

# Clustering in nuclear matter

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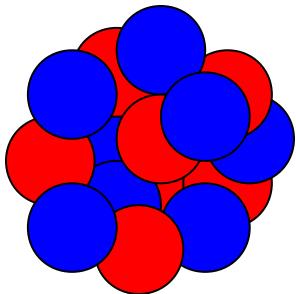
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Bruxelles : Nicolas Chamel

# Clustering in nuclear matter

*About the nuclear liquid-gas phase transition  
and its role in cluster formation  
in nuclear physics and astrophysics*

# Introduction



## Nuclear interaction

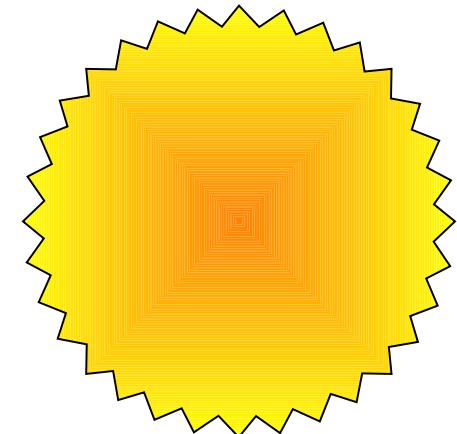


### Nuclei

Finite (small) systems  
Positively charged

### Stars

Macroscopic  
Electroneutrality



## Heavy-ion collisions

experiment nuclear interaction

### Laboratory exploration :

Exotic nuclei →  $\left\{ \begin{array}{l} \text{densities } \rho \neq \rho_0 \\ \text{temperatures (MeV)} \\ \text{N/Z asymmetry} \end{array} \right.$

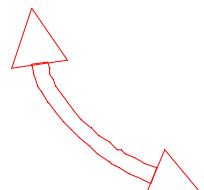
### Large interval of :

$$\left\{ \begin{array}{ll} \text{density} & \rho \\ \text{temperature} & T \\ \text{asymmetry} & I \end{array} \right.$$

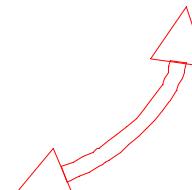
## Compact stars



(neutron stars, supernova cores)



**Knowledge of the  
equation of state:  
 $E(\rho, I, T)$**



# Introduction

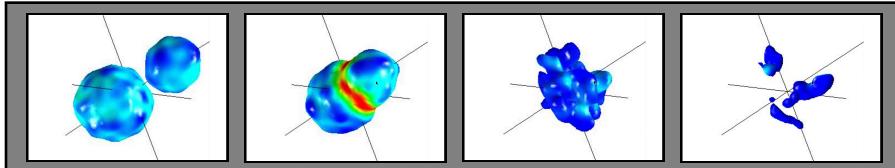
Nuclear liquid-gas phase transition



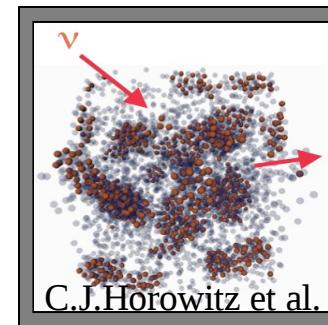
Finite-size instabilities :  
formation of dishomogeneities (clusters)



Multifragmentation of nuclei  
(collisions around Fermi energies)



Clusterization of star matter  
(n, p, e, in neutron-star crust and SN cores)



Study of isospin effects  
(role of neutron/proton asymmetry)

## Outline

### 1. Nuclear liquid-gas phase transition

Spinodal region in nuclear matter

### 2. Cluster formation

Spinodal → Finite size instabilities

### 3. Nuclear multifragmentation

Isospin distributions

### 4. Clustering in compact stars

Neutron-star crust / Supernova core

## 1. Nuclear liquid-gas phase transition

- 2. Cluster formation
- 3. Nuclear multifragmentation
- 4. Clustering in compact stars
- Summary and perspectives

# Nuclear liquid-gas phase transition

## Nuclear matter :

Infinite, homogeneous, no Coulomb

## Nuclear interaction :

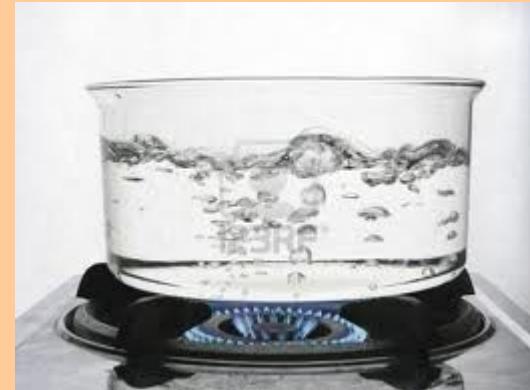
Mean field approach (independent particles)

Skyrme effective force (e.g. Sly230a)

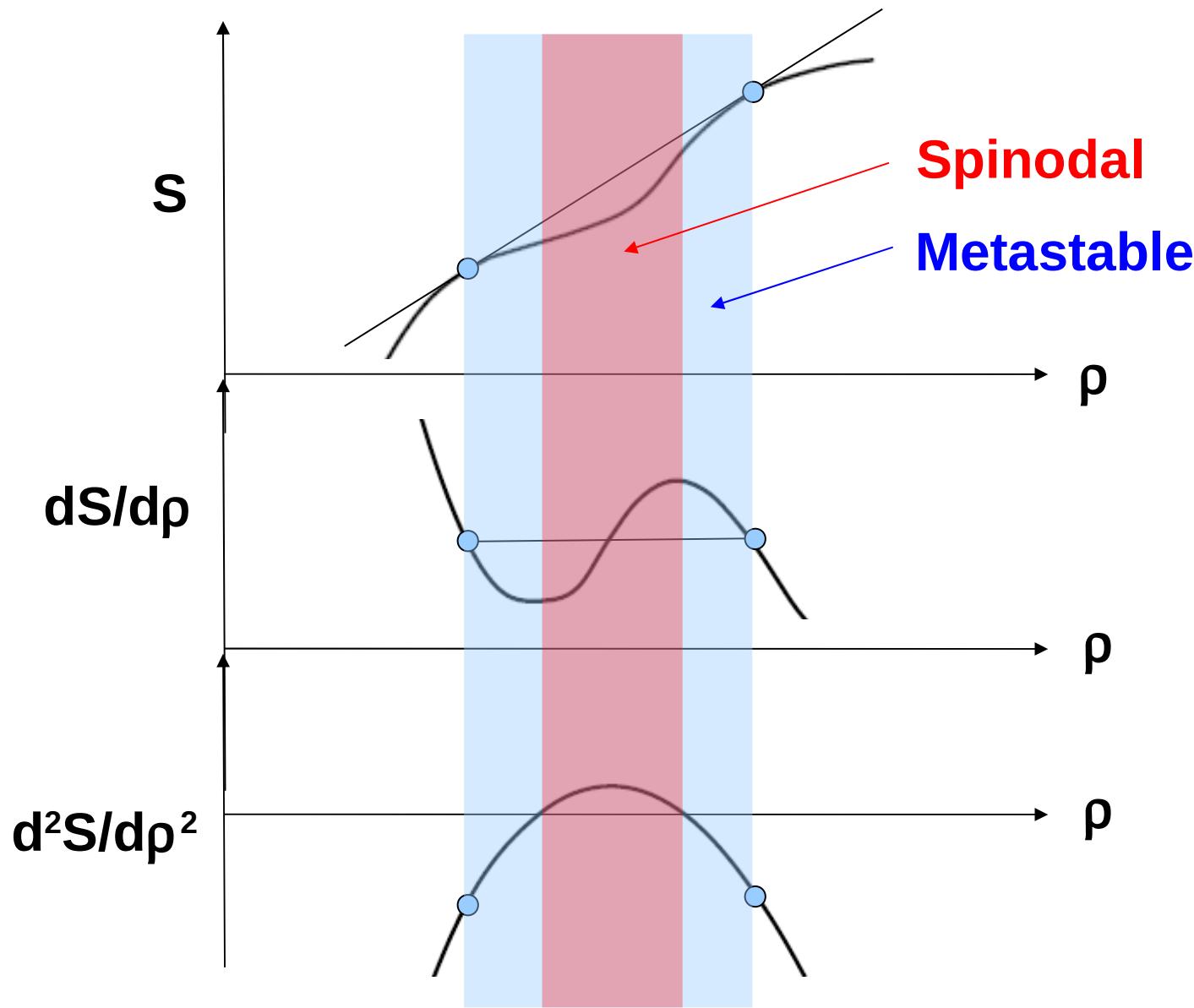
## Study of the phase transition :

