1}{\Gamma^{aux}(\mathbf{R})} \psi^{aux}(\mathbf{r}_1, \dots, \mathbf{r}_N)$



New improved local form for the c.m. correlations potential 50

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where **A** and **B** are 2 free-parameters (to be fit) defined by: $A = \frac{1}{|\Gamma^{aux}(\mathbf{0})|^2}$
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and $f_{i,l \neq i}(\mathbf{r}) = N^3 \int \prod_{\substack{j=1 \\ j \neq i,l}}^N d\mathbf{r}_j \delta \left(\sum_{\substack{k=1 \\ k \neq i,l}}^N \mathbf{r}_k + \mathbf{r} \right) \prod_{\substack{j=1 \\ j \neq i,l}}^N |\varphi_{int}^j(\mathbf{r}_j)|^2$

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C.m correlations now appear explicitly in the functional:

$$f_{i,l \neq i}(\mathbf{r}) = \begin{cases} 2^3 \delta(\mathbf{r}) & \text{if } N = 2 \\ 3^3 |\varphi_{int}^{k \neq i,l}(-\mathbf{r})|^2 & \text{if } N = 3 \\ N^3 \int \prod_{\substack{j=1 \\ j \neq i,l,m}}^N d\mathbf{r}_j \prod_{\substack{j=1 \\ j \neq i,l,m}}^N |\varphi_{int}^j(\mathbf{r}_j)|^2 |\varphi_{int}^m(-\sum_{\substack{k=1 \\ k \neq i,l,m}}^N \mathbf{r}_k - \mathbf{r})|^2 & \text{if } N \geq 4 \\ \dots & \\ \text{Constant} & \text{for very large } N \text{ (limit of a Fermi gas)} \end{cases}$$

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-Fit **A** & **B** simultaneously with Skyrme (...) force parameters

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Numerical cost of the scheme = cost of computation of the multi-convolution $f_{i,l \neq i}(\mathbf{r})$
 = (N+1) FFT when $N \geq 4$

Pertinence of the new ansatz $\sqrt{\delta(\mathbf{R})}\psi_{int}(\mathbf{r}_1, \dots, \mathbf{r}_N) \approx \sqrt{\delta(\mathbf{R})} \frac{1}{\Gamma^{aux}(\mathbf{R})} \psi^{aux}(\mathbf{r}_1, \dots, \mathbf{r}_N)$?

- $\delta(\mathbf{R})$ remains explicit

- $\Gamma^{aux}(\mathbf{R})$ allows to recover good dimensions

is coherent with a well known analytical solution (harmonic oscillator case)

is coherent with anti-symmetrization

is considered as an additional “degree of liberty” that allows effective subtraction
of c.m. vibration from ψ^{aux}

leads to a result that has clear a physical meaning (see below)

Numerical results

Two different particles with a strong interaction (1D)

1D & 2 different particles => maximizes c.m. correlations effects

Strong (parabolic) interaction:
may model a deuterium nuclei

$$H = \frac{p^{(1)2}}{2m} + \frac{p^{(2)2}}{2m} + \frac{1}{4}m\omega^2(r^{(1)} - r^{(2)})^2$$

$$H_{int} = \frac{\tau^2}{2\mu} + \frac{1}{2}\mu\omega^2 \xi^2$$

A benchmark (analytical) solution ($l=1,2$):

$$E_{int} = \frac{1}{2}\hbar\omega$$

$$\rho_{int}^{(l)}(r) = \int dr^{(1)} dr^{(2)} \delta(R) |\psi_{int}(r^{(1)} - r^{(2)})|^2 \delta(r - (r^{(l)} - R))$$

$$= \sqrt{\frac{2m\omega}{\pi\hbar}} \exp\left\{-\frac{2m\omega}{\hbar}r^2\right\}$$

Two different particles with a strong interaction (1D)

