Neutrino-nucleus cross sections for neutrino oscillation experiments: status and challenges

Marco Martini



Preamble: neutrino oscillations physics

Neutrino Oscillations

Flavor neutrinos

 V_e, V_{μ}, V_{τ} produced in Weak interactions

Massive neutrinos V_1 , V_2 , V_3 propagate from source to detector

A flavor neutrino is a superposition of massive neutrinos

$$\nu_{\alpha} = \bigcup_{\alpha i} \nu_i$$

Mixing Matrix (PMNS)

$$a = e, \mu, r$$

 $i = 1, 2, 3$

 $\alpha - \alpha + \pi$

Neutrino oscillations are flavor transitions

Neutrino physics

Perform appearance and/or disappearance experiments using different neutrino sources and baselines



The Pontecorvo-Maki-Nakagawa-Sakata Mixing Matrix

$$U_{\rm PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & e^{-i\delta_{\rm CP}}s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{\rm CP}}s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
Atmospheric v
Accelerator v
SBL reactor v
Accelerator v
$$Accelerator v$$

$$(\theta_{12}, \theta_{23}, \theta_{13})$$
3 mixing angles
$$\delta_{\rm CP}$$
CP-violating phase
$$|U_{\rm PMNS}| \sim \begin{pmatrix} 0.8 & 0.5 & 0.1 \\ 0.5 & 0.6 & 0.7 \\ 0.3 & 0.6 & 0.7 \end{pmatrix}$$

$$v_{\mu}$$

The Cabibbo-Kobayashi-Maskawa Quark Mixing Matrix

$$|V_{\rm CKM}| \sim \begin{pmatrix} 1 & 0.2 & 0.004 \\ 0.2 & 1 & 0.04 \\ 0.008 & 0.04 & 1 \end{pmatrix}$$



Present and future of neutrino oscillation physics

$$\begin{pmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix}^{\text{sun}} \xrightarrow{} U_{e1} \quad U_{e2} \quad U_{e3} \\ U_{\mu 1} \quad U_{\mu 2} \quad U_{\mu 3} \\ U_{\tau 1} \quad U_{\tau 2} \quad U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix}^{\text{Atmosph., Accel.}}$$

• The value of δ_{CP} is undetermined



 The mixing parameters not known to the same precision as those in the quark sector

• The ordering of the mass states i.e. the neutrino mass hierarchy is undetermined



Neutrino cross sections generalities and models



Modern accelerator-based neutrino oscillation experiments:

- The neutrino energy is reconstructed from the final states
- Nuclear targets (C, O, Ar, Fe...)

the knowledge of the neutrino-nucleus cross section is crucial

Present and future LBL oscillation experiments



Nuclear targets:

Carbon: T2K(ND) and NOvA

Oxygen (water): T2K (SuperK) and Hyper-K

Argon: DUNE

In the last 15 years many cross sections measurements and theoretical studies have been performed for Carbon (¹²C). Less for Oxygen (¹⁶O) and Argon (⁴⁰Ar)

Some important points of the accelerator-based $\boldsymbol{\nu}$ experiment

 Neutrino beams are not monochromatic (at difference with respect to electron beams)



• Different reaction mechanisms contribute



 The neutrino energy is reconstructed from the final states of the reaction (often from CCQE events)





In this talk: Neutrino - nucleus interaction @ E_v^{\sim} O(1 GeV)



Different processes are entangled

Charged current neutrino-nucleus cross section $\mathbf{L}' \quad \mathbf{k}' \quad q = (\omega, \vec{q})$

Leptonic and Hadronic tensors

 $\mathcal{L}_W = \frac{G_F}{\sqrt{2}} \cos \theta_C l_\mu J^\mu$ Frow weak Lagrangian to cross section in terms of

 $\nu_l(\bar{\nu}_l) + A \longrightarrow l^-(l^+) + X$

Lab frame

$$\frac{d^2\sigma}{d\Omega_{k'}d\omega} = \frac{G_F^2\cos^2\theta_C}{4\pi^2} \frac{|\mathbf{k}'|}{|\mathbf{k}|} L_{\mu\nu} W^{\mu\nu}(\mathbf{q},\omega)$$

 $d\Omega_{k'}$ differential solid angle in the direction specified by the charged-lepton momentum **k**' $k \equiv (E_{\nu}, \mathbf{k}) \ k' \equiv (E'_{l}, \mathbf{k}') \quad q = k - k' \equiv (\omega, \mathbf{q}) \quad \omega = E_{\nu} - E'_{l}$ initial and final lepton 4-momenta four-momentum transfer energy transfer

 $L_{\mu\nu} = k_{\mu}k'_{\nu} + k'_{\mu}k_{\nu} - g_{\mu\nu}k \cdot k' \pm i\varepsilon_{\mu\nu\kappa\lambda}k^{\kappa}k'^{\lambda} \qquad W^{\mu\nu} = \sum_{f} \langle 0|J^{\mu\dagger}(q)|f\rangle \langle f|J^{\nu}(q)|0\rangle \delta^{(4)}(p_{0} + q - p_{f})$ Hadronic tensor Leptonic tensor

The "inclusive" charged current cross section is a linear combination of five contributions $\frac{d^2\sigma}{d\Omega_{k'}d\omega} = \sigma_0 \left[L_{00}W^{00} + L_{33}W^{33} + (L_{03} + L_{30})W^{03} + (L_{11} + L_{22})W^{11} \pm (L_{12} - L_{21})W^{12} \right]$

