

# Low-lying spectroscopy of medium-mass deformed nuclei with the in-medium similarity renormalization group and generator coordinate method

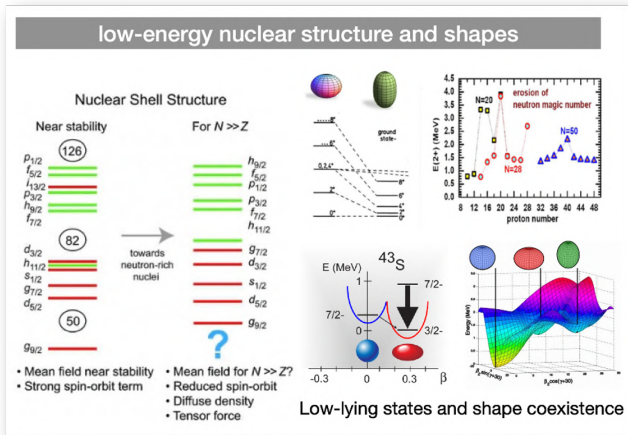
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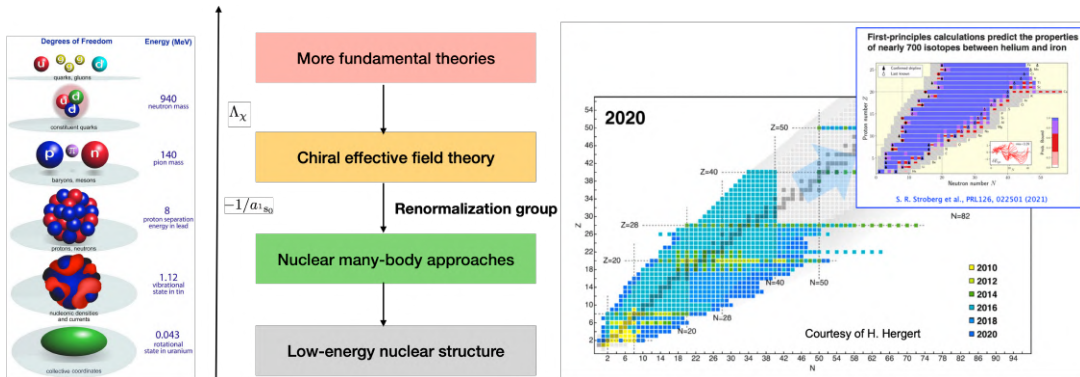
ESNT workshop on Nuclear ab initio spectroscopy,  
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- 1 Introduction
- 2 The in-medium generator coordinate method (IM-GCM)
- 3 Applications to medium-mass deformed nuclei
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The shell structure of single-nucleon energy spectrum is indicated from the systematic behaviors of binding energies and low-lying spectroscopic properties and is of importance to the stability of certain atomic nuclei.



- Onset (erosion) of large nucleon shell gaps from stable to dripline nuclei can be inferred from high (low) excitation energy of  $2_1^+$  state in even-even nuclei.
- Low-energy structure in odd-mass nuclei is enriched by shape coexistence and interplay of single-particle and collective motions.



- Energy scale of nuclear collective excitations: 0.1 – 1.0 MeV, usually studied with collective models, ISM and MR-EDF approaches.
- Challenge and inefficient to model nuclear collective excitations starting from chiral nuclear forces, bridging the energy scales from  $10^2$  MeV to  $10^{-1}$  MeV.

- Apply unitary transformations to decouple the coupling between high and low-momentum states

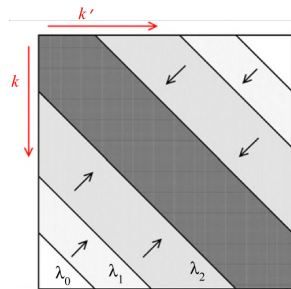
$$H_s = U_s H U_s^\dagger \equiv T_{\text{rel}} + V_s$$

from which one finds the flow equation

$$\frac{dH_s}{ds} = [\eta_s, H_s], \quad \eta_s = [T_{\text{rel}}, H_s]$$

## Evolution of the potential

$$\frac{dV_s(k, k')}{ds} = -(k^2 - k'^2)V_s(k, k') + \frac{2}{\pi} \int_0^\infty q^2 dq (k^2 + k'^2 - 2q^2) V_s(k, q) V_s(q, k')$$



The flow parameter  $s$  is usually replaced with  $\lambda = s^{-1/4}$  in units of  $\text{fm}^{-1}$  (a measure of the spread of off-diagonal strength).