-Hartree part (no exchange): $E_H[\rho_{int}^{(1)}, \rho_{int}^{(2)}]$

- Exact internal DFT (very simple form valid only in the considered case):

$$E_{HXC}[\rho_{int}^{(1)}, \rho_{int}^{(2)}] = \int dr \frac{1}{2} (\rho_{int}^{(1)}(r) + \rho_{int}^{(2)}(r)) m\omega^2 r^2$$

$$E_{\Delta kin}[\rho_{int}^{(1)}, \rho_{int}^{(2)}] = -\frac{3}{2}\hbar\omega + \frac{3}{2} \int dr (\rho_{int}^{(1)}(r) + \rho_{int}^{(2)}(r)) m\omega^2 r^2$$

- Our proposed approximation + “Gaussian set” ($\Gamma^{aux}(R) = \left(\frac{K}{\pi}\right)^{1/4} \exp\left\{-\frac{K}{2}R^2\right\}$)

$$E_{cm}[\varphi_{int}^{(1)}, \varphi_{int}^{(2)}] = -\frac{\hbar^2}{2m} \int dr \left[\varphi_{int}^{(1)*}(r) \Delta_r \varphi_{int}^{(1)}(r) \left(2\sqrt{\frac{\pi}{K}} |\varphi_{int}^{(2)}(-r)|^2 - 1 \right) + \varphi_{int}^{(2)*}(r) \Delta_r \varphi_{int}^{(2)}(r) \left(2\sqrt{\frac{\pi}{K}} |\varphi_{int}^{(1)}(-r)|^2 - 1 \right) \right] - \frac{\hbar^2}{2m} \sqrt{K\pi} \int dr |\varphi_{int}^{(1)}(r)|^2 |\varphi_{int}^{(2)}(-r)|^2$$

-Standard approximation:

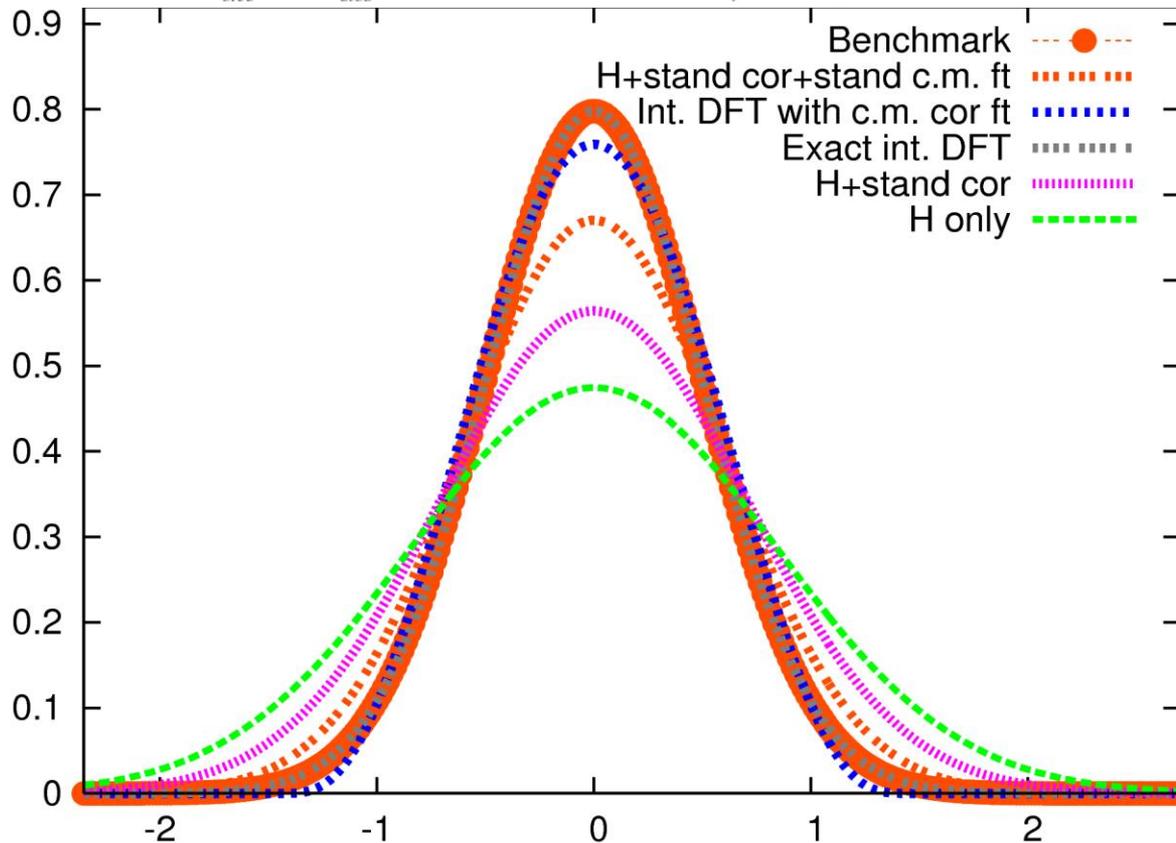
$$E_{cm}^{stand}[\varphi_{int}^{(1)}, \varphi_{int}^{(2)}] \rightarrow -\sum_{i=1}^{N} \langle \varphi_{int}^i | \frac{\mathbf{P}^2}{2mN} | \varphi_{int}^i \rangle$$

