

# Resonance properties in light nuclei from structure methods: NCSM with complex scaling

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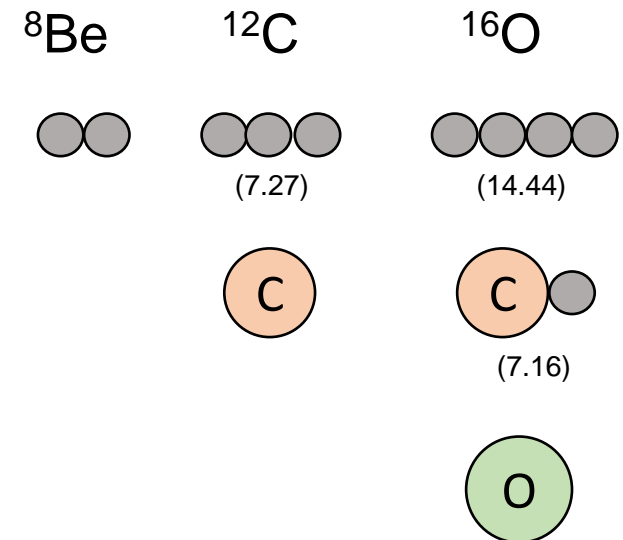
Guillaume Hupin

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IJCLab, CNRS/IN2P3 & Université Paris-Saclay, Orsay, France





- Ikeda picture: clustering is connected to excited states near the threshold (Ikeda).
- Requires a theoretical tool that deals with structure and continuum.



Ideal tool:

- Nucleonic d.o.f .
- Ab-initio.



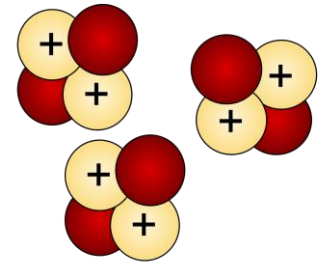
**We want to develop a unique tool applicable to both nuclear structure and reactions, to enhance our understanding of the strong force at low energy.**

## Structure

Extraction of resonance properties for:

- Fine tuning interactions.  
 ${}^4\text{He}$
- Applications to astrophysics.  
 ${}^6\text{Li}$   ${}^{11}\text{Be}$   ${}^{15}\text{N}$
- Overlap with clustering wavefunction.

${}^4\text{He}$   ${}^4\text{Li}$   ${}^4\text{H}$   $4n$

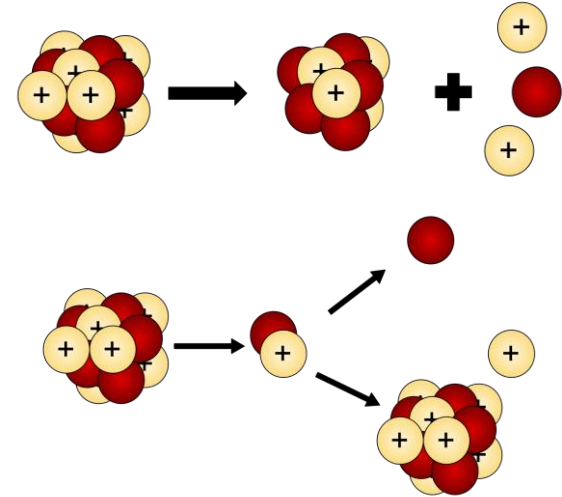


[Wiescher, M., Clarkson, O., deBoer, R.J. *et al.* *Eur. Phys. J. A* **57**, 24 (2021).]



## Reactions

Enabling us to study nuclear breakup reactions including Final State Interaction (FSI) and calculation of complex charged (and multi-neutron) nuclear decay.



## 1. Method.

## 2. Proof of principle.

1.  $A=2, A=3, A=4$

## 3. Applications.

1.  ${}^4\text{He} {}^4\text{Li} {}^4\text{H} 4n$



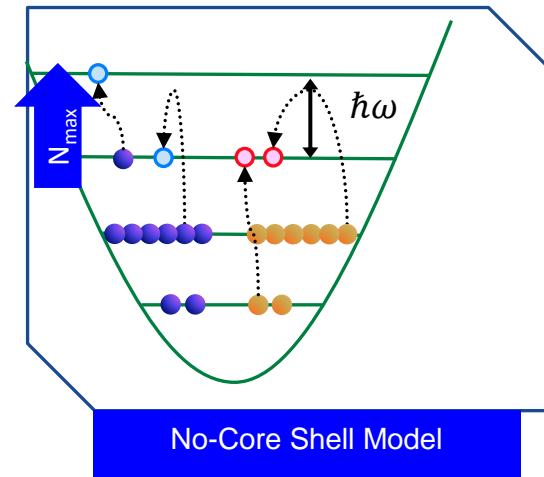
# No Core Shell Model (NCSM)

- Configuration Interaction (CI):
  - Eigen-value problem → Matrix diagonalization:  $\hat{H}\phi_n = \varepsilon_n\phi_n$
- No Core Shell Model (NCSM):
  - HO wavefunctions.
  - Center of mass is factorized.
  - Easy to transform from single particle basis to jacobi basis.
  - **Best for well bound states!**

- Nucleonic d.o.f.
- Variational.
- Tracking uncertainties.

$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^{\pi} t_z\rangle$$

Mixing coefficients(unknown)      A-body harmonic oscillator states



Basis parameters:  
 $\hbar\omega, N_{max}$



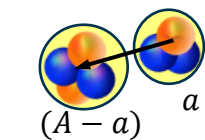
# NCSMC (with continuum)

- RGM:

- Introduce a reaction channel.
- Treat relative motion with a continuous function.

$$\Psi_{RGM}^{(A)} = \sum_v \int d\vec{r} g_v(\vec{r}) \hat{A}_v \left| \Phi_{v\vec{r}}^{(A-a,a)} \right\rangle$$

Relative wave function (unknown)      Antisymmetrizer      Channel basis

$\vec{r}_{A-a,a}$   
  
 $\psi_{\alpha_1}^{(A-a)} \psi_{\alpha_2}^{(a)} \delta(\vec{r} - \vec{r}_{A-a,a})$   
 Cluster expansion technique

- NCSM with continuum (NCSMC):

- For computing reactions and exotic nuclei.
- Extend NCSM with RGM.

$$\left| \Psi_A^{J\pi T} \right\rangle = \sum_\lambda c_\lambda^{J\pi T} \left| A\lambda J\pi T \right\rangle + \sum_v \int dr r^2 \frac{\gamma_v^{J\pi T}(r)}{r} \hat{A}_v \left| \Phi_{vr}^{J\pi T} \right\rangle$$

NCSM      RGM

### Limitations:

- Resonances properties are not accessible directly.
- Reaction channels need to be introduced manually.



# Complex Scaling (CS)

- Resonances are associated with complex poles of the S-matrix.
- The scattering solution associated with a resonance diverges.
- CS transform these solutions to become square integrable  $\rightarrow$  accessible with bound-state methods, e.g. NCSM.
- definition of the CS operator  $\hat{S}$  :

$$\hat{S}f(r) = f(re^{i\theta})$$

$$\hat{S} = e^{i\theta \frac{\partial}{\partial r}}$$

Complex scaling transforms resonances into bound-state-like structure.

