

# Breaking symmetry into Self-Consistent Green's functions theory

CEA ESNT Workshop - Nuclear *ab initio* spectroscopy

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**NUMERICS**

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International PhD Program in  
Numerical Simulation at CEA



# Papers to be published presented in this talk

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1. Impact of correlations on nuclear binding energies [Scalesi, Duguet, Demol, Frosini, Somà, Tichai]
2. Deformed Self-Consistent Green's functions at second order [Scalesi, Duguet, Frosini, Somà]
3. Deformed natural orbitals for *ab initio* calculations [Scalesi, Duguet, Frosini, Somà]

# Outline

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- Basic ingredients of Self-Consistent Green's function theory
- Symmetry-breaking Green's functions - 1. Particle number
- Role of Many-Body correlations
- Symmetry-breaking Green's functions - 2. Rotational symmetry
- dDSCGF(2) results
- Deformed Natural Orbitals
- Conclusions and future perspectives

# Many-body Green's functions

A-body wave function

$$|\Psi_k^A\rangle$$



A-body Schrödinger equation

$$H|\Psi_k^A\rangle = E_k^A|\Psi_k^A\rangle$$



Observables: exp. values

$$O = \langle \Psi_0^A | O | \Psi_0^A \rangle$$



Green's functions

$$i g_{\alpha\beta}(t_\alpha, t_\beta) \equiv \langle \Psi_0^A | \mathcal{T}[a_\alpha(t_\alpha) a_\beta^\dagger(t_\beta)] | \Psi_0^A \rangle$$

$$i g_{\alpha\gamma\beta\delta}^{4\text{-pt}}(t_\alpha, t_\gamma, t_\beta, t_\delta) \equiv \langle \Psi_0^A | \mathcal{T}[a_\gamma(t_\gamma) a_\alpha(t_\alpha) a_\beta^\dagger(t_\beta) a_\delta^\dagger(t_\delta)] | \Psi_0^A \rangle$$

...



Martin-Schwinger equations

$$g_{\alpha\beta}(\omega) = g_{0\alpha\beta}(\omega) - \sum_{\gamma\delta} g_{0\alpha\gamma}(\omega) u_{\gamma\delta} g_{\delta\beta}(\omega) - \frac{1}{2} \sum_{\substack{\gamma\epsilon \\ \delta\mu}} g_{0\alpha\gamma}(\omega) v_{\gamma\epsilon, \delta\mu} \int \frac{d\omega_1}{2\pi} \int \frac{d\omega_2}{2\pi} g_{\delta\mu, \beta\epsilon}^{4\text{-pt}}(\omega_1, \omega_2; \omega, \omega_1 + \omega_2 - \omega)$$

...

**Decouple via  $\Sigma$**

# Many-body Green's functions

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$$i g_{\alpha\gamma\beta\delta}^{4\text{-pt}}(t_\alpha, t_\gamma, t_\beta, t_\delta) \equiv \langle \Psi_0^A | \mathcal{T}[a_\gamma(t_\gamma) a_\alpha(t_\alpha) a_\beta^\dagger(t_\beta) a_\delta^\dagger(t_\delta)] | \Psi_0^A \rangle$$

...

Dyson equation

$$g_{\alpha\beta}(\omega) = g_{0\alpha\beta}(\omega) + \sum_{\gamma\delta} g_{0\alpha\gamma}(\omega) \Sigma_{\gamma\delta}^*(\omega) g_{\delta\beta}(\omega)$$



Self-energy expansion → Many-body approximations

Observables: convolutions with GFs

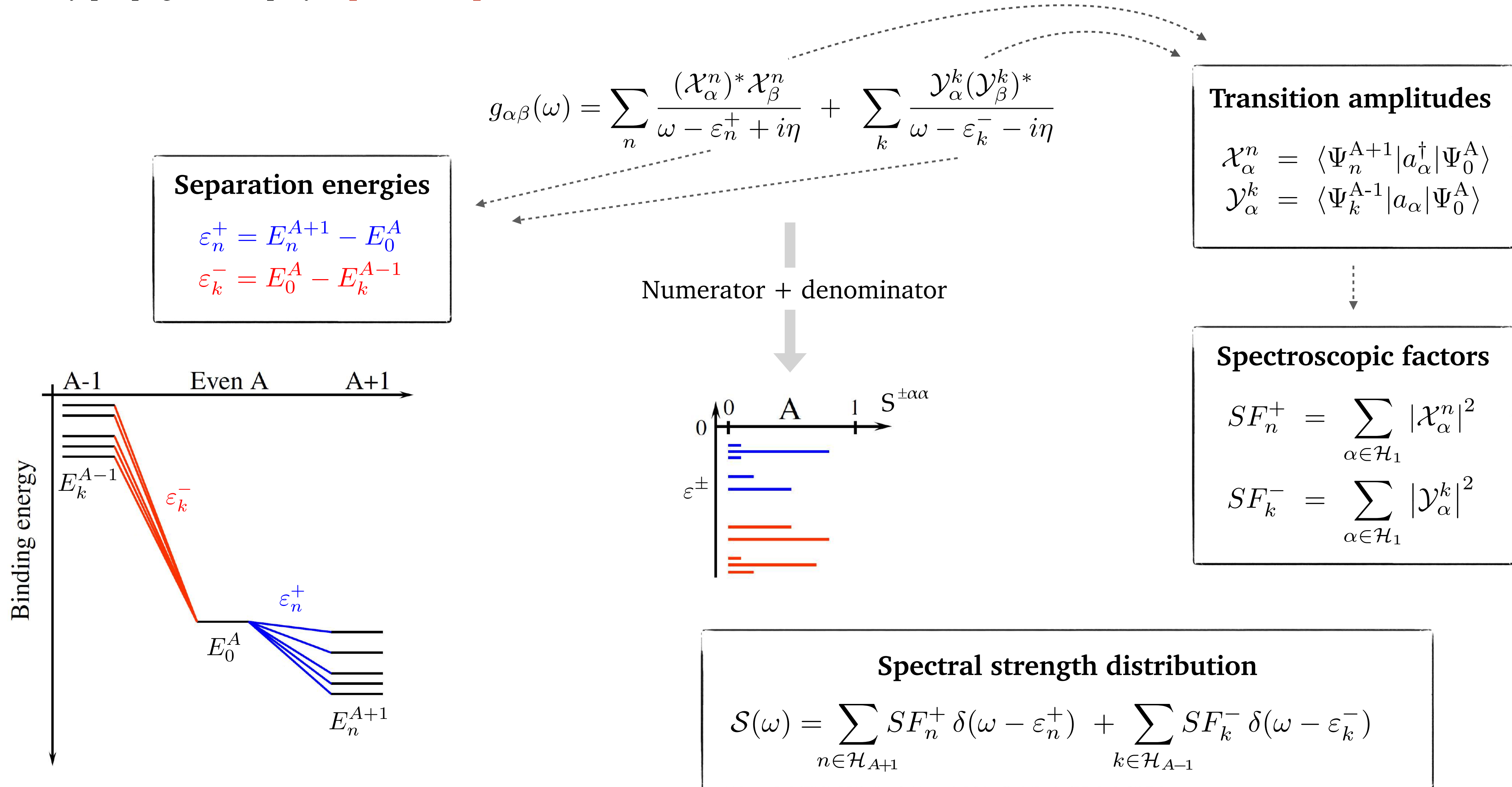
$$\langle \Psi_0^A | O^{1B} | \Psi_0^A \rangle = \sum_{\alpha\beta} \int \frac{d\omega}{2\pi i} g_{\beta\alpha}(\omega) o_{\alpha\beta}$$

+ Koltun sum rule

$$E_0 = \langle \Psi_0^A | H | \Psi_0^A \rangle = \frac{1}{2} \sum_{\alpha\beta} \int \frac{d\omega}{2\pi i} g_{\beta\alpha}(\omega) [t_{\alpha\beta} + \omega \delta_{\alpha\beta}]$$

# Källén-Lehmann representation

One-body propagator displays **spectral representation (Källén-Lehmann)**



# Algebraic Diagrammatic Construction

Exact self-energy can be decomposed as  $\Sigma_{\alpha\beta}^*(\omega) = \Sigma_{\alpha\beta}^{(n=1)}(\omega) + \Sigma_{\alpha\beta}^{(n>1)}(\omega) \equiv \Sigma_{\alpha\beta}^{(\infty)} + \tilde{\Sigma}_{\alpha\beta}(\omega)$

**Dynamic** (energy-dependent) self-energy displays a spectral representation

$$\tilde{\Sigma}_{\alpha\beta}(\omega) = \sum_j \frac{\mathcal{M}_{\alpha j}^\dagger \mathcal{M}_{j\beta}}{\omega - \mathcal{E}_j^+ + i\eta} + \sum_k \frac{\mathcal{N}_{\alpha k} \mathcal{N}_{k\beta}^\dagger}{\omega - \mathcal{E}_k^- - i\eta}$$

Dyson eq. in matrix form  $\longrightarrow$

$$\varepsilon_i \begin{pmatrix} \mathcal{Z}_\alpha^i \\ \mathcal{W}_j^i \\ \mathcal{W}_k^i \end{pmatrix} = \begin{pmatrix} h_{\alpha\delta}^{(0)} + \Sigma_{\alpha\delta}^{(\infty)} & \mathcal{M}_{\alpha j}^\dagger & \mathcal{N}_{\alpha k} \\ \mathcal{M}_{j\delta} & \mathcal{E}_j^+ & 0 \\ \mathcal{N}_{k\delta}^\dagger & 0 & \mathcal{E}_k^- \end{pmatrix} \begin{pmatrix} \mathcal{Z}_\delta^i \\ \mathcal{W}_j^i \\ \mathcal{W}_k^i \end{pmatrix}$$

## Algebraic Diagrammatic Construction (ADC)

1. Rewrite exact self-energy as  $\tilde{\Sigma}_{\alpha\beta}^{(\text{ADC})}(\omega) = \sum_{jj'} M_{\alpha j}^\dagger \left[ \frac{1}{\omega \mathbb{1} - (E^> + C) + i\eta \mathbb{1}} \right]_{jj'} M_{j'\beta} + \sum_{kk'} N_{\alpha k} \left[ \frac{1}{\omega \mathbb{1} - (E^< + D) - i\eta \mathbb{1}} \right]_{kk'} N_{k'\beta}^\dagger$

2. Expand  $M$ ,  $N$ ,  $C$  &  $D$  in perturbation  $\rightarrow$  Combine them to construct ADC at order  $n$ , i.e. **ADC( $n$ )**

3. Determine  $M$ ,  $N$ ,  $C$  &  $D$  by matching ADC( $n$ ) to the **perturbative expansion at order  $n$**

[Schirmer 1982]

# Algebraic Diagrammatic Construction

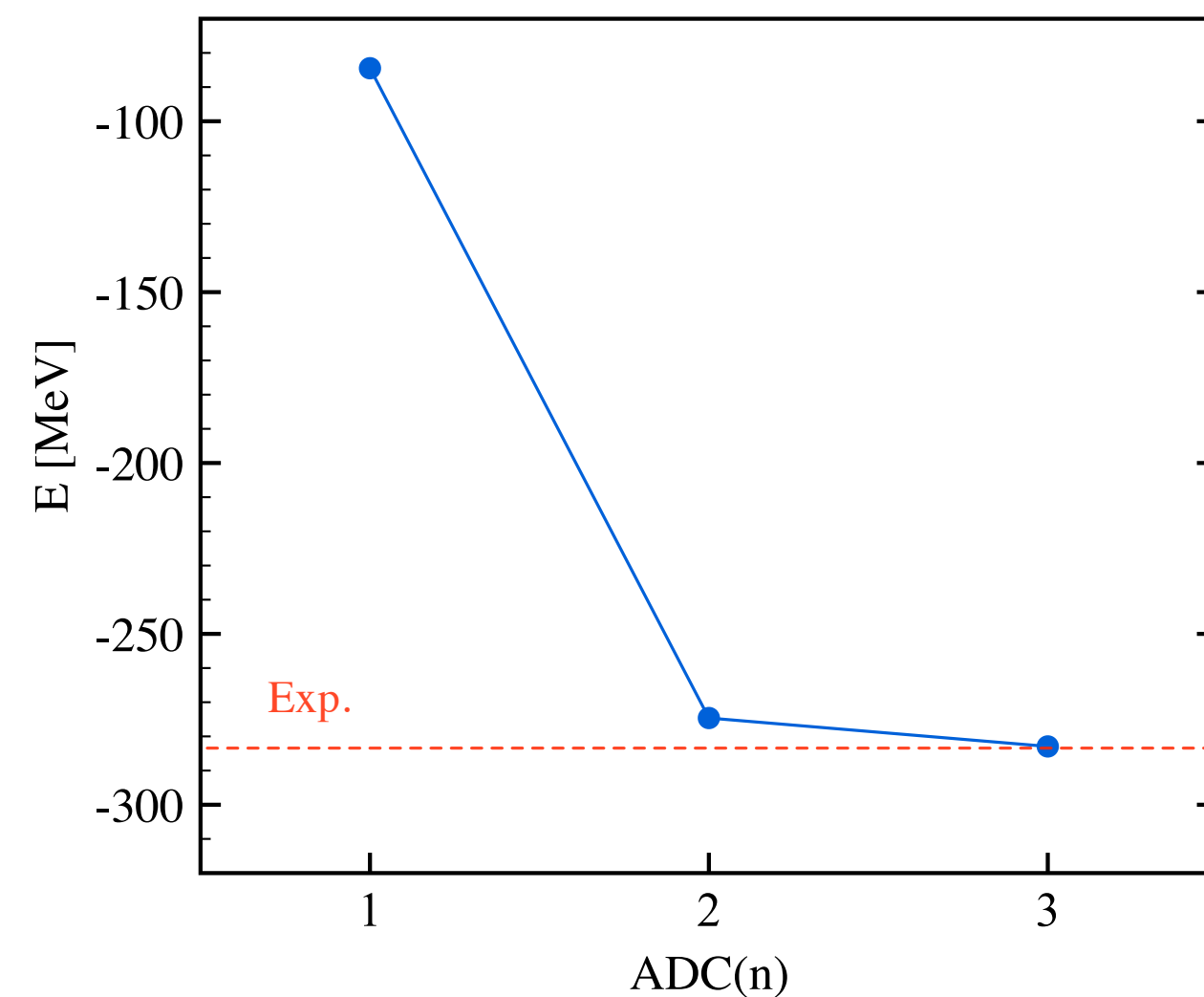
## Convergence of ADC expansion

$^{34}\text{Si}$ ,  $\text{NNLO}_{\text{sat}}$ ,  $e_{\text{max}}=13$

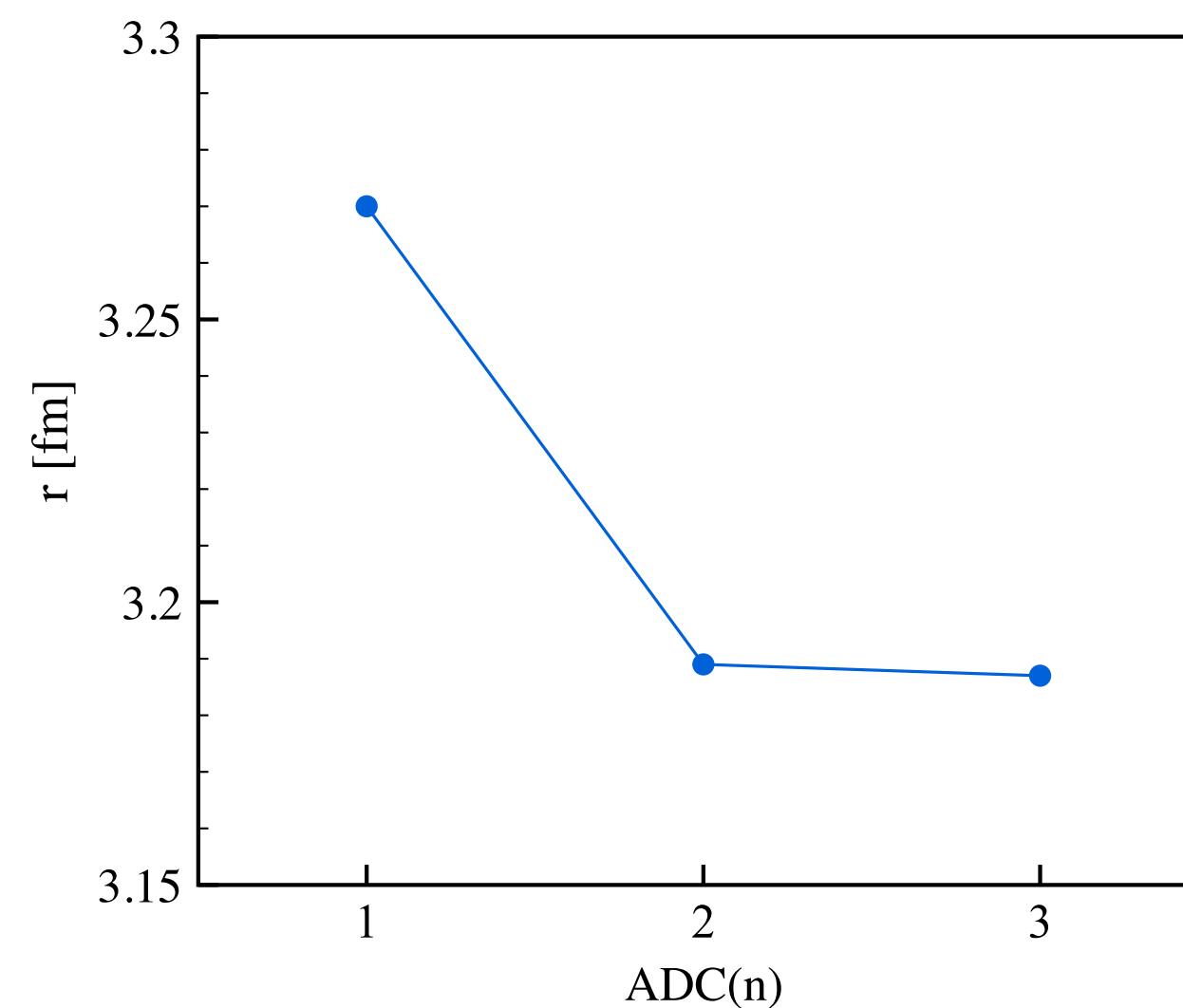
ADC(3)

[Duguet *et al.* 2017]

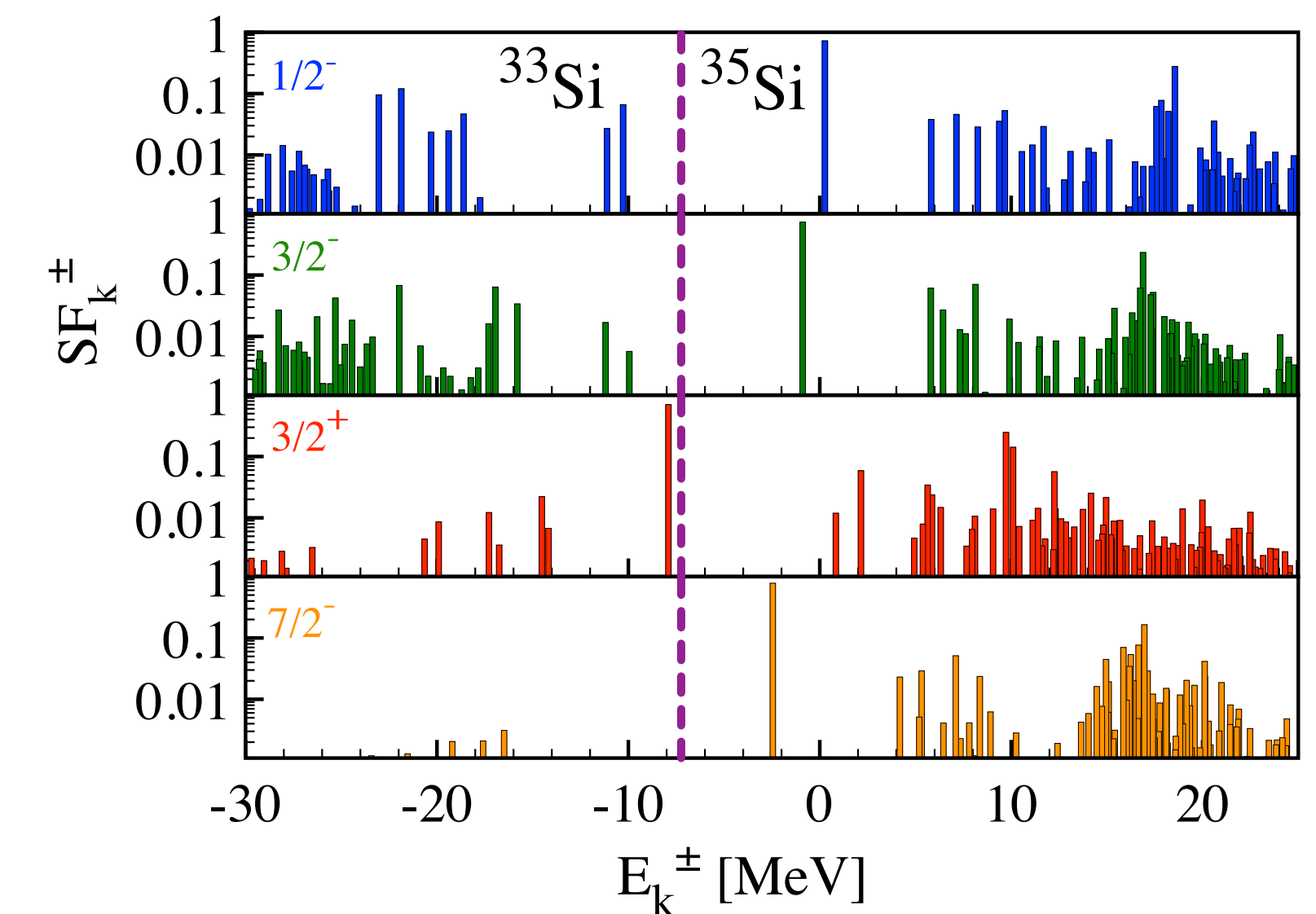
### Binding energy



### Charge radius



### Spectral strength distribution



### Energies

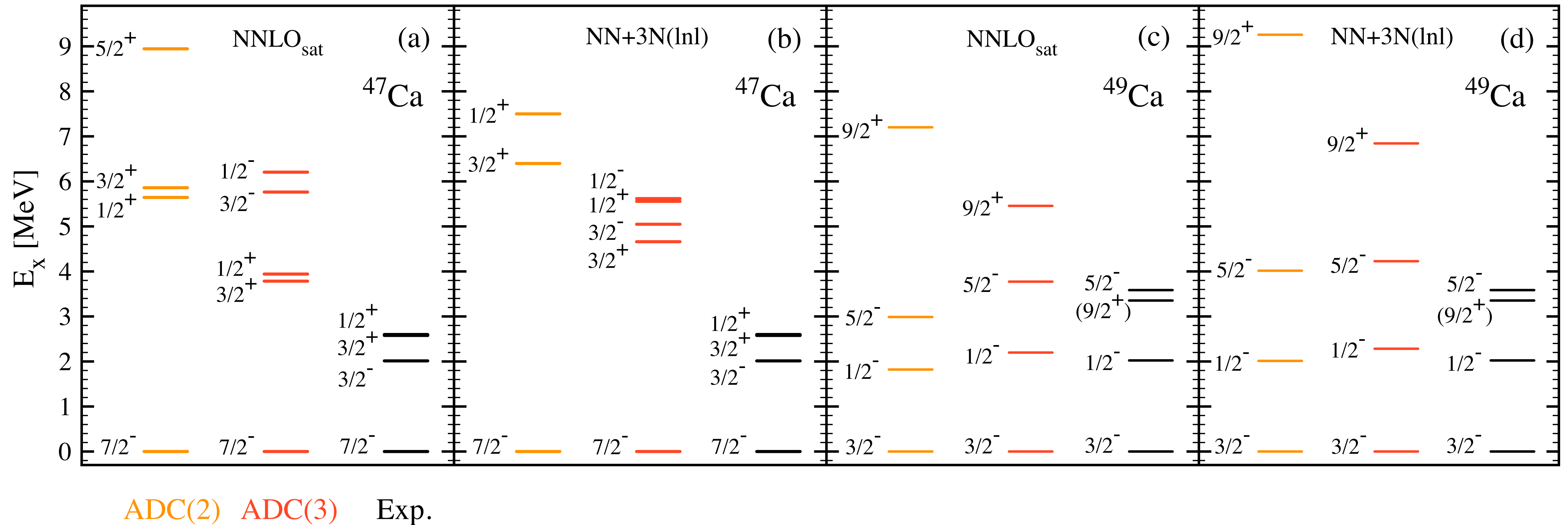
→ ADC(3) brings additional 5-10% correlation energy

### Radii

→ Nearly converged already at ADC(2) level

**Spectral distribution** → ADC(3): more fragmentation, position of main peaks stabilised, smaller peaks qualitatively correct

# Application: spectroscopy of Ca & neighbours



$^{47}\text{Ca}$

Footprint of too large  $N=20$  gap  
ADC(3) helps but not there yet

$^{49}\text{Ca}$

Good reproduction at ADC(2,3)  
ADC(3) brings down higher-lying states

# Symmetry-breaking Green's functions - 1. Particle number

Open-shell nuclei degenerate w.r.t. particle-hole excitations → Reopen gap via **symmetry breaking**

(BMBPT) [Tichai et al. 2018]

(BCC) [Signoracci et al. 2014]

Pairing correlations ⇔

$$\begin{aligned} E_0^{A \pm 2n}(Z \pm 2n, N) - E_0^A(Z, N) &\approx \pm 2n\mu_Z \\ E_0^{A \pm 2n}(Z, N \pm 2n) - E_0^A(Z, N) &\approx \pm 2n\mu_N \end{aligned}$$

Degeneracy associated to creating/annihilating pairs

Hamiltonian → Grand-canonical potential

$$\Omega \equiv H - \mu_Z Z - \mu_N N$$

Symmetry-breaking wave function

$$|\Psi_0\rangle = \sum_A^{\text{even}} |\Psi_0^A\rangle$$

G.s. wave function in equilibrium with a reservoir of Cooper pairs

Generalised one-body GFs

$$\begin{aligned} i g_{\alpha\beta}^{11}(t-t') &\equiv \langle \Psi_0 | T[a_\alpha(t) a_\beta^\dagger(t')] | \Psi_0 \rangle \\ i g_{\alpha\beta}^{12}(t-t') &\equiv \langle \Psi_0 | T[a_\alpha(t) \bar{a}_\beta(t')] | \Psi_0 \rangle \\ i g_{\alpha\beta}^{21}(t-t') &\equiv \langle \Psi_0 | T[\bar{a}_\alpha^\dagger(t) a_\beta^\dagger(t')] | \Psi_0 \rangle \\ i g_{\alpha\beta}^{22}(t-t') &\equiv \langle \Psi_0 | T[\bar{a}_\alpha^\dagger(t) \bar{a}_\beta(t')] | \Psi_0 \rangle \end{aligned}$$