Phase separation determined by **entropy maximization**

*Thermodynamics*

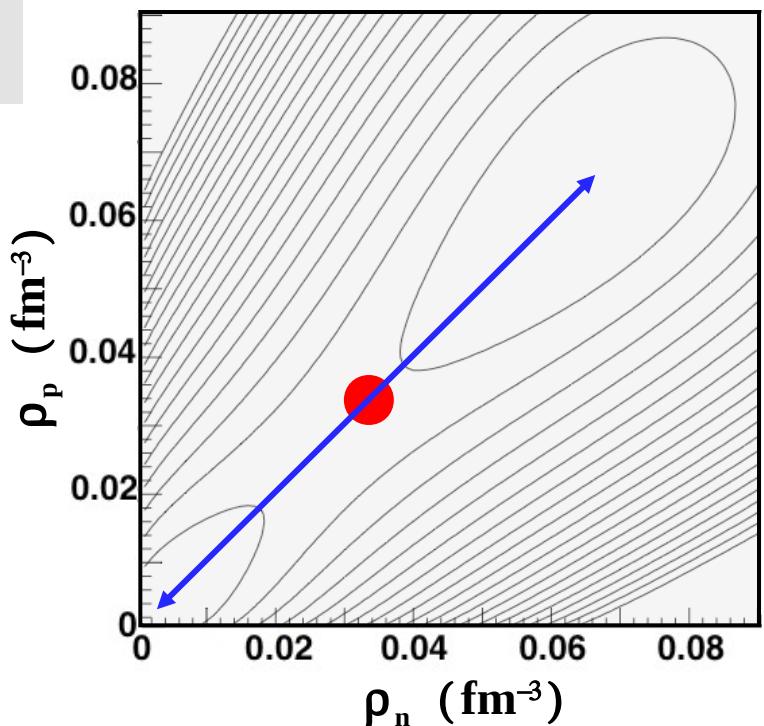
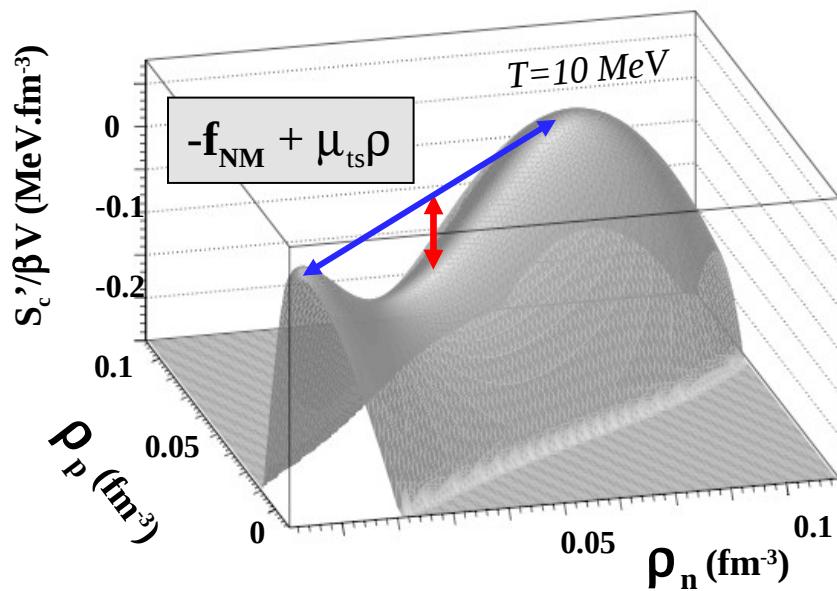


# Entropy curvature and Gibbs construction



# Phase equilibrium of nuclear matter

Constrained entropy :  $S_c = S - \beta \langle H \rangle = -\beta F$



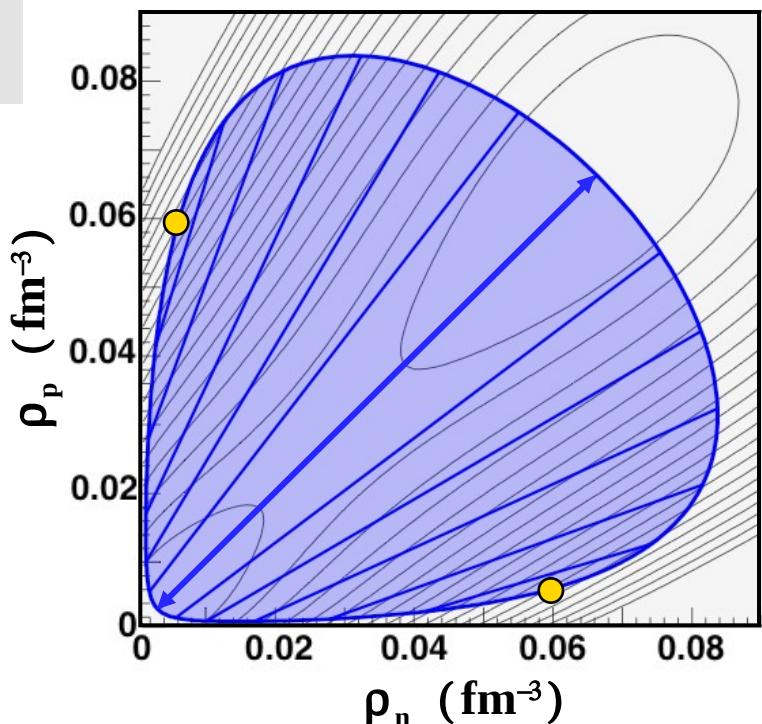
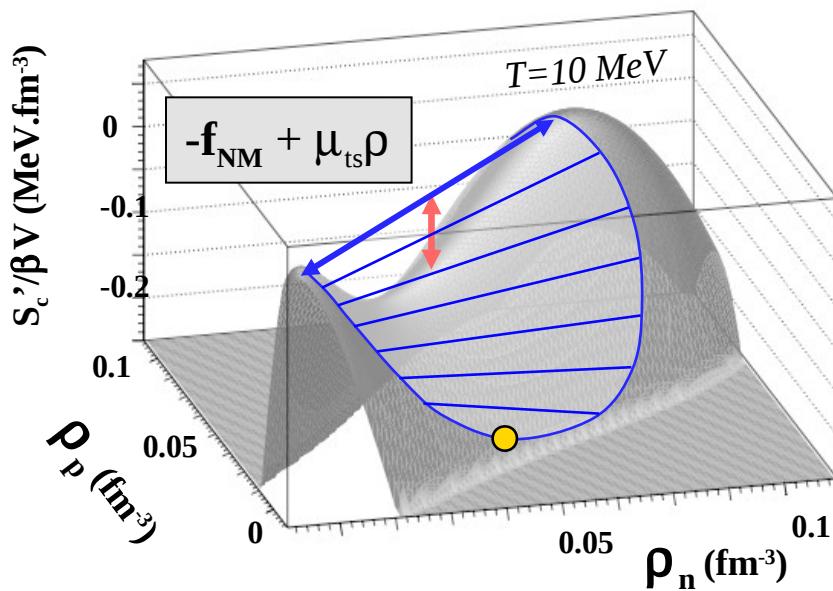
Statistical **equilibrium** for **maximal constrained entropy**  $S_c$