$$\phi_{sc}(r \rightarrow \infty) = A(k)e^{-ikr} + B(k)e^{+ikr}$$

$$\simeq e^{-ikr} + F(k)e^{+ikr}$$

$$S(k) = \frac{B(k)}{A(k)}$$

$$k_{res} = k_r - ik_i$$



$$\phi_n^{res}(r \rightarrow \infty) = B(k_n)e^{+i|k_n|r}e^{-i\theta cr}$$

$$= B(k_n)e^{ia_n r}e^{+b_n r} \rightarrow \infty$$

$$\hat{H}\phi_n = (\varepsilon_n - (i/2)\Gamma_n)\phi_n$$

divergent

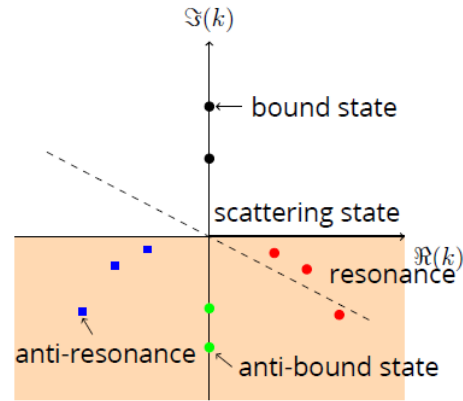
$$(\hat{S}\hat{H}\hat{S}^{-1})(\hat{S}\phi_n^{res}) = (\varepsilon_n - (i/2)\Gamma_n)(\hat{S}\phi_n^{res})$$

convergent





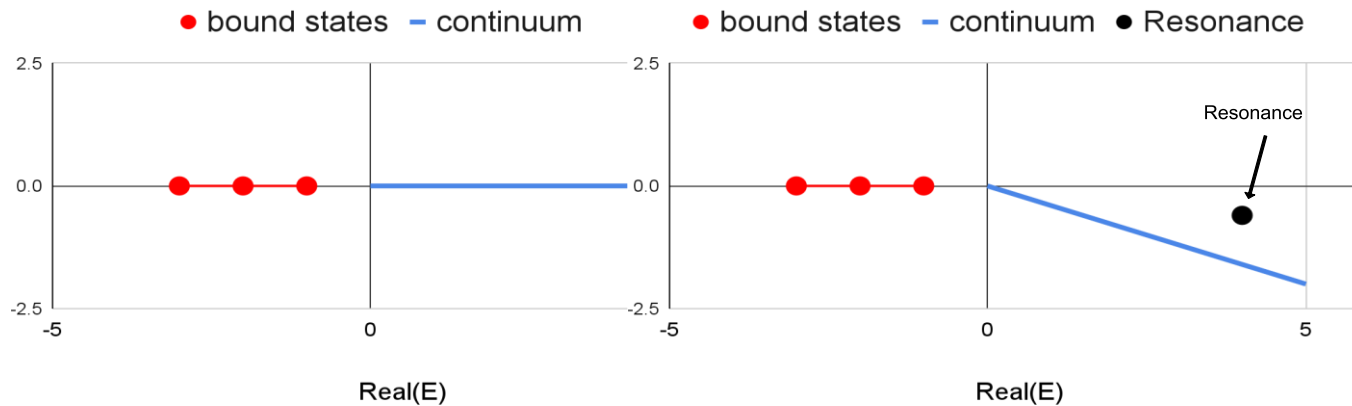
# Complex Scaling (CS)



$$\hat{S}^{-1} = \hat{S}^* \quad \text{Not unitary!}$$

$$H_\theta = H_\theta^T \quad \text{Not hermitian!}$$

\*[Aguilar, Balslev and Combes. Communications in Mathematical Physics, 22(4), 280–294.]



$$E_{res} = \varepsilon_n - i \frac{\Gamma_n}{2}$$

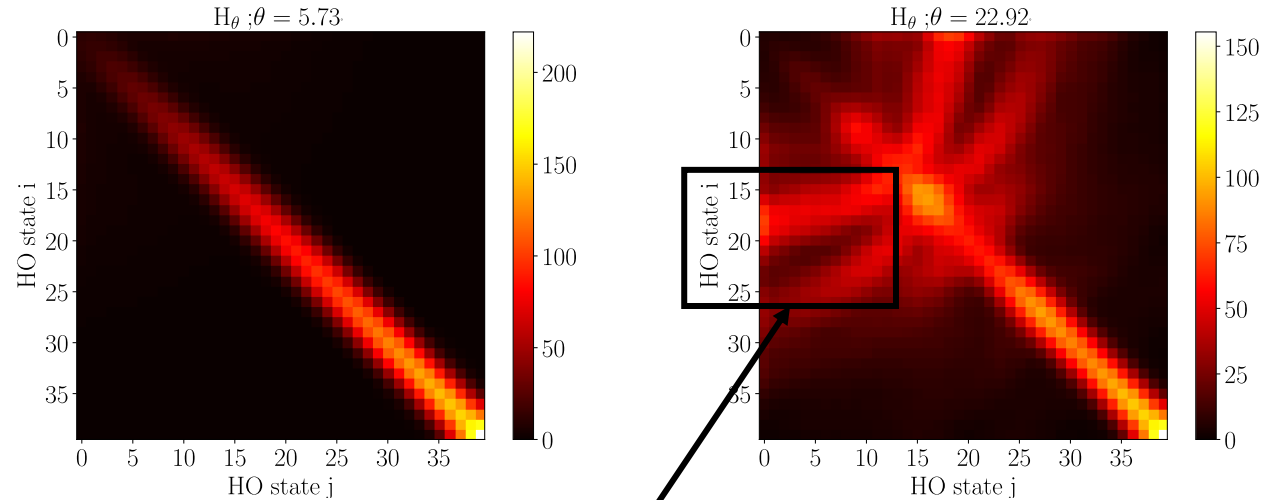
$$\theta_c = \frac{1}{2} \text{atan}\left(\frac{\Gamma_n}{2\varepsilon_n}\right)$$



# Hard interactions

- Known problem with realistic NN interactions.
- Complex-Scaling leads to larger off-diagonal coupling .
- Slow convergence (Large  $N_{max}$  needed).

## A=2 Hamiltonian matrix elements with complex values \*



Off-diagonal coupling is problematic for many-body calculations!

Large off-diagonal coupling

\* The absolute value of the elements are shown



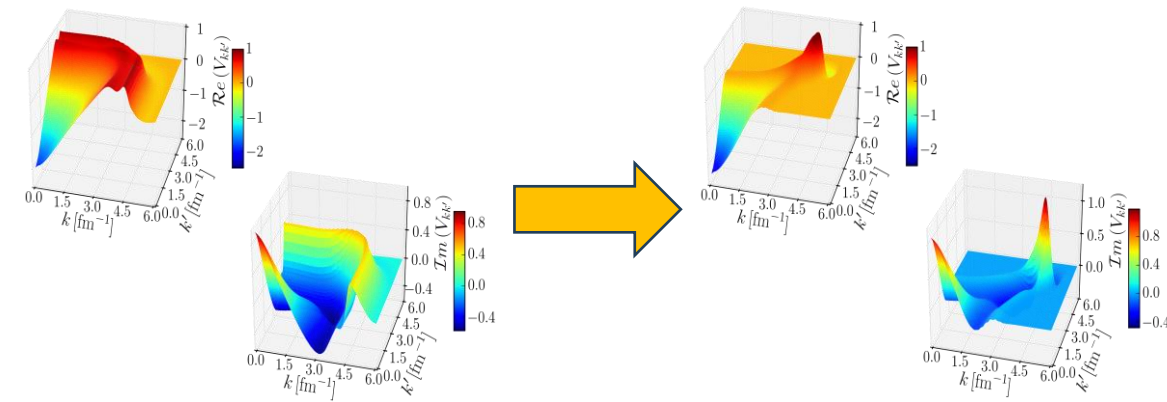
- We use SRG to soften the interaction.
- SRG is applied as a flow equation
- Unitarily transform the interaction (soften it).
- Disadvantages:
  - Induced interactions in the 3-body, 4-body ... A-body space.

SRG works as well with CS Hamiltonians.

$$H_\lambda(\theta) = U_\lambda H(\theta) U_\lambda^T$$

Orthonormal transformation

$$\frac{dH_\lambda(\theta)}{d\lambda} = -\frac{4}{\lambda^5} [\eta(\lambda), H_\lambda(\theta)]$$