Nambu notation

$$\begin{aligned} i \mathbf{g}_{\alpha\beta}(t-t') &\equiv \langle \Psi_0 | T \{ \mathbf{A}_\alpha(t) \mathbf{A}_\beta^\dagger(t') \} | \Psi_0 \rangle \\ &= i \begin{pmatrix} g_{\alpha\beta}^{11}(t-t') & g_{\alpha\beta}^{12}(t-t') \\ g_{\alpha\beta}^{21}(t-t') & g_{\alpha\beta}^{22}(t-t') \end{pmatrix} \end{aligned}$$

Gorkov equation

$$\mathbf{g}_{\alpha\beta}(\omega) = \mathbf{g}_{0\alpha\beta}(\omega) + \sum_{\gamma\delta} \mathbf{g}_{0\alpha\gamma}(\omega) \Sigma_{\gamma\delta}^*(\omega) \mathbf{g}_{\gamma\beta}(\omega)$$

Self-energy matrix

$$\Sigma_{\alpha\beta}^*(\omega) \equiv \begin{pmatrix} \Sigma_{\alpha\beta}^{*11}(\omega) & \Sigma_{\alpha\beta}^{*12}(\omega) \\ \Sigma_{\alpha\beta}^{*21}(\omega) & \Sigma_{\alpha\beta}^{*22}(\omega) \end{pmatrix}$$

Perturbative expansion

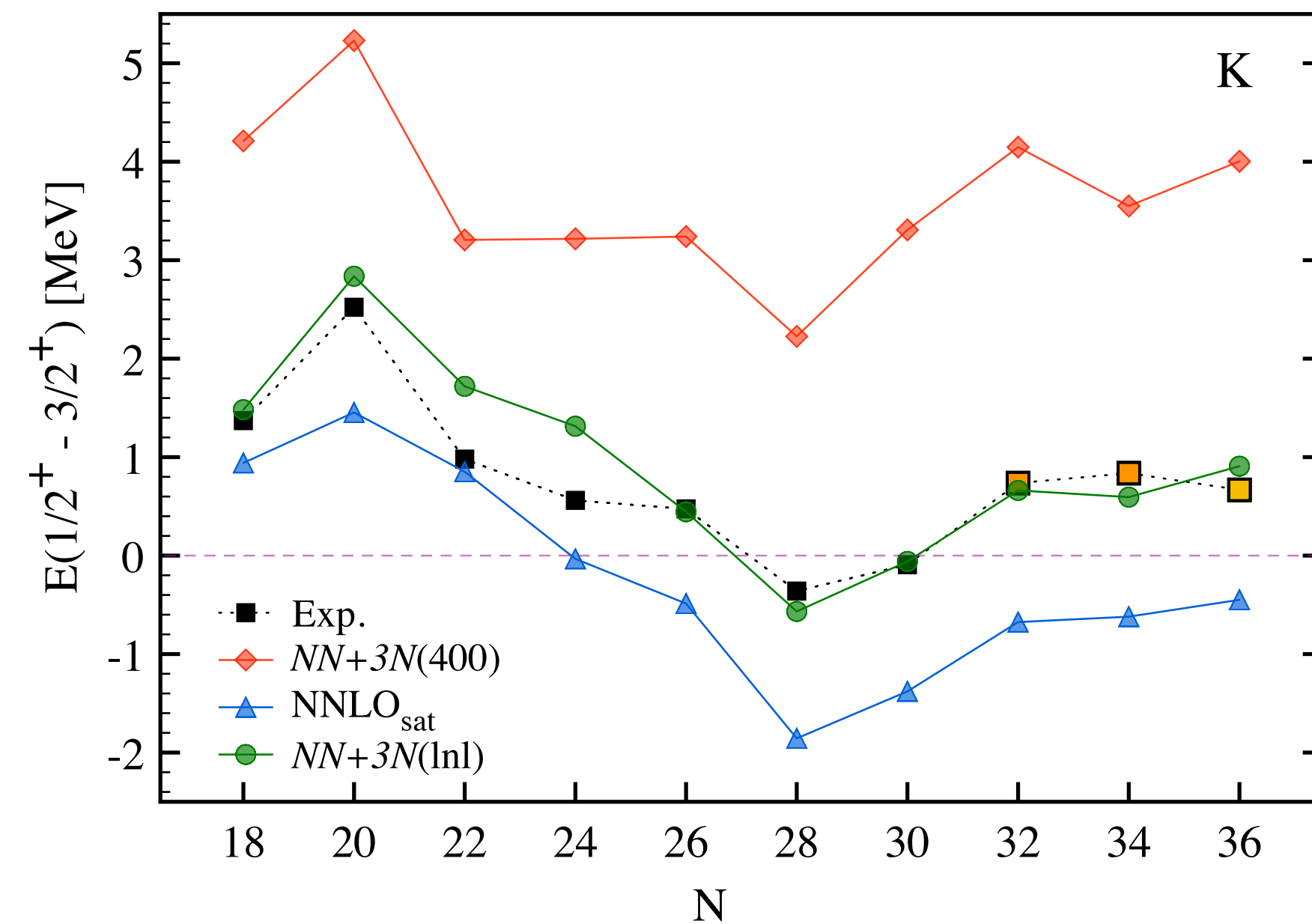
$$\begin{aligned} \Sigma_{\alpha\beta}^{*11}(\omega) &= \text{---} \bigcirc \text{---} + \text{---} \bigcirc \text{---} + \text{---} \bigcirc \text{---} \\ \Sigma_{\alpha\beta}^{*21}(\omega) &= \text{---} \bigcirc \text{---} + \text{---} \bigcirc \text{---} + \text{---} \bigcirc \text{---} \end{aligned}$$

[Somà, Duguet, Barbieri 2011]

# Application: spectroscopy of K and Cl chains

Spectroscopy through full isotopic chains becomes in reach

→ Case of **inversion & re-inversion of g.s. spin in K isotopes**

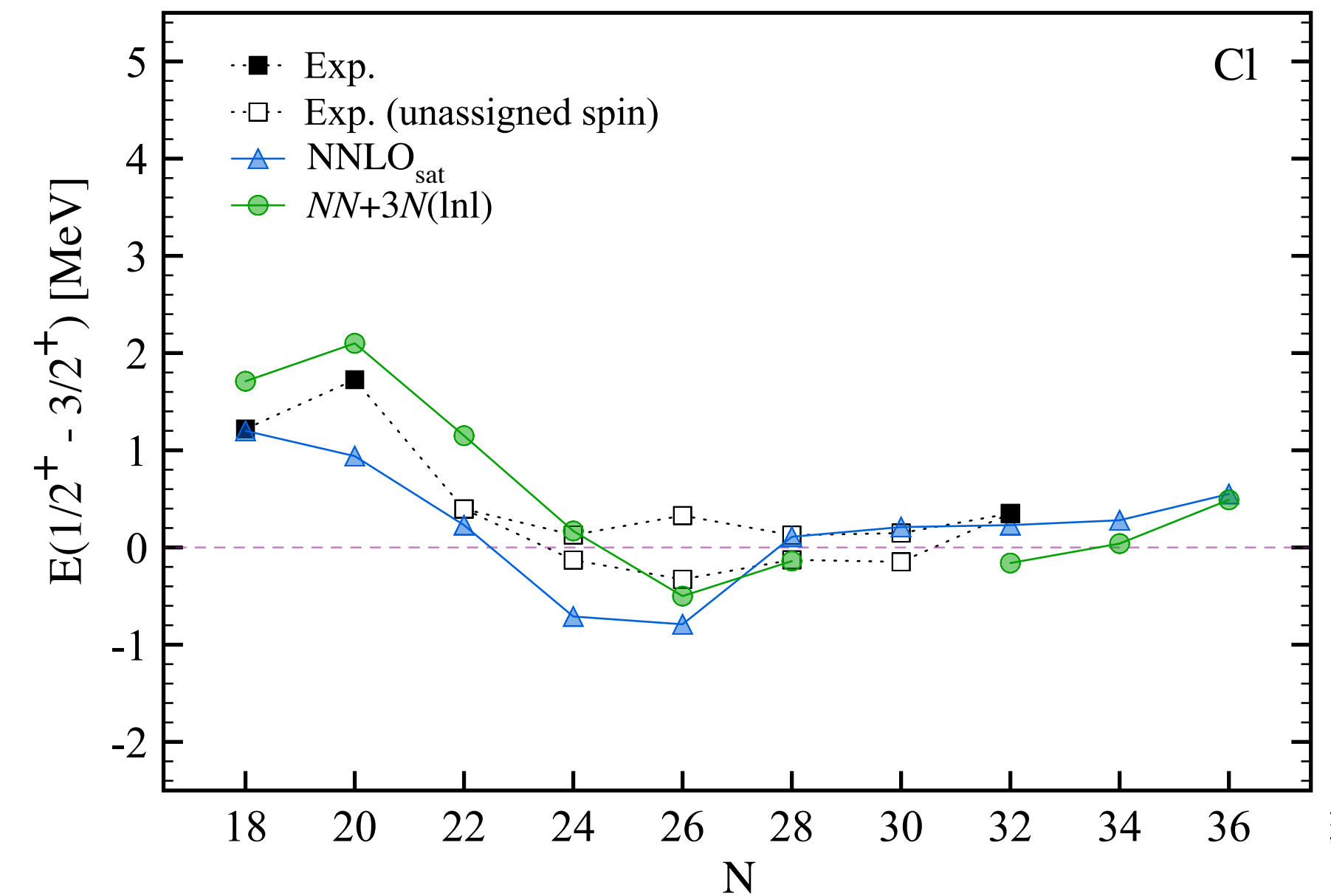


[Somà *et al.* 2020]

■ [Sun *et al.* 2020]

■ [Koiwai *et al.* 2022]

Extension to Cl chain



[Linh *et al.* 2021]

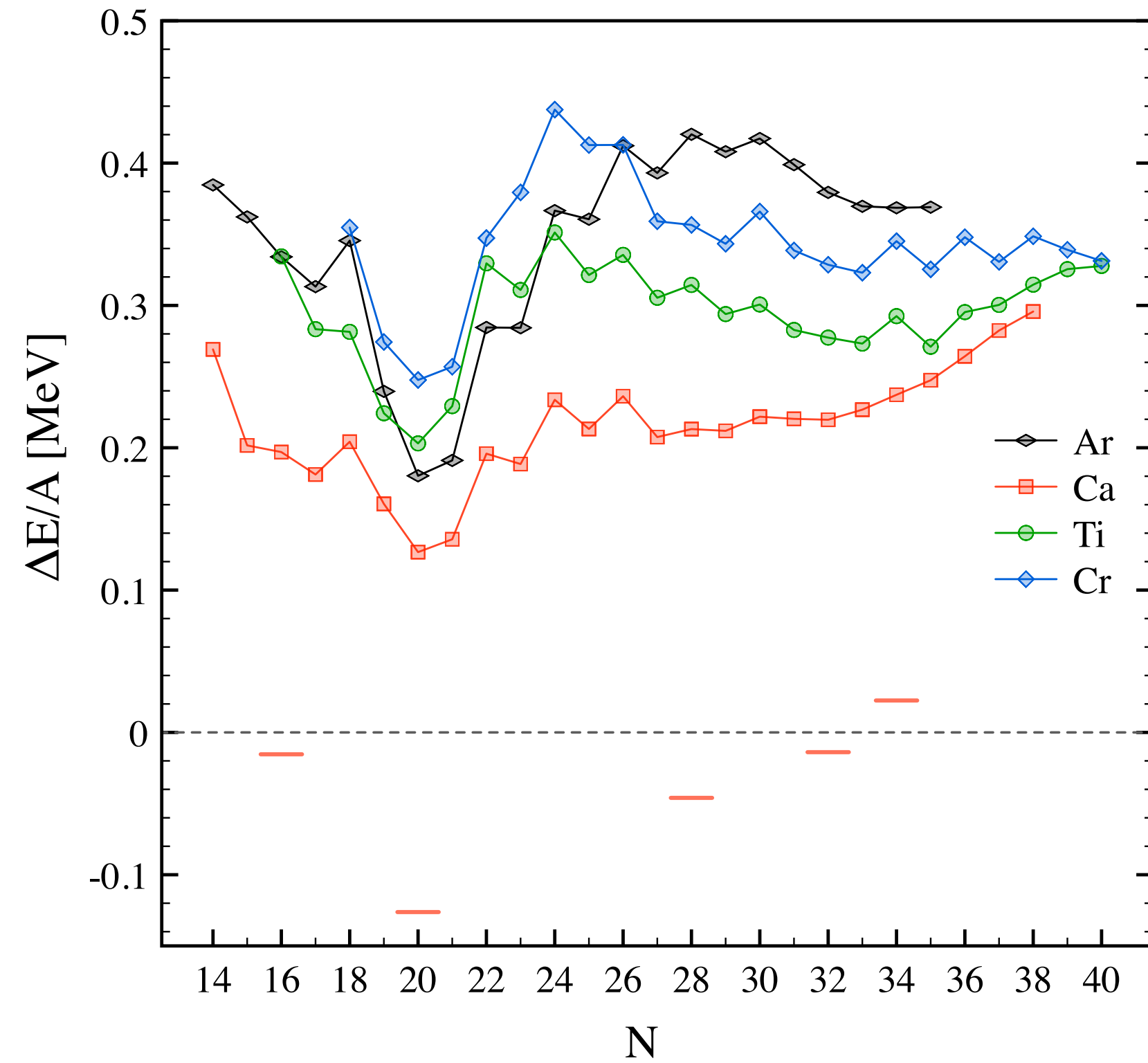
→ Gorkov ADC(2) calculations capable of grasping main evolution in K

→ Cl case more complicated because of increased collectivity

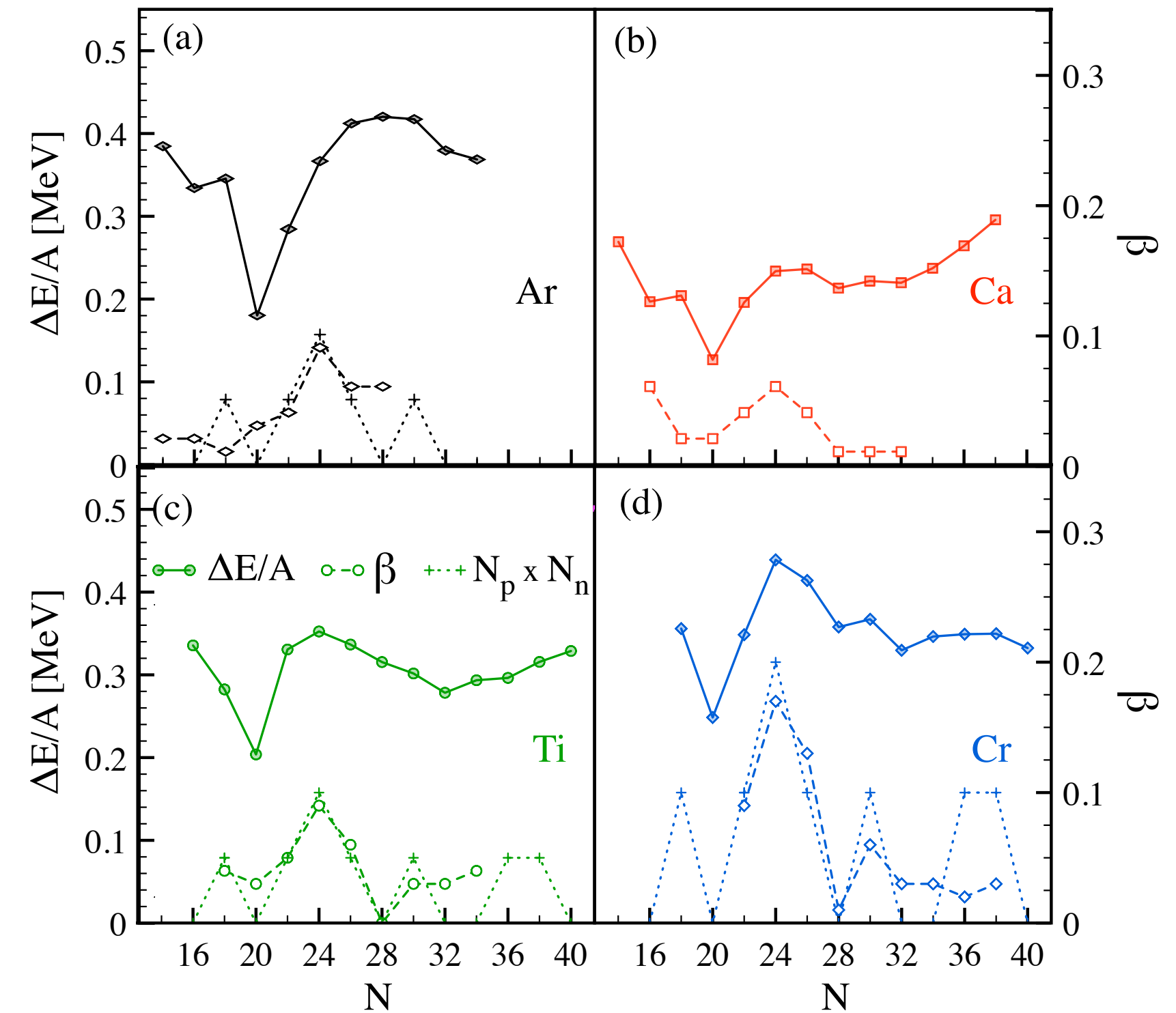
# Footprint of deformation

Impact of missing correlations visible **moving away from semi-magic** calcium chain

[Somà *et al.* 2021]



Behaviour consistent throughout isotopic chains



**Correlation with** measures of **deformation**

➡ Calls for **extension to SU(2)-breaking scheme** when addressing doubly open-shell nuclei

# Role of many-body correlations

How **SU(2)-breaking schemes** help in the description of open-shell nuclei?

- **Pedagogical analysis** of how MB correlations work out in *ab initio*
- Step-by-step study of the contribution of MB correlations to the total energy
- Understand the **best strategy to capture correlations** with different methods:

**Symmetry Conserving** vs **Symmetry Breaking**

Systems under study:

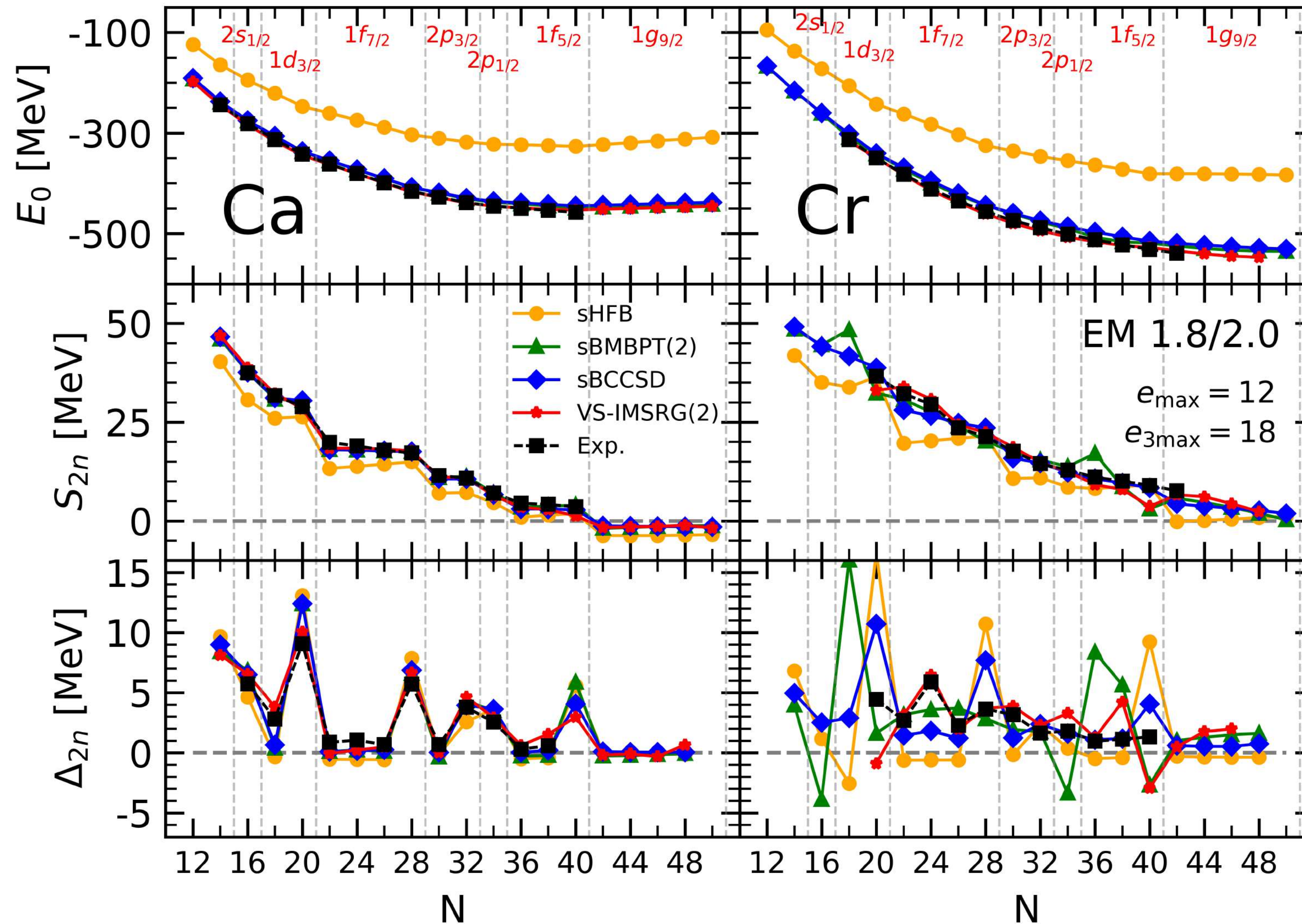
Medium-mass singly-closed shell  $\longrightarrow$  **Ca**

Medium-mass doubly-closed shell  $\longrightarrow$  **Cr**

**Methods:**

<b>s</b> HFB	<b>d</b> HFB
<b>s</b> BMBPT(2)	<b>d</b> BMBPT(2)
<b>s</b> BCCSD	
<b>s</b> VS-IMSRG(2)	

# Role of many-body correlations



**Ca**  $\rightarrow$  wrong curvature with sHFB

- low effective mass
- attractive valence-space MEs

MB correlations corrections:

- **increase** of effective mass
- make valence-space MEs **repulsive**

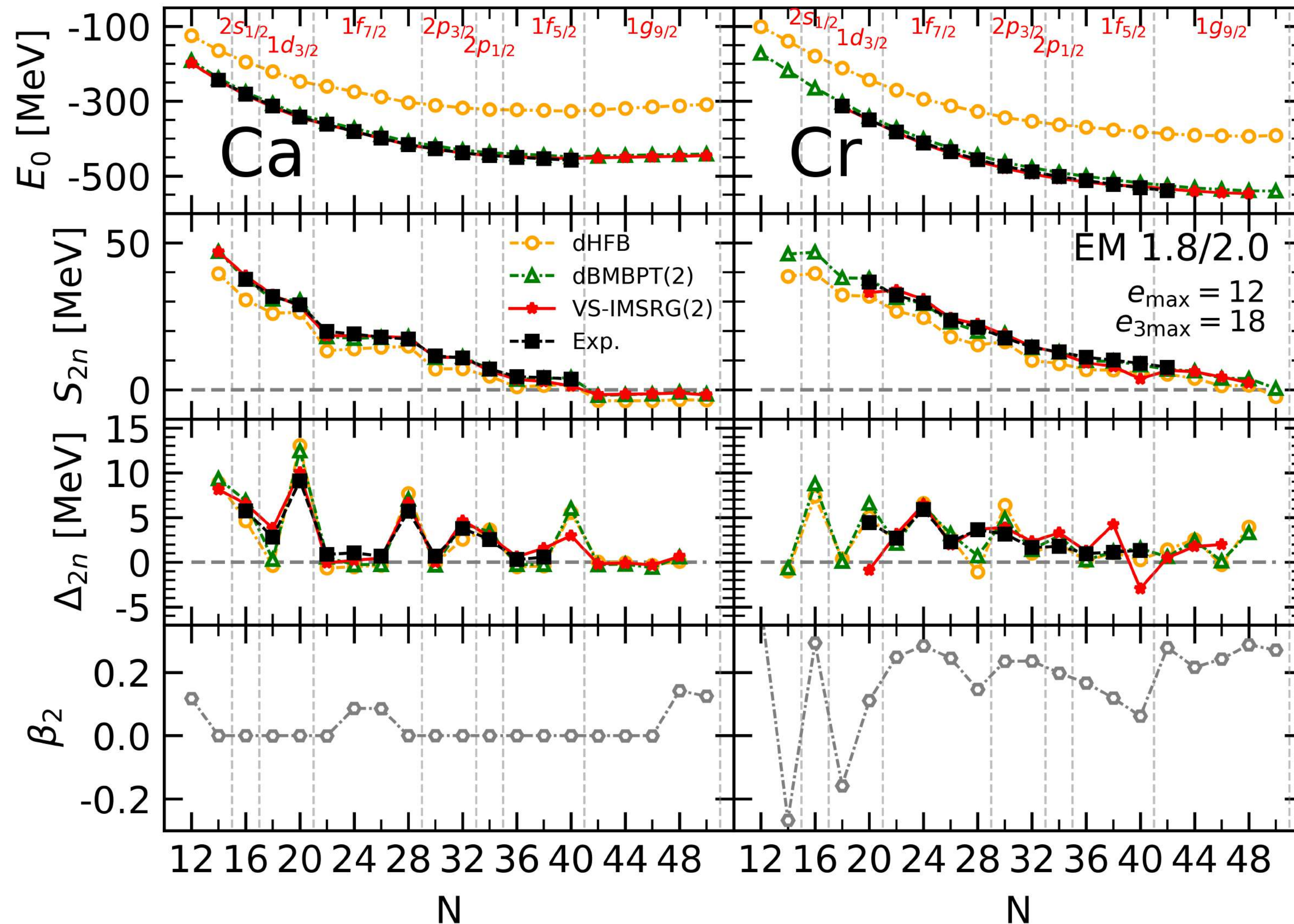
**Cr**  $\rightarrow$  wrong curvature with sHFB

For symm. conserving methods



**higher MB orders needed**

# Role of many-body correlations



- deformation doesn't change res. in Ca

- dHFB **corrects curvature** in Cr

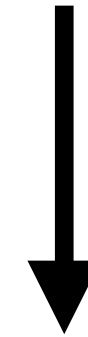


Activation of **quadrupole MEs**

# Role of many-body correlations

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- **Deformation is necessary** to correctly describe doubly-open shell nuclei at polynomial cost
- It is **sufficient** since most of nuclei when deformed have no pairing with current  $\chi$ EFT interactions w.r.t.  $U(1)$  sym. break.