◆ Convexity

→ Maximization by phase mixing

# Phase equilibrium of nuclear matter

Constrained entropy :  $S_c = S - \beta \langle H \rangle = -\beta F$

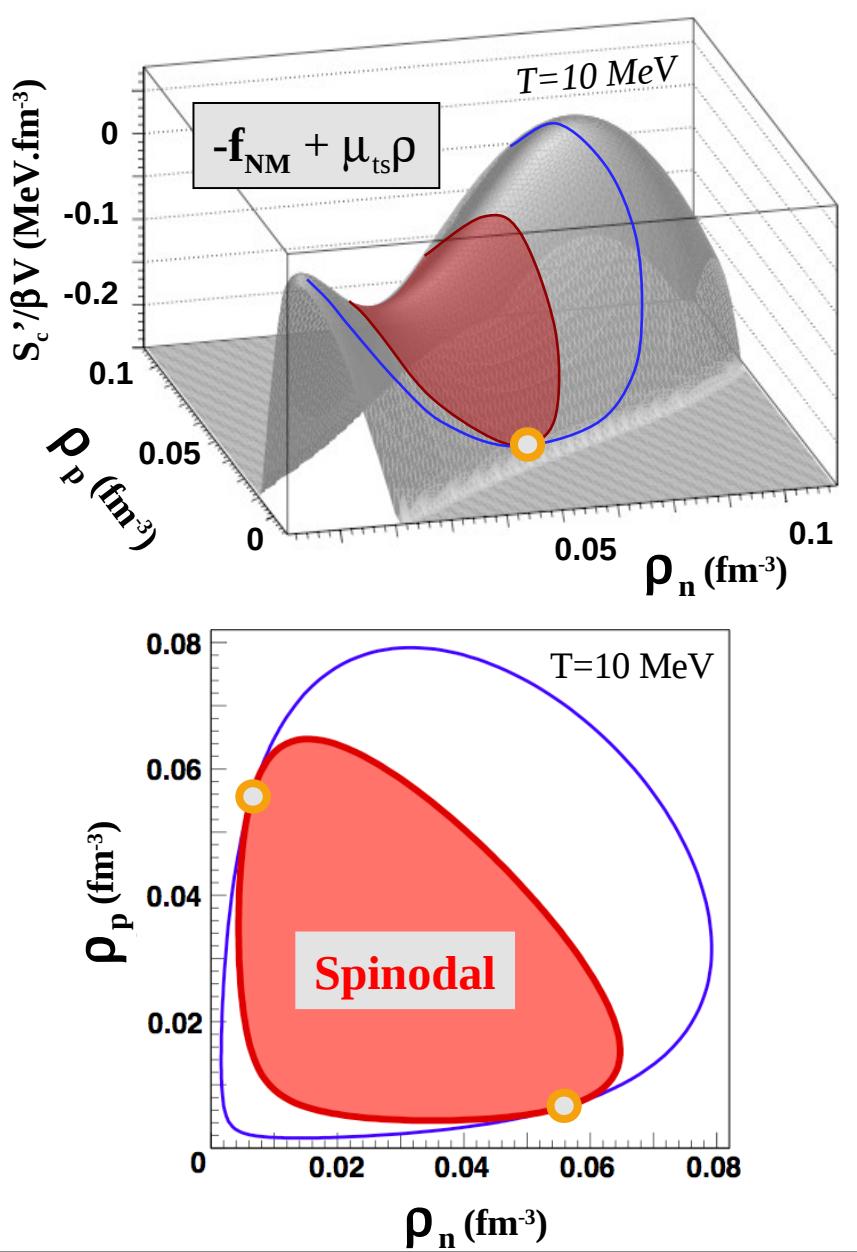


Statistical equilibrium for maximal constrained entropy  $S_c$

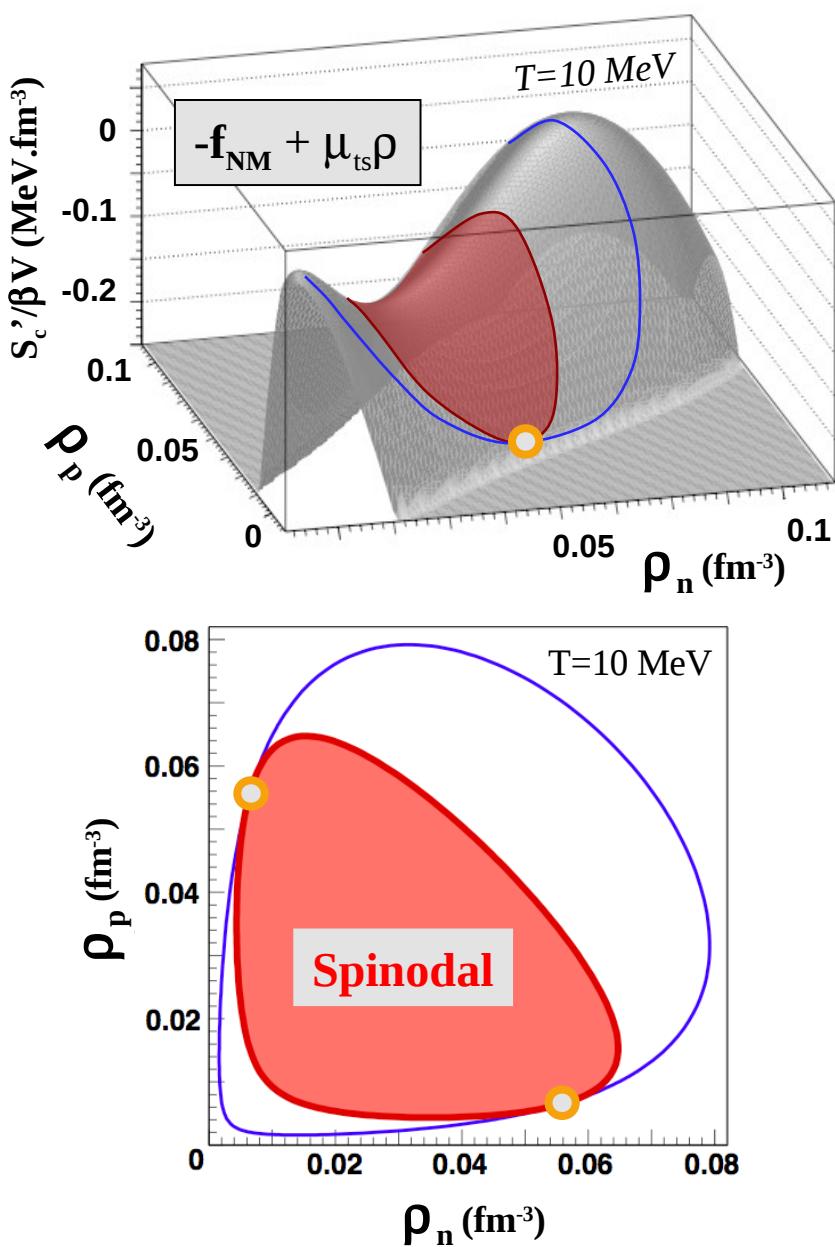
- ◆ Convexity → Maximization by phase mixing
- ◆ Concave envelope → Coexistence diagram in  $(\rho_n, \rho_p)$

For each  $T < T_c$  { Ensemble of couples “liquid-gas”  
+ 2 critical points

# Nuclear-matter spinodal



# Nuclear-matter spinodal



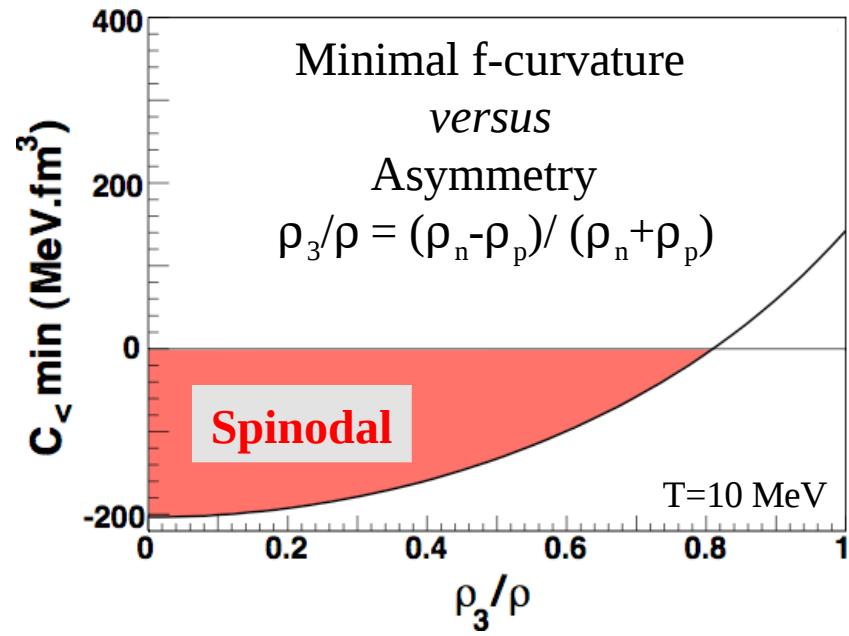
Free-energy density  $f_{NM}(\rho_n, \rho_p)$   
curvature matrix :

$$C_{NM} = \begin{pmatrix} \partial_{\rho_n} \mu_n & \partial_{\rho_n} \mu_p \\ \partial_{\rho_p} \mu_n & \partial_{\rho_p} \mu_p \end{pmatrix}$$

Eigen-values ( $c_<, c_>$ )



Spinodal region:  $c_< 0$



1. Nuclear liquid-gas phase transition

**2. Cluster formation**

3. Nuclear multifragmentation

4. Clustering in compact stars

→ Summary and perspectives

# Cluster formation

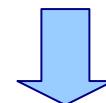
*Is the nuclear liquid-gas transition physical ?*

*What about **Coulomb** interaction ?*

***Surface tension** ?*



**Thermodynamic spinodal**

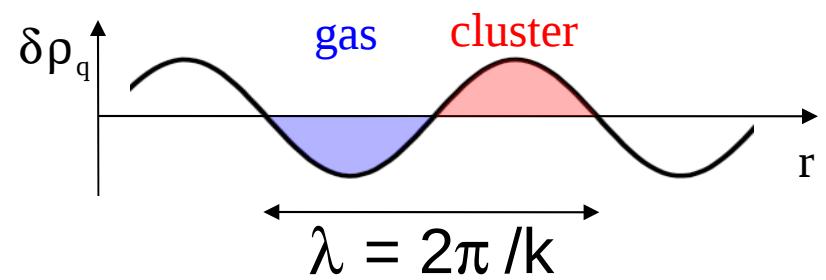


**Finite-size instabilities**

# Finite-size instabilities in nuclear matter

**Plane-wave density fluctuations:**

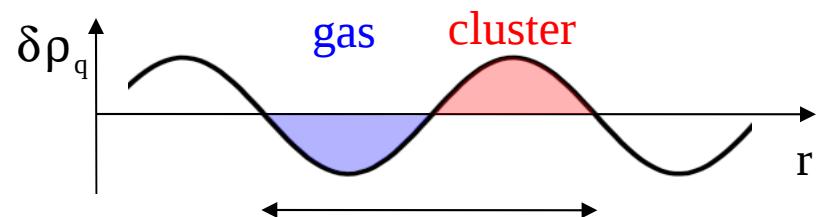
$$\delta\rho_q(\mathbf{k}, \mathbf{r}) = A_q e^{i\mathbf{k}\cdot\mathbf{r}} + A_q^* e^{-i\mathbf{k}\cdot\mathbf{r}} \text{ with } q=n,p$$



# Finite-size instabilities in nuclear matter

**Plane-wave density fluctuations:**

$$\delta\rho_q(\mathbf{k.r}) = A_q e^{i\mathbf{k.r}} + A_q^* e^{-i\mathbf{k.r}} \text{ with } q=n,p$$



→ Free-energy variation according to the curvature matrix:

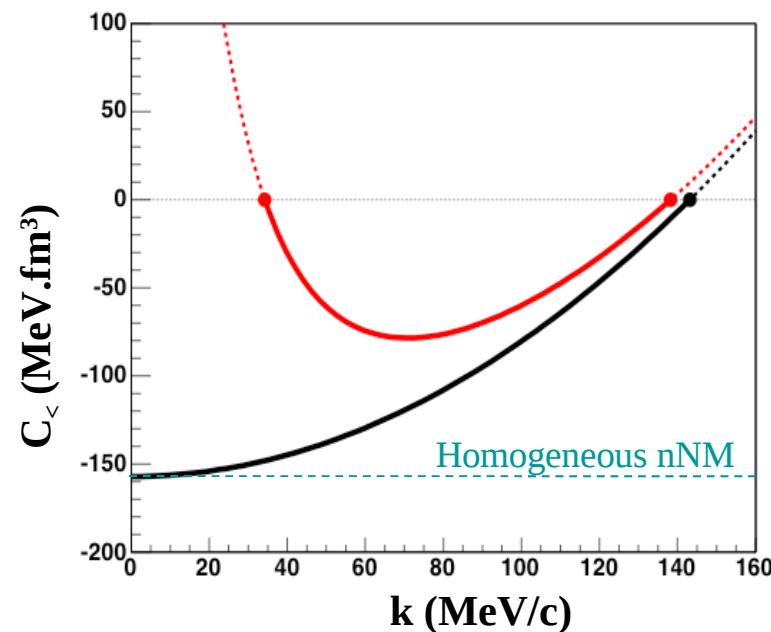
$$C_{NM}^f = \begin{pmatrix} \partial_{\rho_n} \mu_n & \partial_{\rho_n} \mu_p \\ \partial_{\rho_p} \mu_n & \partial_{\rho_p} \mu_p \end{pmatrix} \quad \textit{Homogeneous Nuclear Matter}$$

$$+ \begin{pmatrix} C_{nn}^f & C_{np}^f \\ C_{pn}^f & C_{pp}^f \end{pmatrix} k^2 \quad \textit{Density-gradient terms in Skyrme energy}$$