## A=2 CS-Hamiltonian eigenvalues

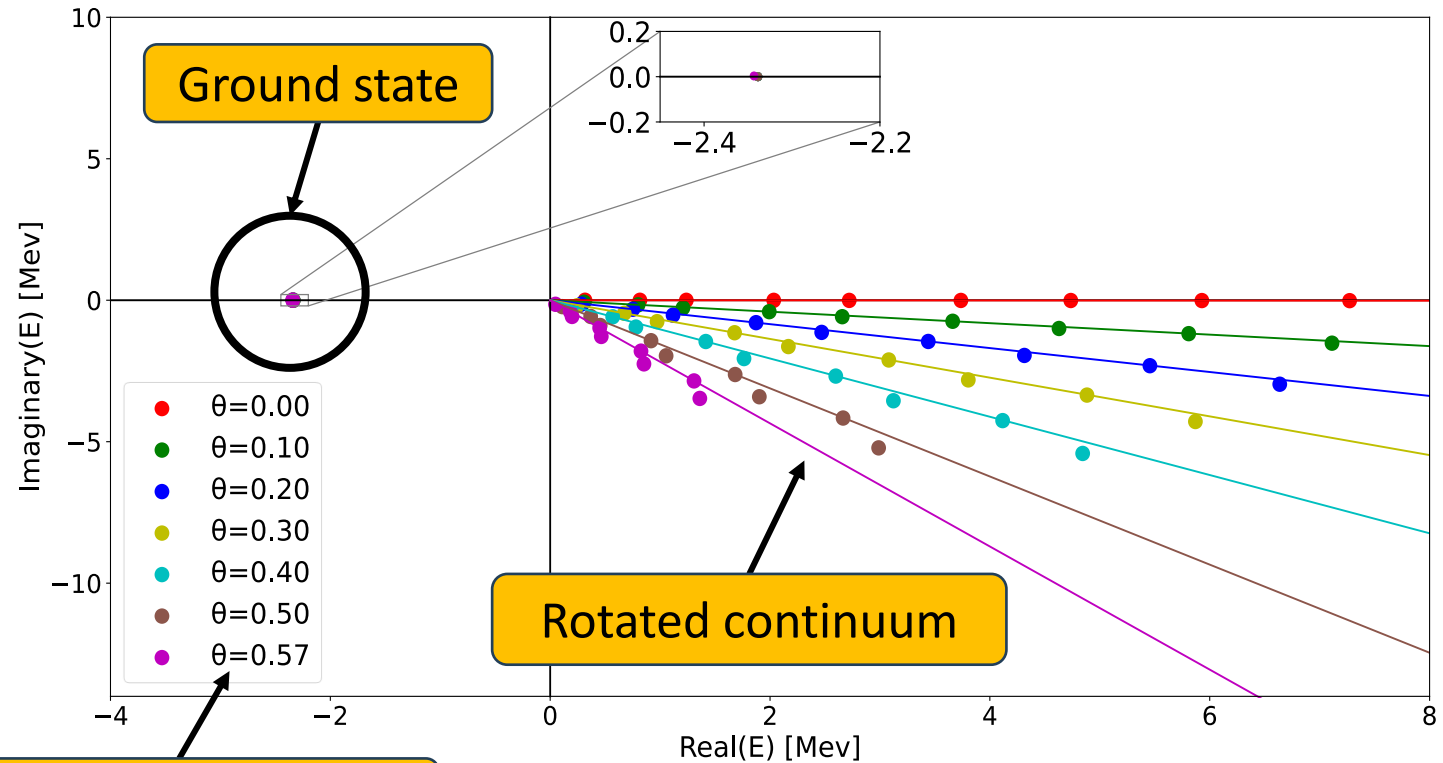
- Bound state and continuum follow the expected pattern.
- Previous applications were limited to :

$$\theta < [0.16 - 0.3] \text{ rad.}$$

[Papadimitriou, G. and Vary, J. P. Phys. Rev.C.91.2]

[Lazauskas, R., & Carbonell, J. (2013). Few-Body Systems, 54(7), 967-972.]

Large values of  $\theta$  are possible!



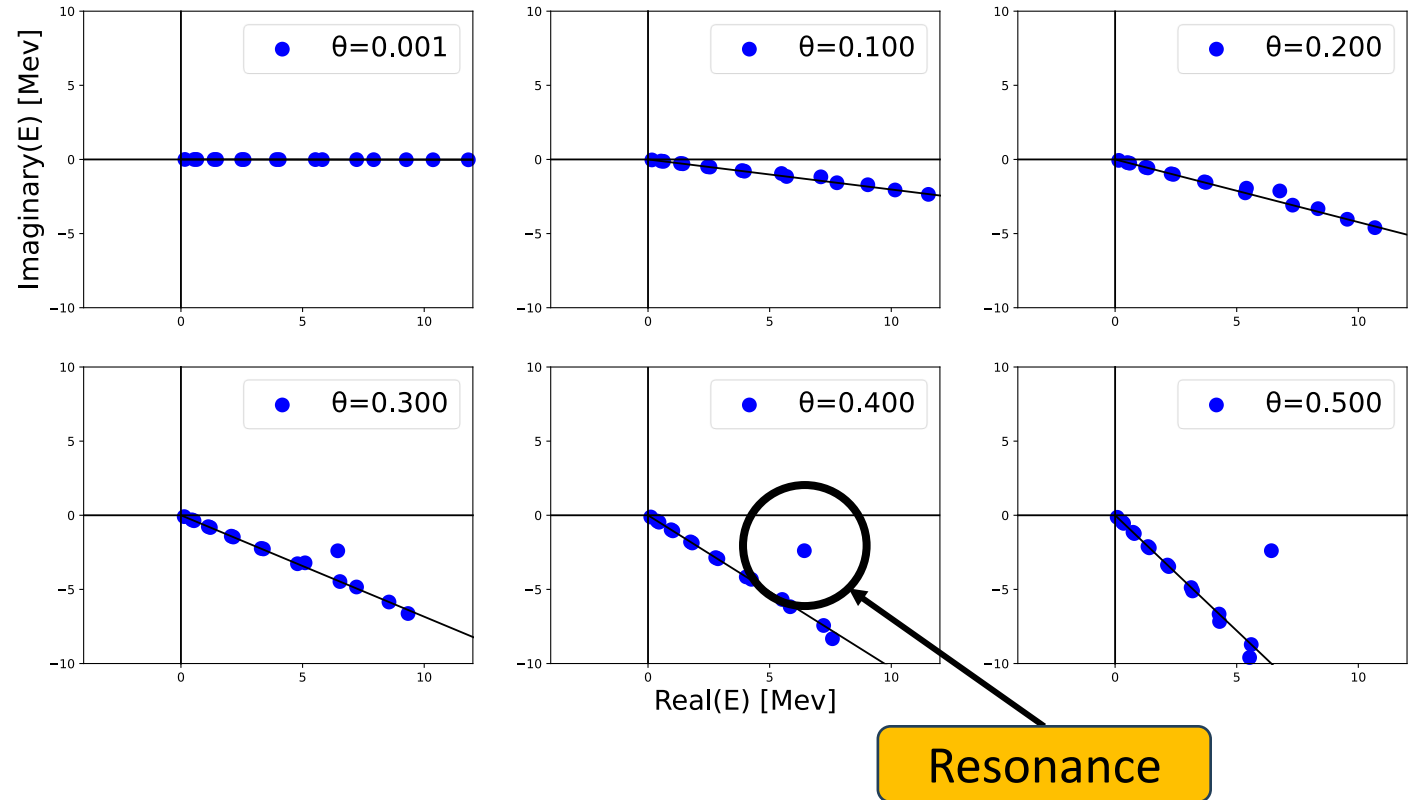
Large  $\theta$  achieved



## A=2 Toy model for resonance

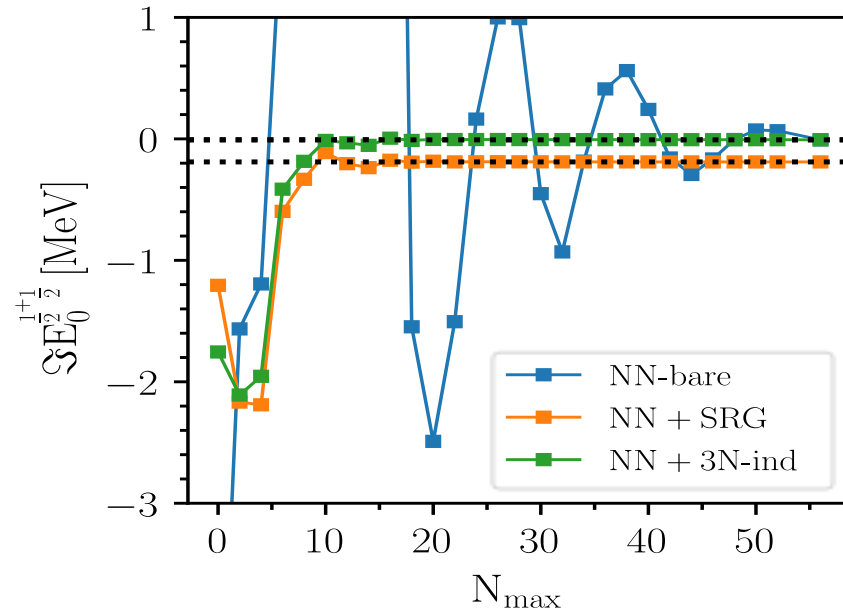
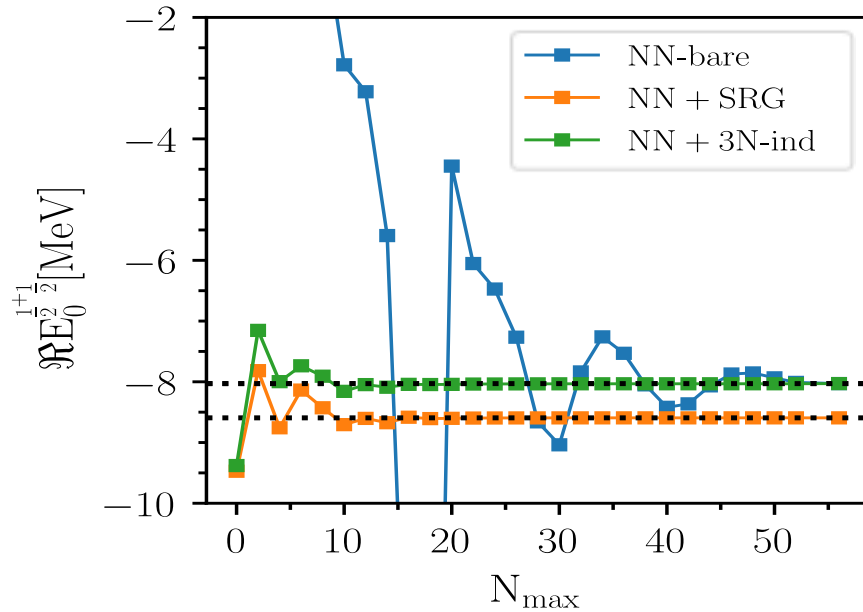
- An interaction with known resonance was used to test the approach.
- The resonance was extracted as  $\theta$  exceeded the critical angle ( $\theta_c$ ).