With the SRG-evolved  $NN + 3N$ , the normal-ordered one-body matrix element,

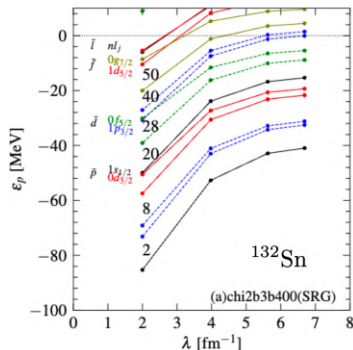
$$f_{pq}(\lambda) = t_{pq} + \sum_{rs} V_{prqs}(\lambda) \rho_s^r + \frac{1}{4} \sum_{rstu} W_{prsqtu}(\lambda) (\rho_t^r \rho_u^s - \rho_u^r \rho_t^s).$$

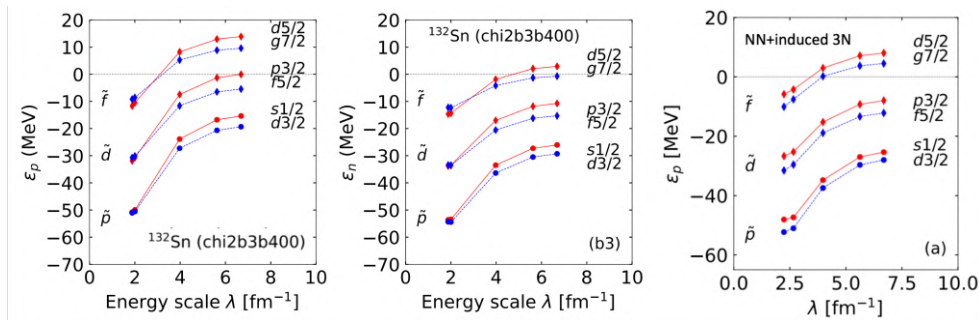
- The effective single-particle energies (ESPE) in HF,

$$[D(\lambda)f(\lambda)D^\dagger(\lambda)]_{kl} = \varepsilon_k(\lambda)\delta_{kl}$$

- The emergence of pseudospin symmetry (Arima, Hecht, 1969) and magic numbers in the ESPE spectra. For the pseudospin doublets ( $\tilde{\ell} = \ell + 1, \tilde{j} = \tilde{\ell} \pm 1/2$ )

$$\varepsilon_{k=(n,\ell,j=\ell+1/2)} \simeq \varepsilon_{k=(n-1,\ell+2,j=\ell+3/2)}.$$





- The splitting of the pseudospin doublets is decreasing with the resolution scale  $\lambda$  for the  $NN + 3N$  interaction.
- However, the degeneracy is not observed in the ESPE spectra by the  $NN$  plus induced  $3N$  interactions.

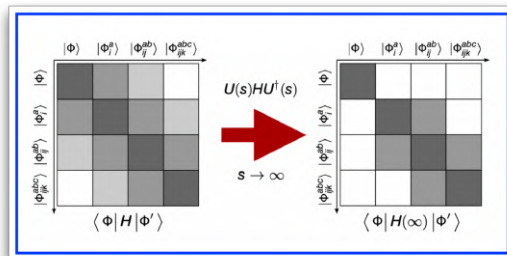
Apply unitary transformations to  $\hat{H}$  in the configuration space to obtain ground state

$$\hat{H}(s) = \hat{U}(s)\hat{H}_0\hat{U}^\dagger(s)$$

- Flow equation

$$\frac{d\hat{H}(s)}{ds} = [\hat{\eta}(s), \hat{H}(s)]$$

- The generator  $\eta(s)$  is chosen to decouple a given **reference state** from its excitations.
- Not necessary to construct the whole  $H$  matrix in the configuration space.