A simplified expressions particularly useful for illustration

- Final lepton mass contributions ignored (m_l=0)
- Obtained by keeping only the leading terms for the hadronic tensor in the development of the hadronic current in p/M_N

$$\frac{d^2\sigma}{d\cos\theta d\omega} = \frac{G_F^2 \cos^2\theta_c}{\pi} |\mathbf{k}'| E_l' \cos^2\frac{\theta}{2} \left[\frac{(\mathbf{q}^2 - \omega^2)^2}{\mathbf{q}^4} G_E^2 R_\tau(\mathbf{q}, \omega) + \frac{\omega^2}{\mathbf{q}^2} G_A^2 R_{\sigma\tau(L)}(\mathbf{q}, \omega) \right] + 2\left(\tan^2\frac{\theta}{2} + \frac{\mathbf{q}^2 - \omega^2}{2\mathbf{q}^2} \right) \left(\frac{G_M^2}{4M_N^2} + \frac{\mathbf{q}^2}{4M_N^2} + \frac{G_A^2}{2\mathbf{q}^2} \right) R_{\sigma\tau(T)}(\mathbf{q}, \omega) \pm 2\frac{E_\nu + E_l'}{M_N} \tan^2\frac{\theta}{2} G_A G_M R_{\sigma\tau(T)}(\mathbf{q}, \omega) \right]$$

Explicitly appear:

- 1. The different kinematic variables (related to the leptonic tensor)
- 2. The nucleon Electric, Magnetic, and Axial form factors (↔ nucleon properties)
- 3. The nuclear response functions (\leftrightarrow nuclear dynamics)

$$R_{\alpha}^{PP'}(\mathbf{q},\omega) = \sum_{n} \langle n | \sum_{j=1}^{A} O_{\alpha}^{P}(j) e^{i \mathbf{q} \cdot \mathbf{x}_{j}} | 0 \rangle \langle n | \sum_{k=1}^{A} O_{\alpha}^{P'}(k) e^{i \mathbf{q} \cdot \mathbf{x}_{k}} | 0 \rangle^{*} \, \delta(\omega - E_{n} + E_{0}),$$

$$Isovector R_{\tau}$$

$$O_{\alpha}^{N}(j) = \tau_{j}^{\pm}$$

$$Isospin Spin-Longitudinal R_{\sigma\tau(L)}$$

$$Isospin Spin-Transverse R_{\sigma\tau(T)}$$

$$(\boldsymbol{\sigma}_{j} \cdot \widehat{\boldsymbol{q}}) \tau_{j}^{\pm}$$

$$(\boldsymbol{\sigma}_{j} \times \widehat{\boldsymbol{q}})^{i} \tau_{j}^{\pm}$$

$$I_{3}$$

The Form Factors





Nuclear Responses for different excitations

$$R_{\alpha} = \sum_{n \neq 0} |\langle n | \hat{O}_{(\alpha)} | 0 \rangle|^2 \, \delta[\omega - (E_n - E_0)]$$

1p-1h 2p-2h: 1p-1h $(\Delta \rightarrow \pi N)$ 1 π production two examples Quasielastic an, kunn \$rrr р h h р h h h p D /Π p man m. N Δ-MEC **NN SRC** P π P P

Nuclear responses and neutrino cross sections at fixed kinematics



Examples of electron scattering cross section on ¹²C



Remark: flux-integrated .vs. monochromatic beam cross sections



In the flux-integrated cross sections the different channels are entangled

The Random Phase Approximation

- External force acting on one nucleon is transmitted to the neighbors by the nucleon interaction Long Range Correlations
- The nuclear response becomes collective
- Shift of the peak with respect to Fermi Gas, decrease, increase depending on the channels of excitation



Neutrino scattering - Effects of the RPA in the genuine quasielastic channel

QE totally dominated by isospin spin-transverse response $R_{\sigma\tau(T)}$

RPA reduction

QE

•expected from the repulsive character of p-h interaction in T channel

•also due to interference term $R^{N\Delta} < 0$

(Lorentz-Lorenz or Ericson-Ericson effect [M.Ericson, T. Ericson, Ann. Phys. 36, 323 (1966)])



The Hartree Fock + Continuum RPA for giant resonances and QE



- The two approaches are essentially in agreement
- In the low energy part the LFG+RPA results represent the average of the HF+CRPA ones

Several models to calculate the responses and the v cross sections

- Local Fermi Gas + Random Phase Approximation
- LyonM. Martini, M. Ericson, G. Chanfray, J. Marteau, Phys. Rev. C 80 065501 (2009)ValenciaJ. Nieves, I. Ruiz Simo, M.J. Vicente Vacas, Phys. Rev. C 83 045501 (2011)
- Hartree-Fock + (Continuum) Random Phase Approximation

GhentV. Pandey, N. Jachowicz, T. Van Cuyck, J. Ryckebusch, M. Martini, Phys. Rev. C 92 024606 (2015)Other groups focused on giant resonances and belowKolbe et al. ; Volpe et al.; Co' et al.; ...