The approach works well with resonances!





## 3H Spectrum ( $T=1/2, J=1/2, \theta=0.3$ rad)



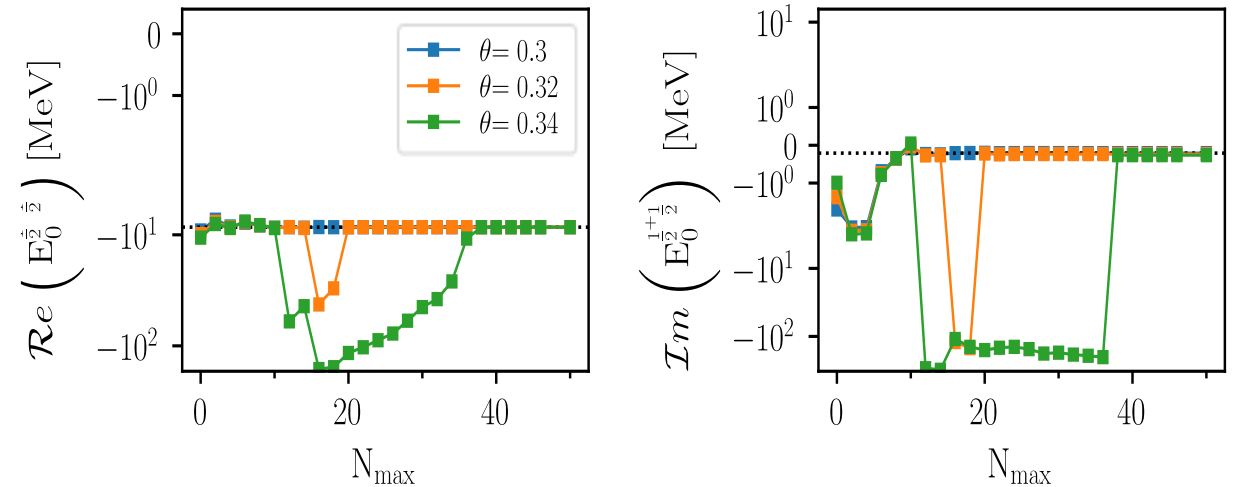
### Conclusion:

- SRG leads to faster convergence.
- It works also with CS Hamiltonian.



- A similar issue was reported in connection with large bound states ( $\sim 100$  MeV).
- Could be related to spurious resonances in NN interaction at high energy.

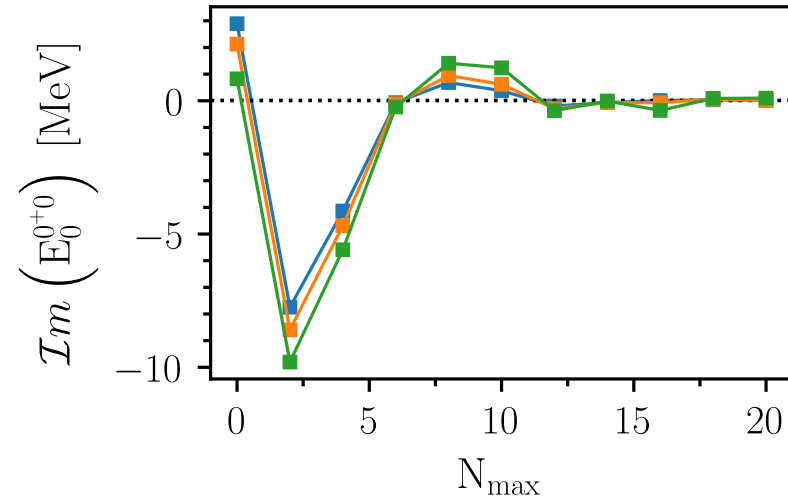
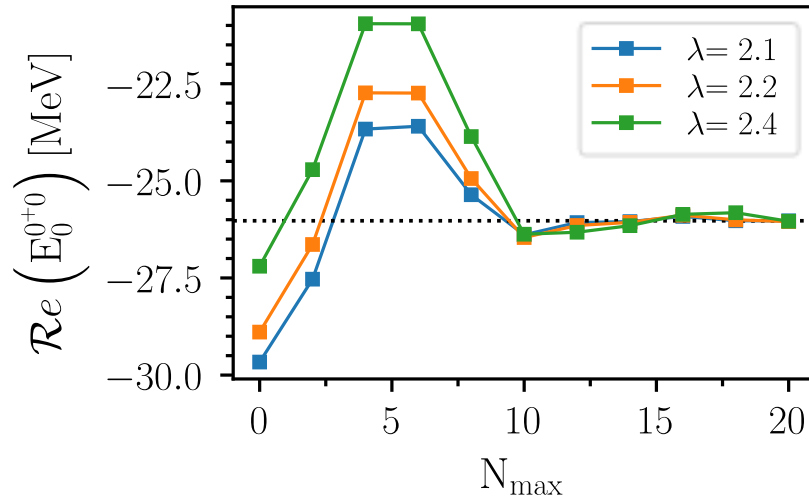
## 3H Spectrum ( $T=1/2, J=1/2, \theta=0.3$ rad)



SRG limits us to below  $\theta = 0.3$  rad.