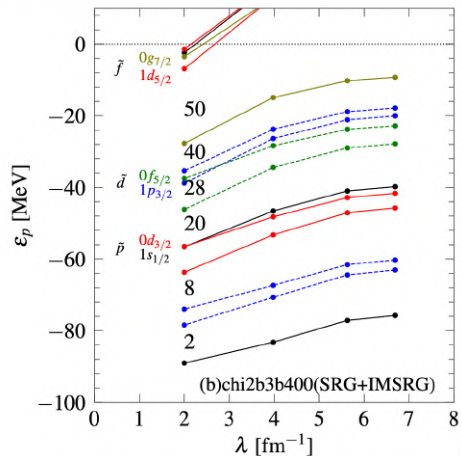


- For the Hamiltonian  $\hat{H}(s = \infty)$ ,

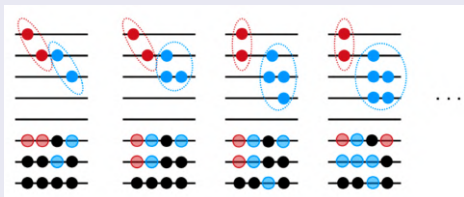
$$\hat{H}(\infty) |\Phi_{\text{HF}}\rangle = E_0 |\Phi_{\text{HF}}\rangle$$

- The  $\hat{H}(\infty)$  serves as an effective Hamiltonian for MF-based approaches.
- Emergence of large spin-orbit splitting and pseudospin symmetry as well in the ESPE spectra of  $H_\lambda(\infty)$  at  $\lambda = 2.0 \text{ fm}^{-1}$ .
- Nuclear shell structure is non-observable, but the energies of low-lying states are observables and should not change with the flow parameter.

T. Duguet, H. Hergert, J. D. Holt, V. Soma, PRC92, 034313 (2015)



## many-particle many-hole excitations



challenge for most ab initio methods!

## IMSRG(3)

- Computational scaling  $O(N^9)$
- memory storage  $N^6$   
computational challenge!

## IMSRG(A)

- From a simple HF reference state  $|\Phi\rangle$  to exact ground state  $|\Psi\rangle$

$$|\Psi\rangle = e^{\hat{\Omega}}|\Phi\rangle,$$

where many-body correlations are built into the correlation operator  $\hat{\Omega}$ ,

$$\hat{\Omega} = \hat{\Omega}^{(1b)} + \hat{\Omega}^{(2b)} + \hat{\Omega}^{(3b)} + \dots + \hat{\Omega}^{(Ab)}$$

determined from the IMSRG.

## Multi-reference: Build collective correlations into the reference state (no core methods)

- From a correlated reference state  $|\Phi\rangle$  to exact ground state  $|\Psi\rangle$

$$|\Psi\rangle = e^{\hat{\Omega}}|\Phi_{\text{Cor}}\rangle, \quad \hat{\Omega} = \hat{\Omega}^{(1b)} + \hat{\Omega}^{(2b)} + \dots$$

and the correlated reference state  $|\Phi_{\text{Cor}}\rangle$  can be chosen as a state with many-particle many-hole excitations relevant for nuclear collective excitations.

- **IM-NCSM**: reference state from NCSM calculation with a small  $N_{\text{max}}$

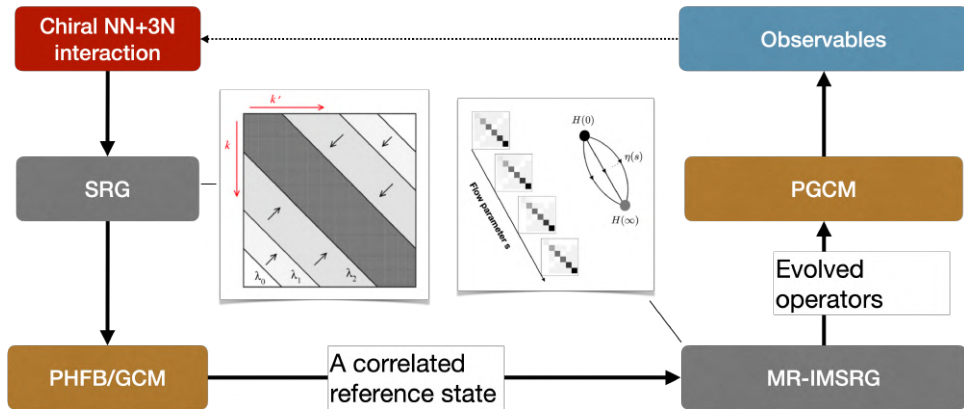
E. Gebrerufael et al., PRL118, 152503 (2017)