SuSAv2 superscaling/relativistic mean field

Granada, Madrid, MIT, Sevilla, Torino G.D. Megias, J.E. Amaro, M.B. Barbaro, J.A. Caballero, T.W. Donnelly, I. Ruiz Simo, PRD 94 093004 (2016) I. Ruiz Simo, J. E. Amaro, M. B. Barbaro, A. De Pace, J. A. Caballero, T. W. Donnelly, JPG44 065105 (2017)

• Spectral function approach

Roma N. Rocco, C. Barbieri, O. Benhar, A. De Pace, A. Lovato, Phys. Rev. C 99 025502 (2019)

Relativistic Green's function

Pavia A. Meucci, C. Giusti, F. D. Pacati, Nucl. Phys. A 739 277-290 (2004)

Green's function Monte Carlo ("ab initio")

Argonne, Los Alamos A. Lovato, J. Carlson, S. Gandolfi, N. Rocco, R. Schiavilla, PRX 10 031068 (2020)

• GiBUU transport theory

Giessen O. Buss, T. Gaitanos, K. Gallmeister, H. van Hees, M. Kaskulov, O. Lalakulich,

A.B. Larionov, T. Leitner, J. Weil, U. Mosel, Phys.Rept. 512 1-124 (2012)

p.s. only one representative reference for each approach (not necessarily the founding paper)

For discussions and comparisons of different models see for example:

- G.T. Garvey, D.A. Harris, H.A. Tanaka, R. Tayloe, G.P. Zeller, Phys.Rept. 580 (2015) 1-45
- T. Katori, M. Martini, J.Phys.G 45 (2018) 1, 013001
- M. Sajjad Athar, A. Fatima, S. K. Singh, Prog.Part.Nucl.Phys. 129 (2023) 104019

Monte Carlo Event Generators

Monte Carlo event generators connects theoretical models to experimental measurements Main Event Generators for neutrino interactions:



M. Buizza Avanzini⁽⁰⁾,¹ M. Betancourt,² D. Cherdack,³ M. Del Tutto⁽⁰⁾,^{2,4} S. Dytman⁽⁰⁾,⁵ A. P. Furmanski,^{6,7}
 S. Gardiner,² Y. Hayato⁽⁰⁾,⁸ L. Koch⁽⁰⁾,⁹ K. Mahn⁽⁰⁾,¹⁰ A. Mastbaum⁽⁰⁾,¹¹ B. Messerly,^{5,7} C. Riccio⁽⁰⁾,^{12,13}
 D. Ruterbories⁽⁰⁾,¹⁴ J. Sobczyk,¹⁵ C. Wilkinson,¹⁶ and C. Wret⁽⁰⁾

Main models implemented for the quasielastic (and 2p-2h):

- Relativistic global and local Fermi Gas
- RPA
- SuperScaling (SuSAv2)
- Spectral Function

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SuperScaling

- The basic idea of the approach [J.E. Amaro et al., PRC71 (2005) 015501] is to exploit electron scattering in order to predict the neutrino scattering cross section based on the "superscaling" properties of inclusive electron scattering data, extensively analysed in the 90s [Day et al., Ann.Rev.Nucl.Part.Sci.40 (1990); Donnelly and Sick, PRL82; PRC60 (1999)]
- Extract a **SuperScaling function** from electron scattering inclusive data

$$f(q,\omega;k_F) = k_F \times \frac{\left[d^2\sigma/d\omega d\Omega\right]_{exp}^{(e,e')}}{\overline{\sigma}_{eN}}$$

- Plot it as function of a Scaling variable which is a combination of q and ω
- **SuperScaling** is realized if:

$$\psi \equiv \psi(q,\omega;k_F)$$

$$\psi(q,\omega;k_F) \longrightarrow f(\psi)$$

- I) f is independent of the kinematics (q) for a given nucleus (scaling of firs kind)
- II) f is independent of the nucleus (k_F) for given kinematics (scaling of second kind)

The SuperScaling function f is a universal function encoding the nuclear dynamics. It can be extracted from electron scattering experiment or calculated within a model.

 Final step: Use the SuperScaling function to predict the neutrino cross sections

$$\left[d^2\sigma/d\omega d\Omega\right]^{(\nu,l)} = \frac{1}{k_F} \overline{\sigma}_{\nu N} f(\psi)$$

The SuSA and SuSAv2 models in the quasielastic region

The scaling function(s) are used to describe simultaneously electron and neutrino scattering



SuSA model - phenomenological J.E. Amaro et al., PRC71 (2005) 015501

• One scaling function extracted from longitudinal inclusive (e,e') data

SuSAv2 model - microscopic

R. Gonzalez-Jimenez et al., PRC90 (2014) 035501

- Based on Relativistic Mean Field calculation
- A set of scaling functions in L,T and isospin channels

The Spectral Function

The spectral function $S(E_m, p_m)$ represents the joint probability of removing a nucleon of given momentum \mathbf{p}_{m} from the nuclear ground state A leaving the residual nucleus A-1 in a state characterized by missing energy E_m $E_m = \omega - T_N - T_{A-1}$



J. Mougey et al, Nucl. Phys. A 262 (1976)



MISSING ENERGY (MeV)

Missing Energy

Missing momentum $p_m = q - p_N = p_{A-1}$ recoil momentum

p.s. Often in literature the sign is opposite : $p_m = p_N - q = -p_{A-1}$

- This approach has been largely used in the electron scattering experiments where the energy and the momentum transferred to the nucleus (ω, \mathbf{q}) are measured. In particular it has been used in the (e,e'p) experiments where p_m and E_m can be selected by fixing the outgoing nucleon kinematics
- Assuming that the interaction occurs on a single nucleon and that the energy and momentum of the outgoing nucleon are not modified by FSI (Plane Wave Impulse Approximation), \mathbf{p}_{m} and E_{m} are the impulse and kinetic energy of the struck nucleon inside the nucleus



Different ¹⁶O Theoretical Spectral Functions



Ab-initio self-consistent Green's function calculation of ⁴⁰Ar Spectral function

C. Barbieri, N. Rocco, V. Somà , Phys.Rev.C 100 (2019) 6, 062501



Extension of the calculation including two-body spectral function and two-body current contributions would be very important

Models .vs. Data: CCQE, CCQE-like and CC0π

MiniBooNE CC Quasielastic cross section on Carbon and the M_A puzzle

First Measurement of Muon Neutrino Charged Current Quasielastic (CCQE) Differential Cross Section

PHYSICAL REVIEW D 81, 092005 (2010) First measurement of the muon neutrino charged current quasielastic double differential cross section

Cite as: AIP Conference Proceedings 1189, 139 (2009); https://doi.org/10.1063/1.3274144 Published Online: 02 December 2009

Teppei Katori and MiniBooNE collaboration



Comparison with a prediction based on Relativistic Fermi Gas (**RFG**) using **M**_A=**1.03 GeV** (standard value) reveals a discrepancy

In the Relativistic Fermi Gas (RFG) model an axial mass of **1.35 GeV** is needed to account for data **puzzle**?