## ${}^4\text{He} : 0^+$ ground state, NN+3N-induced

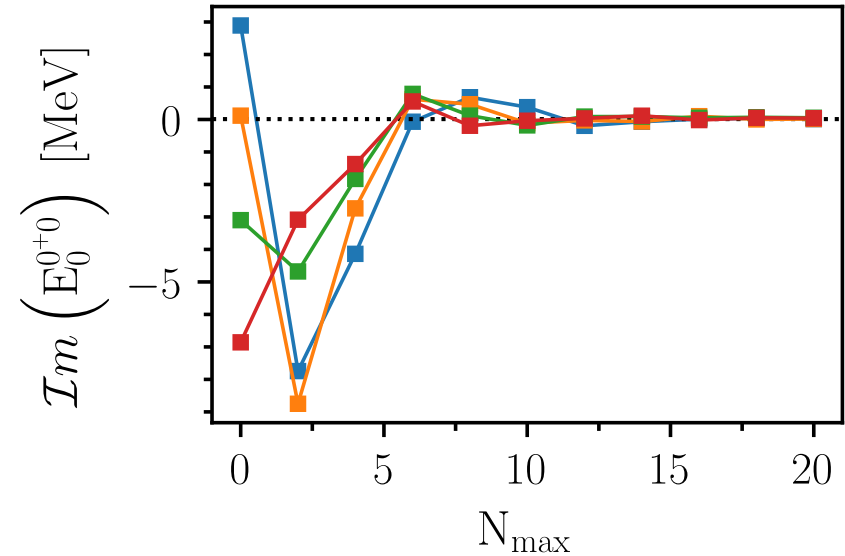
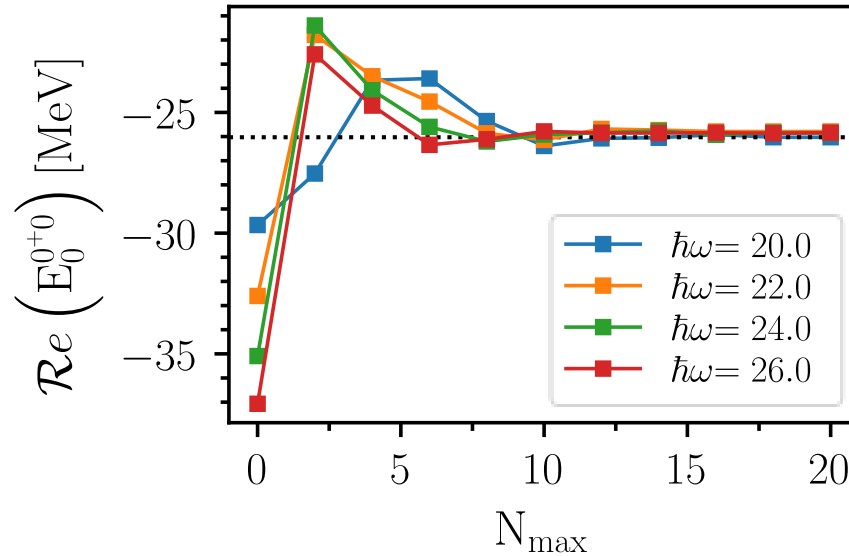


Induced 4b-interaction is not significant here.





## 4He: $0^+$ ground state



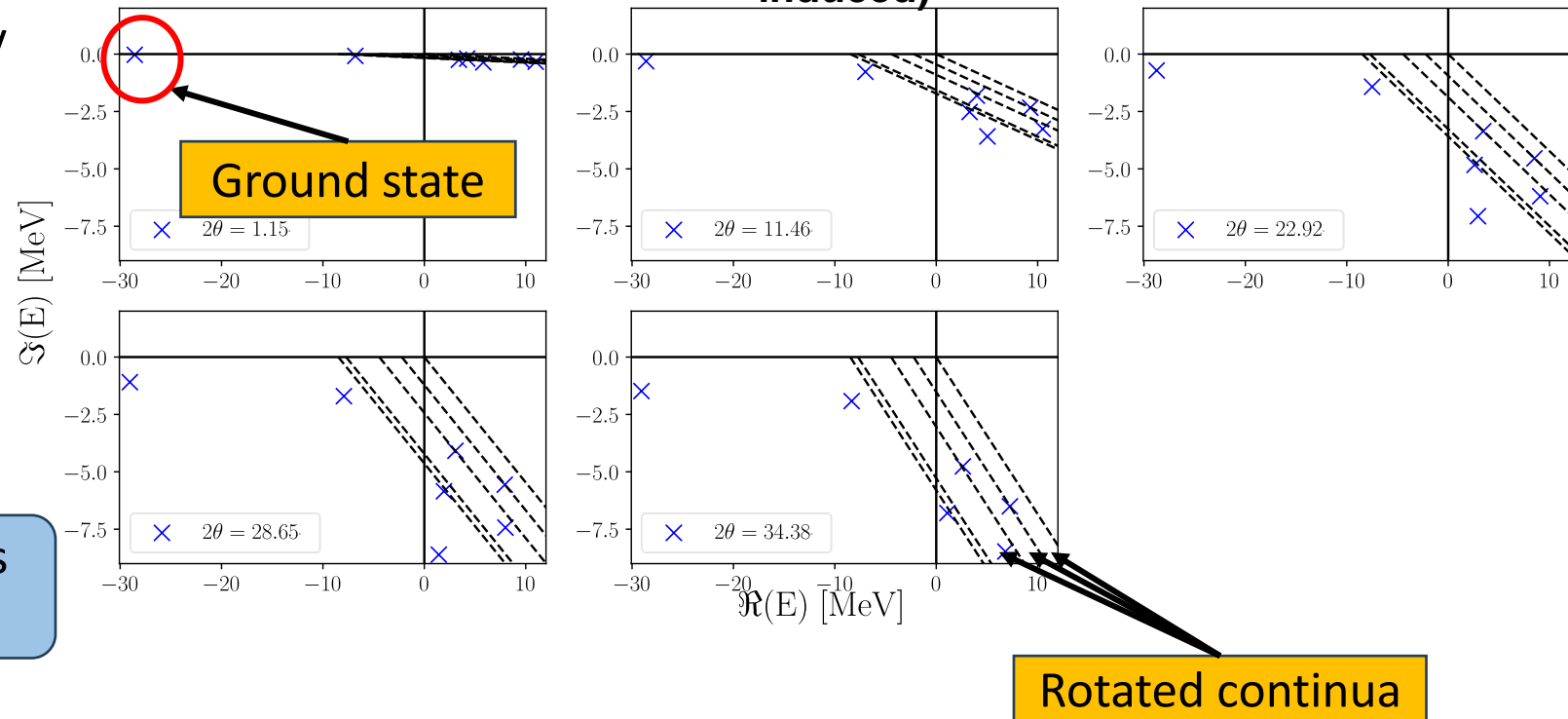
Well converged for different  $\hbar\omega$



# Spectrum of the alpha particle

- Multiple thresholds for different breakup/decay channel.
- Effects of SRG induced interactions are visible.

**4He Spectrum ( $J = 0, T = 0, \lambda = 2.0 \text{ fm}^{-1}$ ) using NN+SRG (no 3N-induced)**



Identifying resonances is harder visually!

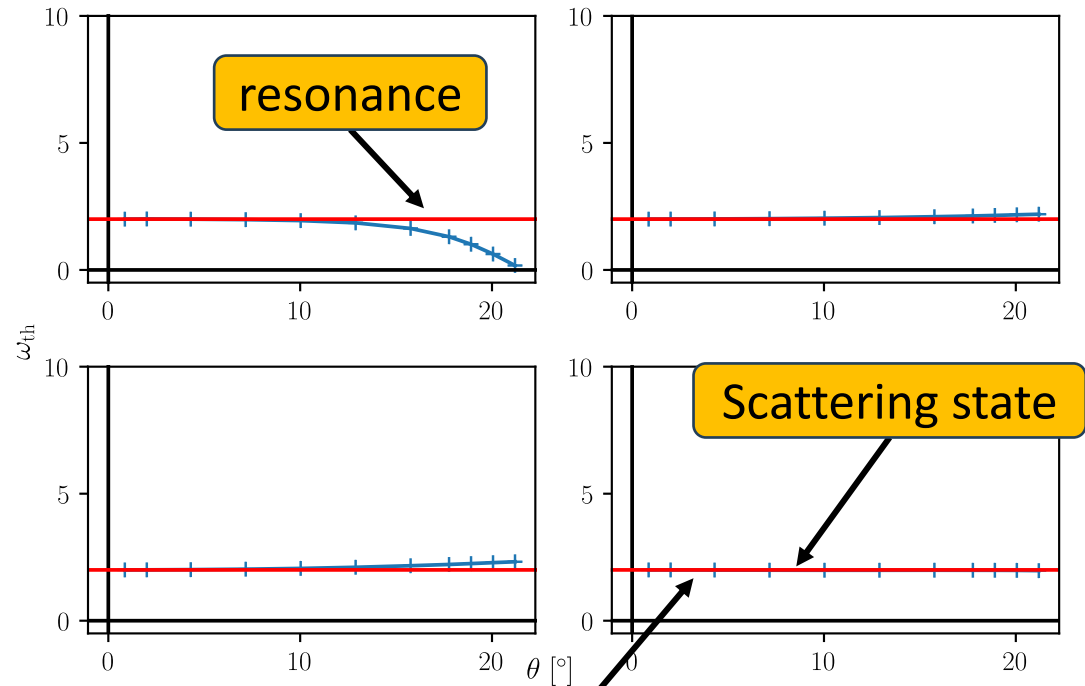


# ABC theorems and resonances

- We vary  $\theta$  and track the change in eigen values.
- Scattering states rotate with the continuum at  $2\theta$ .
- Resonances rotate at  $2\theta$  below their critical angle.
- They become fixed for  $\theta > \theta_c$ .

It is necessary to go above  $\theta_c$  !