- It is a good strategy to set up methods breaking  $SU(2)$  and not  $U(1)$
  - Necessity of a **non-perturbative deformed theory** to go beyond perturbation theory
- calls for **Dyson formalism** in **deformed SCGF** calculations!

[Hagen *et al.* 2014]

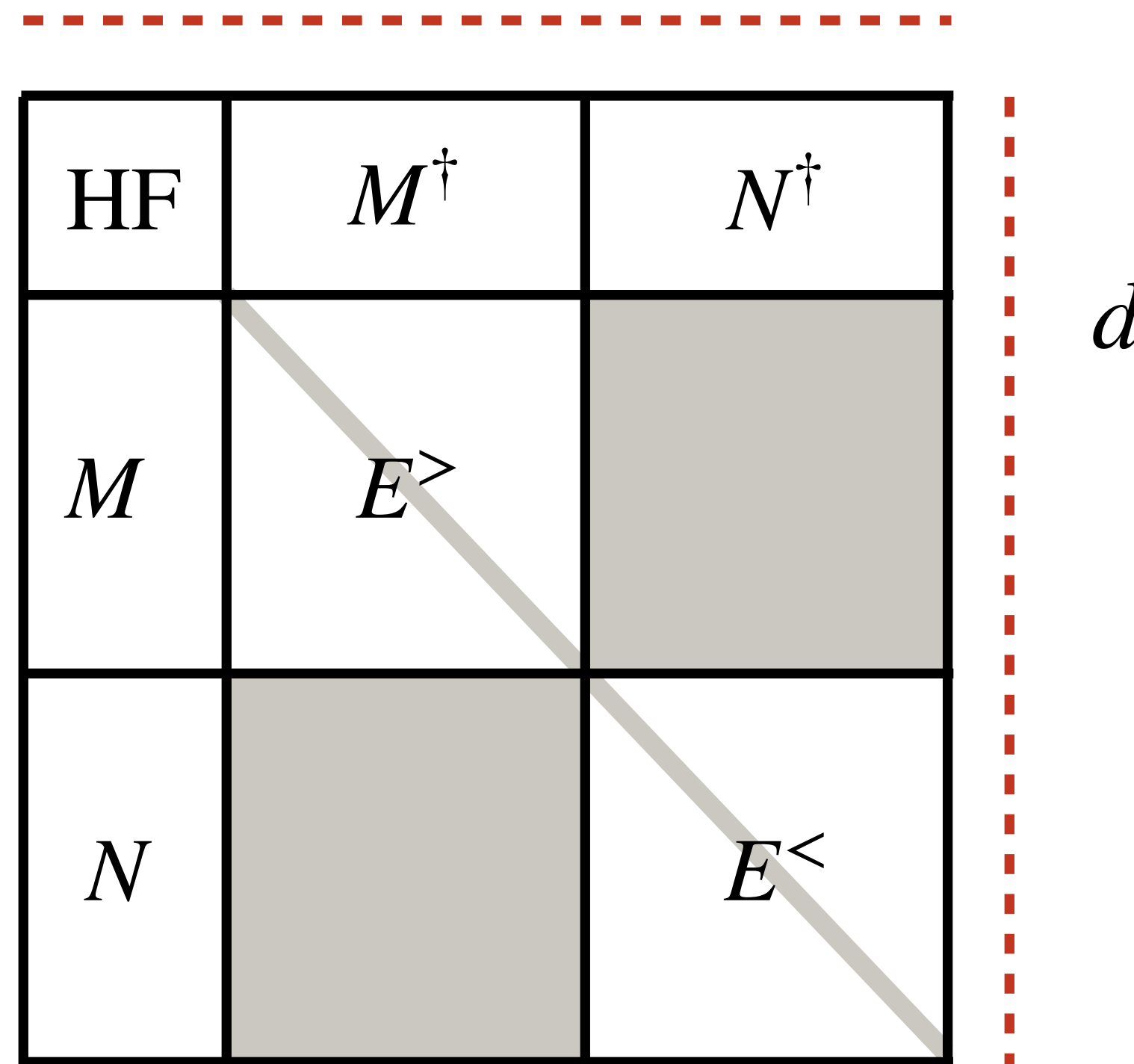
[Frosini *et al.* 2021]

See also T Papenbrock and J. M. Yao talks!

# Symmetry-breaking Green's functions - 2. Rotational symmetry

- **Dyson** formalism
- **m-scheme** based
- **Symmetry blocks** of  $m, \pi, t$ , mixing of  $n, j$

For every  $(m, \pi, t)$




$e_{max}$	biggest $d$ ( $^{16}\text{O}$ )
2	629
4	6480
6	35001
8	112016
10	285429
12	617580

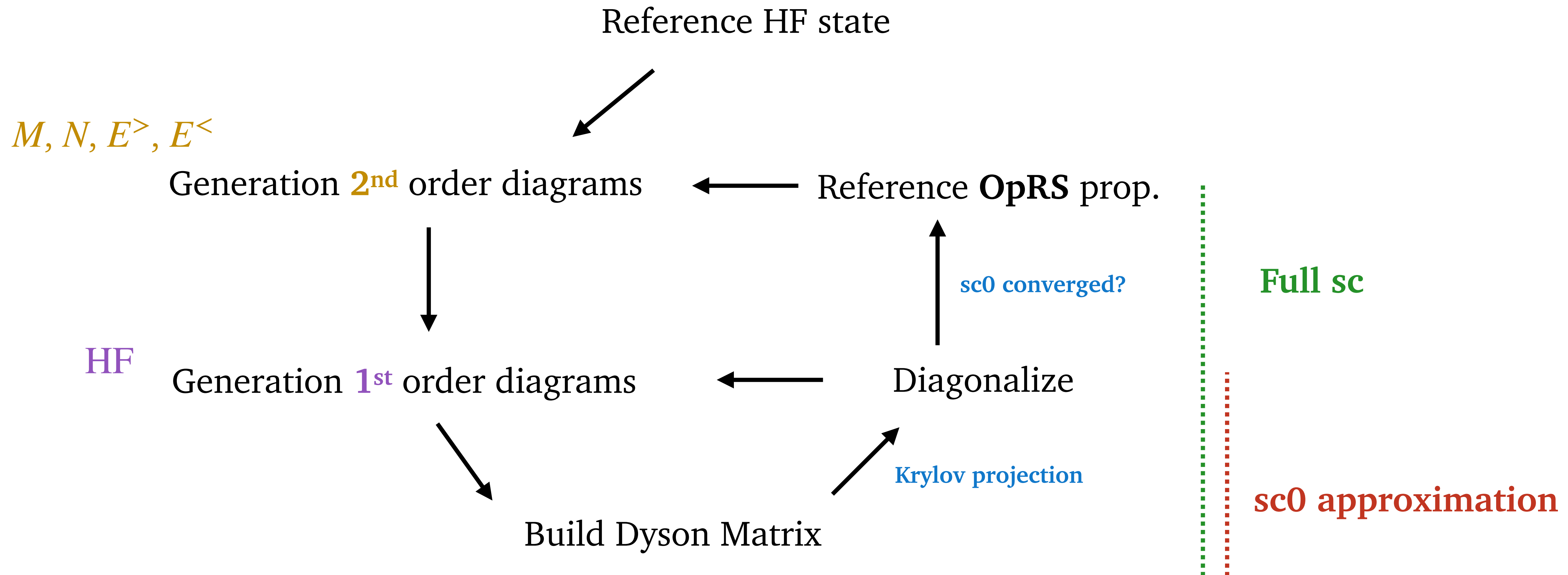
- Dyson matrix decomposed in bigger blocks than spherical case

# New Numerical Code for *ab initio* deformed calculations

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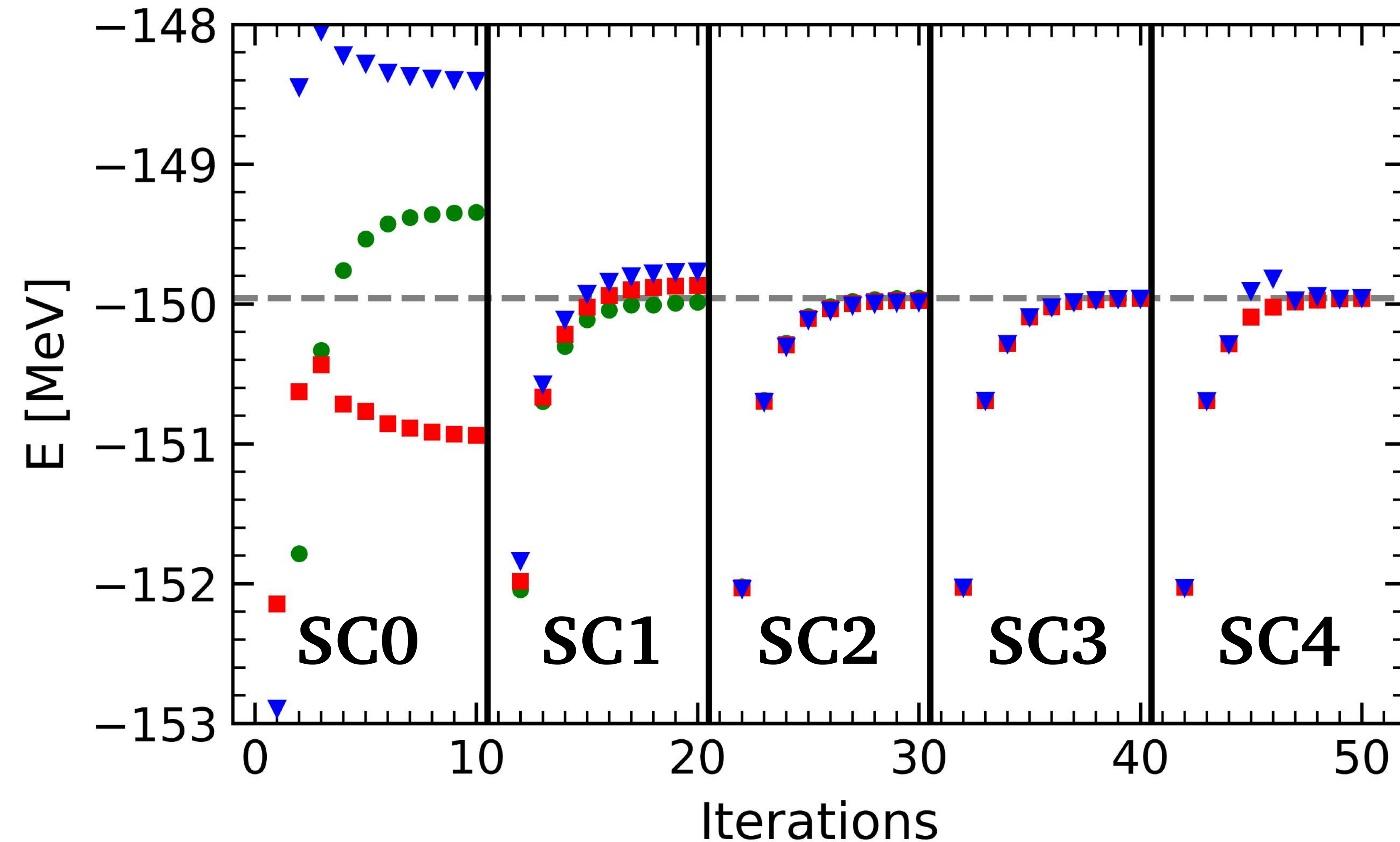
- Axially **deformed HF reference state**
- **Eigen C++** library to handle 1- and 2-body tensors 
- **OpenMP** parallelization (multi-node MPI will be needed for future developments)
- Three-body forces included through rank reduction of operators
- Works with any generic spherical basis read from file
- Implements multi-pivot **Lanczos** algorithm for Krylov projection, **OpRS** for full SC calculations

## The Self-Consistent loop



# dDSCGF(2) results - A numerical proof of Self-Consistency

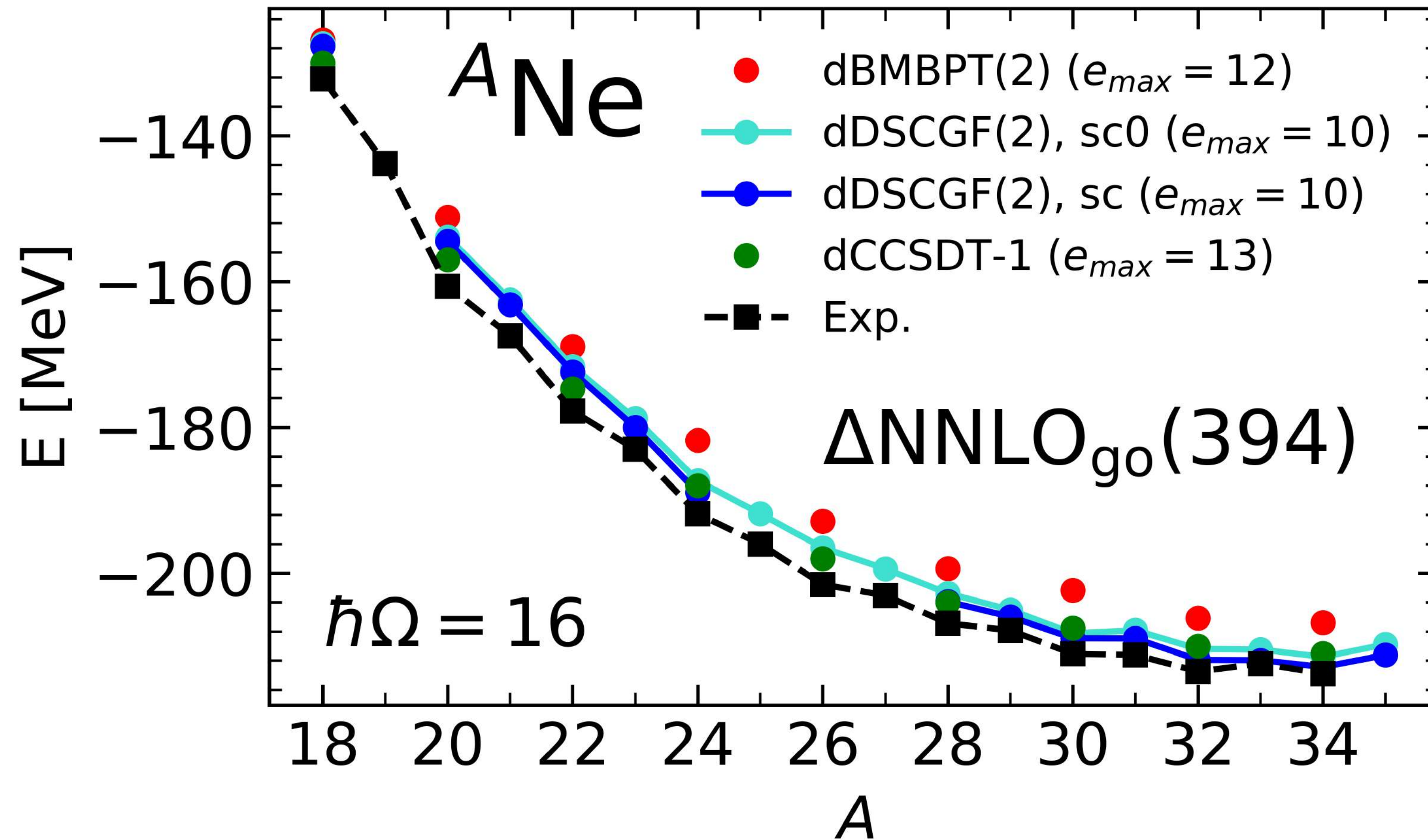
Calculation: ADC(2),  $^{20}\text{Ne}$ ,  $\Delta\text{NNLO}_{\text{go}}(394)$



Reference HF state:

- $^{20}\text{Ne}$ ,  $\Delta\text{NNLO}_{\text{go}}(394)$
- $^{20}\text{Ne}$ ,  $\text{NNLO}_{\text{sat}}(\text{bare})$
- $^{24}\text{Mg}$ ,  $\text{NNLO}_{\text{sat}}(\text{bare})$

# dDSCGF(2) results - Total Energy



## GMK sum rule

$$E_0^A = \frac{1}{2\pi} \int_{-\infty}^{\epsilon_F^-} d\omega \sum_{\alpha\beta} (t_{\alpha\beta} + \omega t_{\alpha\beta}) \text{Im} g_{\beta\alpha} \quad \text{2-Body}$$

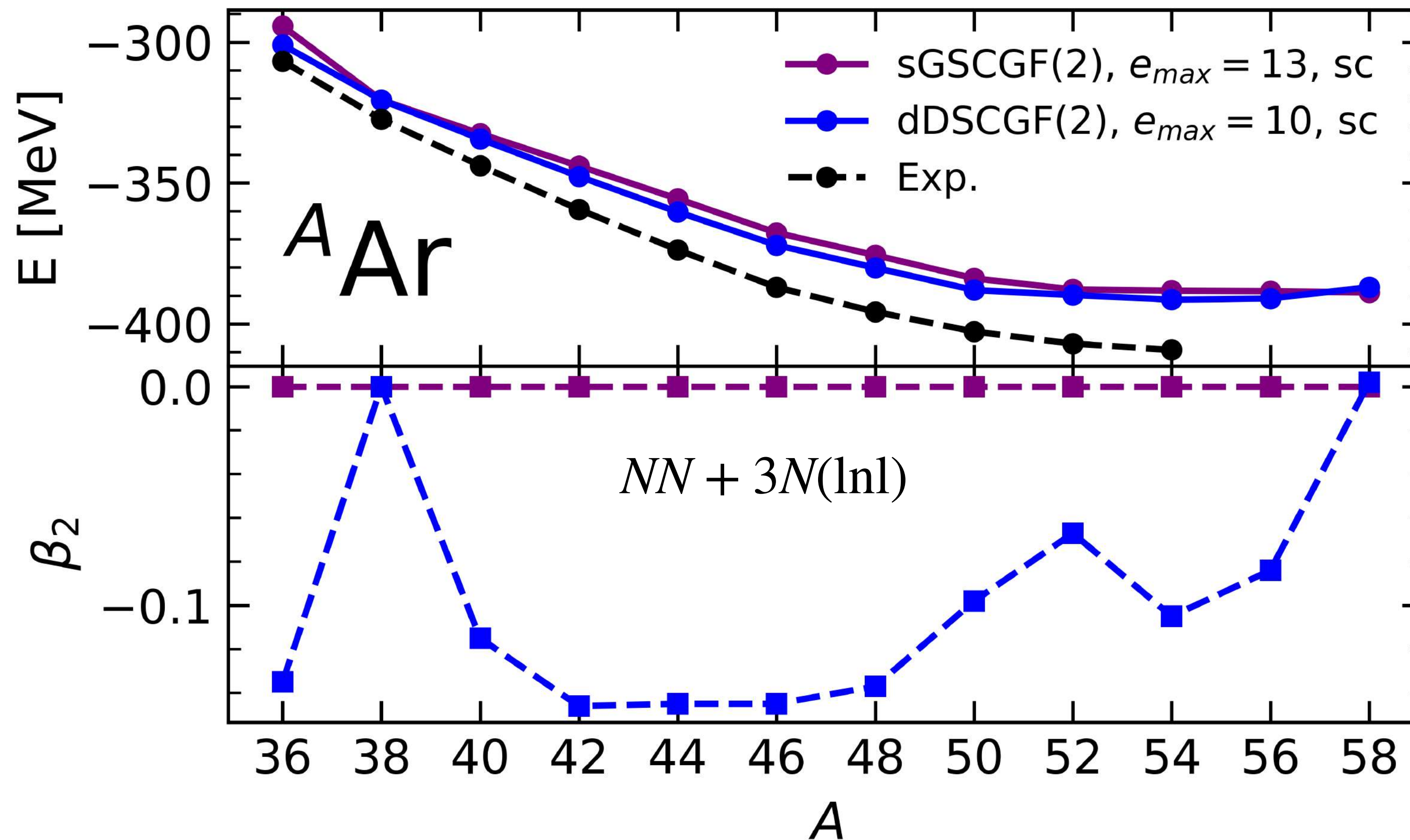
$$- \frac{1}{2} \sum_{\alpha\gamma\epsilon\beta\delta\eta} w_{\alpha\gamma\epsilon\beta\delta\eta} \rho_{\beta\delta\eta\alpha\gamma\epsilon} \quad \text{3-Body}$$

$\sim \rho_{\beta\alpha} \rho_{\delta\gamma} \rho_{\eta\epsilon}$

- $\approx 4$  MeV overall **gain from dBMBPT(2)**
- $\approx 1.5$  MeV difference w.r.t. dCCSDT-1
- **sc** gain w.r.t. **sc0** increases with mass
- access to **odd-even isotopes**

Particle addition/removal GF formalism

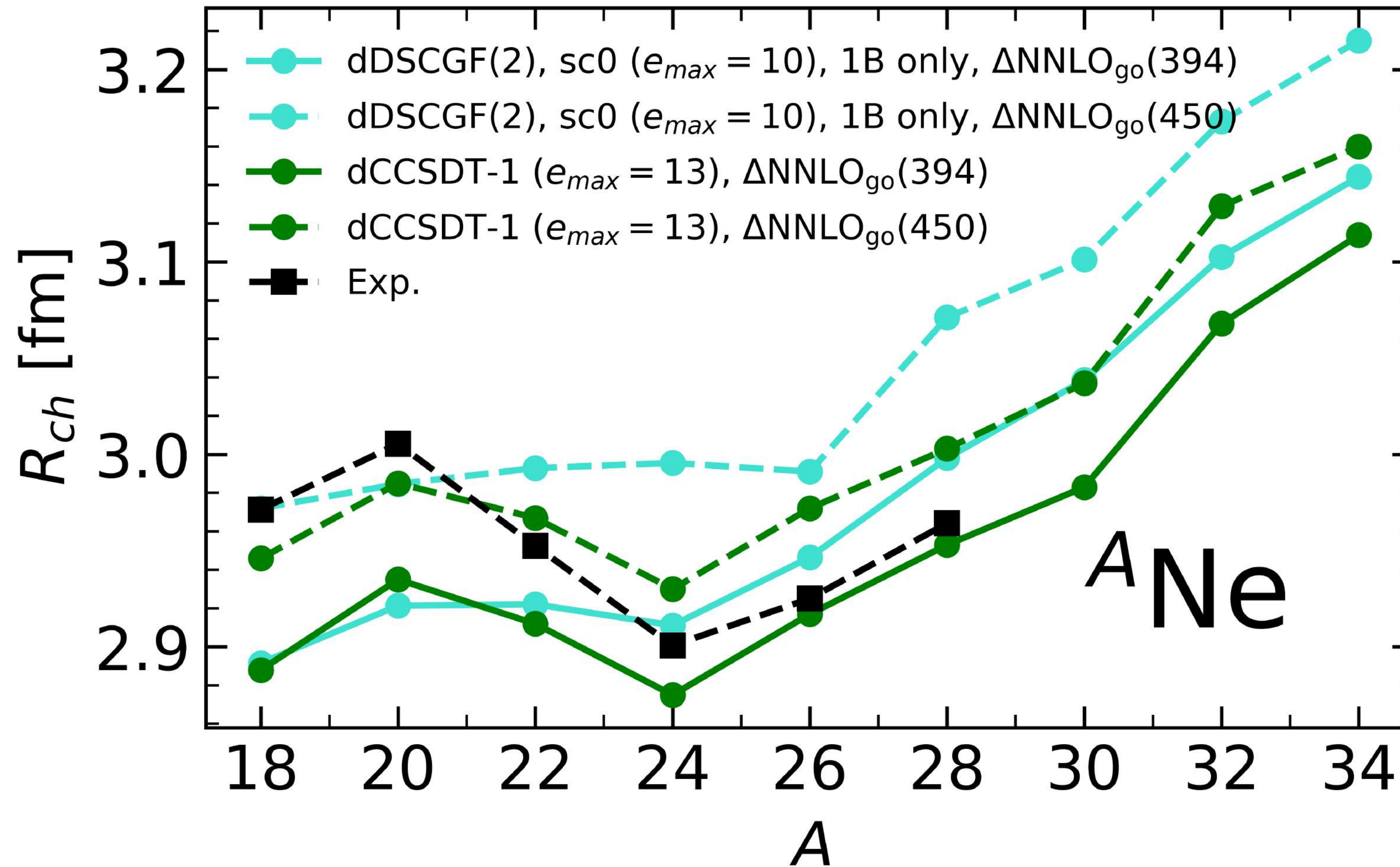
# dDSCGF(2) results - Comparison with spherical results



- **oblate** isotopic chain
- **sphericity recovered** at shell closures
- **correlation** of difference **w.r.t. def.**
- $\approx 3.5$  MeV overall gain
- Further MeV to be gained for dDSCGF