Next figures:

- “H only”: E_H
- “H + standard correlations”: E_{HXC}
- “H + standard correlations + standard c.m. correction”: $E_{HXC} - \langle \frac{\mathbf{P}^2}{2mN} \rangle$
- “internal DFT with c.m. correlations functional”: $E_{HXC} + E_{cm}$
- “exact internal DFT”: $E_{HXC} + E_{\Delta kin}$
- benchmark

Two different particles with a strong interaction (1D)

The internal densities $\rho_{int}^{(1)}$ and $\rho_{int}^{(2)}$ of the various formalisms (x-axis: position in units where $\hbar = m = 1$).



Int. DFT with c.m. cor. ft.:

-represents a great improvement

-cannot be exact in this case because we did not model the standard correlations part of $E_{\Delta kin}[\rho_{int}^{(1)}, \rho_{int}^{(2)}]$

=> Result even more close when use effective interactions

Two different particles with a strong interaction (1D)

True (“interacting”) kinetic energy = $E_{\Delta kin}[\rho_{int}]$ + “non-interacting” kinetic energy of the KS system

Not-small even for intermediary sized nuclei, because of c.m. correlations!

Energies of the various formalisms

(in units where $\hbar = m = 1$; benchmark: total energy = 0.50; and interacting kinetic energy $\langle \psi_{int} | \frac{p^2}{2\mu} | \psi_{int} \rangle = 0.25$).

	Non-interacting kin. energy	E_H	E_C	$-\langle \frac{P^2}{2mN} \rangle$ or $E_{\Delta kin}$ or E_{cm}	Total energy
H only	0.353	0.353	0	0	0.71
H + stand. corr.	0.5	0.25	0.25	0	1.00
H + stand. corr. + stand. c.m. correct.	0.706	0.177	0.177	-0.353	0.71
Internal DFT with c.m. corr. ft	1.225	0.120	0.120	-0.918	0.55
Exact internal DFT	1.000	0.125	0.125	-0.750	0.50

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Exact internal DFT	1.000	0.125	0.125	-0.750	0.50

Our c.m. correlations functional allows us to recover confidently the interacting (true) kinetic energy.

Smooth attractive interaction: $u(r - r') = -\frac{1}{\sqrt{(r - r')^2 + \epsilon}}$

$$E_{int}[\rho_{int}] = N(\varphi_{int} | \frac{p^2}{2m} | \varphi_{int}) + E_{HXC}[\rho_{int}] + E_{\Delta kin}[\rho_{int}]$$