Comparison of different theoretical models for Quasielastic



An explanation of this puzzle



Agreement with MiniBooNE without increasing M_A MiniBooNE measured CCQE-like, not genuine CCQE

Flux-integrated double differential cross section

$$\left(\frac{\mathrm{d}^2\sigma}{\mathrm{d}T_l\mathrm{cos}\theta}\right)_i = \frac{\sum_j U_{ij}(\mathrm{d}_j - b_j)}{\Phi \cdot T \cdot \epsilon_i \cdot (\Delta T_l, \,\Delta\mathrm{cos}\theta)_i}$$

PHYSICAL REVIEW D 81, 092005 (2010)

First measurement of the muon neutrino charged current quasielastic double differential cross section



- Function of two measured variables
- Less model dependent than $\sigma(E_y)$: free from the neutrino energy reconstruction problem (see later) ٠
- Flux dependent

Flux-integrated differential cross section is where theorists and experimentalists meet for v interaction

MiniBooNE CCQE-like flux-integrated double differential cross section



- Good agreement with data once multinucleon contributions are included
- Similar conclusions obtained by different theoretical calculations (see later)

MiniBooNE CCQE-like flux-integrated double differential cross section



Martini, Ericson, Phys. Rev. C 87 065501 (2013)

Similar conclusion also for the MiniBooNE CCQE-like antineutrino cross sections
The **CC0** π measurement

After MiniBooNE, it has become more popular to present the data in terms of **final state** particles

 $CC0\pi = CCQE$ -like without subtraction of π absorption background ($CC0\pi \ge CCQE$ -like)



Including np-nh Without np-nh

,³⁸ cm⁻ , u GeV /

10.0 100 €

 $\frac{d^2\sigma}{0.4}$

 $\frac{10^{-38} \text{ cm}^2}{\text{ucleon GeV}}$

0.8 0.7 0.8

Better agreement including np-nh

The $CC0\pi$ measurement

After MiniBooNE, it has become more popular to present the data in terms of final state particles

 $CC0\pi$ = CCQE-like without subtraction of π absorption background

PHYSICAL REVIEW D 93, 112012 (2016)

Measurement of double-differential muon neutrino charged-current interactions on C₈H₈ without pions in the final state using the T2K off-axis beam



Differences between models' predictions

Comparison between different CCQE+2p-2h theoretical predictions

A. Branca et al. Symmetry 13 (2021) 9, 1625



Several theoretical calculations agree on the crucial role of 2p-2h to reproduce data but there are discrepancies between the different models' predictions

2p-2h are one of the most important source of the cross section uncertainties (systematic errors in oscillation experiments)

Some details on 2p-2h

See also 2016 ESNT workshop

Two-body current contributions in neutrino-nucleus scattering (cea.fr)

Two particle-two hole sector (2p-2h)

Three equivalent representations of the same process



Final state: two particles-two holes

Diagrams for 2 body currents



Nucleon-Nucleon Correlations (SRC) J^{corr}

- An additional two-body current to be included in the framework of independent particle models for QE such as the Fermi Gas or Hartree-Fock.
- Absent in the approaches which start from the description of the nucleus in terms of correlated wave functions (such as CBF spectral function or GFMC) since the hadronic tensor of the one body current already includes this contribution.
- There is a risk of a double counting of SRC in the Monte Carlo if different contributions to the neutrino cross sections are taken from different models.

Some diagrams for 2p-2h responses



Alberico, Ericson, Molinari, Ann. Phys. 154, 356 (1984)

MEC contributions



De Pace, Nardi, Alberico, Donnelly, Molinari, NPA741 (2004)

Separation of np-nh contributions in the nuclear responses



De Pace, Nardi, Alberico, Donnelly, Molinari, Nucl. Phys. A741, 249 (2004)



Direct and exchange MEC contributions



Fully relativistic calculation of *De Pace, Nardi, Alberico, Donnelly, Molinari, NPA741 (2004):*

3000 direct terms

More than **100 000** exchange terms

Main difficulties in the np-nh sector

$$W^{\mu\nu}(\mathbf{q},\omega) = W^{\mu\nu}_{1p1h}(\mathbf{q},\omega) + W^{\mu\nu}_{2p2h}(\mathbf{q},\omega) + \cdots$$
$$W^{\mu\nu}_{2p-2h}(\mathbf{q},\omega) = \frac{V}{(2\pi)^9} \int d^3p'_1 d^3p'_2 d^3h_1 d^3h_2 \frac{m_N^4}{E_1 E_2 E'_1 E'_2} \theta(p'_2 - k_F) \theta(p'_1 - k_F) \theta(k_F - h_1) \theta(k_F - h_2)$$
$$\frac{\langle 0|J^{\mu}|\mathbf{h}_1 \mathbf{h}_2 \mathbf{p}'_1 \mathbf{p}'_2 \rangle \langle \mathbf{h}_1 \mathbf{h}_2 \mathbf{p}'_1 \mathbf{p}'_2 | J^{\nu}| 0 \rangle \delta(E'_1 + E'_2 - E_1 - E_2 - \omega) \delta(\mathbf{p}'_1 + \mathbf{p}'_2 - \mathbf{h}_1 - \mathbf{h}_2 - \mathbf{q})}{\mathbf{matrix elements}}$$