→ **Improved description** of collectivity

# dDSCGF(2) results - Charge Radii

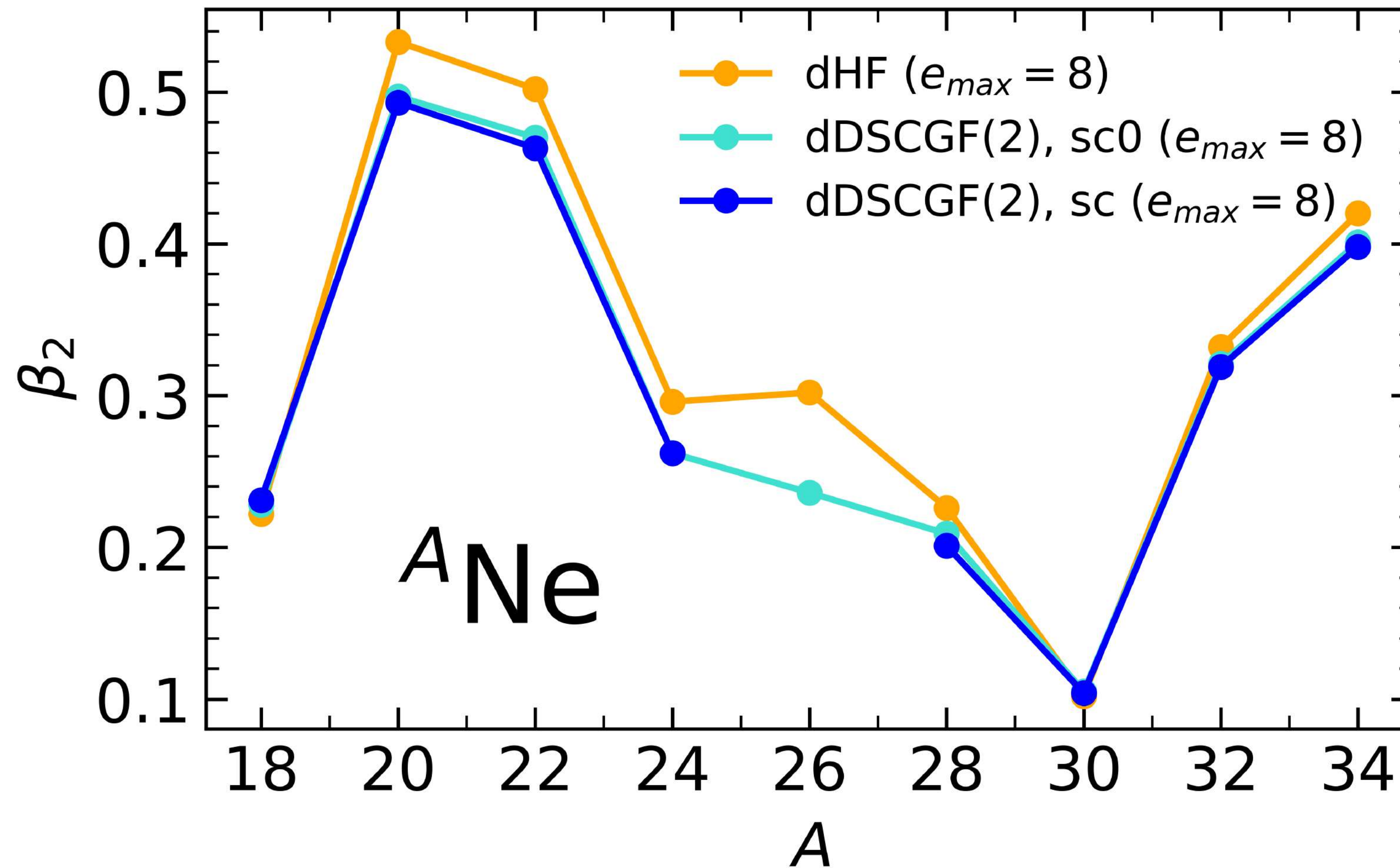


**1B + 2B CoM corrections**

$$R_{ch}^2 = R_p^2 + \underbrace{\langle r_p^2 \rangle + \frac{N}{Z} \langle r_n^2 \rangle}_{\text{1B + 2B CoM corrections}} + \underbrace{\langle r_{DF}^2 \rangle + \langle r_{SO}^2 \rangle}_{\text{2B CoM corrections}}$$

- Overall trend follows dCCSDT-1
- 2B CoM corrections missing in dDSCGF

# dDSCGF(2) results - $\beta_2$ deformation



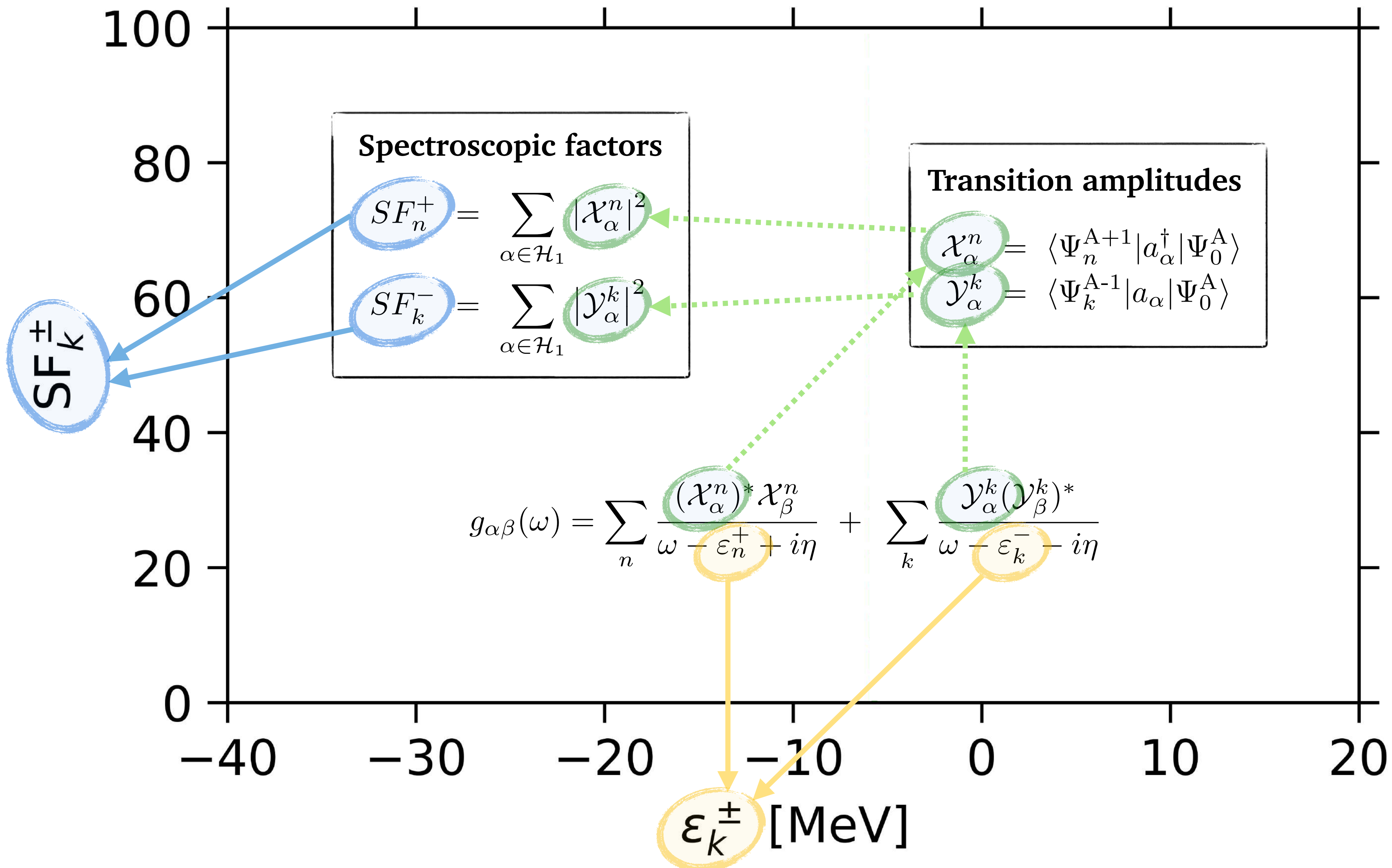
$$\langle \alpha | Q_{20} | \beta \rangle = \langle \alpha | r^2 Y_{20} | \beta \rangle$$

$$q_{20} = \sum_{\alpha\beta} \langle \alpha | Q_{20} | \beta \rangle \rho_{\beta\alpha}$$

$$\beta_2 = \frac{4}{3} \pi q_{20} \frac{1}{A R_0^2}$$

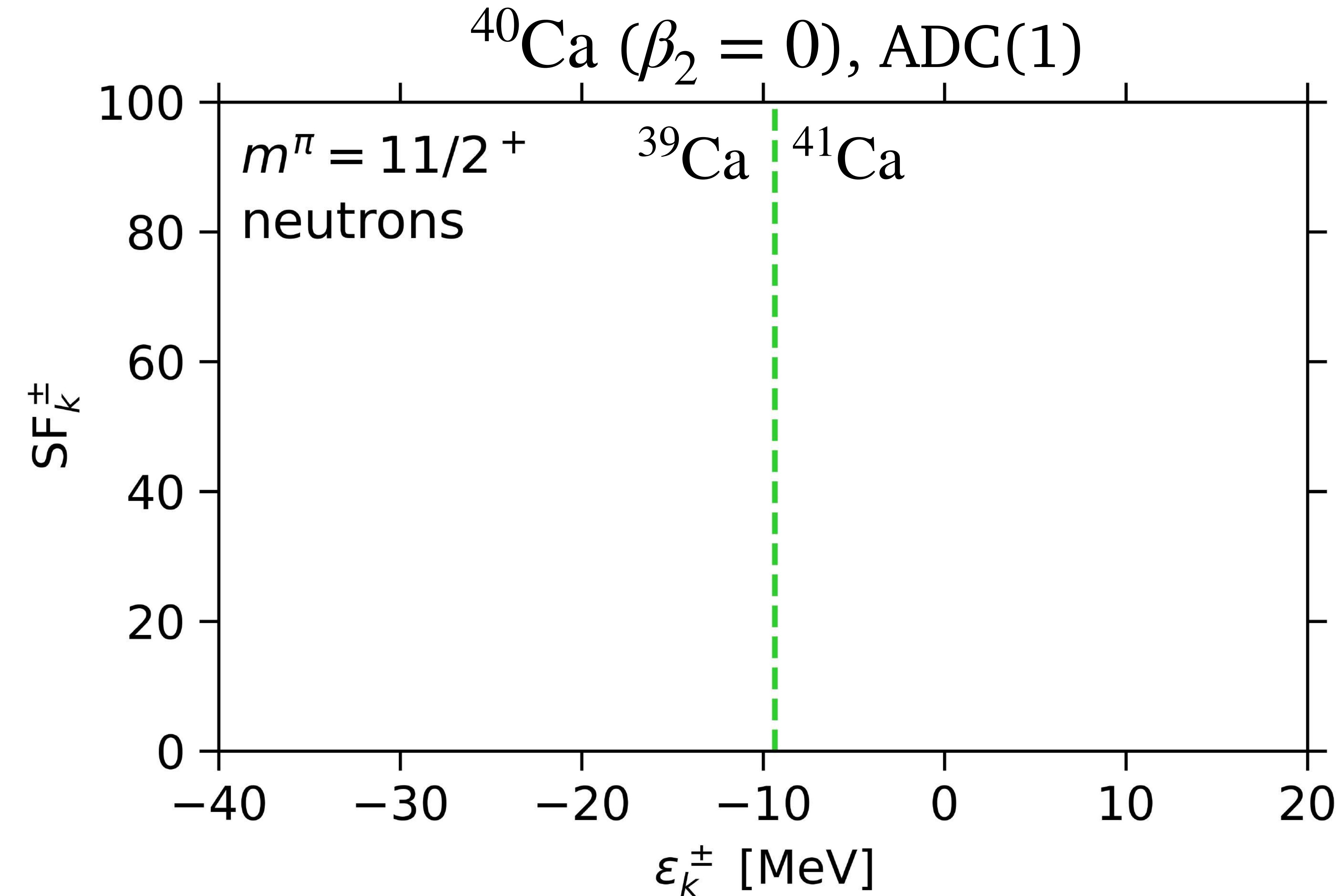
→ Deformation decreases with MB correlations

# dDSCGF(2) results - Spectroscopic Amplitudes

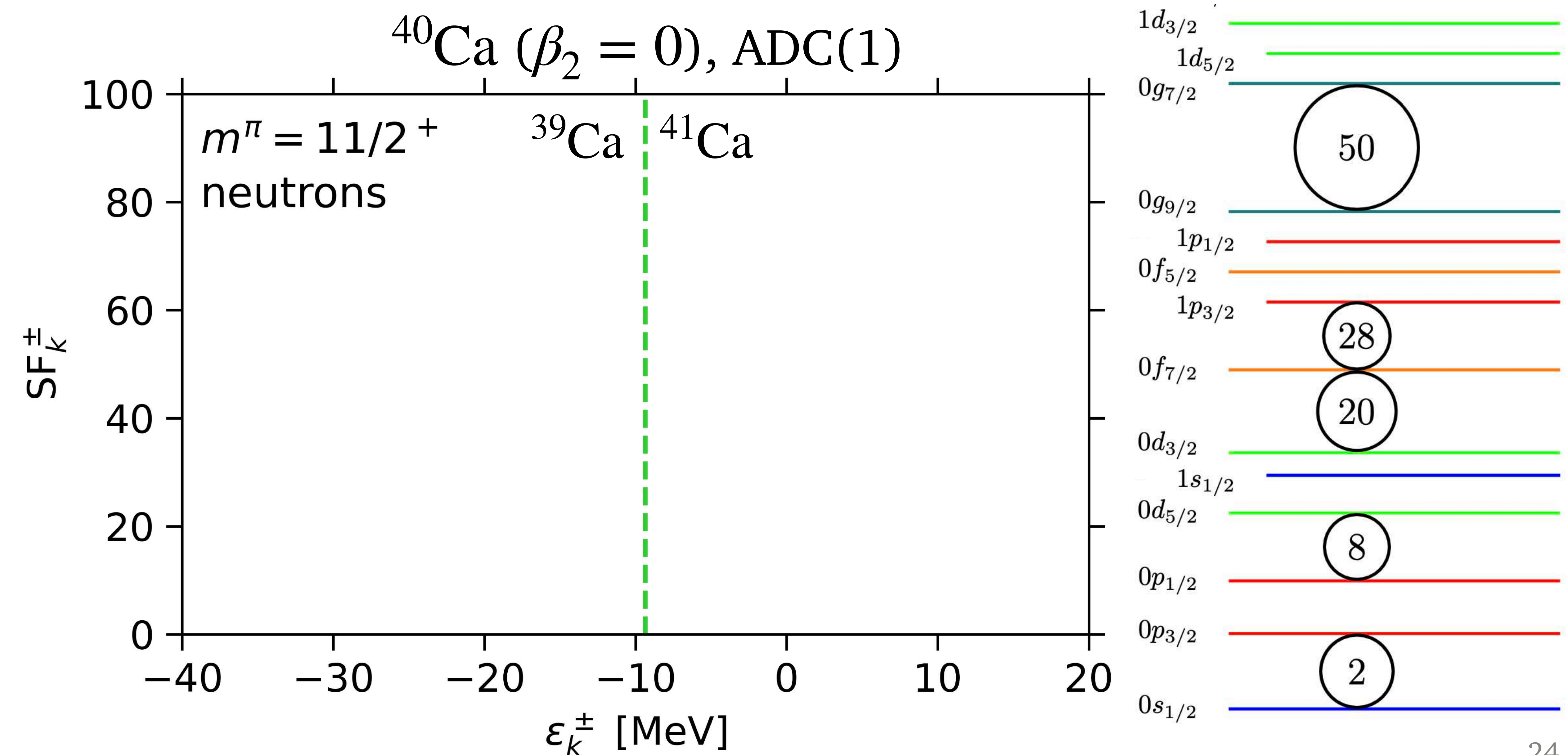


Reminder

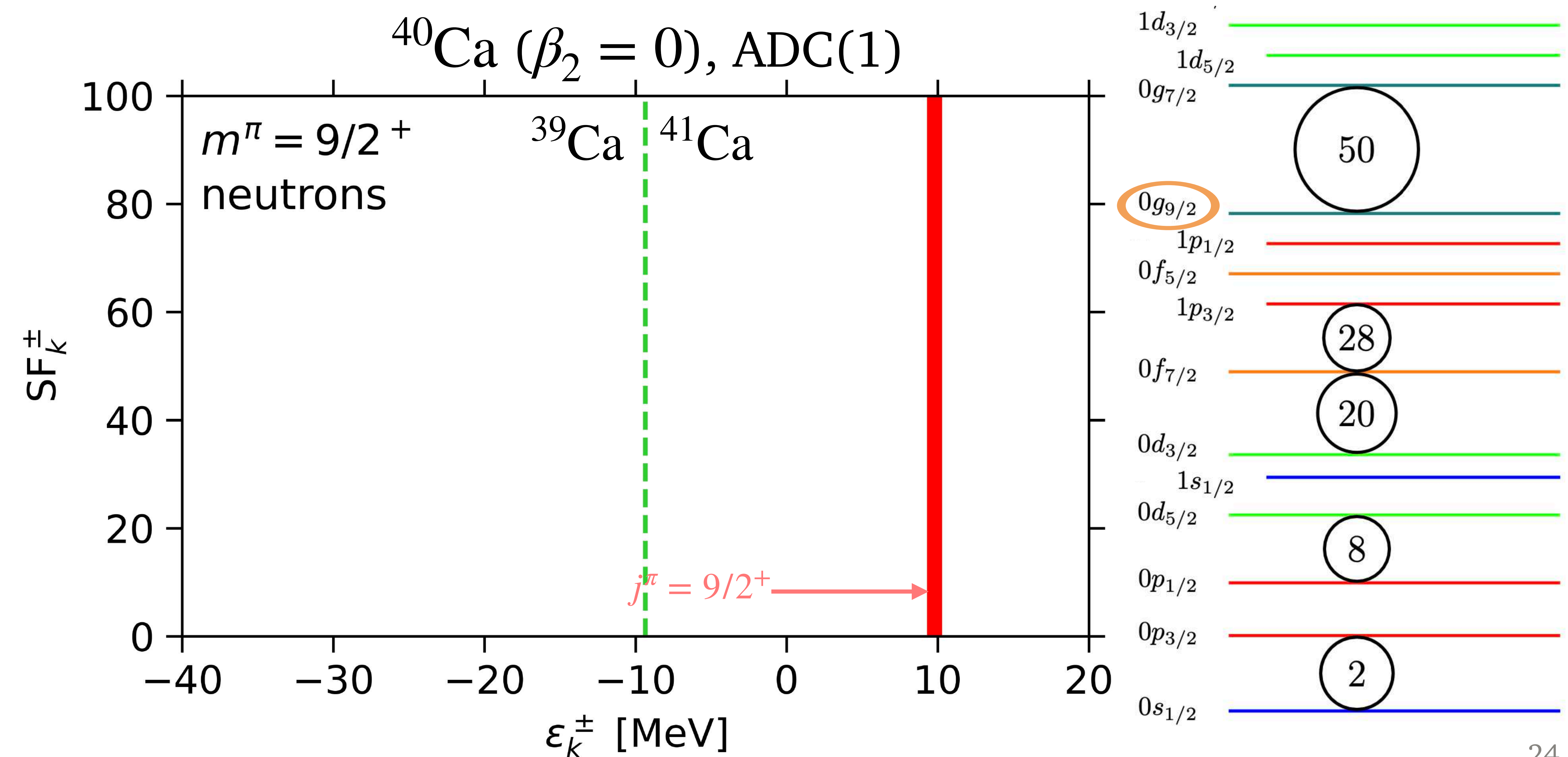
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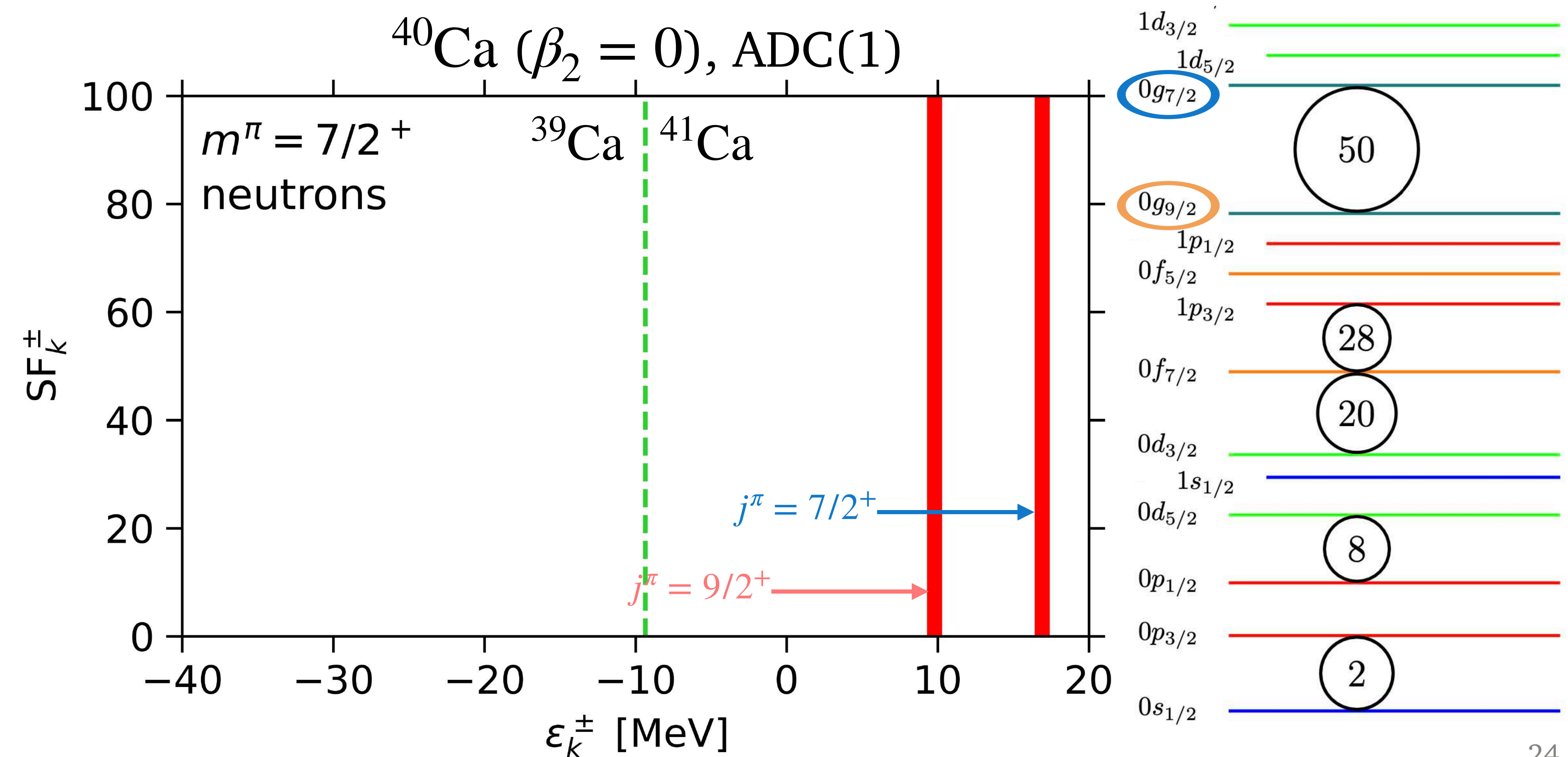
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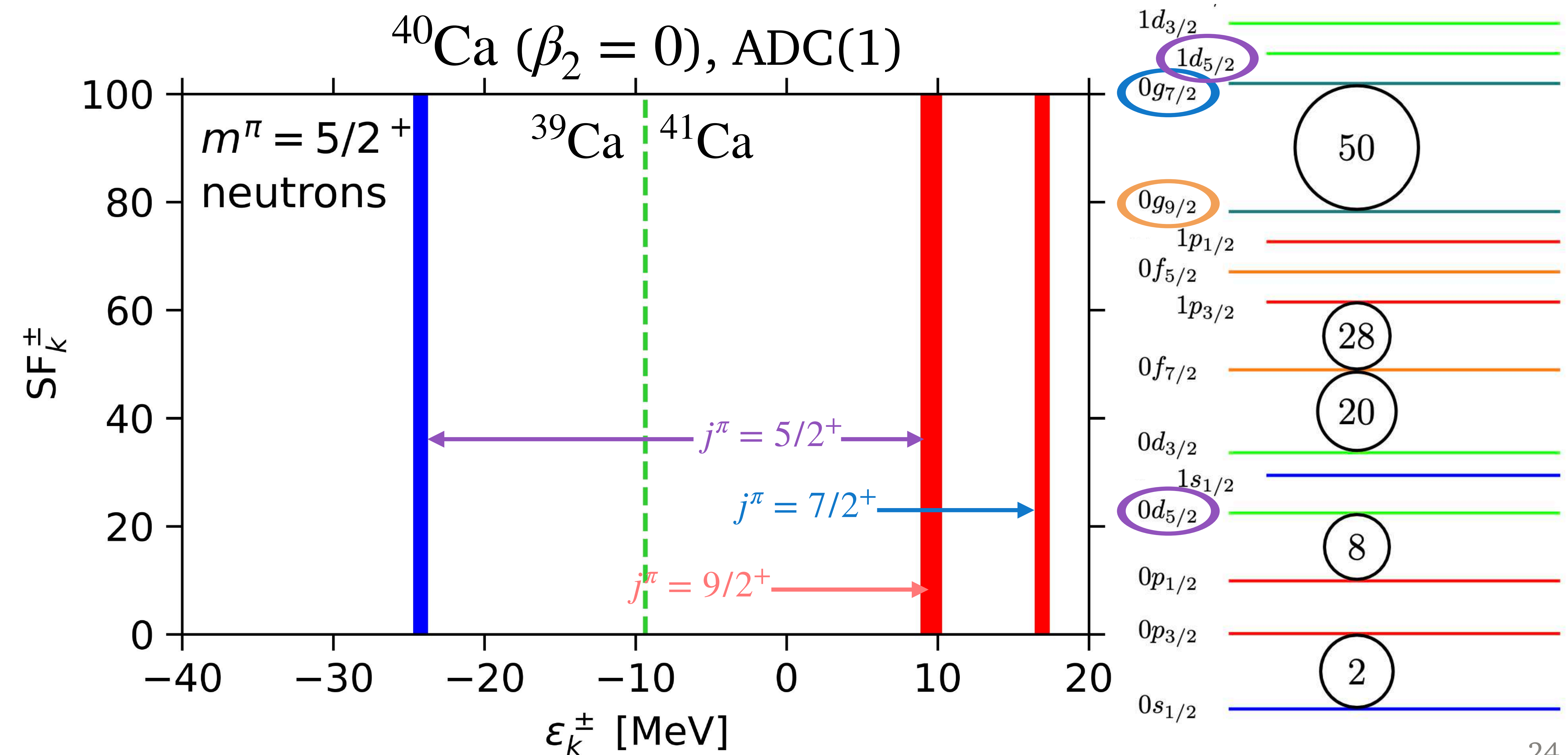
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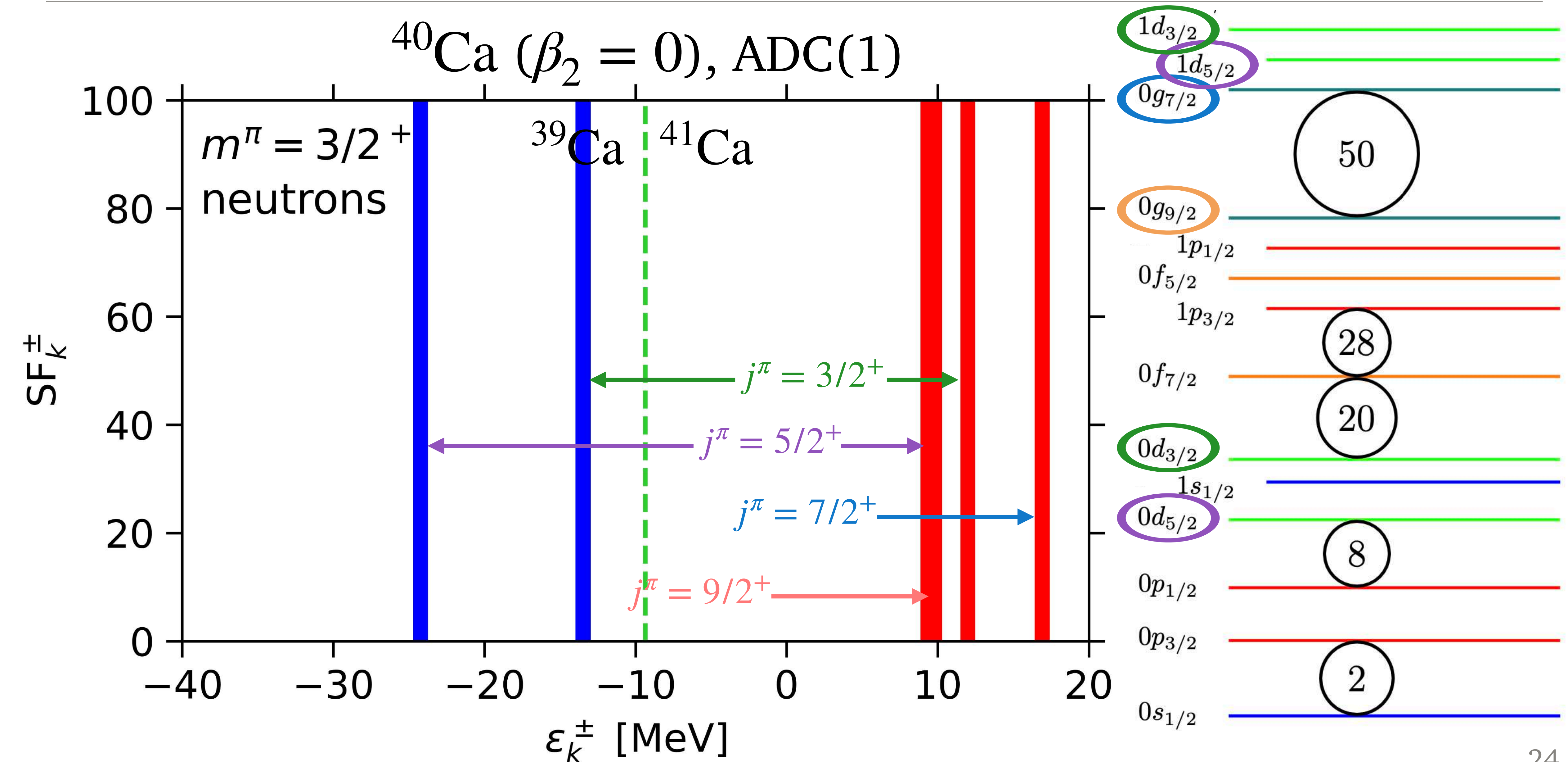
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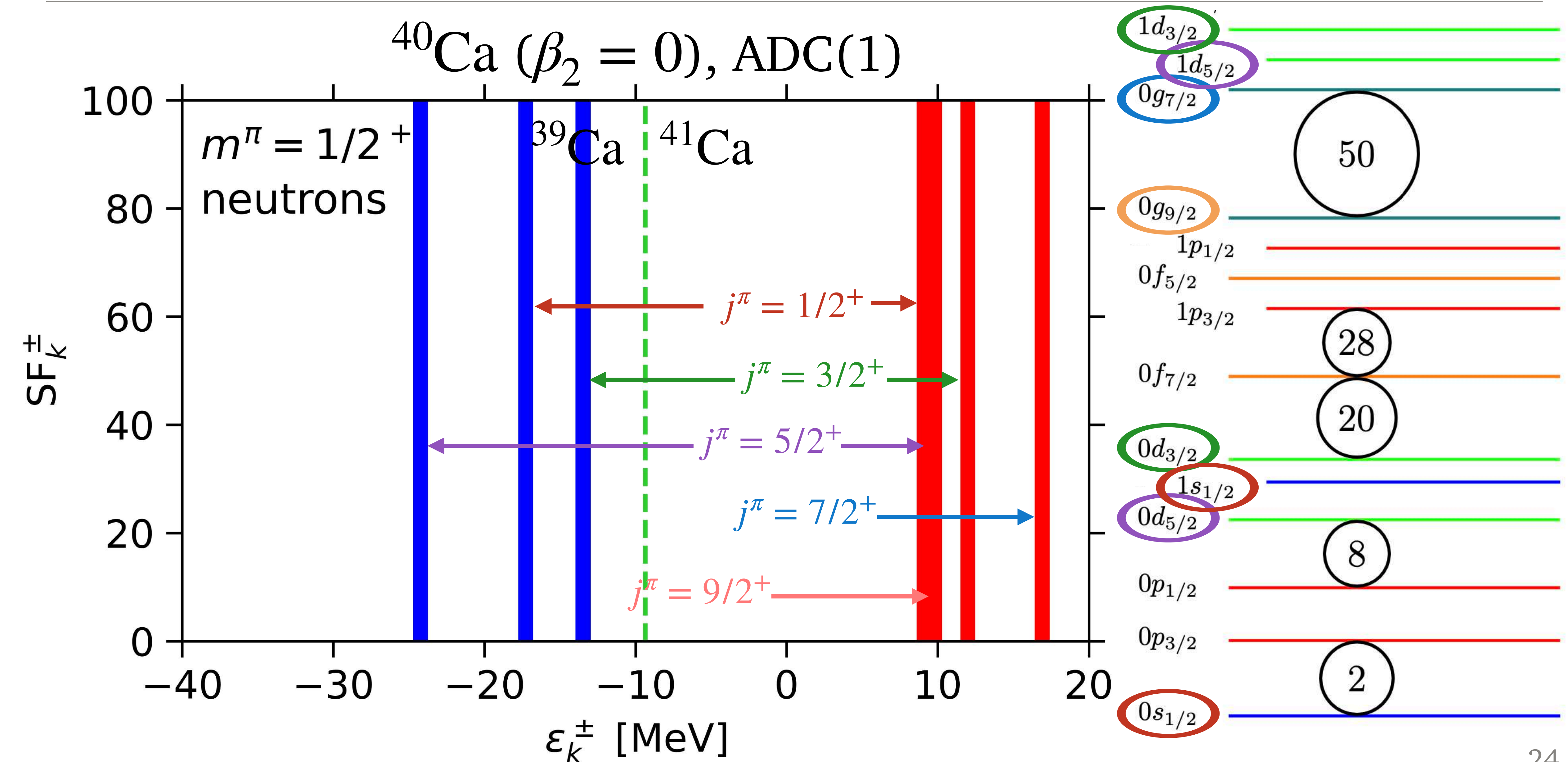
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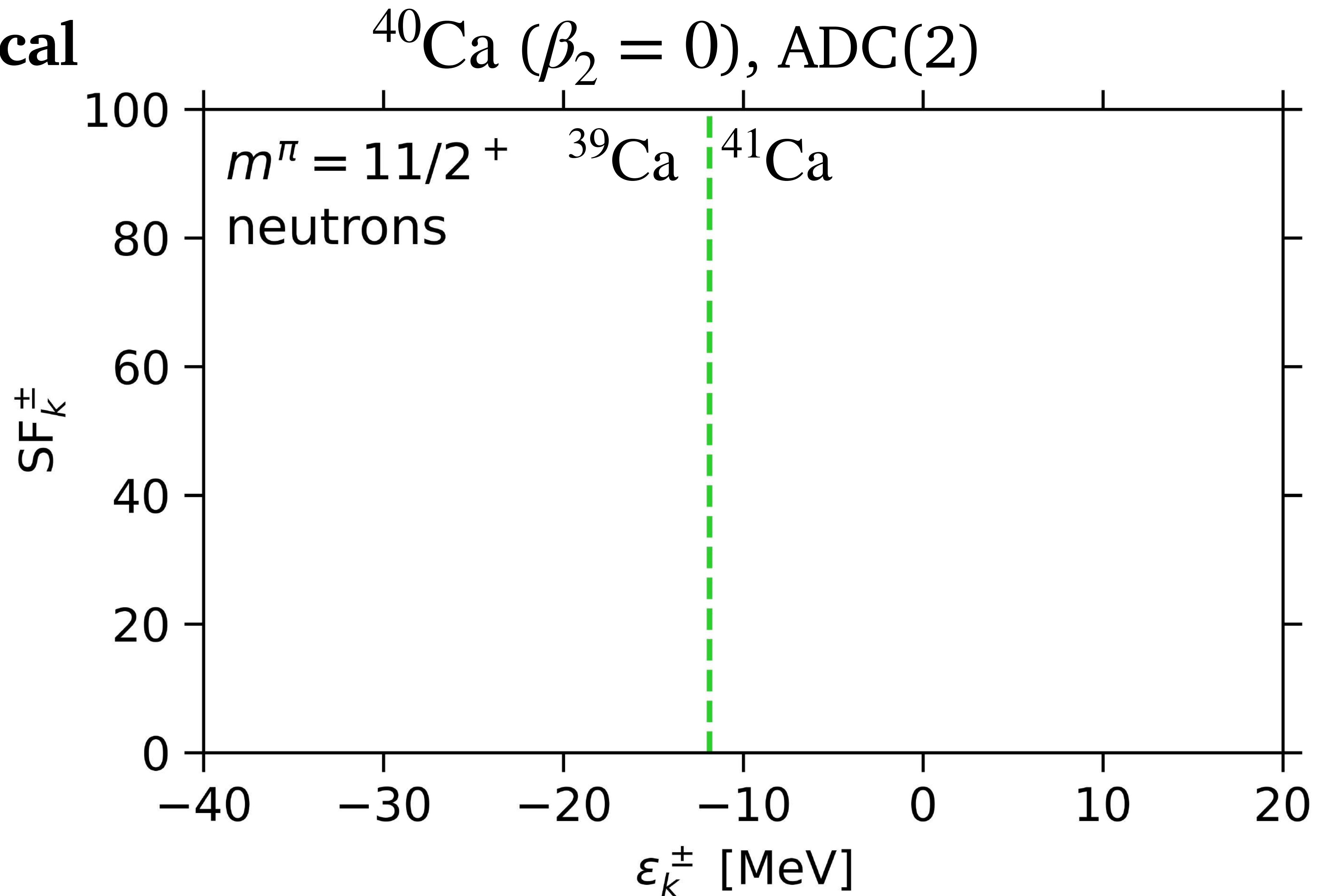