## Rotation rate relative to the threshold

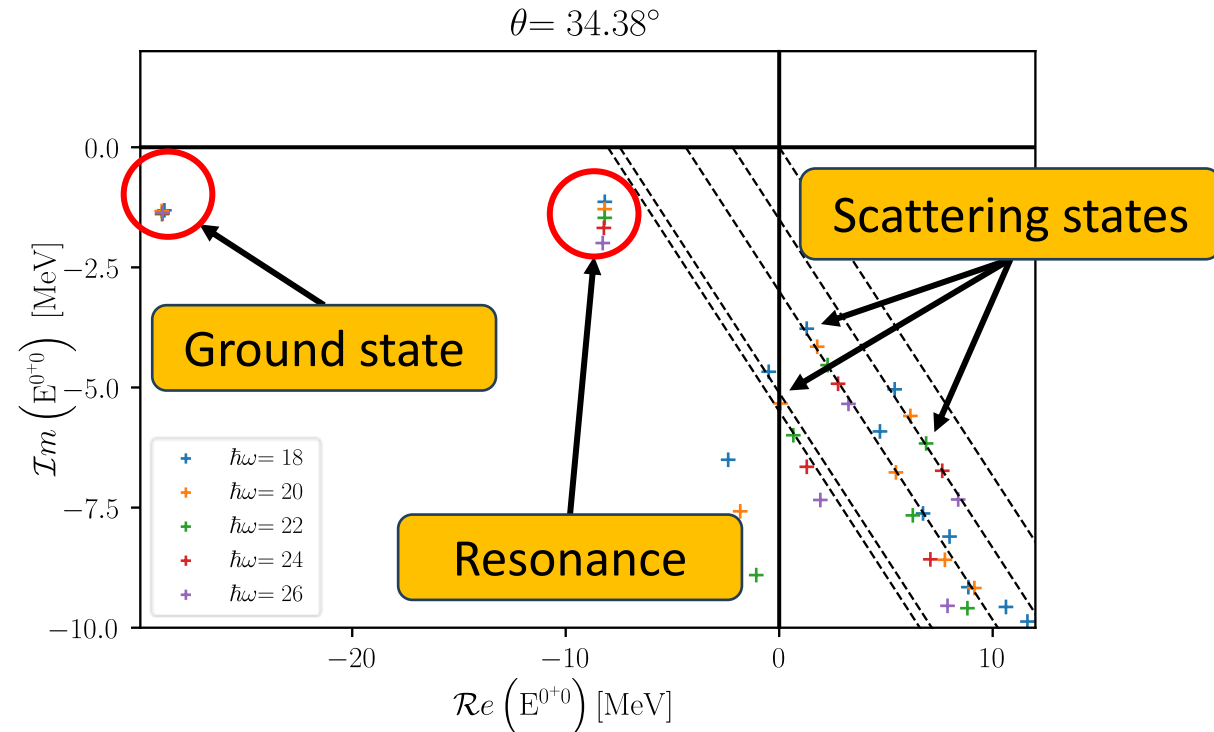




- We calculate the eigen values for different values of  $\hbar\omega$ .
- Bound states are relatively invariant to  $\hbar\omega$ .
- Scattering states vary significantly with  $\hbar\omega$  and they move along the continuum line ( $2\theta$ ).
- Resonances show progressively less variation as we increase  $\theta$ .

We can identify resonances below  $\theta_c$  !

## $4\text{He } 0^+$ Spectrum ( $J = 0, T = 0, \lambda = 2.1 \text{ fm}^{-1}$ ) using NN+SRG (no 3b-induced)



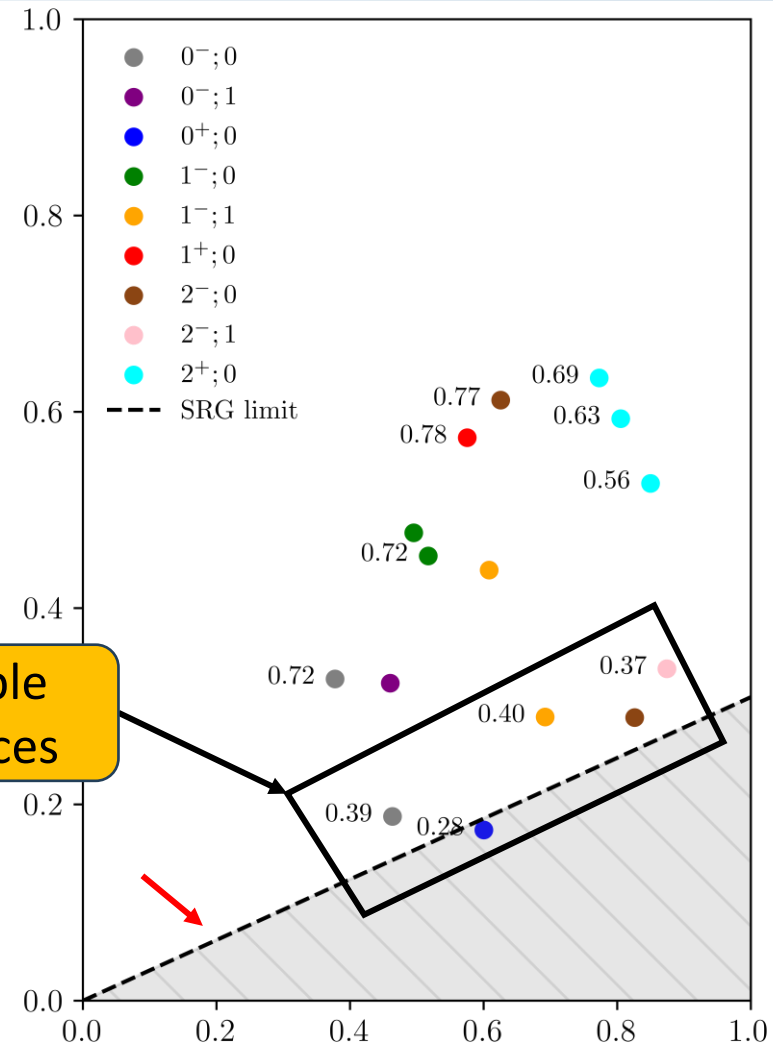


## Experimental values

- Experimental data gives us an estimation of the critical angle of  $^4\text{He}$  resonances.
- SRG constraints us to the  $\theta < 0.3 \text{ rad}$  region.
- Only one resonance is in this region.
- Four resonances are close to it.

We expect to access 5 resonances.