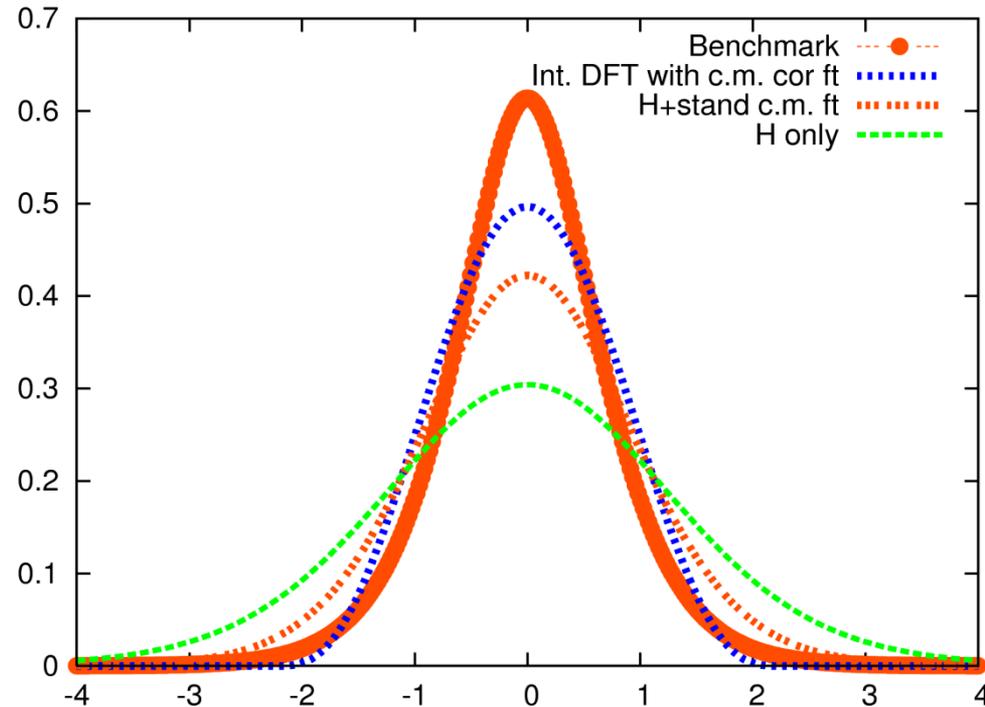
$$E_{HXC}[\rho_{int}] = E_H[\rho_{int}] \times (1 - \frac{1}{N}) + E_C[\rho_{int}]$$

$$E_{cm}[\rho_{int}] = -\frac{\hbar^2}{2m} \int dr \sqrt{\rho_{int}(r)} \Delta_r \sqrt{\rho_{int}(r)} \times \left(\sqrt{\frac{\pi}{K(N)}} \int dr' \frac{1}{N} \rho_{int}(r') f(r + r') - 1 \right) \\ - \frac{\hbar^2}{2mN} \sqrt{\pi K(N)} \int dr \frac{1}{N} \rho_{int}(r) \int dr' \frac{1}{N} \rho_{int}(r') f(r + r')$$

- Next results:
- “H only”: $E_H \times (1 - \frac{1}{N})$
 - “H + standard c.m. correction”: $E_H \times (1 - \frac{1}{N}) - \langle \frac{p^2}{2mN} \rangle$, called
 - “internal DFT with c.m. correlations functional”: $E_H \times (1 - \frac{1}{N}) + E_{cm}$
 - benchmark (for the $N = 2$ case only)

2 bosons:

Internal density $\rho_{int}/2$ of the various formalisms in the $N = 2$ case (x-axis: position in units where $\hbar = m = 1$).



We here have neglected all standard correlations
 \Rightarrow Result much closer when use effective interactions

Nevertheless same conclusions than previously.

Energies of the various formalisms in the $N = 2$ case

(in units where $\hbar = m = 1$; benchmark: total energy = -0.59 ; and interacting kinetic energy $(\psi_{int} | \frac{p^2}{2\mu} | \psi_{int}) = 0.12$).