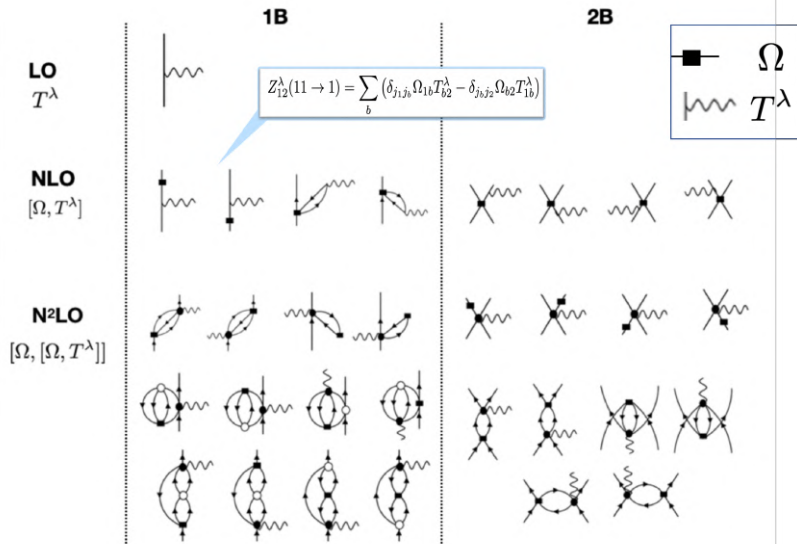
- **IM-GCM**: reference state from PHFB/GCM calculation

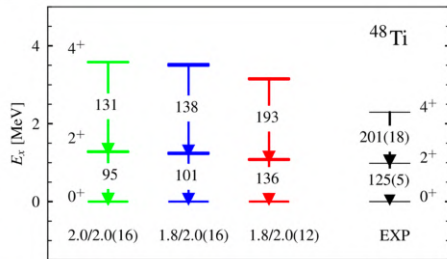
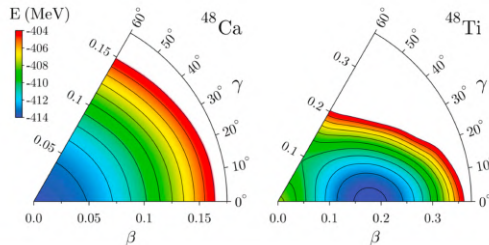
JMY et al., PRL124, 232501 (2020)

**Cons:** produce an effective interaction targeted for individual nucleus.

## The Framework of IM-GCM

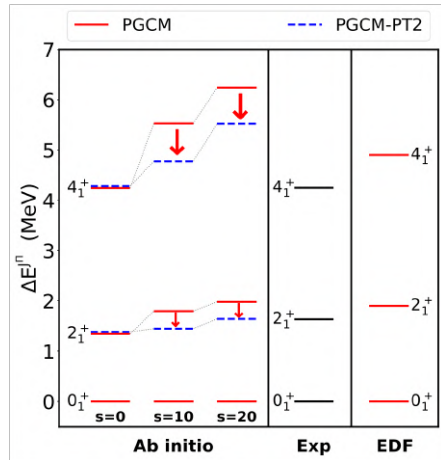






- Using the magic chiral NN+3N interaction EM1.8/2.0 K. Hebeler et al., PRC83, 031301(R) (2011)
- Reference state is chosen as an ensemble of states for  $^{48}\text{Ca}$  and  $^{48}\text{Ti}$ .
- The above results are given by the IMSRG-evolved Hamiltonian.
- The energy spectrum of  $^{48}\text{Ti}$  is reasonably reproduced, even though slightly stretched.

- Since the IMSRG evolution is mainly targeted to the ground state, the energy spectrum by the IMSRG-evolved Hamiltonian is dilated.
- The dilation of the energy spectra can be reduced by including additional correlations with perturbation theory or including cranking frequency as one more generator in the GCM.