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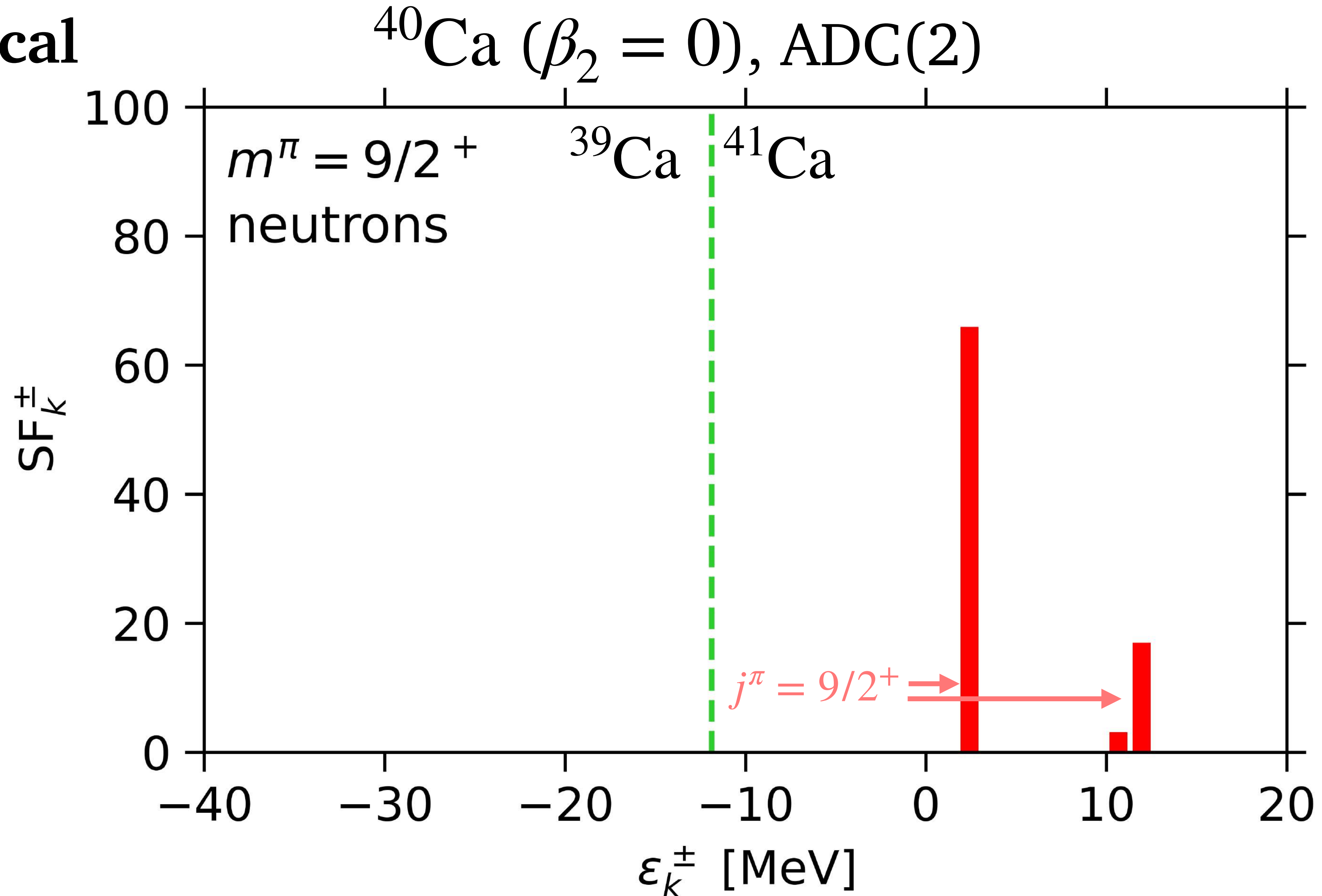
# dDSCGF(2) results - Spectroscopic Amplitudes

spherical



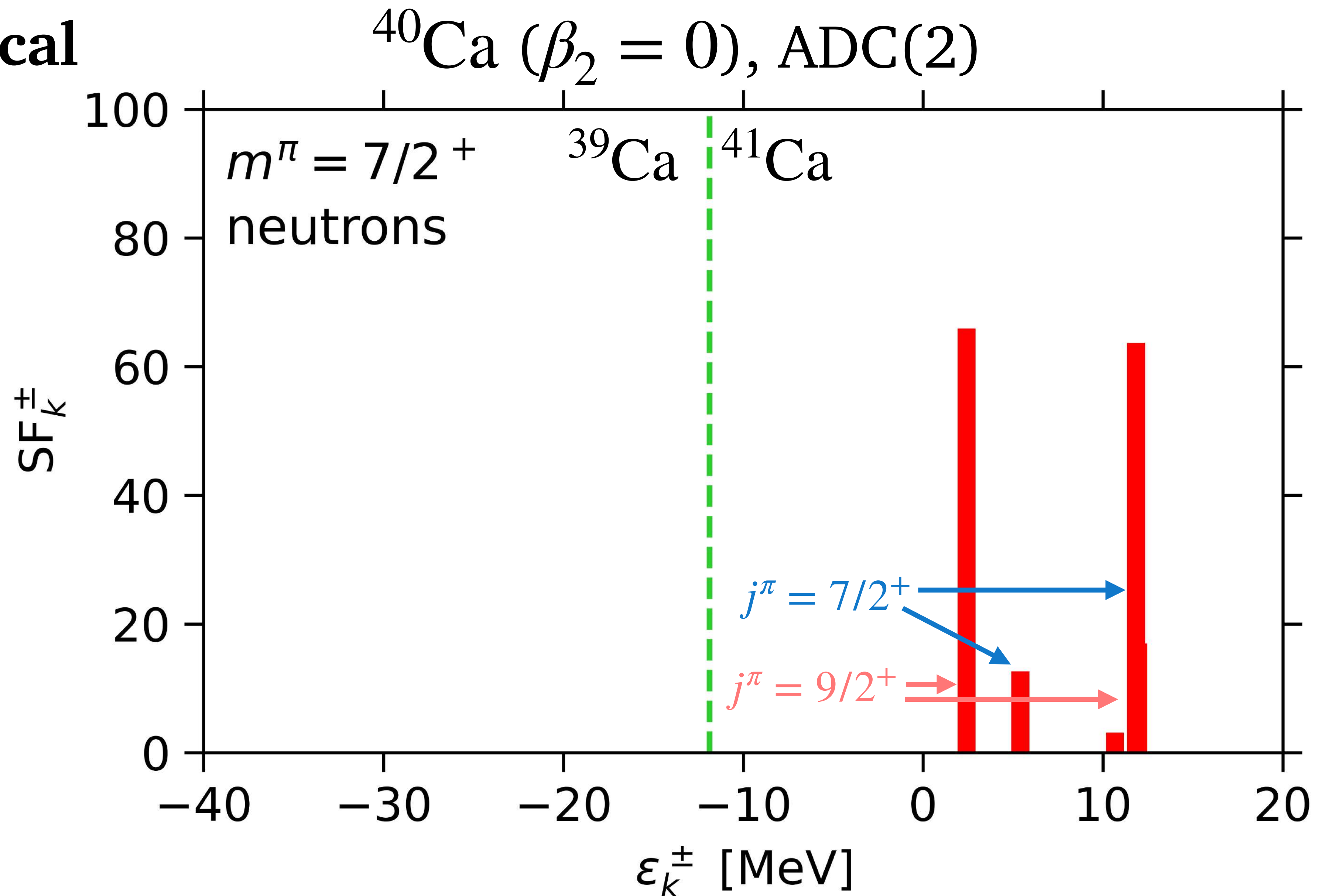
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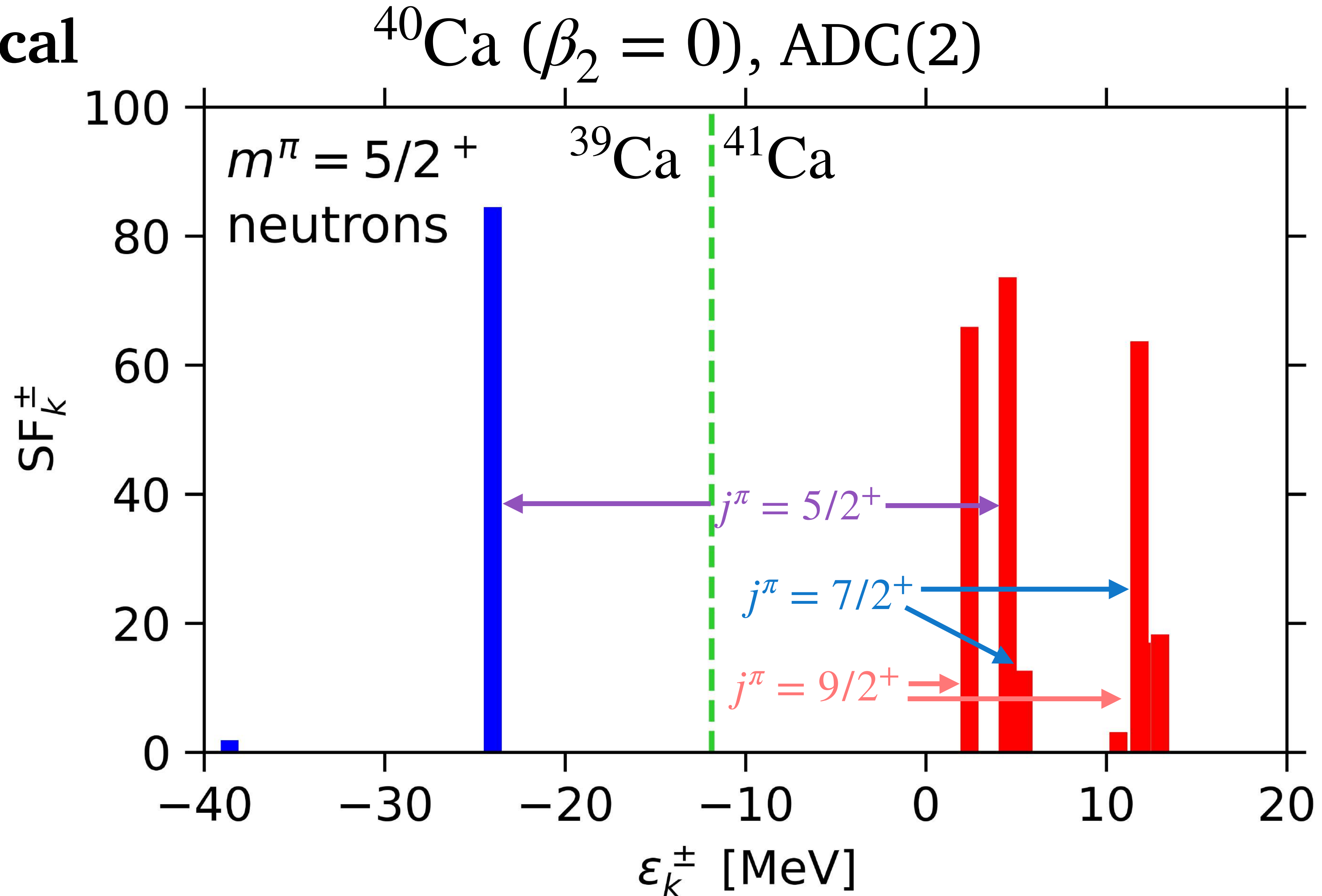
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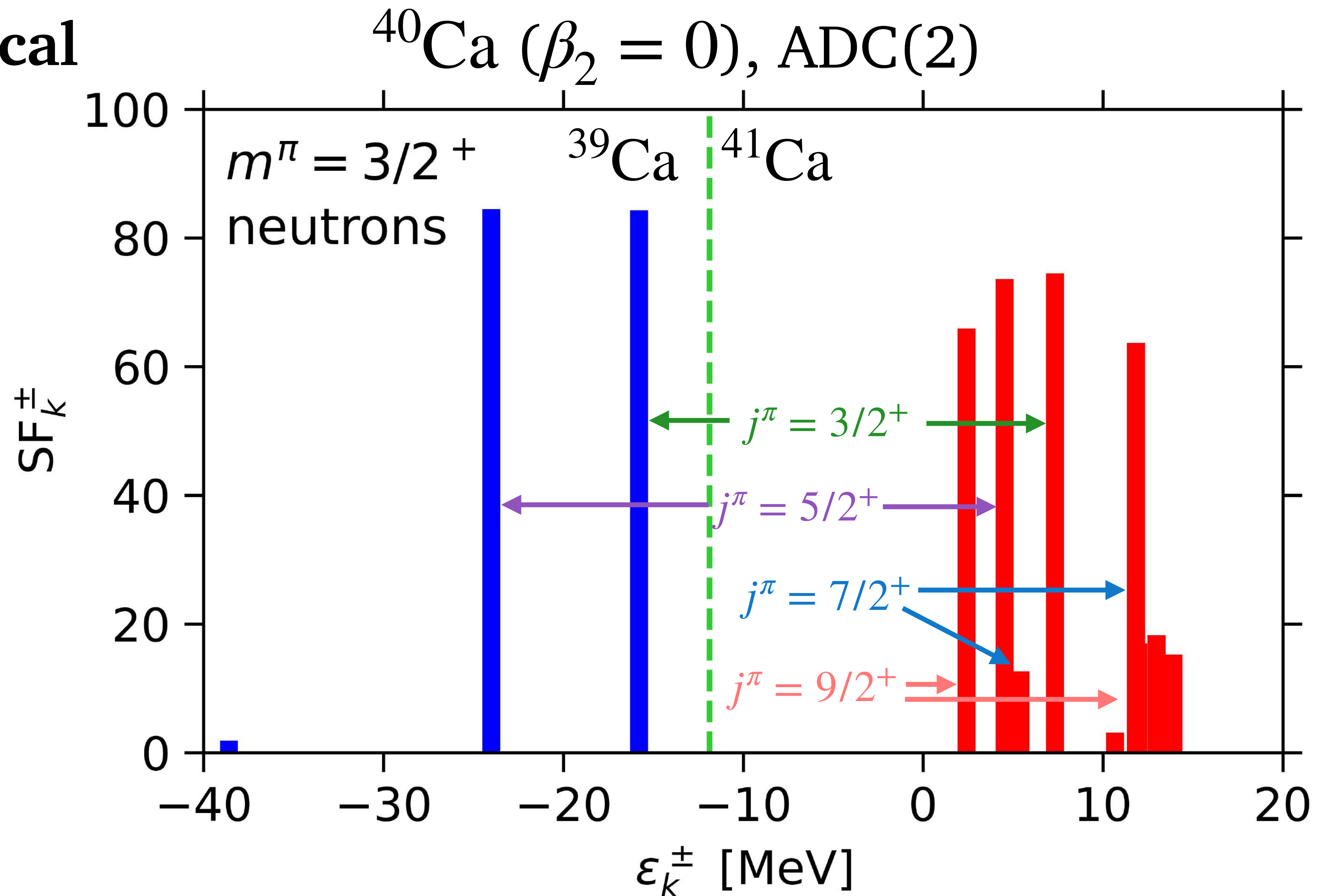
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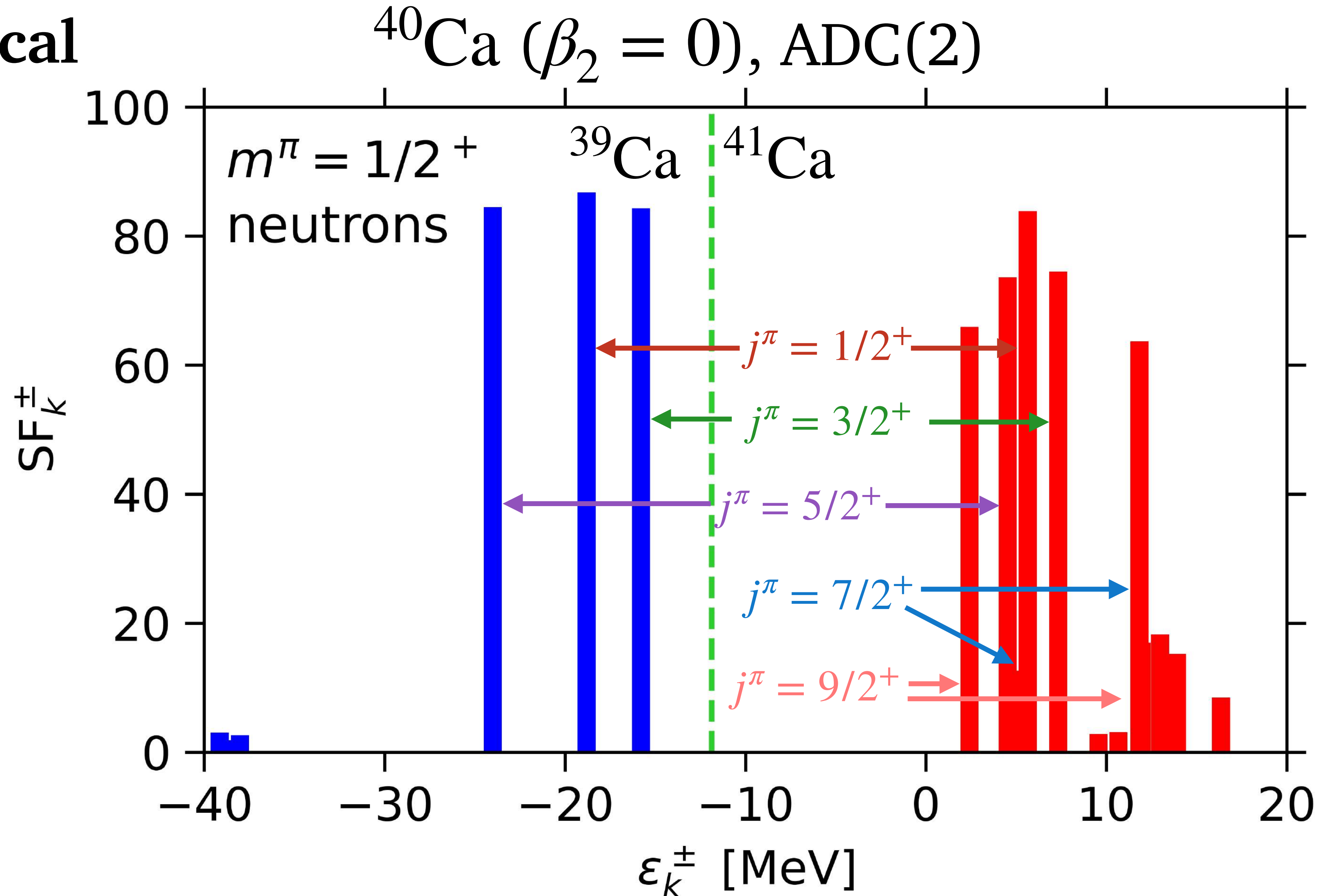
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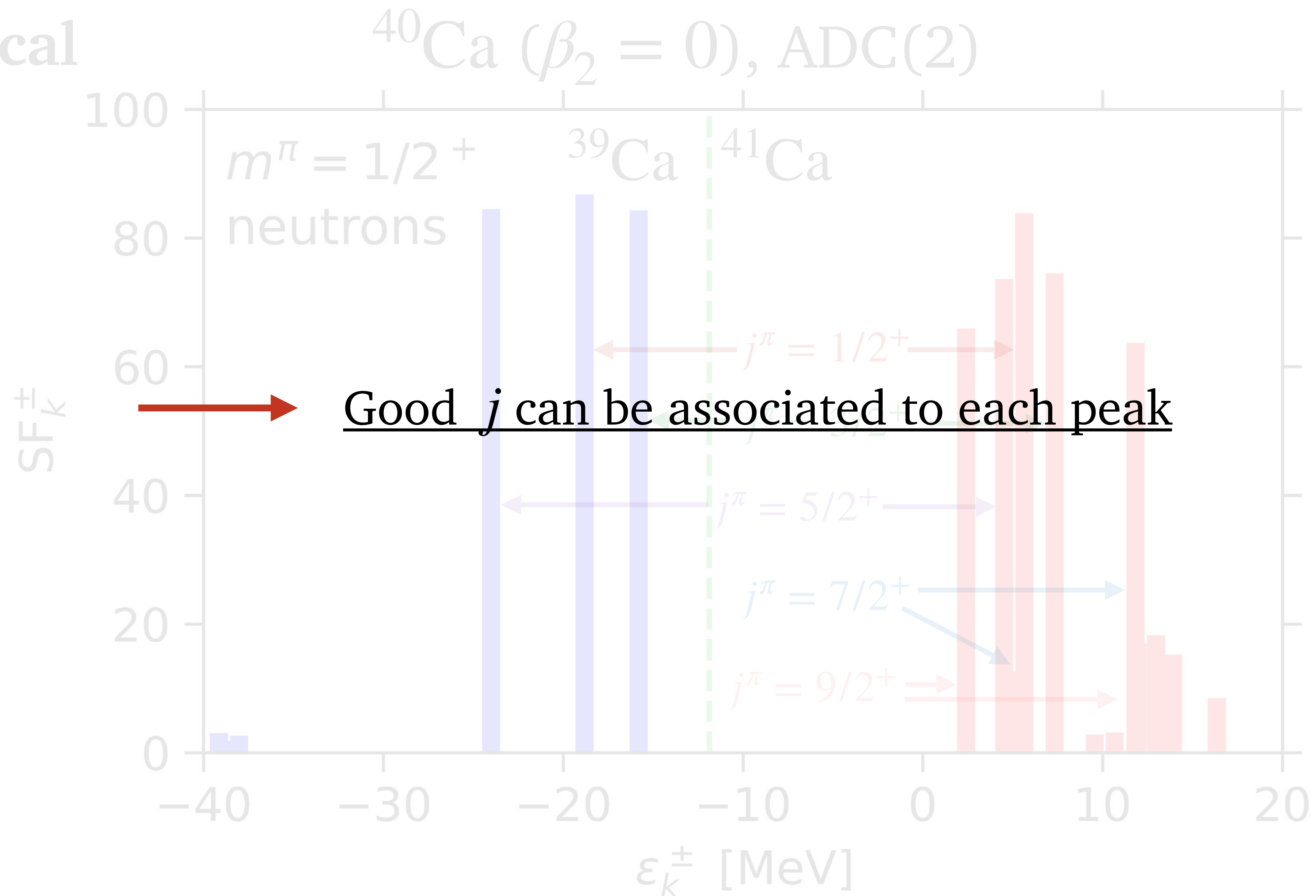
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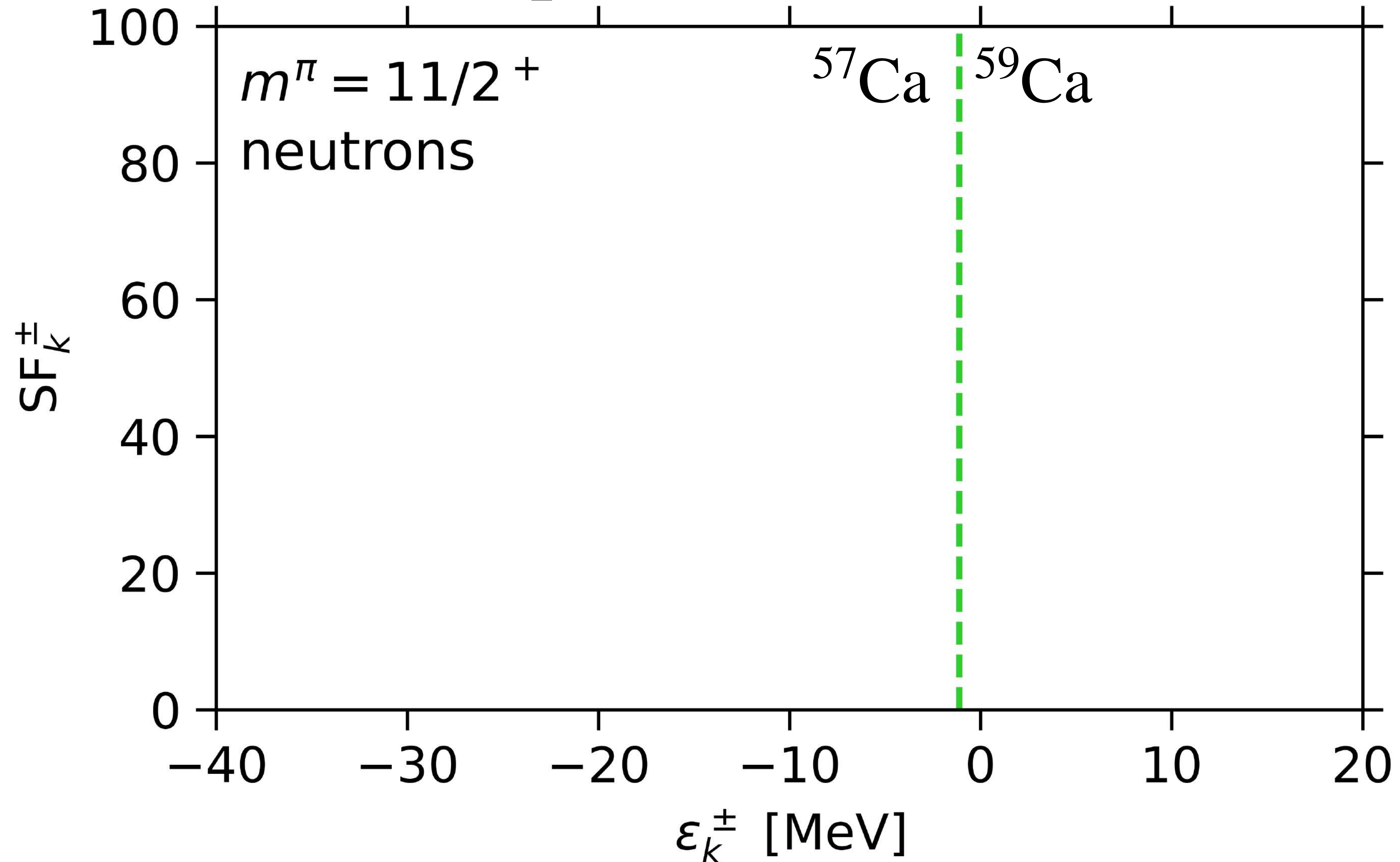
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spherical