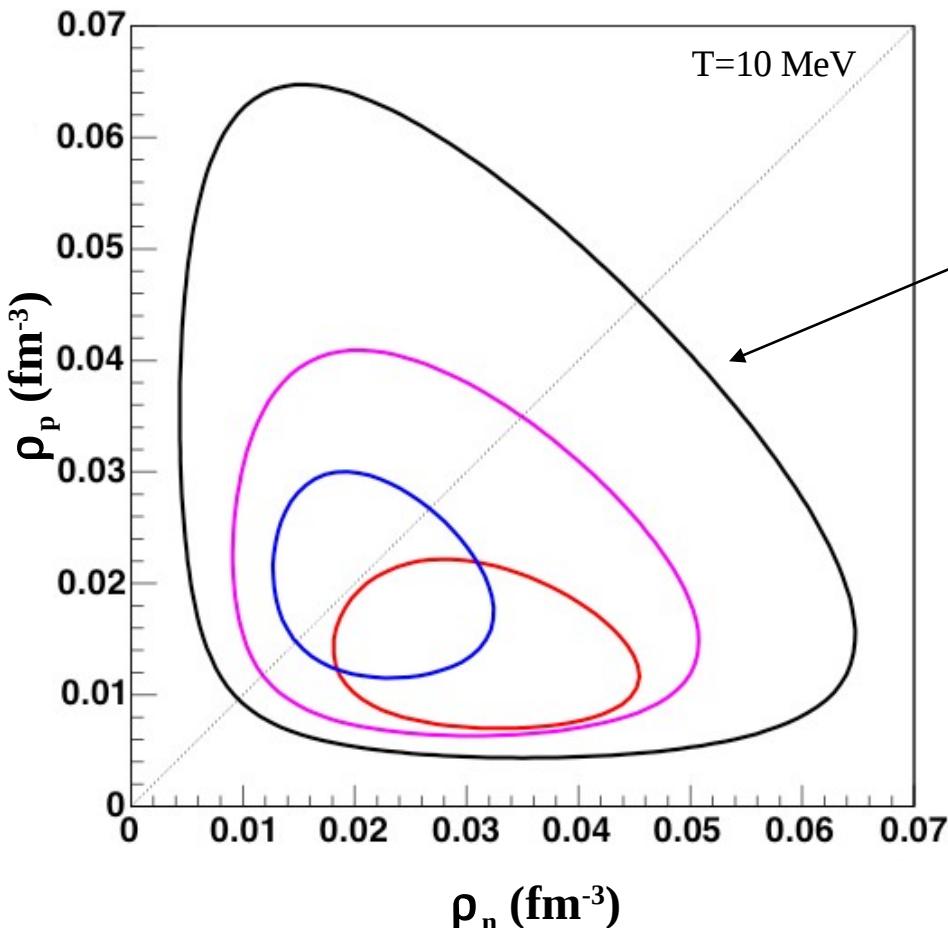
$$+ \begin{pmatrix} 0 & 0 \\ 0 & \alpha \end{pmatrix} 1/k^2 \quad \textit{Coulomb interaction between protons}$$

→ k-dependent eigen-modes

- ◆ nNM with fluctuations
- ◆ cNM with fluctuations



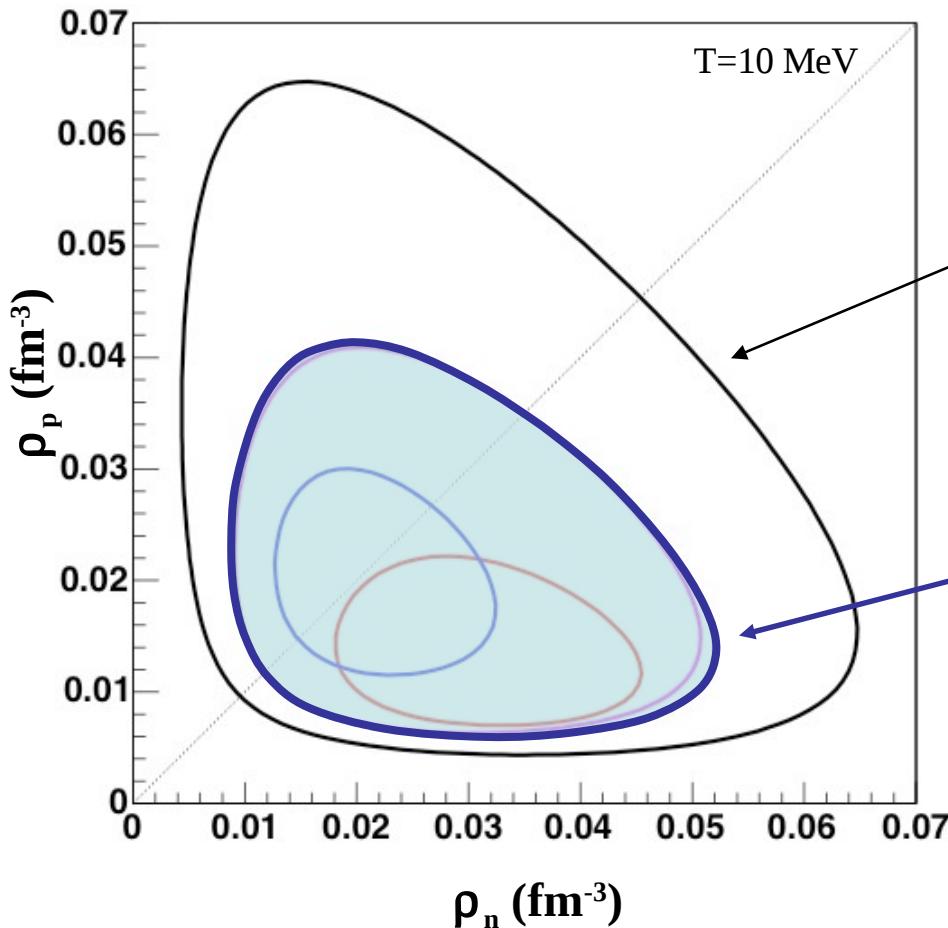
# $k$ -dependant spinodal regions



- ◆ Without Coulomb : nNM Thermodynamic instability  
**Spinodal region**
- ◆ With Coulomb : cNM Finite-size instabilities  
 **$k$ -spinodal regions for :**
  - $k = 40$  MeV/c    ( $\lambda/2 \sim 15.5$  fm)
  - $k = 80$  MeV/c    ( $\lambda/2 \sim 7.7$  fm)
  - $k = 140$  MeV/c    ( $\lambda/2 \sim 4.4$  fm)

- **Coulomb** interaction reduces instability for **low  $k$**
- **Density-gradient terms** reduce instability for **high  $k$**

# $k$ -dependant spinodal regions



- ◆ Without Coulomb : nNM  
Thermodynamic instability  
**Spinodal region**
- ◆ With Coulomb : cNM  
Finite-size instabilities  
**Instability envelope**  
*contains all density points  
for which some values of  $k$   
give  $C_< < 0$*

- **Coulomb** interaction reduces instability for **low  $k$**
- **Density-gradient terms** reduce instability for **high  $k$**

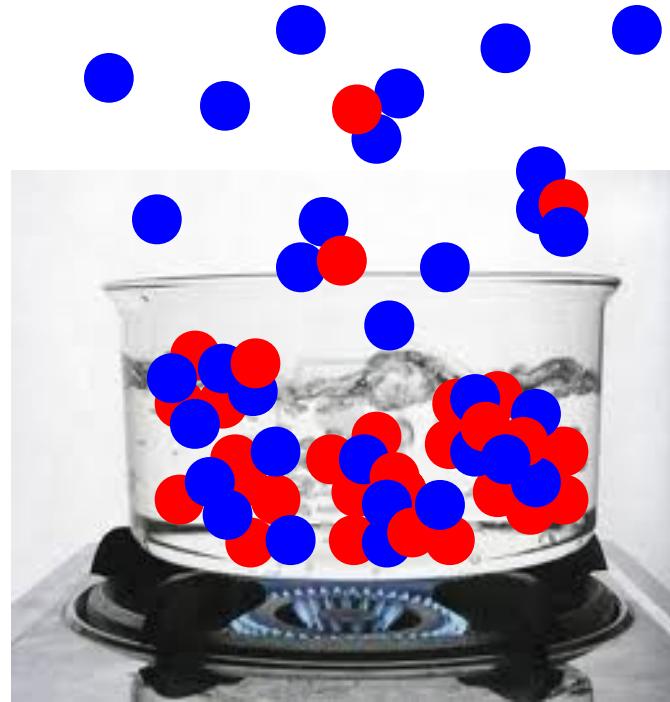
1. Nuclear liquid-gas phase transition
  2. Cluster formation
  - 3. Nuclear multifragmentation**
  4. Clustering in compact stars
- Summary and perspectives