T. Duguet, J.-P. Ebran, M. Frosini, H. Hergert, V. Somà,  
EPJA 59, 1 (2023)

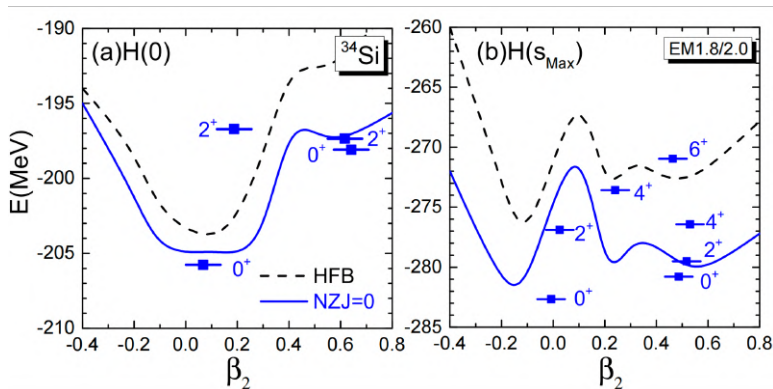
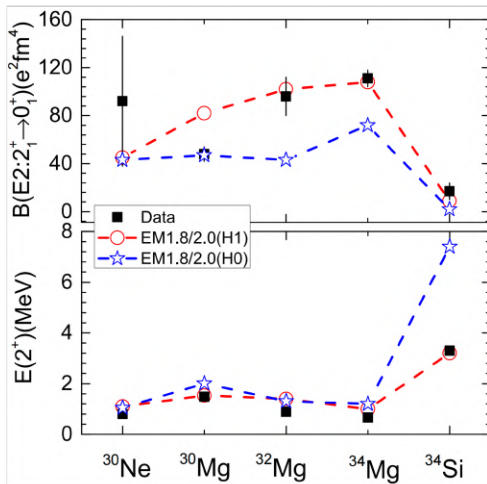
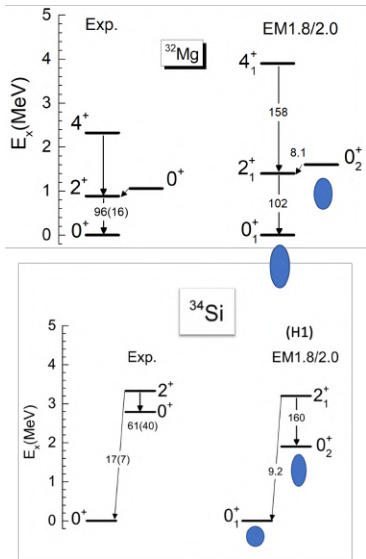


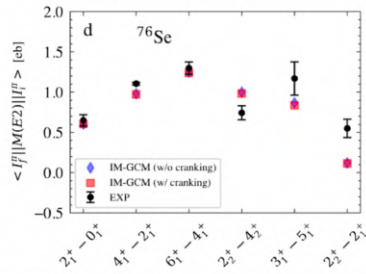
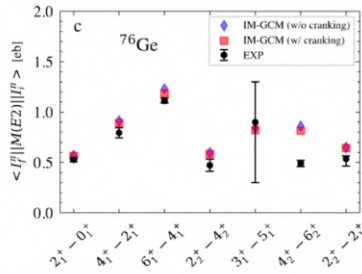
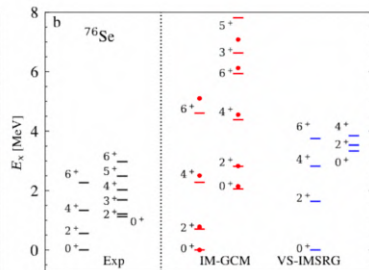
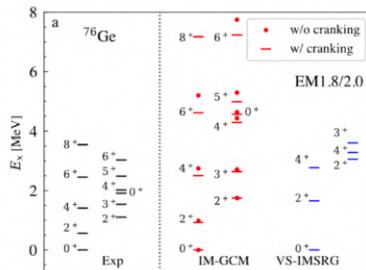
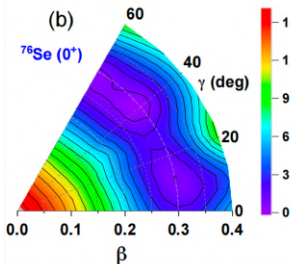
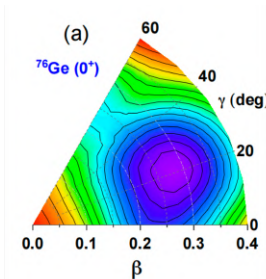
Figure: Mr. Enfu Zhou

- Coexistence of spherical ground state and prolate deformed rotational bands is shown in  $^{34}\text{Si}$ , where the ENO turns out to be important.