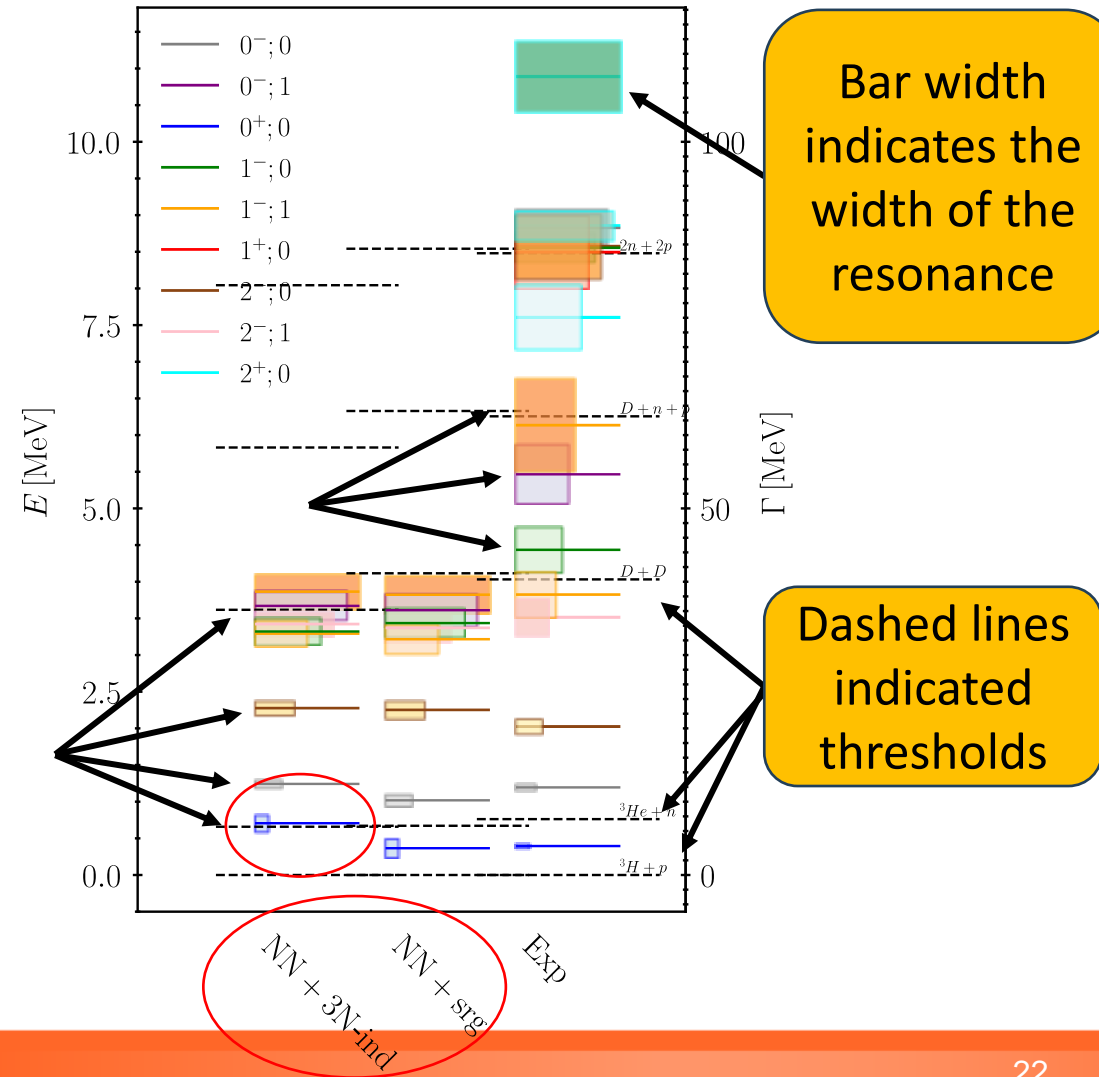
Accessible resonances





# $^4\text{He}$ energy levels

- We use NN+SRG with and without induced 3N interactions.
- Four resonances are reproduced well.
- Three resonances are shifted several MeV below.
- Width of the first  $0^+$  resonance predicted to be higher.





# Visualizing errors

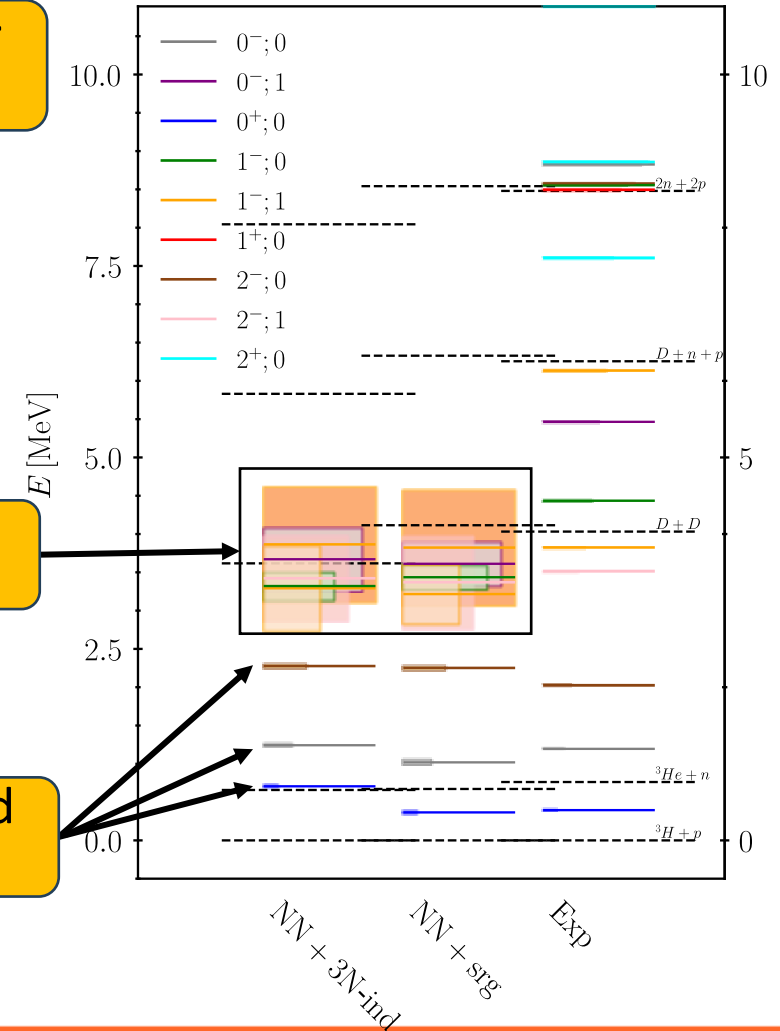
- We use NN+SRG with and without induced 3N interactions.
- Narrower resonances are well converged.
- Very wide resonances are not converged.

Uncertainty quantification

Truncation Error  
( $N_{\max}$ )