- 7-dimensional integrals $\int d^3h_1 d^3h_2 d\theta'_1$ of thousands of terms
- Huge number of diagrams and terms
- Divergences (NN correlations contributions)
- Calculations for all the kinematics compatible with the experimental neutrino flux

Computing very demanding

Hence different approximations by different groups:

- choice of subset of diagrams and terms;
- different prescriptions to regularize the divergences;
- reduce the dimension of the integrals
 (7D --> 2D if non relativistic; 7D -->1D if h₁ = h₂ =0)
- ⇒ Different final results by different groups
 - The relative role of np-nh for neutrinos and antineutrinos is different in different approaches



First combined measurement of the muon neutrino and antineutrino charged-current cross section without pions in the final state at T2K





A precise and simultaneous knowledge of the four cross sections is important in connection to the oscillation experiments aiming at the search for CP violation in the lepton sector (T2K, NOvA, Hyper-K, DUNE). Non-trivial differences in the cross sections (see Appendix I)

Example of different results for 2p-2h in the (q,ω) or (q_0,q_3) plane



N.B. A one-to one correspondence between different exclusive channel's contributions can be misleading [e.g. NN SRC contributions are part of the 2p-2h channel in RPA-based approaches while they are included in QE in SuSA.]

Example of different results in recent Spectral Function and Green's Function Monte Carlo (ab-initio) calculations



D. Simons et al. 2210.02455

N. Steinberg talk @ NUINT 2022

SF and GFMC 2-body contributions shifted because of different 1 body – 2 body interference effects

Axial Form factor and Lattice QCD predictions



A. Meyer talk @ NUINT 2022; Ann.Rev.Nucl.Part.Sci. 72 (2022) .



- Dipole parameterization underestimates uncertainties
- Meyer et al. z-expansion: similar to dipole parameterization but larger errors
- Lattice QCD calculations show evidence of slow Q² falloff
- LQCD: much larger normalization at Q² > 0.3 GeV²

D. Simons et al. 2210.02455

Dipole $M_A = 1.0 \text{ GeV}$

z expansion (D2 Meyer et al.)

z expansion (LQCD Bali et al.)

z expansion (LQCD Park et al.)

z expansion (LQCD Djukanovic et al.)

Impact of enhanced axial form factor from LQCD



Neutrino energy reconstruction

Energy reconstruction in neutrino oscillation experiments



Two methods for $\boldsymbol{\nu}$ energy reconstruction

Tracking detectors

- Use all the detected particles
- Calorimetric method



Cherenkov detectors

- Use only lepton (1 ring signal)
- Quasielastic-based method



[For details see the cross section lectures at the GIF school]



Impact of 2p-2h modeling on T2K oscillation analysis

T2K Phys.Rev.D 96 (2017) 9, 092006





Electron-beam energy reconstruction for v oscillation measurements



1π production

[GeV]

Important for several reasons:

 Misidentified π is part of the v energy migration matrix in QE-based method

• In Cherenkov detectors NC1 π^0 can mimic electron-like signal in $v_{\mu} \rightarrow v_e$ oscillation search

- There is an increasing interest on CC 2-ring signal (charged lepton and π) at SK
- It is one of the dominant channels in DUNE





$CC1\pi$ + flux-integrated differential cross sections on carbon



in particular at MiniBooNE and T2K energies

 p_{μ} (GeV/c)

$CC1\pi$ results in terms of pion variables



Recent hot topics:

- Argon cross sections
- Semi-inclusive processes

First MicroBooNE measurement on Argon: inclusive $d^2\sigma/dp_u dcos\theta_u$

• CC Inclusive: only the charged lepton is detected. All reaction mechanisms contribute



PHYSICAL REVIEW LETTERS 123, 131801 (2019)

RPA and SuSAv2 calculations of MicroBooNE inclusive $d^2\sigma$ on argon



Results also with SuSA Barbaro et al. Universe 7 (2021)

- Reasonable overall agreement, though not as good as in the ¹²C T2K inclusive case (see next slide)
- At backward angles the predictions of the different models are slightly shifted to lower values of p_{μ} , whereas the reverse occurs at forward angles

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RPA and Monte Carlos calculations of T2K inclusive $d^2\sigma$ on carbon

PHYSICAL REVIEW D 98, 012004 (2018)

Measurement of inclusive double-differential ν_{μ} charged-current cross section with improved acceptance in the T2K off-axis near detector

RPA





M. Martini, M. Ericson, G. Chanfray, PRC 106, 015503 (2022)

M. Buizza Avanzini et al. PRD 105, 092004 (2022)

Remarkable agreement

Semi-inclusive processes: muon + proton(s) are detected

This week ESNT workshop

Meson Exchange Current contributions in semi inclusive lepton nucleus scattering (cea.fr)

MicroBooNE semi-inclusive CC0π1p on argon

PHYSICAL REVIEW LETTERS 125, 201803 (2020)



Overestimation of Monte Carlo predictions in the muon forward direction

MicroBooNE semi-inclusive CC0 π 1p on argon versus proton variables



MicroBooNE PRL 125(2020)

- Poor Monte Carlo data agreement
- Spread of Monte Carlo predictions

How good are the current approximations (use "inclusive" models, factorization,...) of the Monte Carlos for the semi-inclusive processes?