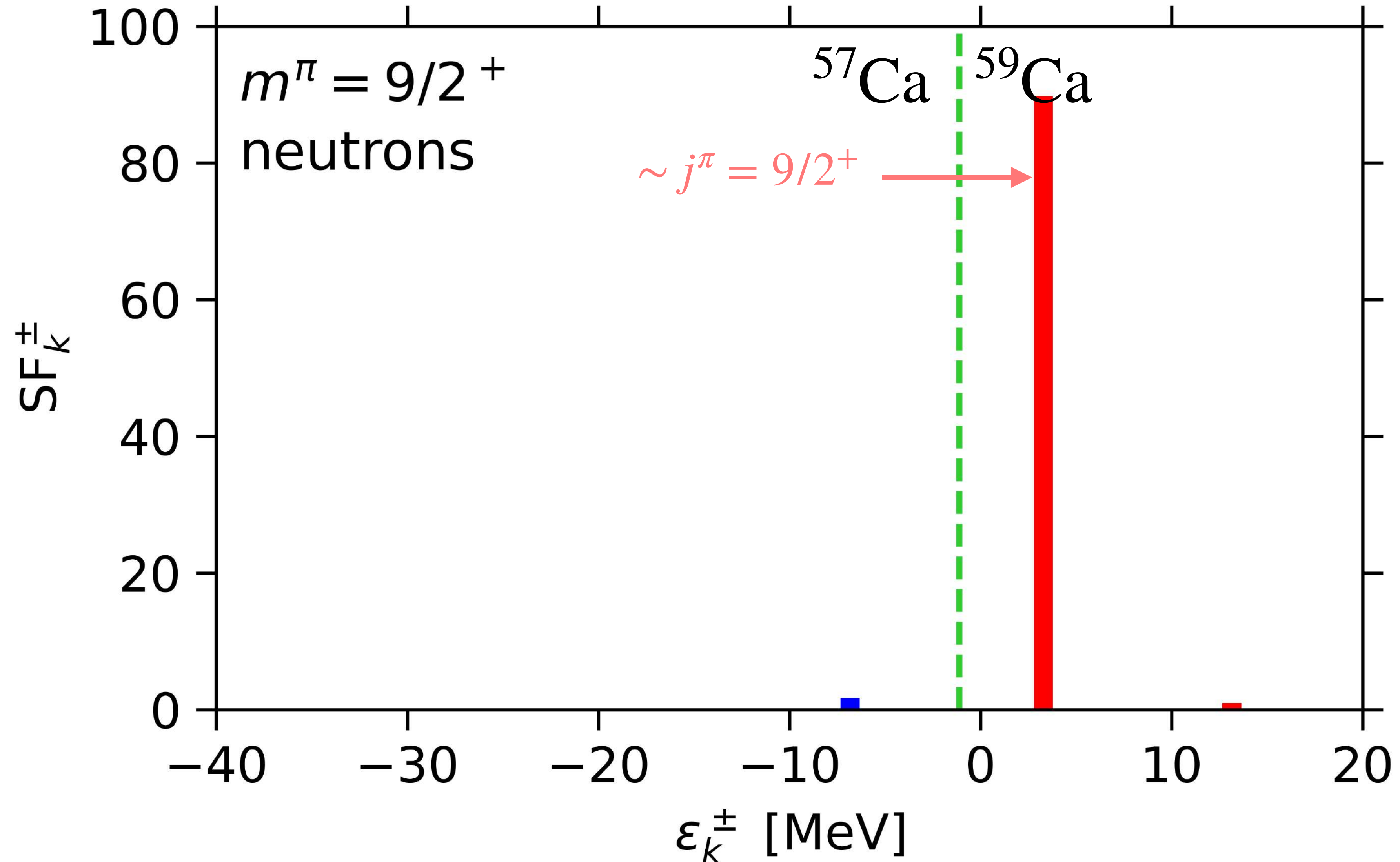
# dDSCGF(2) results - Spectroscopic Amplitudes

weakly deformed  $^{58}\text{Ca}$  ( $\beta_2 = -0.03$ ), ADC(2)



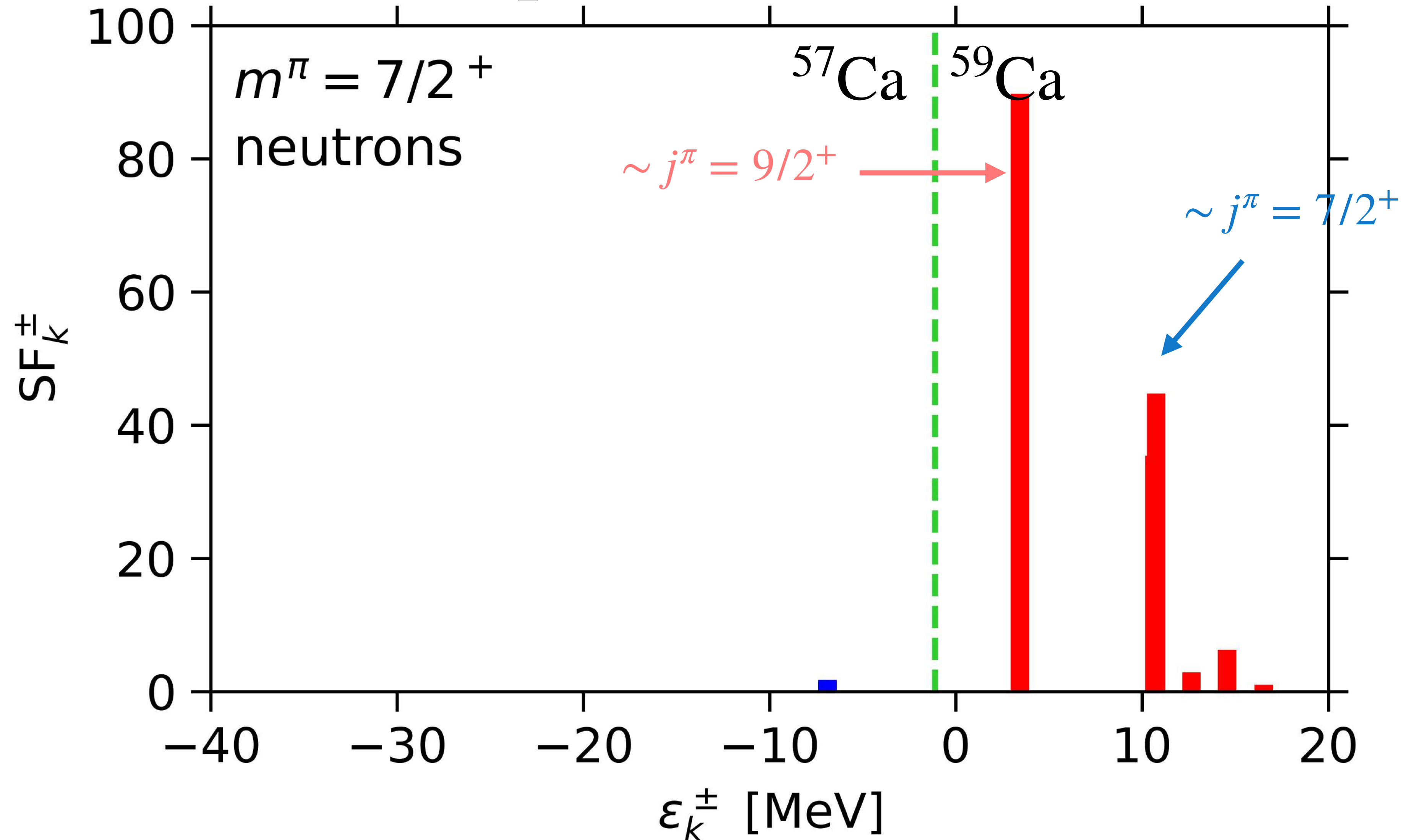
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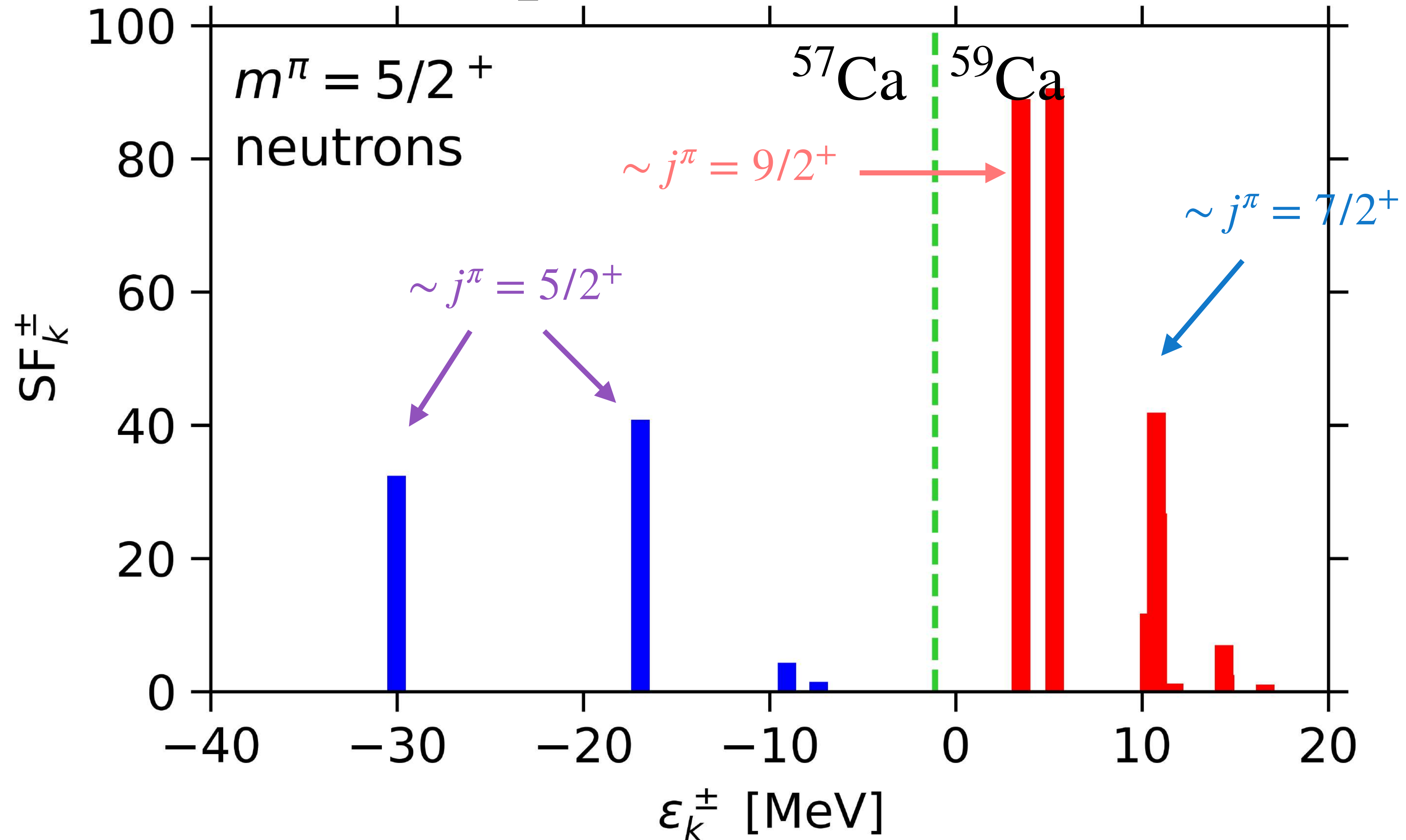
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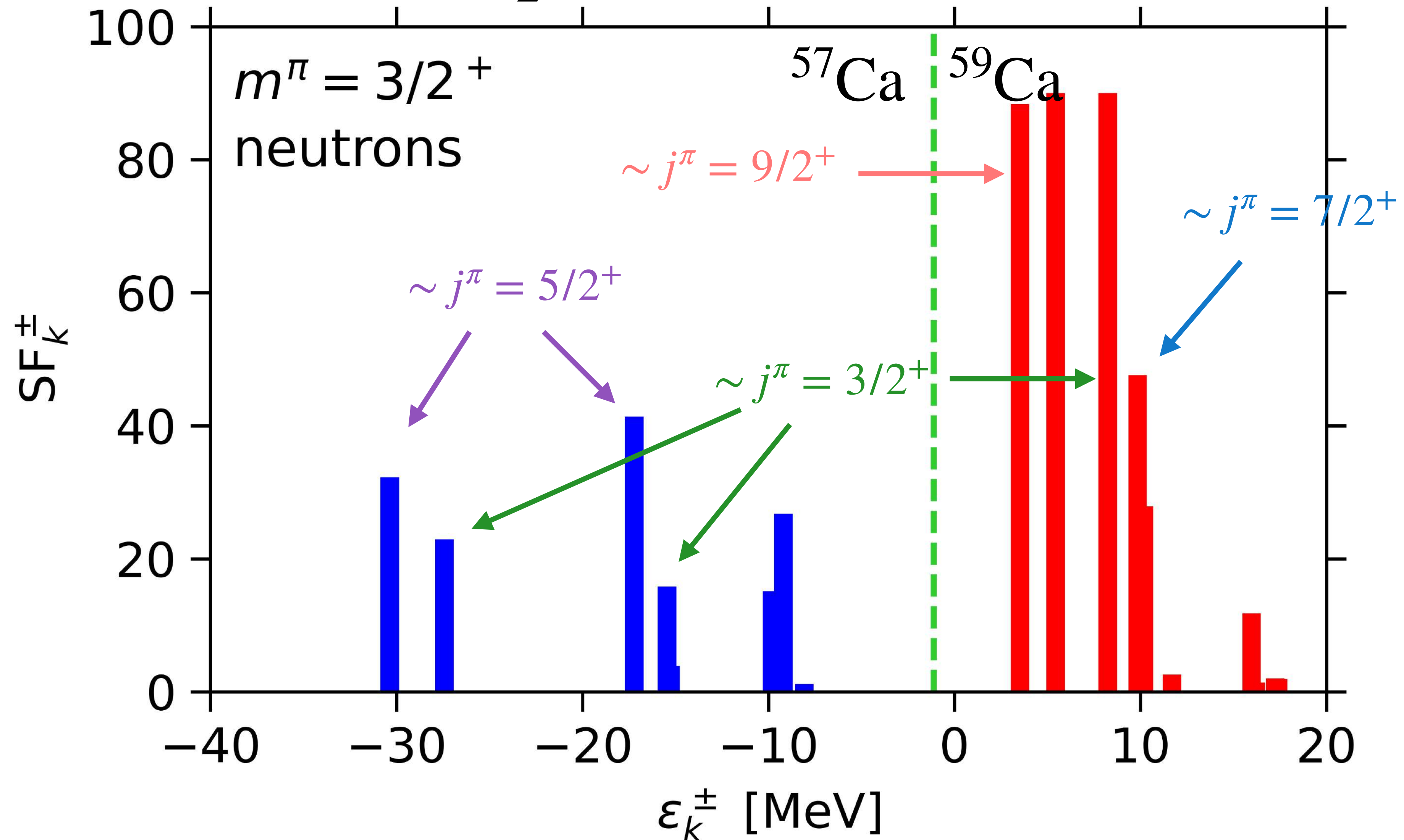
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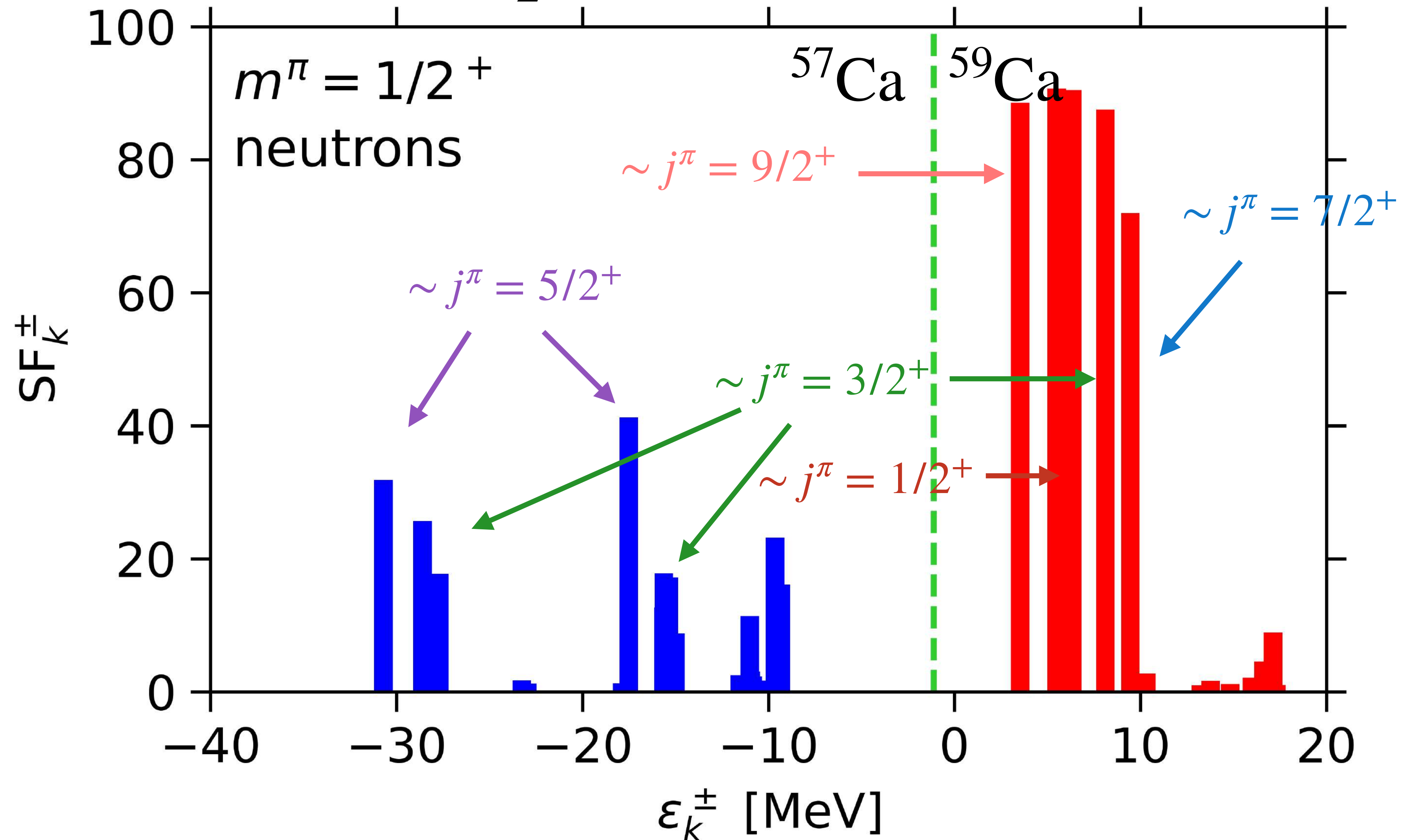
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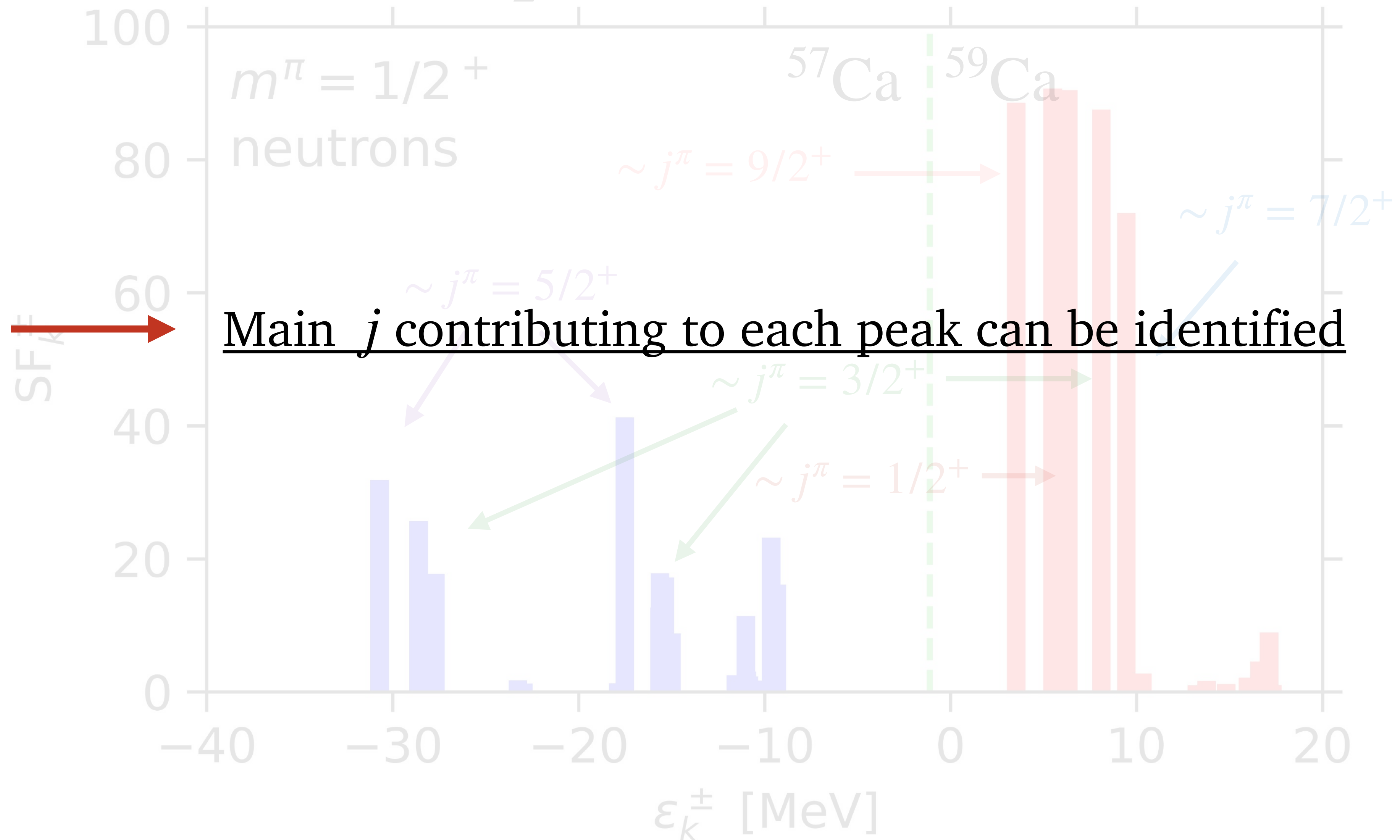
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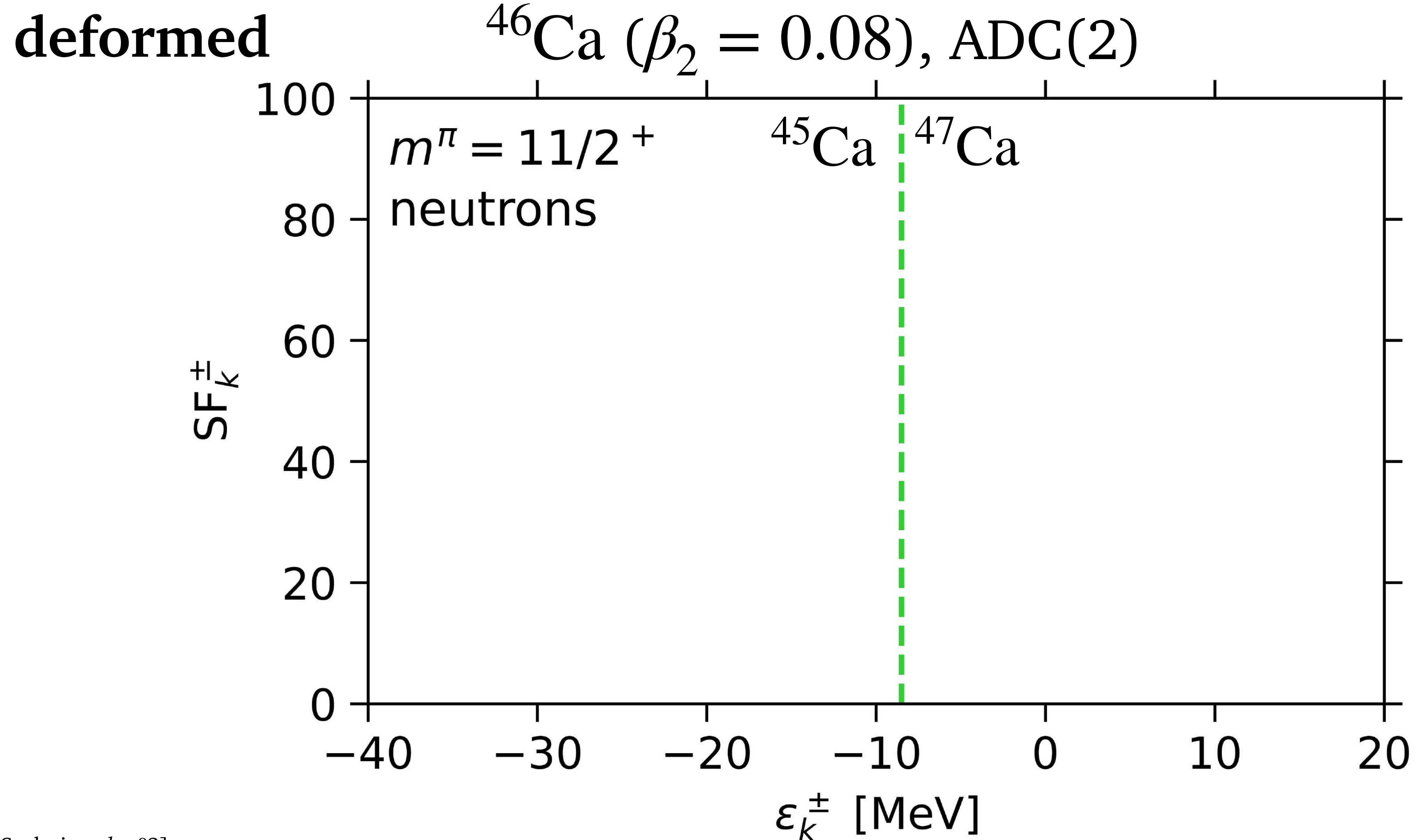