# Nuclear multifragmentation

Example of application :



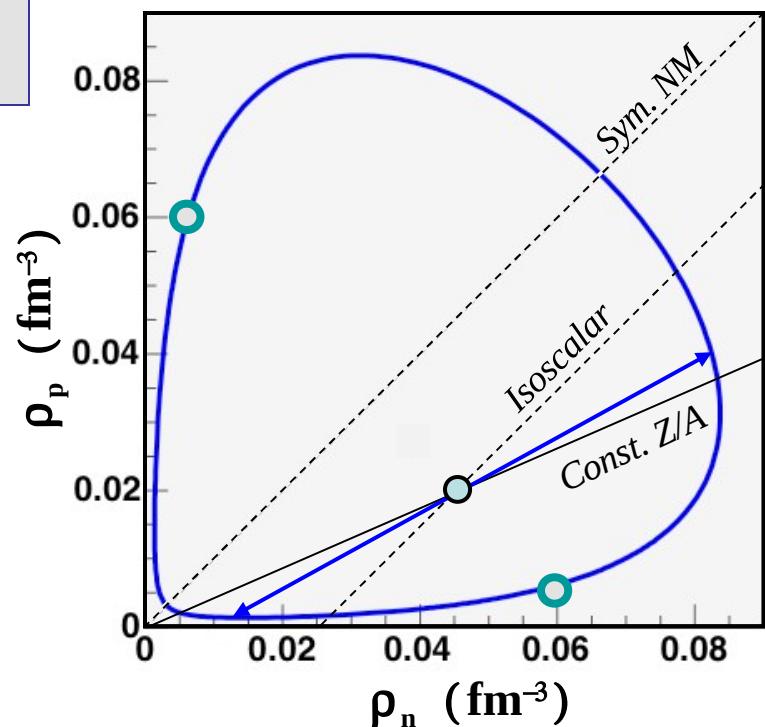
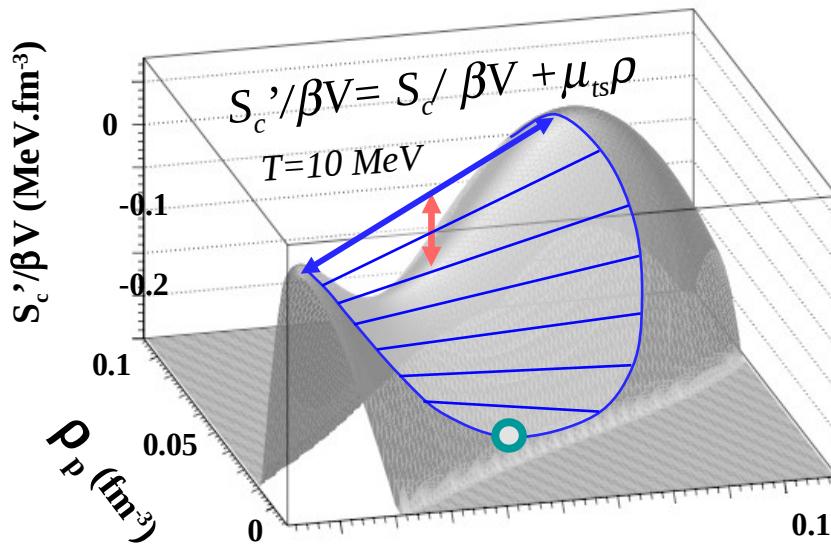
Properties of isospin distributions



*Isospin distillation*

# Isospin fractionation

Constrained entropy :  $S_c = S - \beta \langle H \rangle = -\beta F$



## Equilibrium direction

- ✓ Not constant-Z/A direction
- ✓ Shift towards *isoscalar* direction

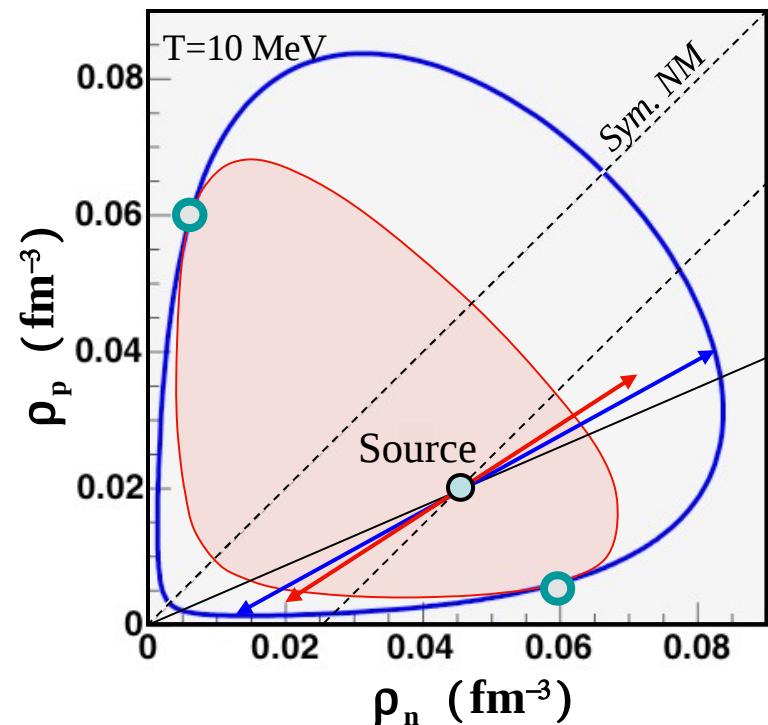
→ Isospin fractionation  
→ Liquid + symmetric

**Equation of State:**  $a_I(\rho_L) > a_I(\rho_G)$  (symmetry-energy evolution at low  $\rho$ )  
**Observation:** neutron distillation in multifragmentation

# Isospin fractionation

Phase separation  
out of equilibrium :  
**spinodal decomposition**

- Line of constant  $Z/A = 0.3$
- Blue line Equilibrium direction
- Red line Instability direction
- - - Dashed line Isoscalar direction

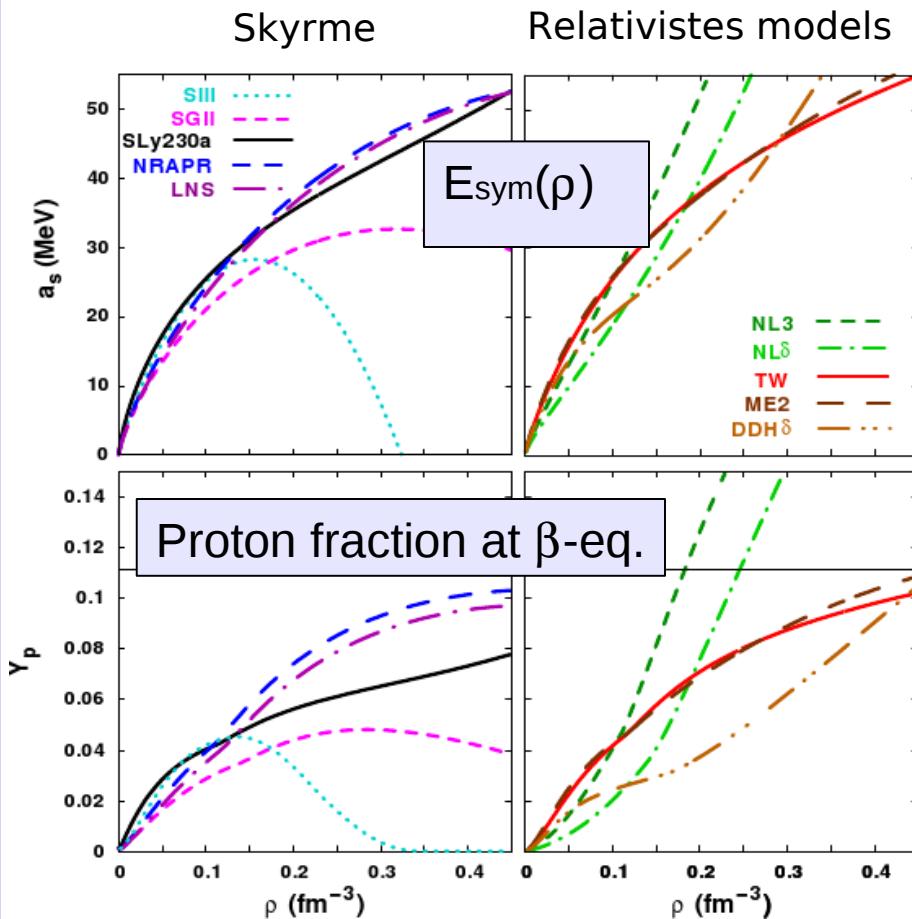


## Isospin fractionation in spinodal decomposition

- ✓ **More fractionation** than at equilibrium
- ✓ Separation direction linked to  $a_I(\rho_{Source})$
- *Need to desentangle  
asy-EOS at low density versus reaction mechanism*

# One issue : the symmetry energy

asy-EOS : a crucial input  
for compact-star physics

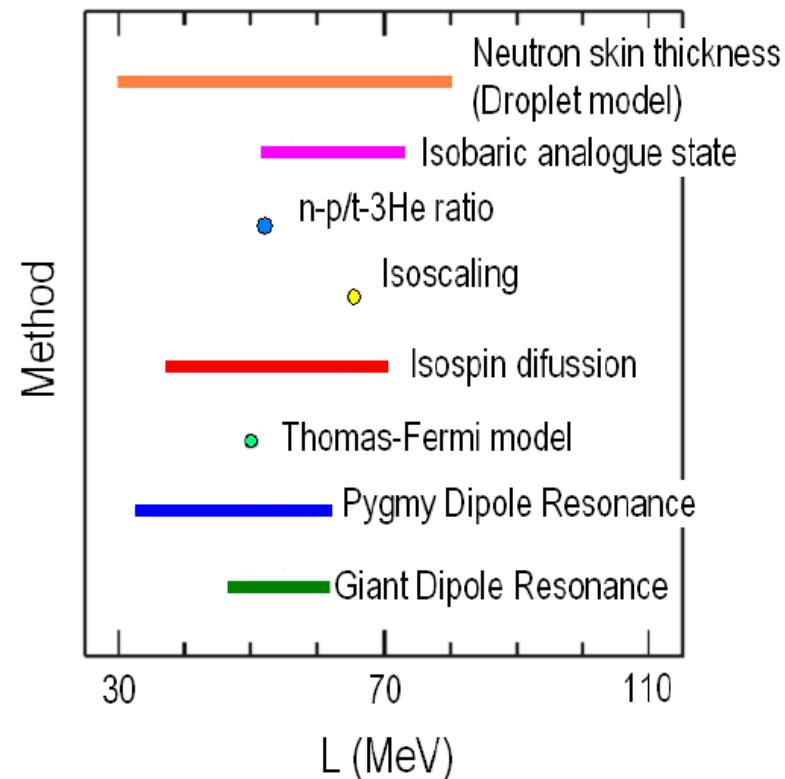


C. D. et al., PRC 78 (2008)

Experimental measurements ?