Final State Interactions

FSI between the knocked-out particle(s) and the residual nucleus



- Monte Carlo event generators include different models of intra-nuclear cascades: particles are assumed to be classical and move along a straight line
- FSI between the knocked-out nucleon and the residual nucleus can be microscopically treated using different approaches: Optical Potential, RMF, Energy-Dependent RMF

The inclusion of FSI effects is extremely important for the description of semi-inclusive data

Some recent references:

- R. Gonzalez-Jimenez et al., PRC 101, 015503 (2020);
- J. Isaacson et al., PRC 103 015502 (2021);
- A. Nikolakopoulos et al. PRC 105, 054603 (2022);
- A. Ershova et al., PRD 106 032009 (2022); PRD 108 112008 (2023) PhD thesis @DPhN DPhP

The semi-inclusive neutrino cross section

There is an increasing interest on semi-inclusive cross sections



$$\mathcal{F}_{\chi}^{2} = L_{\mu\nu}W^{\mu\nu} = V_{CC}R^{CC} + 2V_{CL}R^{CL} + V_{LL}R^{LL} + V_{T}R^{T} + V_{TT}R^{TT} + V_{TC}R^{TC} + V_{TL}R^{TL} + \chi \left(V_{T'}R^{T'} + V_{TC'}R^{TC'} + V_{TL'}R^{TL'}\right)$$

The $(\nu_{\mu}, \mu p)$ cross section is decomposed in **10 independent response functions** of **5 variables** $(\omega, q, \mathbf{p}_N)$. More complex structure than in the **inclusive** (ν_{μ}, μ) case: **5 new responses**, which vanish after integration over the final nucleon variables

 $R^{TT,TC,TL,TC',TL'} \propto \cos(\phi), \cos(2\phi)$ ϕ outgoing nucleon azimuthal angle

Semi-inclusive —> Inclusive (but not viceversa!)

Theoretical situation:

- Few models and papers for genuine CCQE [J. M. Franco Patino et al, PRC 102 (2020); PRD 104 (2021); PRD 106 (2022); 2304.01916; A. V. Butkevich PRC 105 (2022)]

- 1 (incomplete due to the absence of Δ -MEC) published result for 2p-2h [T. Van Cuyck et al. (Ghent) PRC 94 (2016); PRC 95 (2017)] + PhD thesis of Kajetan Niewczas (inclusion of Δ -MEC)

- PhD thesis of Valerio Belocchi (Torino) talk tomorrow [V. Belocchi et al. arXiv: 2401.13640]
- 1 very recent work on two-nucleon emission: V.L. Martinez-Cosentino et al. (Granada) PRC 109 (2024)
Semi-inclusive CC0 π 1p cross section: role of proton FSI



RPWIA: no FSI

GENIE-SuSAv2: include FSI but from inclusive model (factorization) **ED-RMF**, **rROP**, **ROP**: different theoretical approaches for FSI





J. M. Franco Patino et al, PRD 106 (2022); 2304.01916

- FSI improve the agreement with data with respect to the RPWIA (no FSI) prediction
- Large differences between different FSI models

Single Transverse Kinematic Imbalance (STKI) variables (STV)



Deviations (imbalance) from these behaviors "measure" nuclear effects

Several recent MicroBooNE studies using Kinematic Imbalance Variables

PHYSICAL REVIEW LETTERS 131, 101802 (2023)

First Double-Differential Measurement of Kinematic Imbalance in Neutrino Interactions with the MicroBooNE Detector

PHYSICAL REVIEW D 108, 053002 (2023)

Multidifferential cross section measurements of ν_{μ} -argon quasielasticlike reactions with the MicroBooNE detector



2310.06082

Measurement of nuclear effects in neutrino-argon interactions using generalized kinematic imbalance variables with the MicroBooNE detector

"These measurements allow us to demonstrate that the treatment of CCQE interactions in GENIEv2 is inadequate to describe data. Further, they reveal tensions with more modern generator predictions particularly in regions of phase space where FSI are important."

This is not a surprise since the generators implement "inclusive" models

What we learn by comparing semi-inclusive measurement as a function of hadronic variables with Monte Carlo predictions based on inclusive models?

MicroBooNE semi-inclusive CC0π2p on argon

First Measurement of Differential Cross Sections for Muon Neutrino Charged Current Interactions on Argon with a Two-proton Final State in the MicroBooNE Detector



Spread of Monte Carlo predictions

Differential Cross-Section [10⁻³⁸ cm² / Argon]

How good are the current approximations of the MC for the semi-inclusive processes?

Complete semi-inclusive fully microscopic calculations of 2p-2h are not yet available

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T2K News – December 2023: Started data taking with ND280 Upgrade

T2K experiment enters a new phase with significantly improved sensitivity for its world <u>leading neutrino oscillation research – KEK | 高エネルギー加速器研究機構</u>





T2K experiment enters a new phase with significantly improved sensitivity for its world leading neutrino oscillation research

- Started data taking with upgraded accelerator neutrino beam and new detectors -





General considerations

1) The spread of the models increases with the neutrino energy







CC0π

2) The spread of the models is larger in semi-inclusive processes



3) The spread of the models is larger for Argon than for Carbon

T2K Carbon





General comments

- 1) The spread of the models increases with the neutrino energy
- 2) The spread of the models is larger in semi-inclusive processes
- 3) The spread of the models is larger for Argon than for Carbon

This is not surprising since in the last 15 years the neutrino community focused on Carbon, on "inclusive" measurements as a function of the leptonic variables (Cherenkov detectors) and on "low" neutrino energy (MiniBooNE and T2K)



DUNE will be at larger energies, will use Argon detectors, will exploit semi-inclusive measurements as a function of leptonic and hadronic variables



Neutrino cross sections: summary of status and perspectives

A) Cross sections in terms of muon variables (CC inclusive, CC0π)