Unconverged resonances

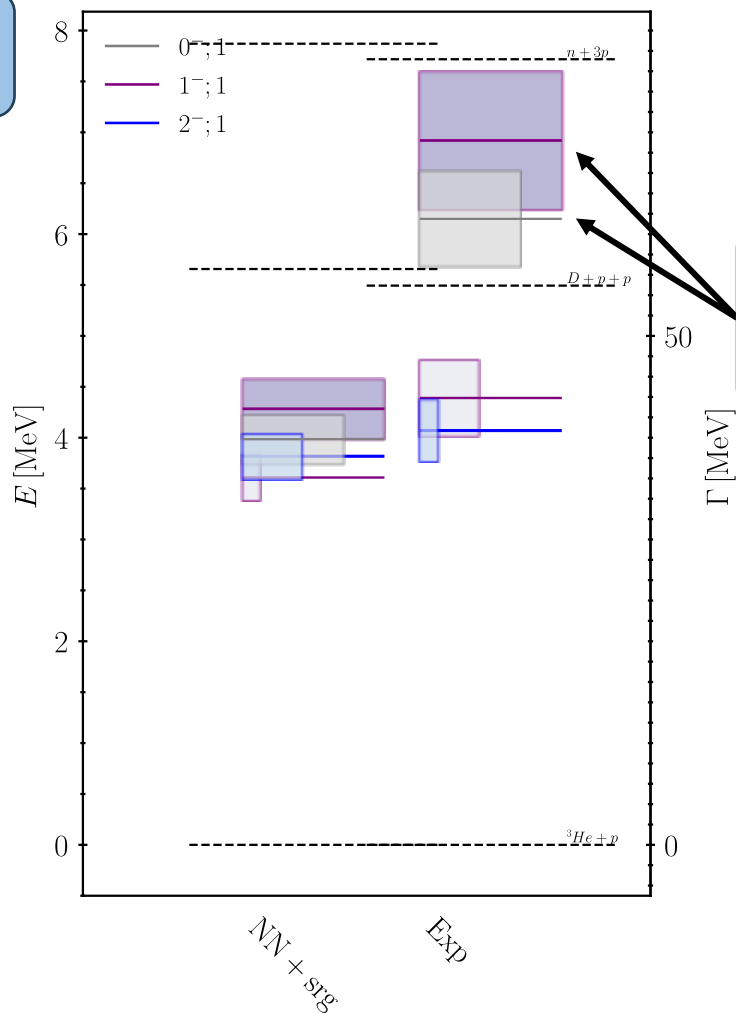
Well converged resonances



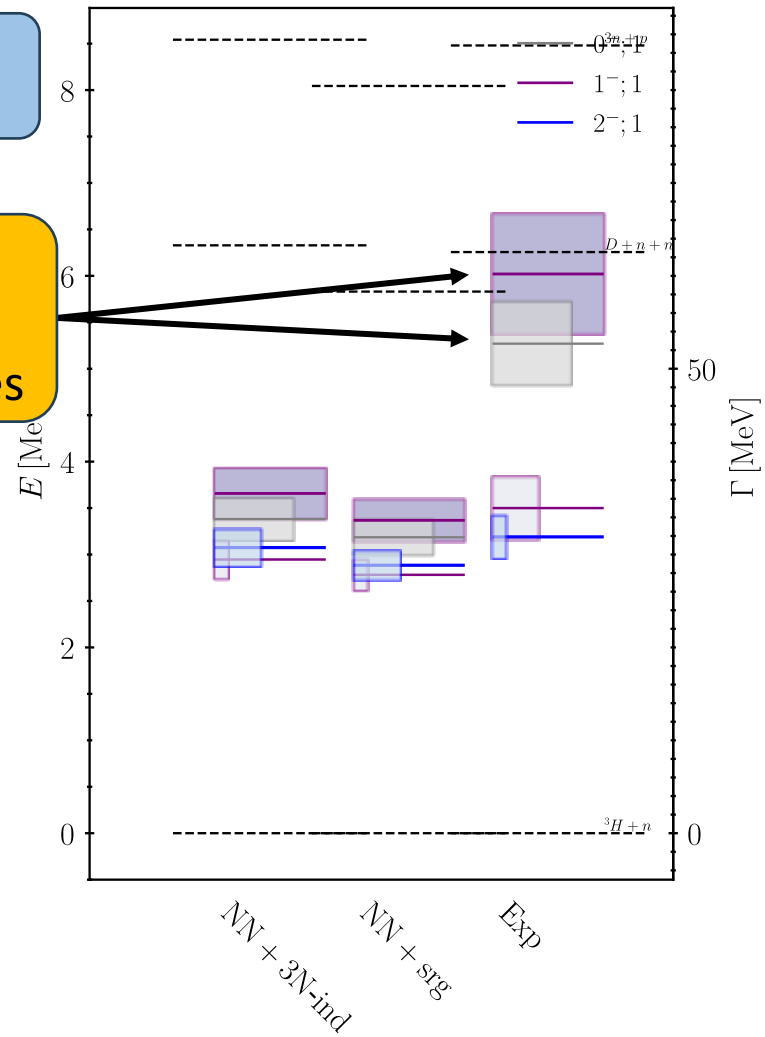


# Other A=4 nuclei

${}^4\text{Li}$



${}^4\text{H}$



Significant deviation for two resonances





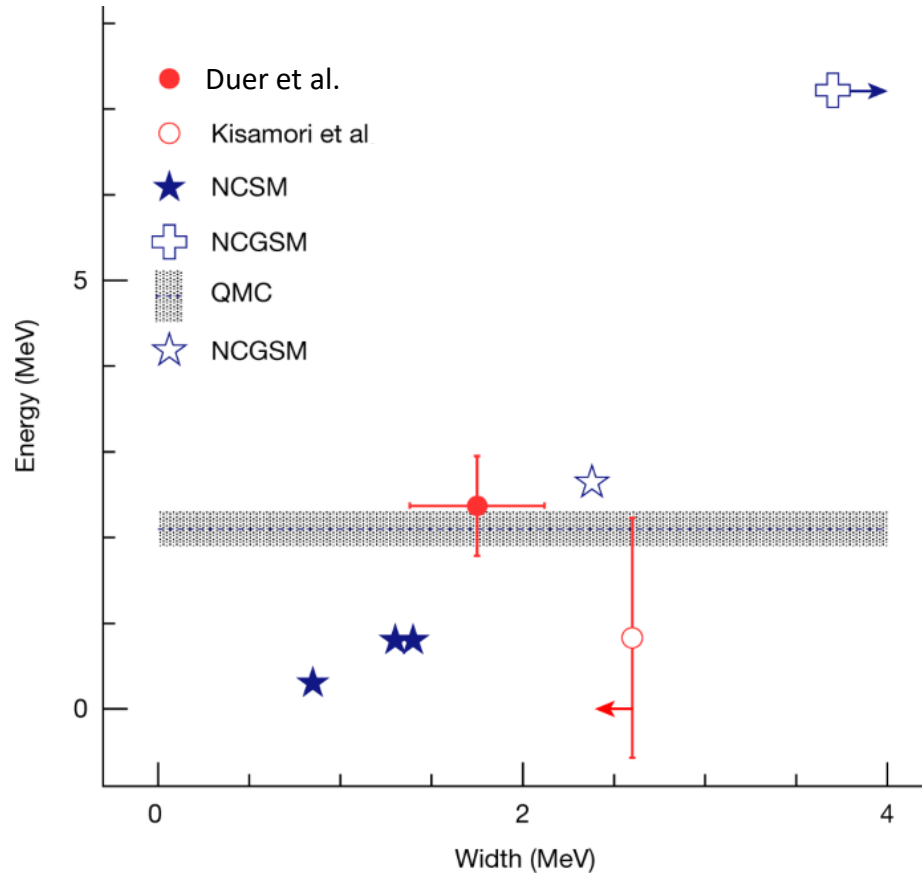
## 4 neutron resonance ?

- Several theoretical and experimental studies claim the existence of a resonance in the 4-neutron system (Tetraneutron).
- A study by M.duer et al claim the detection of this resonance at :

$$E_r = 2.37 \pm 0.38 \pm 0.44 \text{ MeV}$$
$$\Gamma_r = 1.75 \pm 0.22 \pm 0.3 \text{ MeV}$$

[Duer, M., Aumann, T., Gernhäuser, R. et al. Observation of a correlated free four-neutron system. Nature 606, 678–682 (2022). <https://doi.org/10.1038/s41586-022-04827-6>]

- Contradictory prediction between different models.





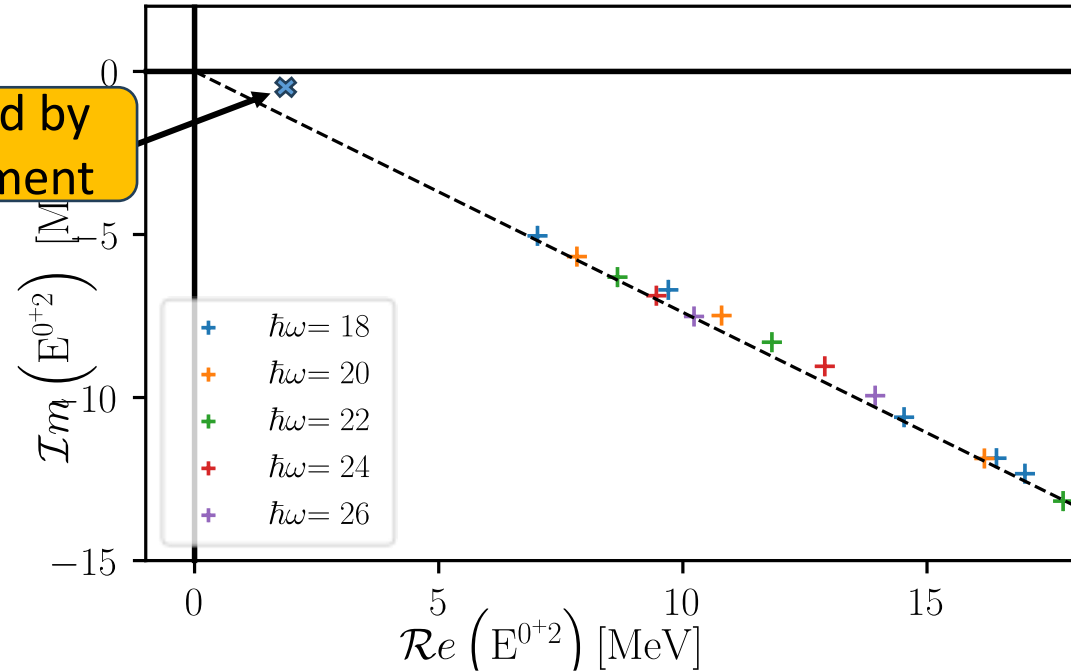
## 4 neutron: resonance ?

- CS shows no indication of such a resonance in  $1^+$ ,  $1^-$ ,  $0^+$  or  $0^-$ .
- Lower bound of :  
 $\Gamma_r = 1.9 E_r$
- Or :  
 $\Gamma_r = 4.5 \text{ MeV}$

Resonance not found

### 4neutron Spectrum ( $\lambda = 2.1 \text{ fm}^{-1}$ ) using NN+SRG +3N-induced

$2\theta = 34.38^\circ$





- This approach has been demonstrated on the resonances of  $A=4$  nuclei.
- Current limitation on  $\theta$  is from SRG convergence issues ( $\theta < 0.3 \text{ rad}$ ).
- Next steps:
  1. Including true 3N force.
  2. Study of SRG on complex scaled Hamiltonian and search for better generator choice.
  3. Applications in heavier systems to study systems where clustering is expected ( $^{12}\text{C}$ ,  $^8\text{Be}$ ).

Thanks for listening