	Non-interacting kin. energy	$E_H \times (1 - \frac{1}{N})$	$-\langle \frac{p^2}{2mN} \rangle$ or E_{cm}	Total energy
H only	0.133	-0.626	0	-0.49
H + stand. c.m. correct.	0.260	-0.712	-0.065	-0.52
Internal DFT with c.m. corr. ft	0.535	-0.776	-0.418	-0.66

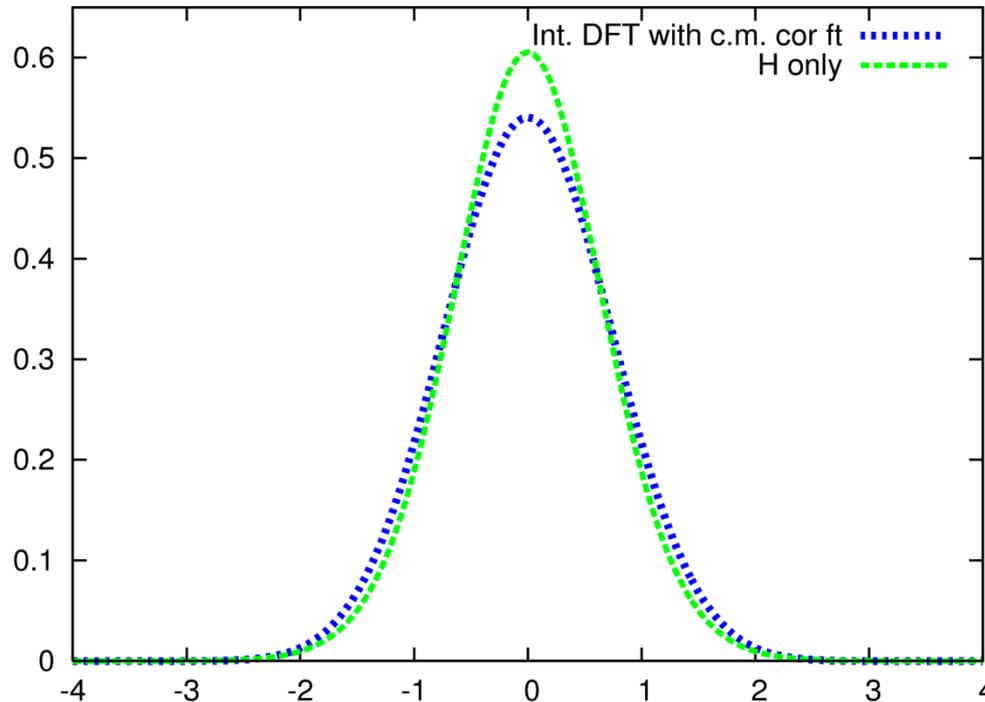
Many bosons:

“Internal DFT with c.m. corr. ft.” energies for various N (in units where $\hbar = m = 1$).

N	Non-interacting kin. energy	E_{cm}	Total energy	K	Interacting kin. energy
2	0.535	-0.418	-0.66	1.94	0.117
3	0.463	-0.185	-1.90	1.74	0.278
4	0.702	-0.196	-3.97	2.74	0.507
5	1.014	-0.217	-6.84	4.01	0.799
6	1.390	-0.239	-10.56	4.54	1.151

Non-interacting kinetic energy tends to become closer to interacting (true) kinetic energy

Internal density $\rho_{int}/6$ of the various formalisms in the $N = 6$ case (x-axis: position in units where $\hbar = m = 1$).



Our c.m correlations functional acts less and less (on average) when N increases.

- Well-founded alternative to projection techniques to treat the c.m. correlations.
- Numerically manageable scheme, even for time-dependent situations.
- The new functional can directly be added to actual energy functionals although a simultaneous fitting of them would be necessary.
- Permits us to recover the precise value of the interacting (true) kinetic energy.

Next step: include the proposed functional in realistic 3D calculations, for instance in mean-field-like calculations of nuclei with Skyrme interaction.

=> You are very welcome.

- 3) On exactness of Kohn-Sham scheme
(non-interacting v -representability?)

Using Levi-Lieb formulation applied to self-bound systems, we can show that the set of non-interacting densities is dense in the set of interacting densities.

Open question: Is non-interacting v -representability better achieved with a certain number of orbitals?

Prospects

- **Local c.m. correlations potential**

Tests with realistic 3D nuclear codes. You're very welcome

Method adaptable to other symmetries

- **Non-interacting v-representability related questions**

- **Generalization to any symmetry group**

=> T. Lesinski

... and certainly other surprises that do all the charms of Physics.

Thank you for your attention.

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