# Application to nuclei around $N = 20$





- The wave functions of an odd-mass nucleus

$$|\Psi_{\alpha}^{J\pi}\rangle = \sum_c f_c^{J\alpha\pi} |NZJ\pi; c\rangle,$$

- The basis function with correct quantum numbers ( $NZJ\pi$ )

$$|NZJ\pi; c\rangle = \hat{P}_{MK}^J \hat{P}^N \hat{P}^Z |\Phi_{\kappa}^{(\text{OA})}(\mathbf{q})\rangle,$$

The mean-field configurations  $|\Phi_{\kappa}^{(\text{OA})}(\mathbf{q})\rangle$

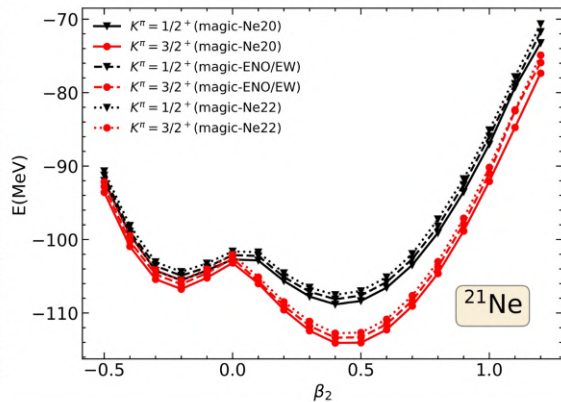
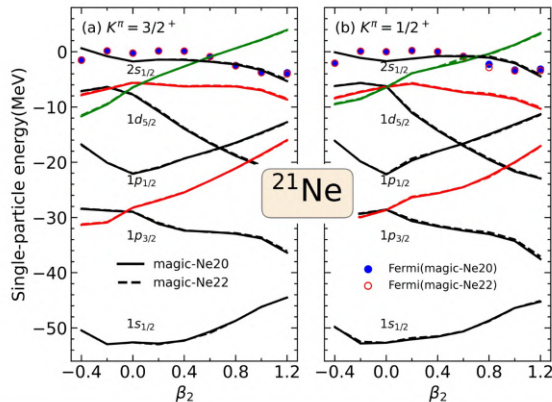
$$|\Phi_{\kappa}^{(\text{OA})}(\mathbf{q})\rangle = \alpha_{\kappa}^{\dagger} |\Phi_{(\kappa)}(\mathbf{q})\rangle, \quad \alpha_{\kappa} |\Phi_{(\kappa)}(\mathbf{q})\rangle = 0,$$

where  $|\Phi_{(\kappa)}(\mathbf{q})\rangle$  is a HFB state with the even-number parity.

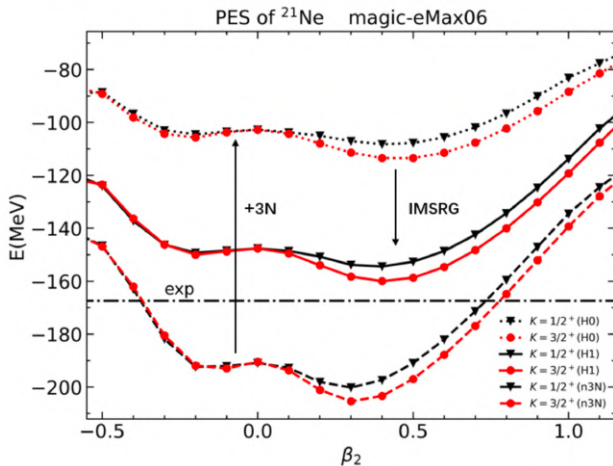
W. Lin, E. F. Zhou, JMY, H. Hergert, arXiv:2403.01177 [nucl-th]



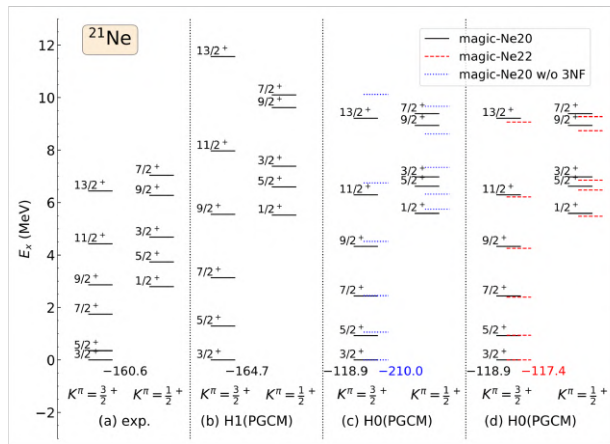
Figure: Mr. Wei Lin



- The ESPEs by choosing the reference state of either  $^{20}\text{Ne}$  or  $^{22}\text{Ne}$  are similar.
- The different choices of the reference state to which the  $3N$  is normal-ordered lead to similar energy curves, except for a systematic shift by about 1 MeV.