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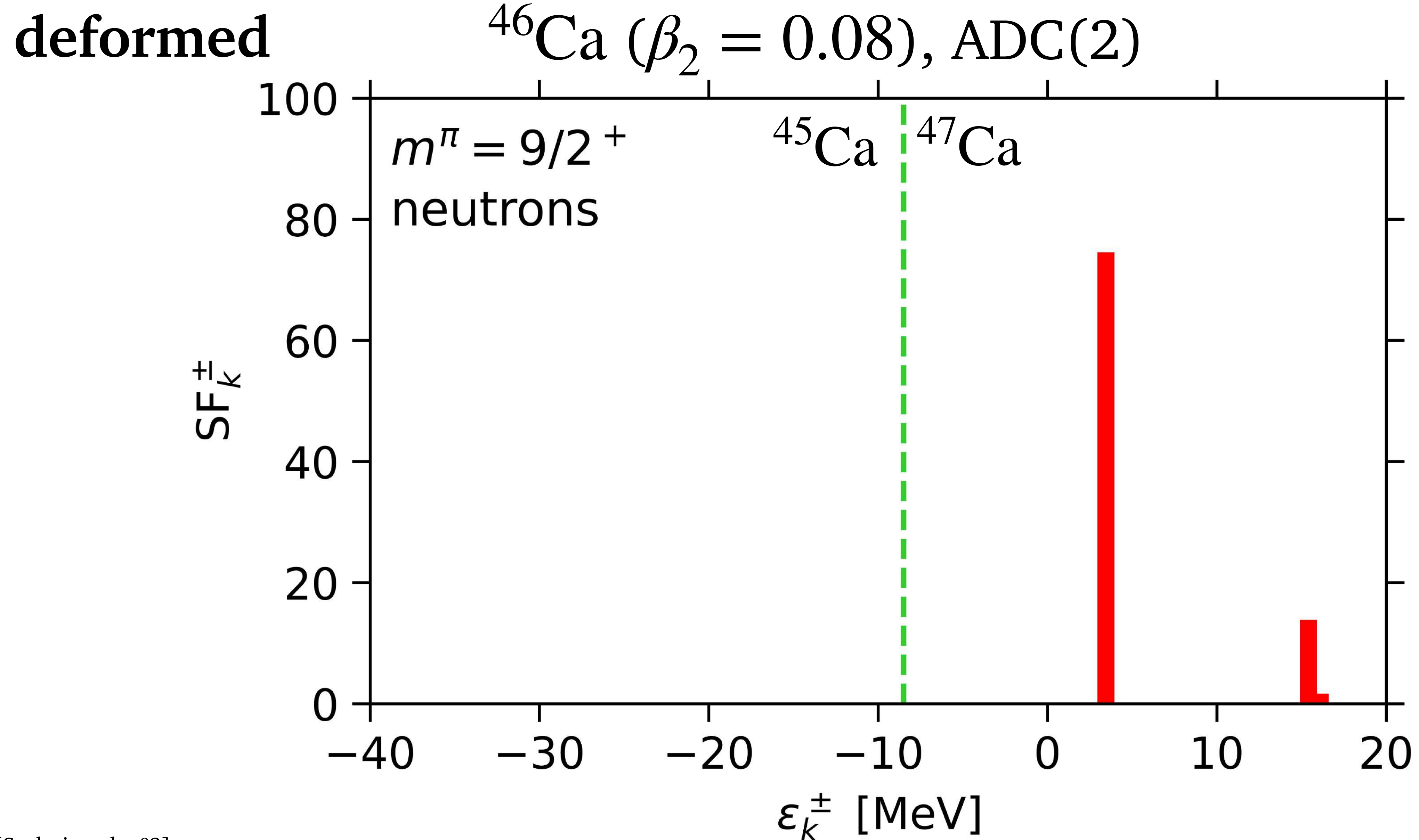
weakly deformed  $^{58}\text{Ca}$  ( $\beta_2 = -0.03$ ), ADC(2)



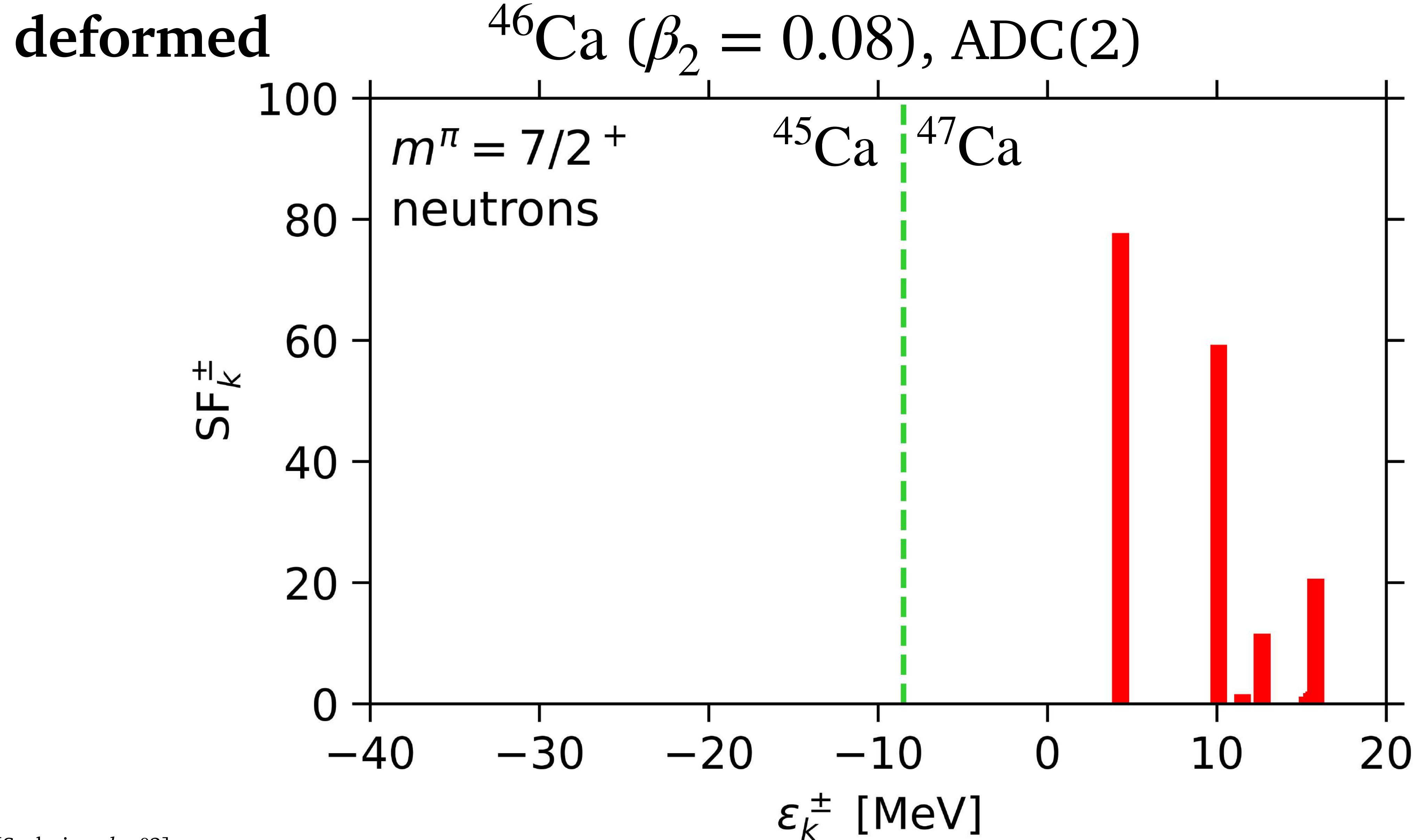
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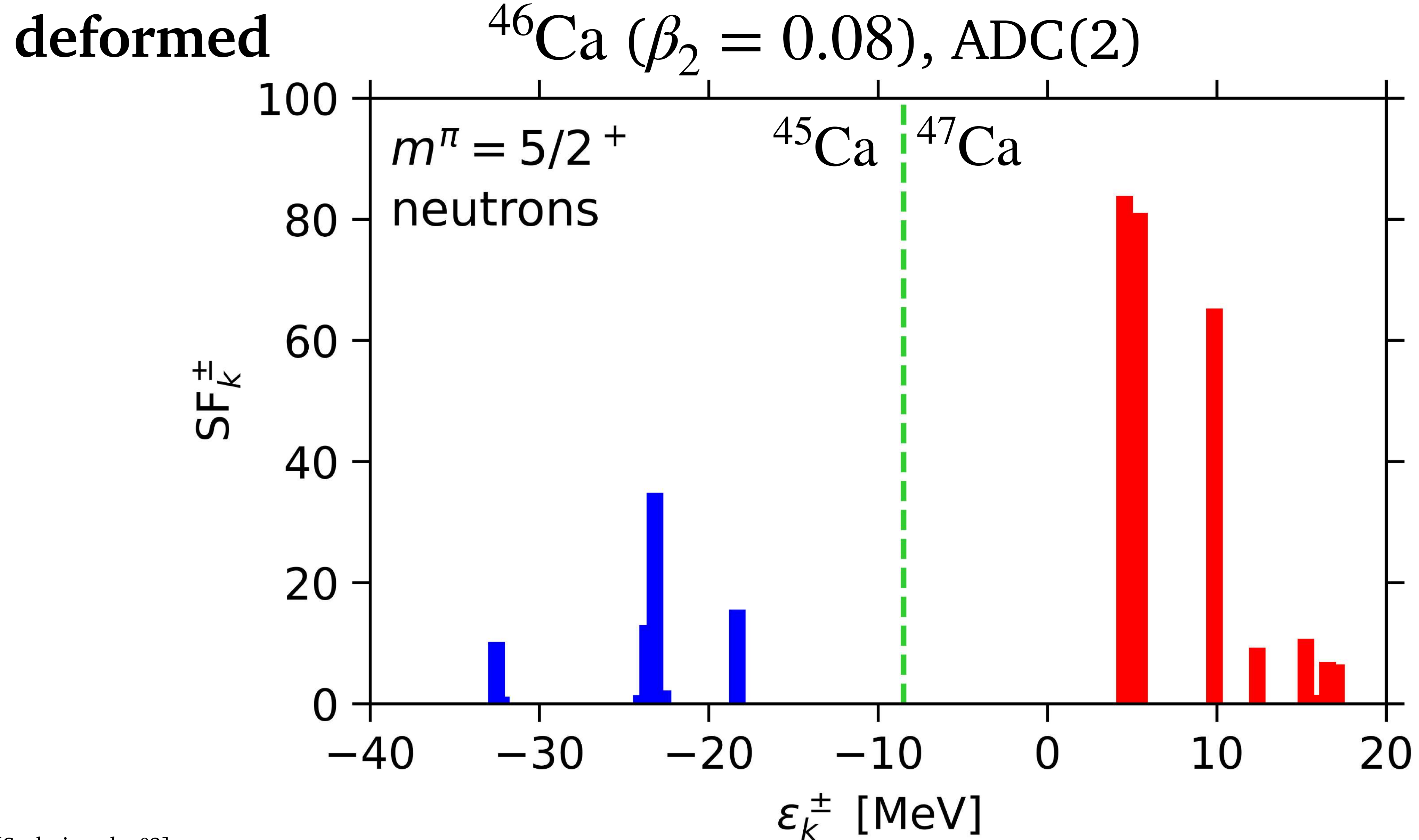
# dDSCGF(2) results - Spectroscopic Amplitudes



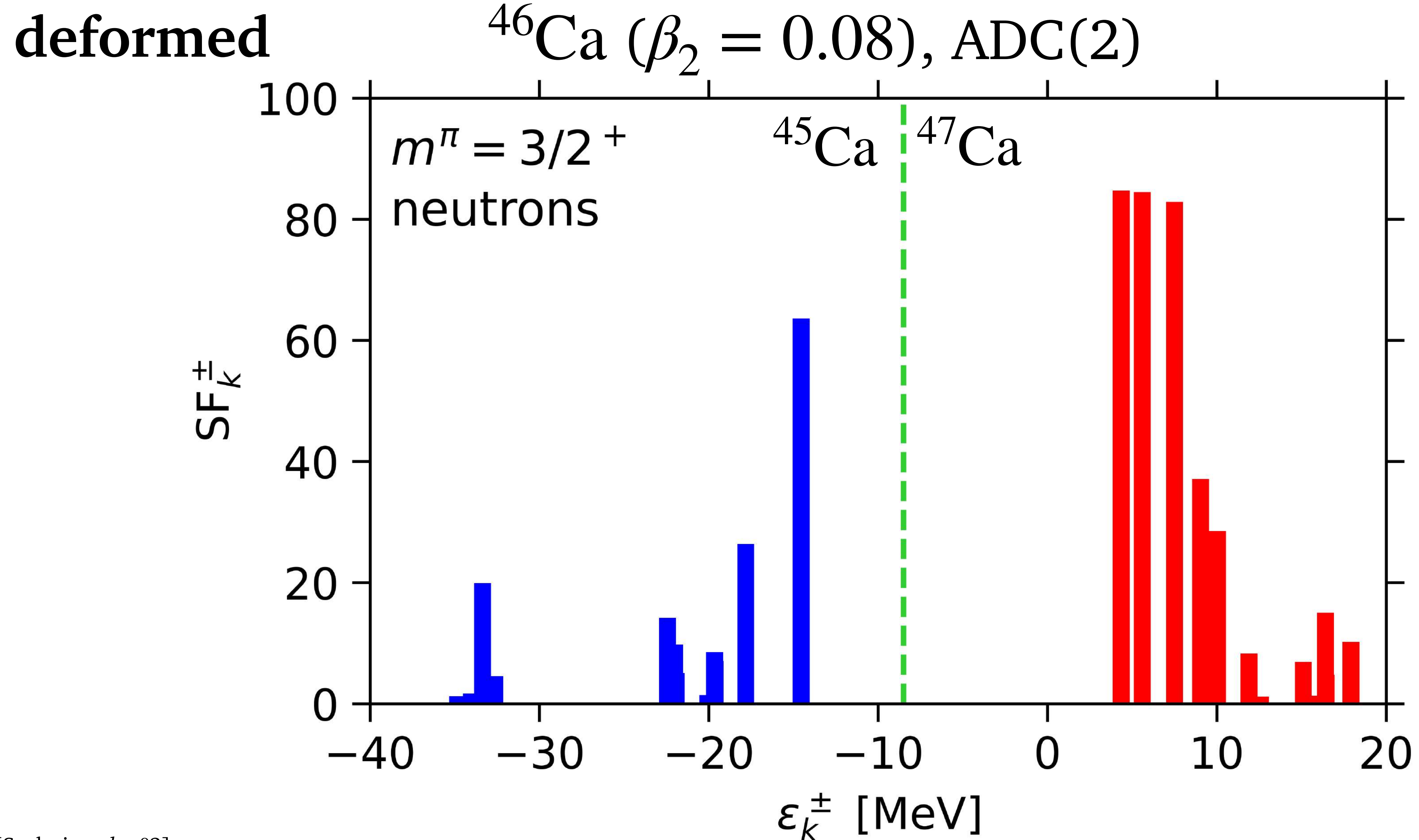
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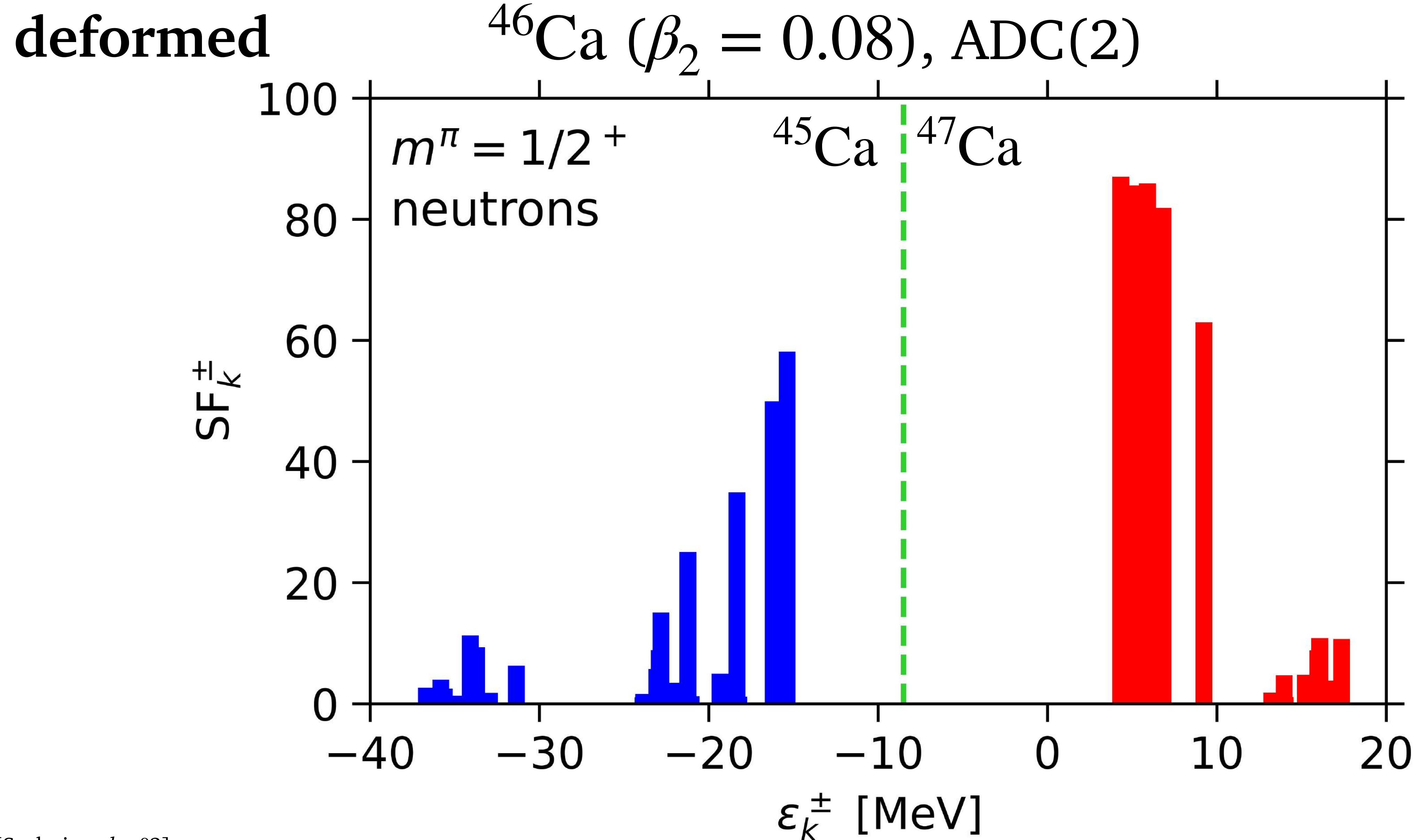
# dDSCGF(2) results - Spectroscopic Amplitudes