Hot topic!

More stringent constraints expected for  
symmetry-energy slope at saturation (L)



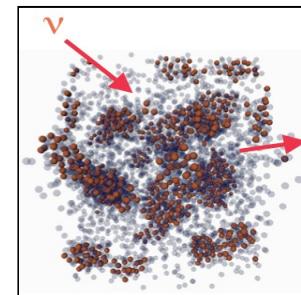
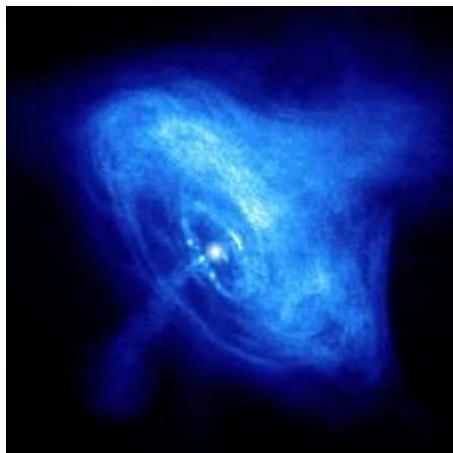
D.V. Shetty & S.J. Yennello, Pramana 75 (2010)

1. Nuclear liquid-gas phase transition
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- Summary and perspectives

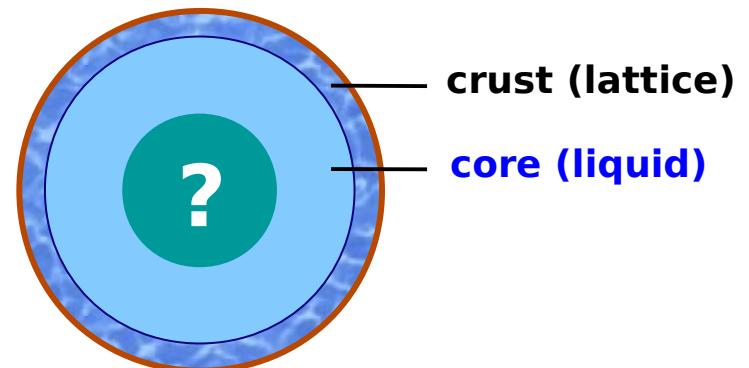
# Clustering in compact stars

Neutrino-cluster interaction in supernova cores

*Yes, they exist...*



Core-crust transition in neutron stars



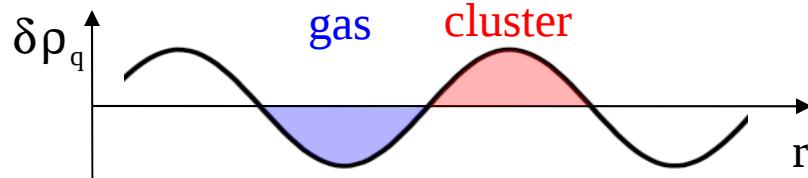
# Nuclear-matter clustering in astrophysics

- ✓ Coulomb interaction at **macroscopic** level
- ✓ Proton charge **neutralized by electrons**

*The thermodynamic Liquid-Gas phase separation is quenched  
But finite-size instabilities are not*

- What is the **impact of electrons** on matter clustering ?
- Applications :
  - Neutron stars / core-crust transitions**
  - Supernovae / role of neutrino trapping**

# Matter clusterization with electrons



$$\delta\rho_q(\mathbf{k.r}) = A_q e^{i\mathbf{k.r}} \text{ with } q = n, p, e$$

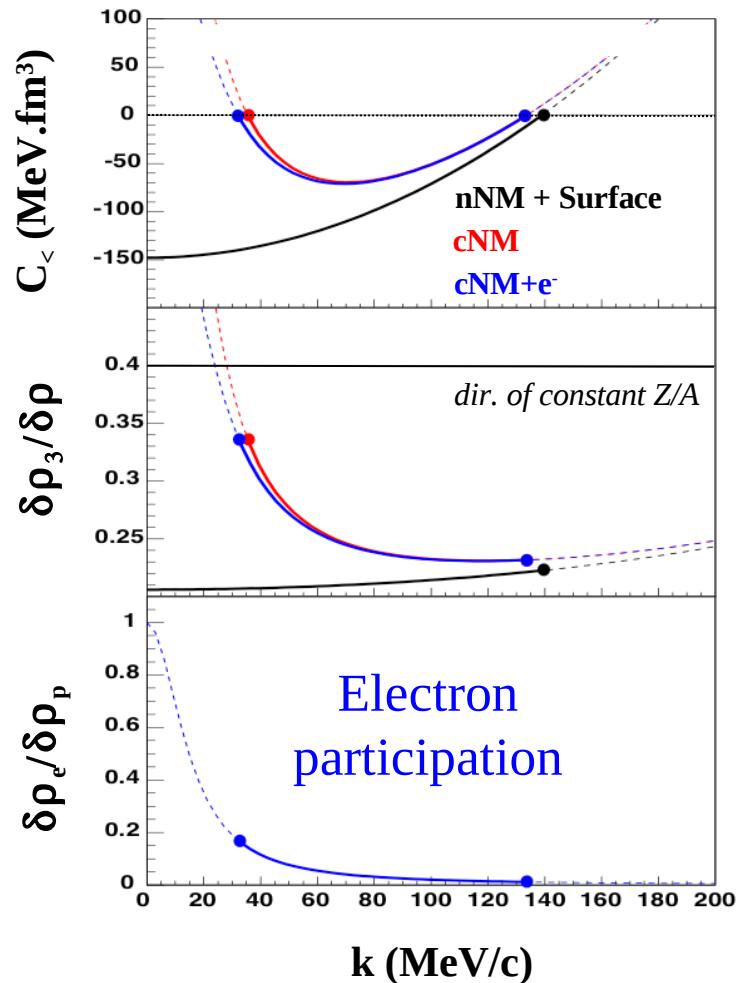
→ Free-energy variation according to the curvature matrix:

$$C_{NM}^f = \begin{pmatrix} \partial_{\rho_n} \mu_n & \partial_{\rho_n} \mu_p & 0 \\ \partial_{\rho_p} \mu_n & \partial_{\rho_p} \mu_p & 0 \\ 0 & 0 & \partial_{\rho_e} \mu_e \end{pmatrix} \quad \begin{array}{l} \text{Homogeneous} \\ \text{Nuclear Matter} \\ + \text{Electron Gas} \end{array}$$

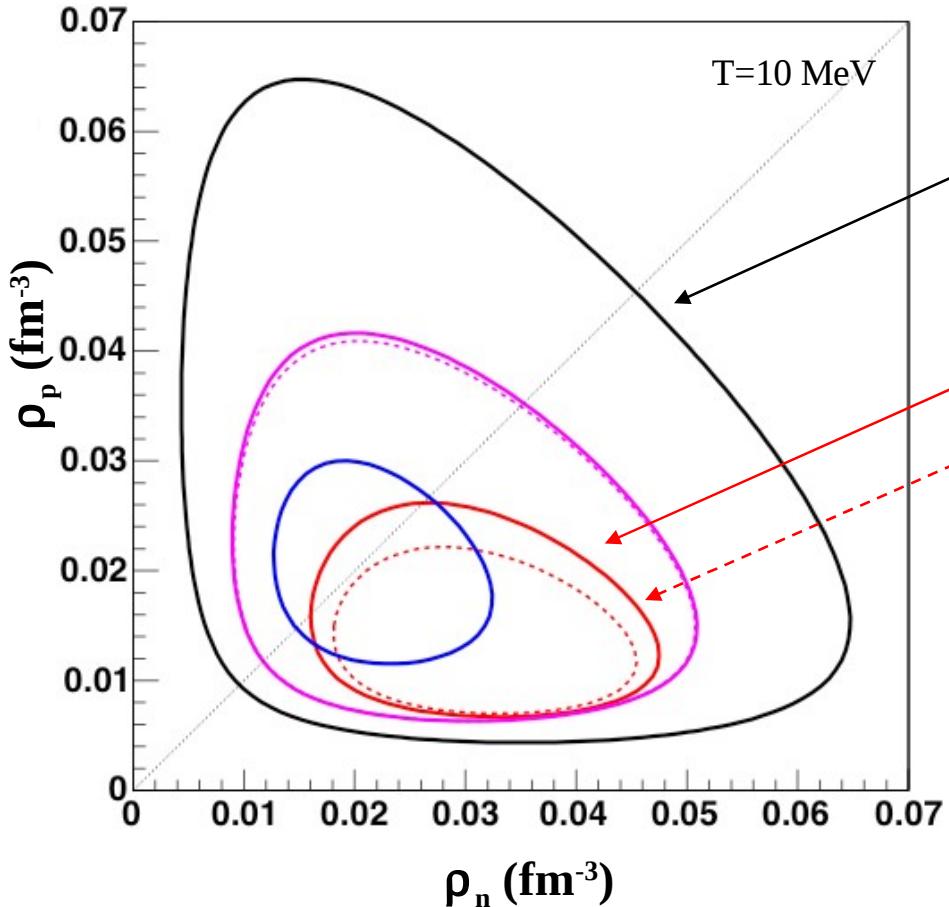
$$+ \begin{pmatrix} C_{nn}^f & C_{np}^f & 0 \\ C_{pn}^f & C_{pp}^f & 0 \\ 0 & 0 & 0 \end{pmatrix} k^2 \quad \begin{array}{l} \text{Density-gradient} \\ \text{terms in Skyrme} \\ \text{energy} \end{array}$$