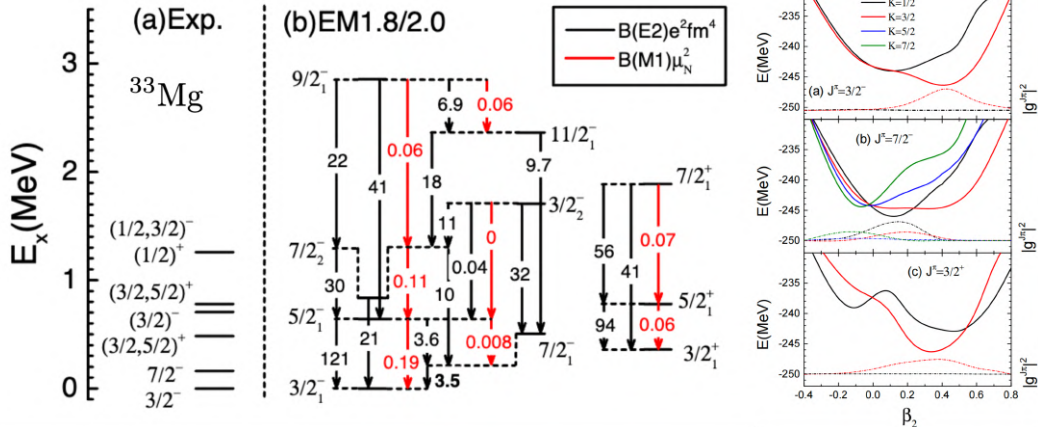
- The  $3N$  interaction softens the energy curves along the  $\beta_2$  direction.



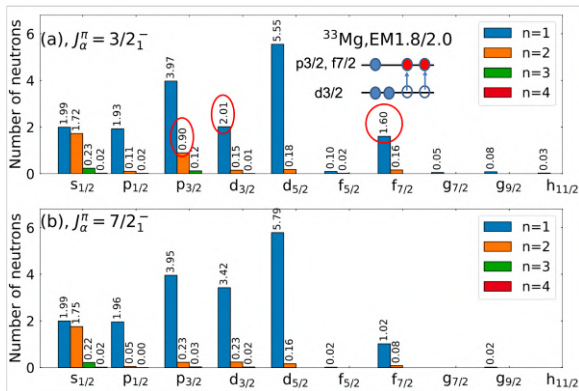
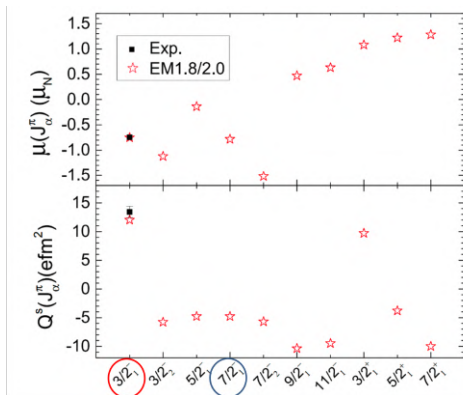
	Exp	H1(bare) (Ne20/eMax6)
$\mu(3/2^+)$	-0.66	-0.88(-0.72)
$\mu(5/2^+)$	+0.49(4)	-0.06(+0.05)
$\mu(7/2^+)$	-	0.34(0.66)
$\mu(9/2^+)$	-	1.12(1.23)
$\mu(11/2^+)$	-	1.28(1.77)
$Q^s(3/2^+)$	+10.3(8)	+8.1(+8.2)
$Q^s(5/2^+)$	-	-3.0(-3.0)
$Q^s(7/2^+)$	-	-7.9(-7.9)
$Q^s(9/2^+)$	-	-10.5(-10.5)
$Q^s(11/2^+)$	-	-11.2(-11.2)
$B(E2; 5/2^+)$	83.6(61)	55.1(55.2)
$B(E2; 7/2^+)$	37.8(137)	32.7(32.7)
$B(E2; 9/2^+)$	31.0(172)	19.2(19.3)
$B(E2; 11/2^+)$	20.6(130)	11.3(11.3)
$B(M1; 5/2^+)$	0.1275(25)	0.310(0.320)
$B(M1; 7/2^+)$	0.2615(21)	0.440(0.420)
$B(M1; 9/2^+)$	0.43(5)	0.364(0.452)
$B(M1; 11/2^+)$	0.36(7)	0.478(0.466)

$B(EM\lambda; J \rightarrow J-1)$

- The energy spectrum becomes stretched and quadrupole collectivity is reduced when the  $3N$  interaction is turned off.