Significant progress in the last 15 years

- Many experimental and theoretical results
- Still we have to tackle currently existing degeneracies:
 - 1. between cross sections and flux uncertainties
 - 2. between nucleon uncertainties and nuclear effects
 - 3. between different nuclear models and approximations

B) Cross sections in terms of hadronic variables (CC0π1p, CC0πNp, CC1π, CCOther)

- We are only at the beginning!
- Few experimental and theoretical results
- Theoretical models and Monte Carlo implementation of semi-inclusive processes are needed
- The one pion puzzle is still there

New generation experiments open important perspectives for neutrino cross sections



Close collaboration between theorists, experimentalists and generator developers is crucial

For the moment the community (at least theorists and generator developers) is not so large

In the precision era of neutrino physics new intriguing results, like CP violation, necessary passes through a precise knowledge of neutrino-nucleus cross sections

Some Review papers

1305.7513.pdf (arxiv.org)

REVIEWS OF MODERN PHYSICS, VOLUME 84, JULY-SEPTEMBER 2012

From eV to EeV: Neutrino cross sections across energy scales

J.A. Formaggio*

Laboratory for Nuclear Science Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA

G. P. Zeller

Fermi National Accelerator Laboratory, Batavia, Illinois 60510, USA

1611.07770.pdf (arxiv.org)

IOP Publishing	Journal of Physics G: Nuclear and Particle Physics
J. Phys. G: Nucl. Part. Phys. 45 (2018) 013001 (98pp)	https://doi.org/10.1088/1361-6471/aa8bf7

Topical Review

Neutrino-nucleus cross sections for oscillation experiments

Teppei Katori^{1,4,5} and Marco Martini^{2,3,4,5}

2108.12212.pdf (arxiv.org)

😪 symmetry

MDPI

Review

A New Generation of Neutrino Cross Section Experiments: Challenges and Opportunities

Antonio Branca ^{1,*}⁽⁰⁾, Giulia Brunetti ¹⁽⁰⁾, Andrea Longhin ^{2,3}⁽⁰⁾, Marco Martini ^{4,5}⁽⁰⁾, Fabio Pupilli ³⁽⁰⁾ and Francesco Terranova ¹⁽⁰⁾

1706.03621.pdf (arxiv.org)



2206.13792.pdf (arxiv.org)



Review

J.T. Sobczyk^u, G.P. Zeller

Neutrinos and their interactions with matter

M. Sajjad Athar 🙁 🖂 , A. Fatima, S.K. Singh

Further details

• My lectures at Ecole de GIF 2022

Ecole de Gif 2022: La Physique des Neutrinos (5-9 septembre 2022): Sections efficaces d'interaction de neutrinos



$\begin{array}{l} \textbf{APPENDIX} \\ \nu . \textbf{vs.} \ \overline{\nu} \ \textbf{and} \ \nu_{\mu} \ . \textbf{vs.} \ \nu_{e} \end{array}$

v oscillation and CP violation



A precise and simultaneous knowledge of the four cross sections is important in connection to the oscillation experiments aiming at the search for CP violation in the lepton sector.

Neutrino vs Antineutrino interactions

The ν and anti ν cross sections differ by the sign of the V-A interference term

$$\frac{d^{2}\sigma}{d\cos\theta d\omega} = \frac{G_{F}^{2}\cos^{2}\theta_{c}}{\pi} |\mathbf{k}'| E_{l}'\cos^{2}\frac{\theta}{2} \left[\frac{(\mathbf{q}^{2}-\omega^{2})^{2}}{\mathbf{q}^{4}} G_{E}^{2} R_{\tau}(\mathbf{q},\omega) + \frac{\omega^{2}}{\mathbf{q}^{2}} G_{A}^{2} R_{\sigma\tau(L)}(\mathbf{q},\omega) \right] \\ + 2 \left(\tan^{2}\frac{\theta}{2} + \frac{\mathbf{q}^{2}-\omega^{2}}{2\mathbf{q}^{2}} \right) \left(G_{M}^{2}\frac{\mathbf{q}^{2}}{4M_{N}^{2}} + G_{A}^{2} \right) R_{\sigma\tau(T)}(\mathbf{q},\omega) \pm 2 \frac{E_{\nu} + E_{l}'}{M_{N}} \tan^{2}\frac{\theta}{2} G_{A} G_{M} R_{\sigma\tau(T)}(\mathbf{q},\omega) \right] \\ \text{Vector-Axial interference:} \\ \text{basic asymmetry from weak interaction theory} \\ \text{different sign in the Leptonic tensor} \\ L_{\mu\nu} = k_{\mu}k'_{\nu} + k_{\nu}k'_{\mu} - g_{\mu\nu}k \cdot k' = i\varepsilon_{\mu\nu\alpha\beta}k^{\alpha}k'^{\beta} \\ \overline{\mathbf{v}} \end{cases}$$



Even neglecting nuclear effects, the absolute value and the kinematic behavior of neutrino and antineutrino cross sections are different

 $d\sigma/dcos\theta$

Q² distribution



- Antineutrino cross section falls more
 rapidly than the neutrino one
- Antineutrino Q² distribution peaks at smaller Q² values than the neutrino one