$$+ \begin{pmatrix} 0 & 0 & 0 \\ 0 & \alpha & -\alpha \\ 0 & -\alpha & \alpha \end{pmatrix} 1/k^2 \quad \begin{array}{l} \text{Coulomb between} \\ \text{protons and} \\ \text{electrons} \end{array}$$

→ k-dependent eigen-modes



# Matter clusterization with electrons



◆ Thermo. instability (nNM)  
spinodal region

◆ Finite-size instabilities :

✓ cNM+e<sup>-</sup> (finite  $\chi_e^{-1}$ )

✓ cNM (infinite  $\chi_e^{-1}$ )

**k-spinodal regions for :**

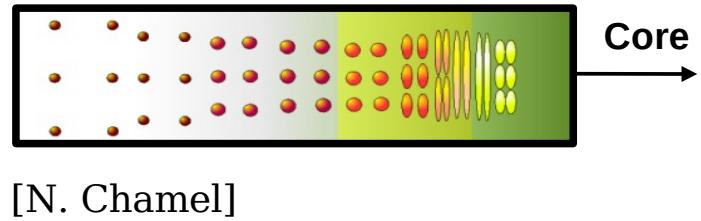
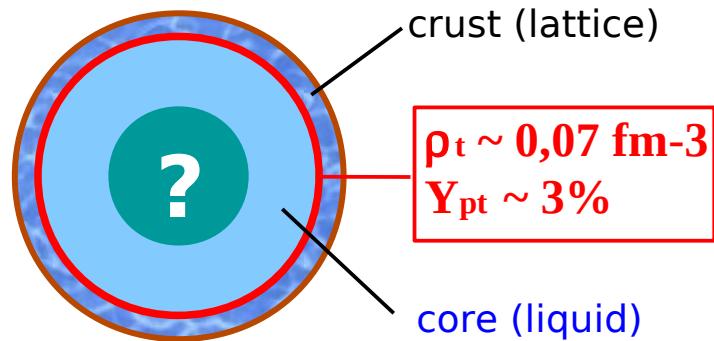
**$k = 40 \text{ MeV}/c$**  ( $\lambda/2 \sim 15.5 \text{ fm}$ )

**$k = 80 \text{ MeV}/c$**  ( $\lambda/2 \sim 7.7 \text{ fm}$ )

**$k = 140 \text{ MeV}/c$**  ( $\lambda/2 \sim 4.4 \text{ fm}$ )

e<sup>-</sup> { additional degree of freedom → Extension of instability region  
high incompressibility  $\chi_e^{-1}$  → Perturbation of cNM instabilities  
(effects at low  $k$ )

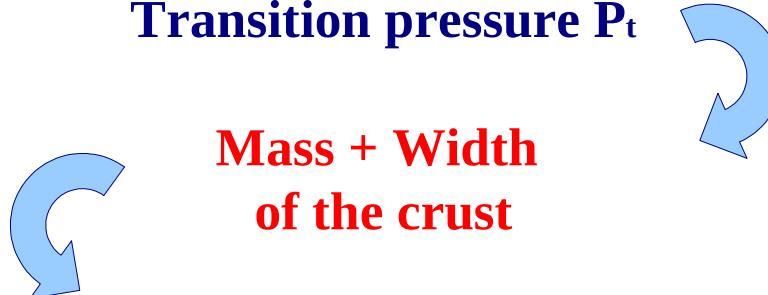
# Neutron stars : core-crust transition



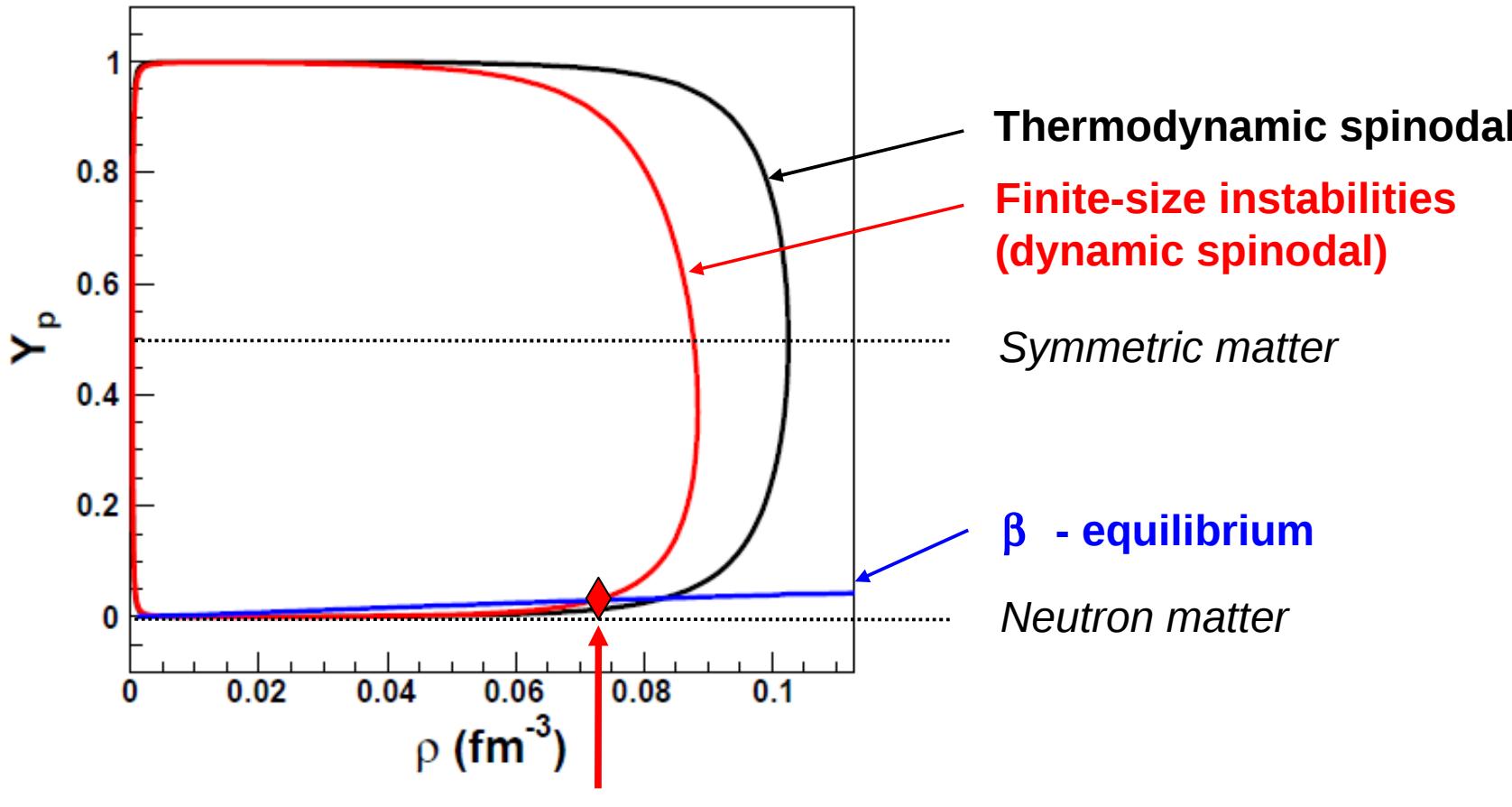
Transition density  $\rho_t$   
Transition pressure  $P_t$

Mass + Width  
of the crust

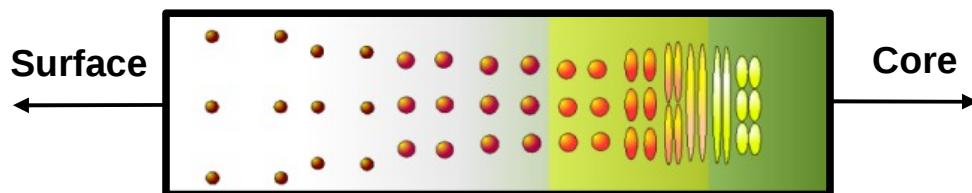
Interpretation of observations  
glitches, X-ray transients, ...