- Very weak EM transitions from  $7/2_1^-$  to ground state.
- The  $7/2_1^-$  is likely a shape isomer state, according to the distribution of wave function.



- Magnetic dipole moment and spectroscopic quadrupole moment of the ground state are reasonably reproduced, and the spin parity is  $3/2^-$ , which is a  $2p$ - $2h$  excitation compared to the  $7/2_1^-$  state, according to average neutron natural orbital occupations.



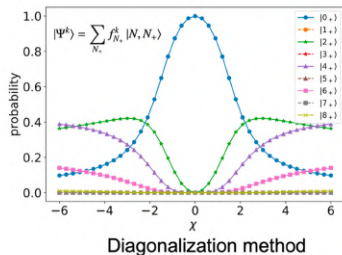
- The Hamiltonian of the Lipkin model

$$+\varepsilon/2 \xrightarrow{\Omega} \sigma = +$$

$$-\varepsilon/2 \xrightarrow{\Omega} \sigma = -$$

$$\hat{H} = \frac{\varepsilon}{2} \sum_{\sigma m} \sigma \hat{c}_{\sigma m}^{\dagger} \hat{c}_{\sigma m} - \frac{V}{2} \sum_{mm'\sigma} \hat{c}_{\sigma m}^{\dagger} \hat{c}_{\sigma m'}^{\dagger} \hat{c}_{-\sigma m'} \hat{c}_{-\sigma m}$$

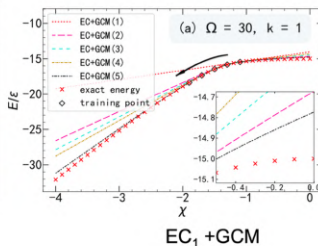
$$= \varepsilon \hat{K}_0 - \frac{V}{2} (\hat{K}_+ \hat{K}_+ + \hat{K}_- \hat{K}_-), \quad \chi = \frac{V}{\varepsilon} (\Omega - 1)$$



- GCM wave function

$$|\Psi_{\text{GCM}}^{\kappa}(\chi)\rangle = \sum_{\mathbf{q}=1}^{N_q} f^{\kappa}(\chi; \mathbf{q}) |\Phi(\mathbf{q})\rangle$$

$$|\Phi(\alpha, \varphi)\rangle = \prod_{m=1}^{\Omega} a_{0m}^{\dagger}(\alpha, \varphi) |-\rangle$$



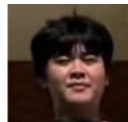
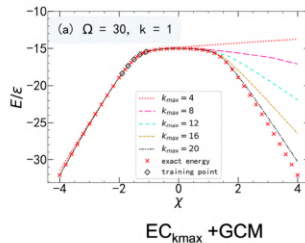
- EC+GCM wave function

$$|\Psi_{\text{EC}}^{\kappa}(\chi_{\odot})\rangle = \sum_{\kappa=1}^{k_{\max} \geq k} \sum_{t=1}^{N_t} g^{\kappa}(\kappa, \chi_t) |\Psi_{\text{GCM}}^{\kappa}(\chi_t)\rangle$$

Generalized eigenvalue equation

$$\sum_{\kappa'=1}^{k_{\max}} \sum_{t'=1}^{N_t} \left[ \mathcal{H}_{tt'}^{\kappa\kappa'}(\chi_{\odot}) - E_{\chi_{\odot}}^{\kappa} \mathcal{N}_{tt'}^{\kappa\kappa'} \right] g^{\kappa}(\kappa', \chi_{t'}) = 0,$$

QY Luo, X Zhang, LH Chen, JMY, arXiv:2404.08581



Mr. Qingyang Luo

- Remarkable advances have been achieved in ab initio studies of nuclear structure and decays. However, the low-lying states of medium mass deformed nuclei are still challenging for most ab initio methods.
- The IM-GCM, a combination of IMSRG and GCM, stands out as a promising approach for the low-lying states of nuclei with complicated shapes. It has been successfully applied to describe the low-lying states of  $^{48}\text{Ti}$ ,  $^{76}\text{Ge}$ ,  $^{76}\text{Se}$ , and nuclei around  $N = 20$  with shape coexistence, even though the energy spectra are generally more stretched than data.

## Next steps

- Extension to odd-mass nuclei with octupole correlations.
- Uncertainty quantification with the EC+GCM method.

## Collaborators

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# Thank you for your attention!