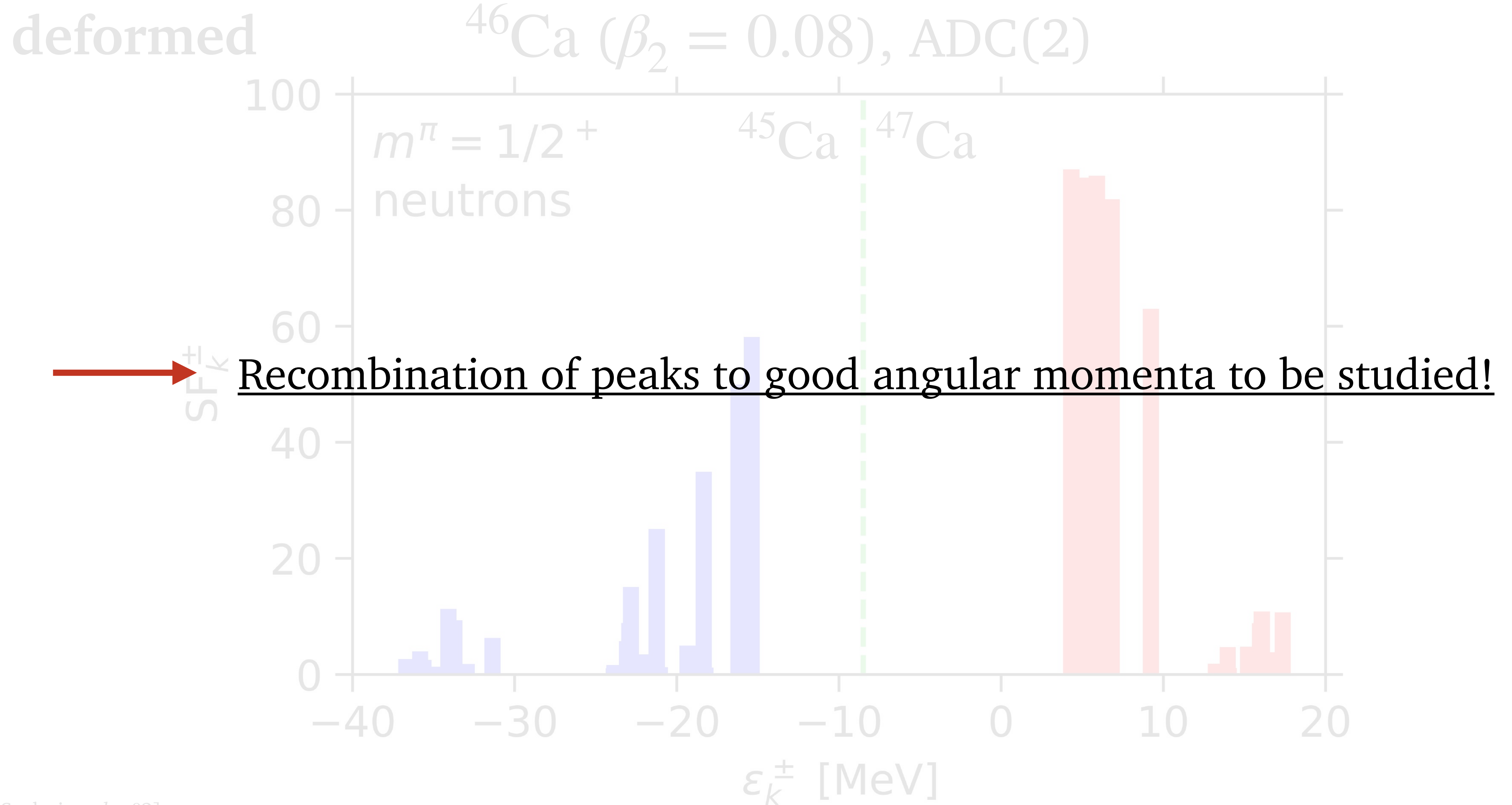
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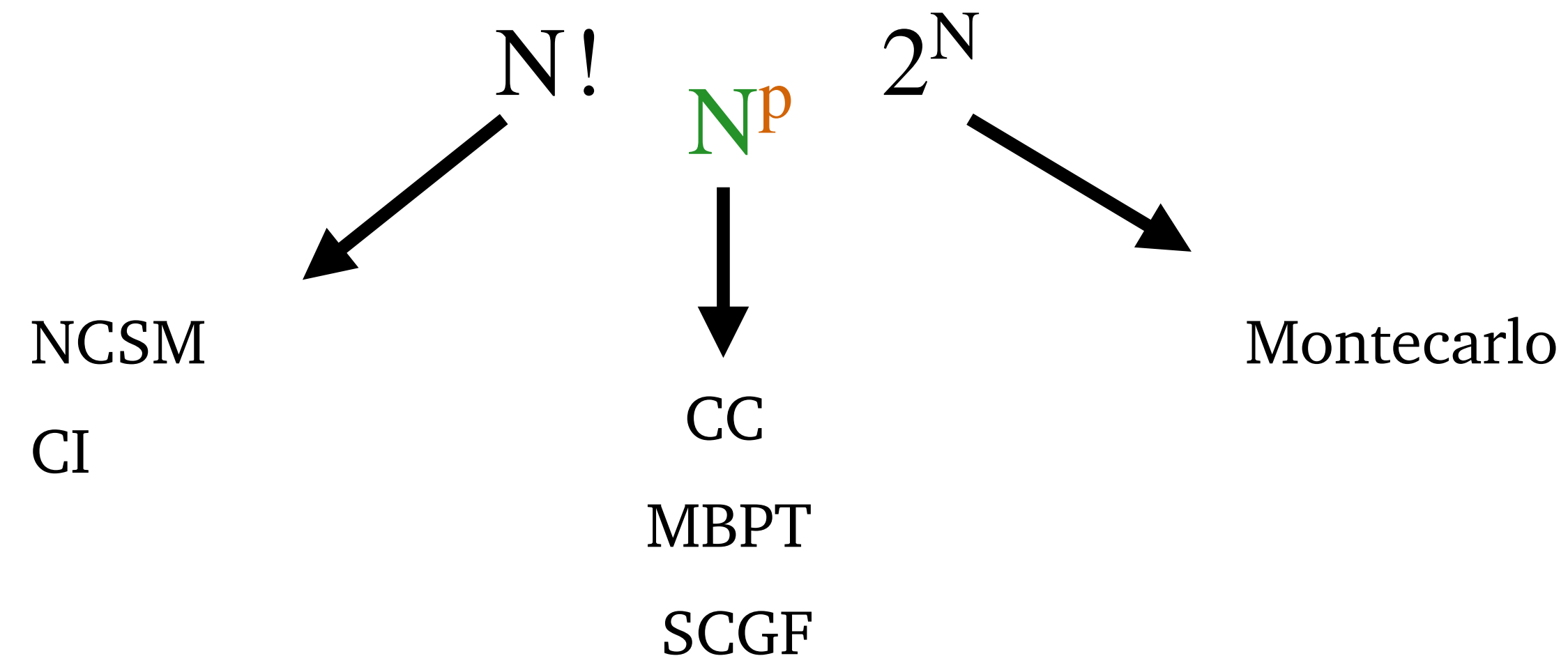
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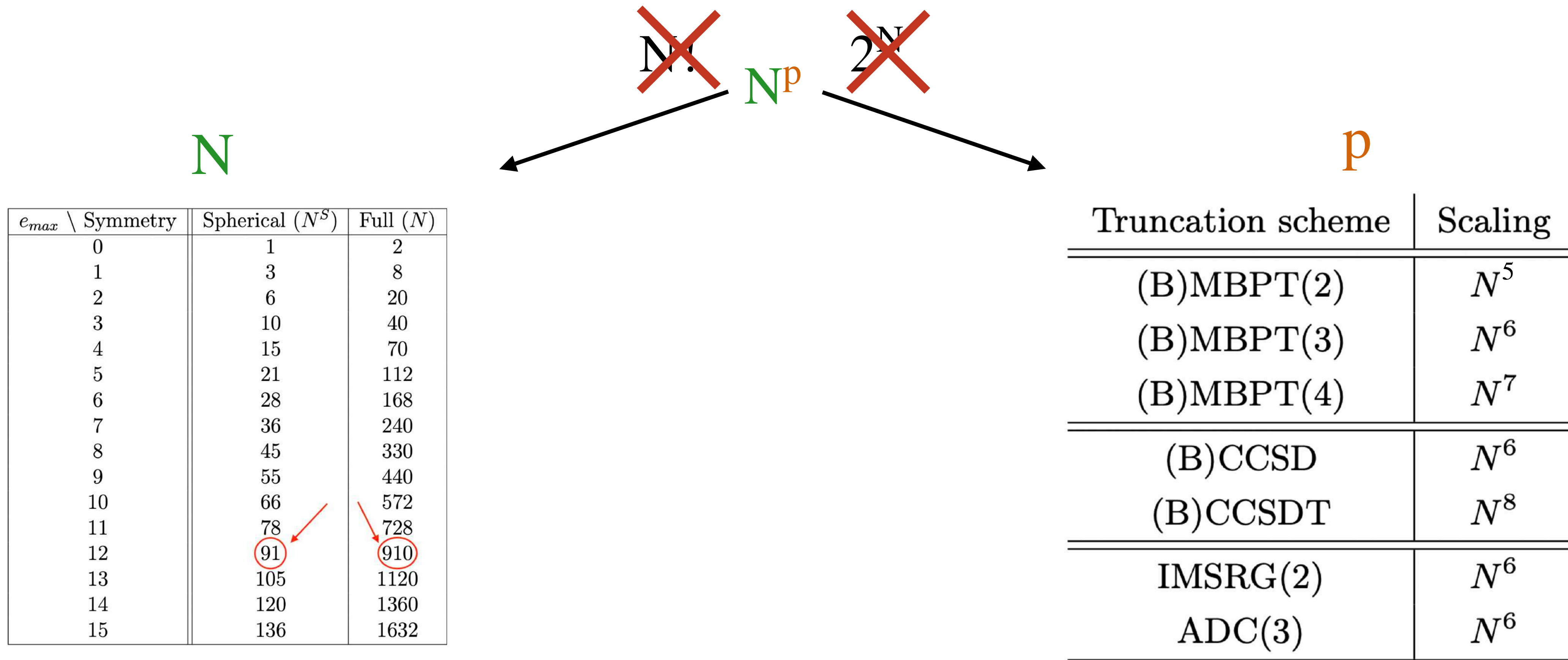
# Cost of deformation in *ab initio* methods

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- Different scalings:



# Cost of deformation in *ab initio* methods



- **m-scheme** (needed for deformed calculations)
- Natural Orbitals (NAT)
- Importance Truncation (IT)
- Greater cost for **non-perturbative** methods
- **BMBPT(2)** has the most gentle scaling

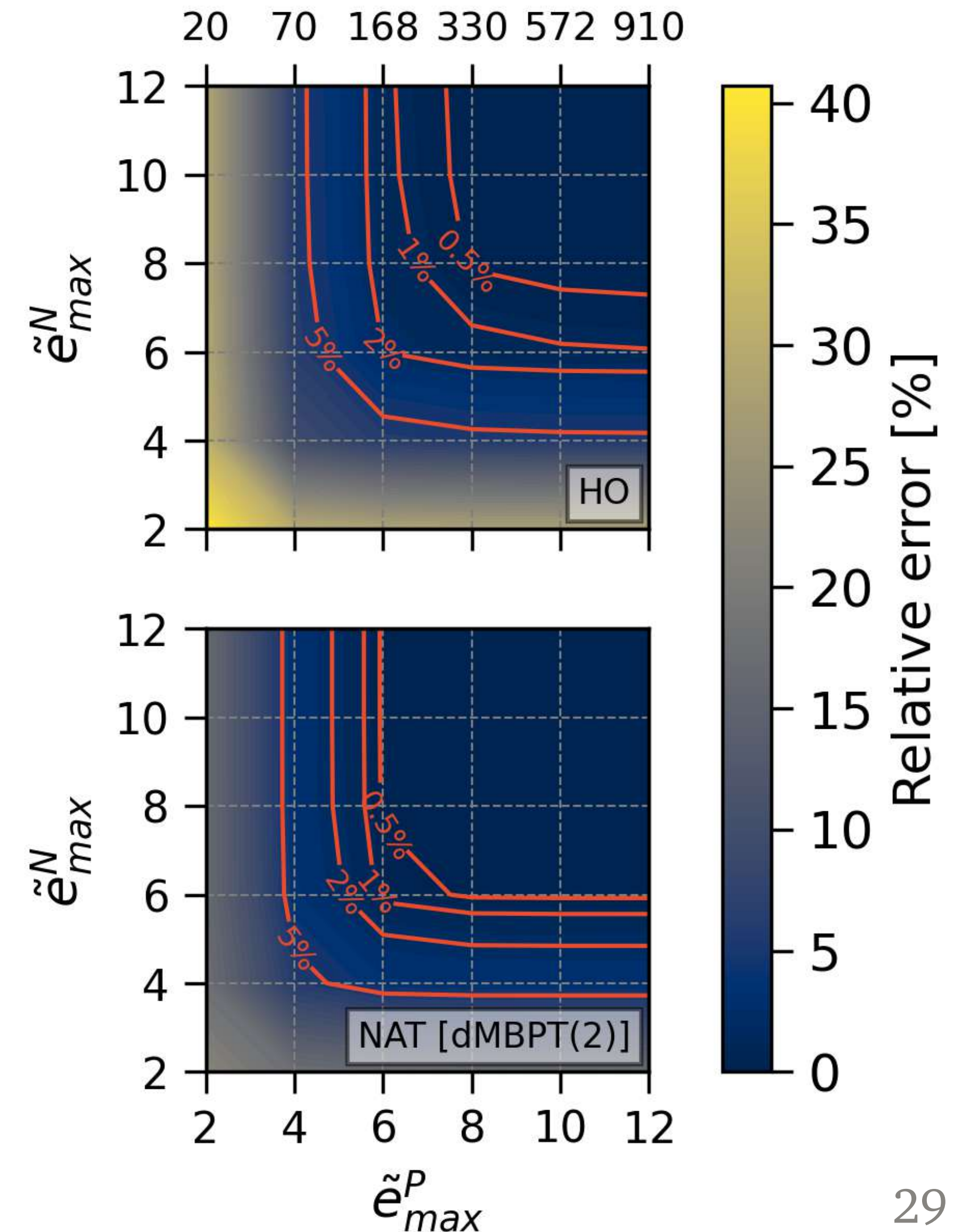
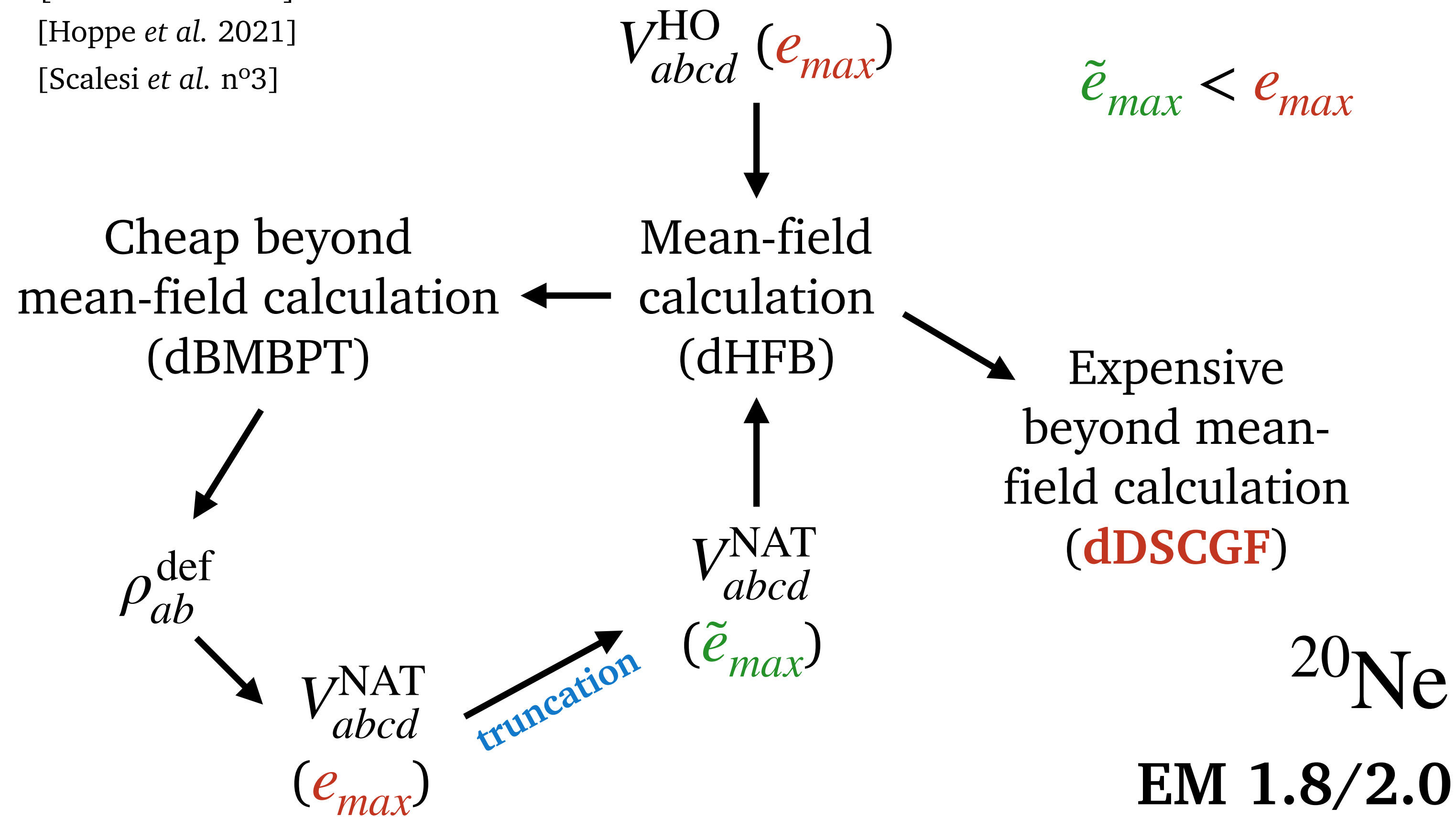
# Deformed Natural Orbitals

- Main objective: reduce the cost of an expensive calculation
- How it can be done: via an **auxiliary cheaper calculation**

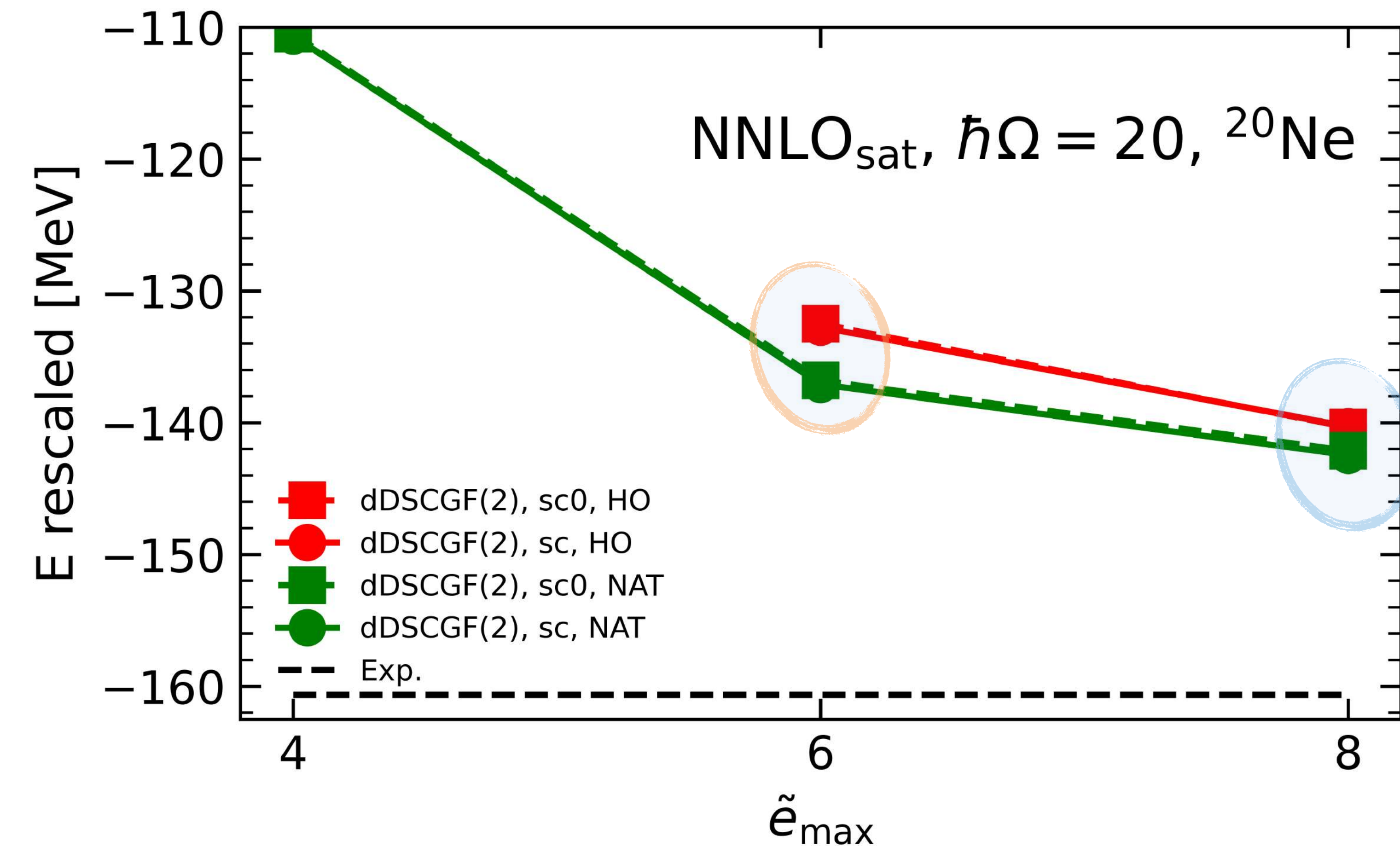
[Tichai *et al.* 2018]

[Hoppe *et al.* 2021]

[Scalesi *et al.* n°3]



# Deformed Natural Orbitals: application to dDSCGF(2)



Dyson formalism → **wrong part. num. A**

- Problem when looking to small diff.
- **Naïve rescaling** of energy based on A



**Clear separation** between HO and NAT

→  $\tilde{e}_{\text{max}} = 6$  → 4.3 MeV gain

→  $\tilde{e}_{\text{max}} = 8$  → 2.0 MeV gain

# Deformed Natural Orbitals: application to dDSCGF(2)

$\tilde{e}_{max}$	time* [h]
2	0.001
4	0.002
6	0.042
8	0.650
10	4.550
12	22.130

**Dyson** formalism → **wrong part. num.  $A$**

- Problem when looking to small diff.
- **Naïve rescaling** of energy based on  $A$



**Clear separation** between HO and NAT

→  $\tilde{e}_{max} = 6$  → 4.3 MeV gain

→  $\tilde{e}_{max} = 8$  → 2.0 MeV gain

\*104 OpenMP processors

# Conclusions and future perspectives

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## Conclusions:

- It is a good strategy to set up methods **breaking  $SU(2)$**  and **not  $U(1)$**
- **Correlations** captured by dDSCGF bring visible results on observables w.r.t. dBMBPT2 and sGSCGF
- **Deformed Natural Orbitals** can help to lighten the cost of beyond mean-field calculations

## Future perspectives:

- Combine NAT with Importance truncation (**IT**) and tensor factorization techniques (**TF**)
- Formulations of proper **particle adjustment** in Dyson SCGF formalism
- Associate **Spectroscopic distribution** with good angular momentum
- Beyond ADC(2): extended ADC(2) and **ADC(3)**  $\longrightarrow$  Numerical optimization code (MPI)
- dDSCGF with **good angular momentum**  $\begin{cases} \longrightarrow \text{Symmetry Restoration (yet to be formulated)} \\ \longrightarrow \text{MR-SCGF}^\dagger \end{cases}$