# Neutron stars : core-crust transition

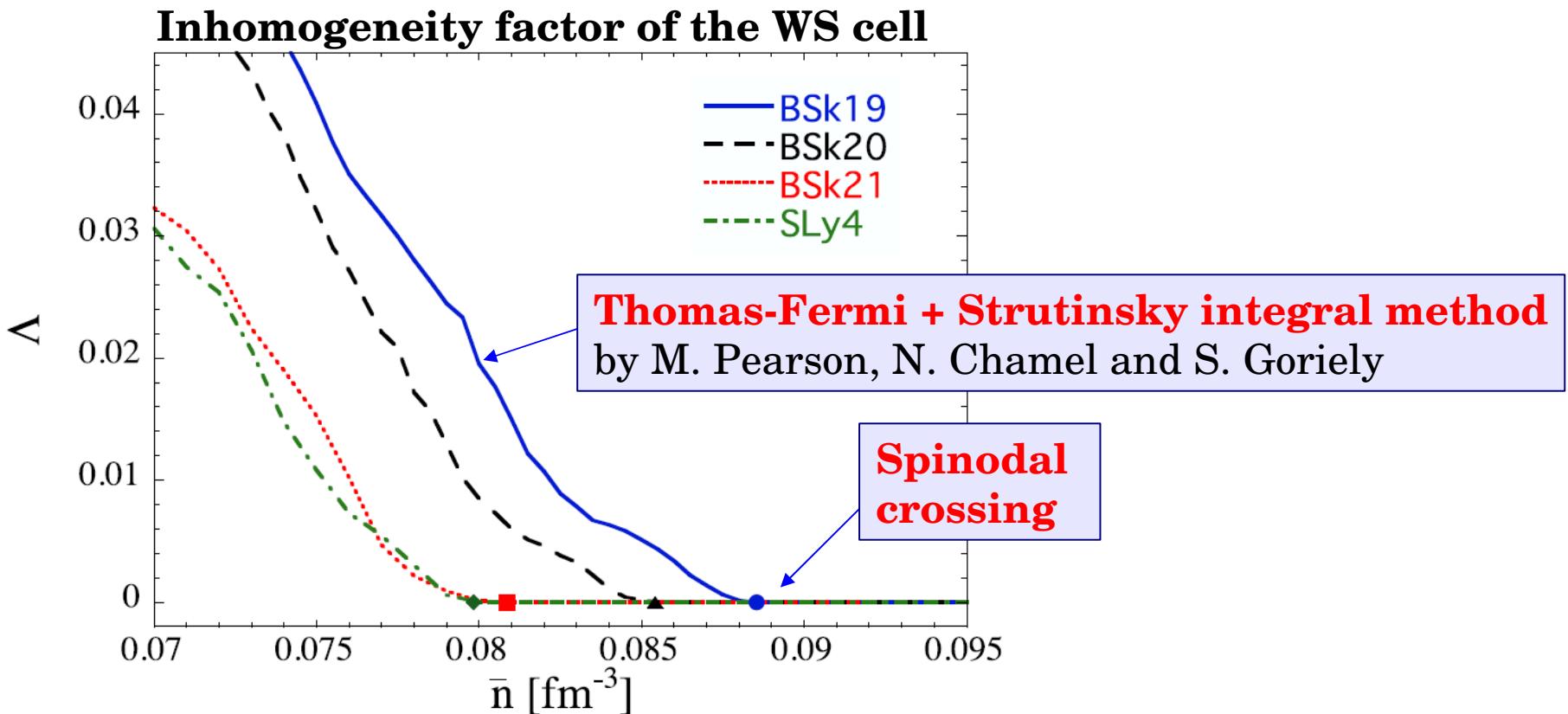


**Neutron star core-crust transition**



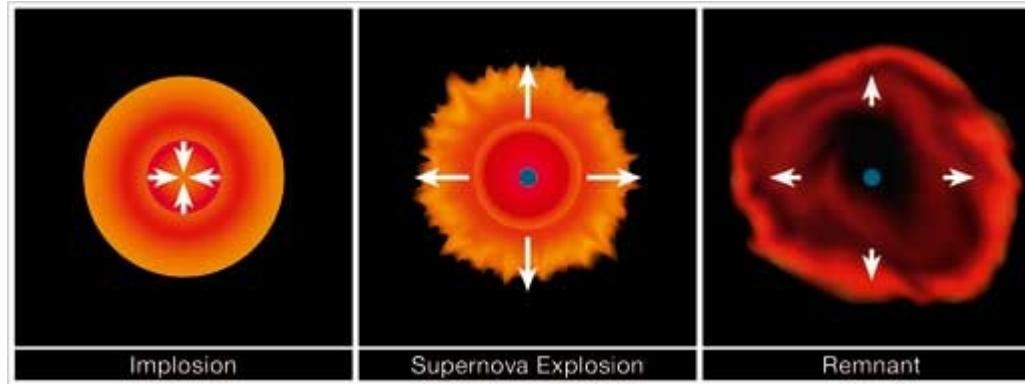
# Neutron stars : core-crust transition

Full **equilibrium** calculation (*numerical*)  
versus  
**spinodal-crossing** approximation (*analytical*)



# Supernovae : role of neutrino trapping

*Core-collapse supernova*



## *Simulations :*

Difficulty to get **external layers expulsion**

- Additional, delayed **push by neutrinos** ?
- Neutrino diffusion affected by **matter clustering**

# Supernovae : role of neutrino trapping

**Star-matter clusterization :**  
Region of instability in ( $T$ ,  $\rho_n$ ,  $\rho_p$ )

***Case of star-matter at  $\beta$ -equilibrium :  
in/out instability region ?***

## Neutron stars :

**Low temperature** ( $T \sim 0$ )

Transparent to neutrinos

*Low proton fraction ( $Y_p \sim 3\%$ )  
but large spinodal region*

→ **core-crust transition**  
= spinodal crossing

## Supernovae :

**High temperature** (up to several 10 MeV)

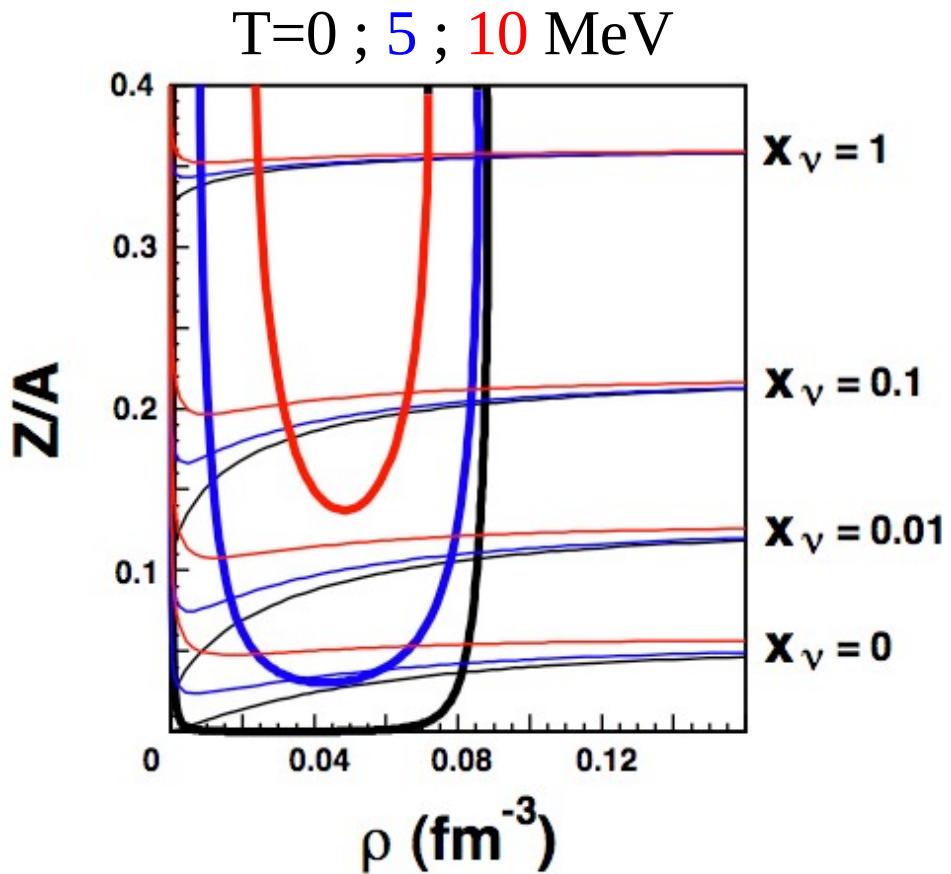
**Neutrino trapping**

*Higher proton fraction ( $Y_p \sim 30\%$ )  
but smaller spinodal region*

→ **Presence of spinodal region ?**

# Supernovae : role of neutrino trapping

$\beta$ -equilibrium :  $p + e^- \leftrightarrow n + \nu_e$  ;  $\mu_p + \mu_e = \mu_n + \mu_{\nu_e}$



$x_v$  = neutrino-trapping fraction

$$\rho = \rho_n + \rho_p$$

$$Z/A = \rho_p / (\rho_n + \rho_p)$$

# Summary

## Nuclear liquid-gas phase transition



Finite-size instabilities  
(dynamic spinodal region)



Multifragmentation  
of nuclei



Clustering of  
compact-star matter

## Role of the asy-EOS $E_{\text{sym}}(\rho)$



isospin distillation



proton fraction in  $\beta$ -eq. Matter



fragment isospin distributions



extension of the clusterized region

# Perspectives

## Multifragmentation and measure of $E_{\text{sym}}(\rho < \rho_0)$

- Need to *disentangle asy-EOS at low density versus reaction mechanism* (degree of equilibration before fragment separation, secondary decays...)
- Could info on  $E_{\text{sym}}(\rho < \rho_0)$  help to determine the reaction process instead ?

## Clustering in compact stars

- *Spinodal = good approximation to localize inhomogeneous matter*  
Advantage : analytical
- *Predictions depend on the details of asy-EOS at low  $\rho$*   
no simple link with  $E_{\text{sym}}(\rho_0)$



*Extensive studies of exotic nuclei are needed*