Neutrino vs Antineutrino interactions and nuclear effects

$$\frac{d^{2}\sigma}{d\cos\theta d\omega} = \frac{G_{F}^{2}\cos^{2}\theta_{c}}{\pi} |\mathbf{k}'|E_{l}'\cos^{2}\frac{\theta}{2} \left[\frac{(\mathbf{q}^{2}-\omega^{2})^{2}}{\mathbf{q}^{4}} G_{E}^{2}(\mathbf{R}_{\tau}(\mathbf{q},\omega) + \frac{\omega^{2}}{\mathbf{q}^{2}} G_{A}^{2}(\mathbf{R}_{\sigma\tau(L)}(\mathbf{q},\omega) + 2\left(\tan^{2}\frac{\theta}{2} + \frac{\mathbf{q}^{2}-\omega^{2}}{2\mathbf{q}^{2}}\right) \left(G_{M}^{2}\frac{\mathbf{q}^{2}}{4M_{N}^{2}} + G_{A}^{2}\right) \left(\mathbf{R}_{\sigma\tau(T)}(\mathbf{q},\omega) \pm 2\frac{E_{\nu}+E_{l}'}{M_{N}}\tan^{2}\frac{\theta}{2} G_{A}G_{M}(\mathbf{R}_{\sigma\tau(T)}(\mathbf{q},\omega) + \frac{2}{M_{N}}\frac{E_{\nu}+E_{l}'}{2\mathbf{q}^{2}}\right) \left(\mathbf{Q}_{A}^{2}(\mathbf{q},\omega) + \frac{2}{4M_{N}^{2}} + \frac{2}{M_{N}}\frac{E_{\nu}+E_{l}'}{M_{N}}\right) \left(\mathbf{Q}_{A}^{2}(\mathbf{q},\omega) + \frac{2}{M_{N}}\frac{E_{\nu}+E_{l}'}{2\mathbf{q}^{2}}\right) \left(\mathbf{Q}_{A}^{2}(\mathbf{q},\omega) + \frac{2}{M_{N}}\frac{E_{\nu}+E_{l}'}{M_{N}}\right) \left(\mathbf{Q}_{A}^{2}(\mathbf{q},\omega) + \frac{2}{M_{N}}\frac{E_{\nu}+E_{\nu}'}{M_{N}}\right) \left(\mathbf{Q}_{A}^{2}(\mathbf{q},\omega) + \frac{2}{M_{N}}\frac{E_{\nu}+E_{\nu}'}{M_{N}}\right) \left(\mathbf{Q}_{A}^{2}(\mathbf{q},\omega) + \frac{2}{M_{N}}\frac{E_{\nu}+E_{\nu}'}{M_{N}}\right) \left(\mathbf{Q}_{A}^{2}(\mathbf{q},\omega) + \frac{2}{M_{N}}\frac{E_{\nu}+E_{\nu}'}{M_{N}}\right) \left(\mathbf{Q}_{A}^{$$

The v and anti v interactions differ by the sign of the V-A interference term

 \rightarrow the relative weight of the different nuclear responses is different for neutrinos and antineutrinos

→the relative role of np-nh contributions is different for neutrinos and antineutrinos





T. Katori, M. Martini, J.Phys.G 45 (2018) 1, 013001

Difference of v and antiv cross sections and the VA interference term

Difference gives only the VA term for identical v and antiv flux

Problem: flux dependence of d $\sigma \frac{d^2 \sigma}{dE_{\mu} d\cos\theta} = \int dE_{\nu} \left[\frac{d^2 \sigma}{d\omega d\cos\theta} \right]_{\omega = E_{\nu} - E_{\mu}} \Phi(E_{\nu})$

We introduce the mean flux $\Phi_{+} = 1/2[\Phi_{\nu} + \Phi_{\bar{\nu}}]$

We calculate the sum and the difference using real and mean MiniBooNE fluxes results

M. Ericson, M. Martini Phys. Rev. C 91 035501 (2015)



ν_e cross sections

- There are few published results on v_e cross sections. This is essentially due the relatively small component of v_e fluxes with respect to the v_μ ones hence to small statistics.
- The v_e experimental published results essentially concern inclusive cross sections T2K flux-integrated v_e CC inclusive differential cross sections on carbon



- Theoretical results agree with data
- Similarity of the theoretical results for the inclusive $\mbox{d}\sigma$

ν_e and ν_μ total and double differential cross sections



Due to the different kinematic limits, the v_e cross sections are expected to be larger than the v_μ ones

Ratio v_e/v_u for d $\sigma/d\cos\theta$ in different channels



Due to the different kinematic limits, the v_e cross sections are expected to be larger than the v_{μ} ones. However for forward scattering angles this hierarchy is opposite in the QE channel.⁹⁵

A theoretical study (HF+CRPA Ghent) of the ν_{u} and $\nu_{e}\,d^{2}\sigma$



Due to the different kinematic limits, the v_e cross sections are expected to be larger than the v_{μ} ones. However for forward scattering angles this hierarchy is opposite.

The only difference between v_{μ} and v_e cross sections is the mass of the outgoing lepton. But the mass affects the three momentum transfer which enters into the kinematics as well as the dynamics of the nuclear model

Further studies: A Nikolakopoulos et al., PRL 123, 052501 (2019); R. González-Jiménez, PRC, 100, 045501 (2019)

Momentum transfer q versus transferred energy ω for $~\nu_{u}$ and $\nu_{e}~d^{2}\sigma$



$$q^{2} = E_{\nu}^{2} + p_{l}^{2} - 2E_{\nu}p_{l}\cos\theta \qquad p_{l}^{2} = E_{l}^{2} - m_{l}^{2} = (E_{\nu} - \omega)^{2} - m_{l}^{2}$$

The only difference between v_{μ} and v_{e} cross sections is the mass of the outgoing lepton. But the mass affects the three-momentum transfer which enters into the kinematics as well as the dynamics of the nuclear model

Projection of v_{μ} and $v_{e} d^{2}\sigma$ on (q, ω) plane

Martini, Ericson, Chanfray 2310.06388

Ev = 175 MeV

Ev = 575 MeV



For neutrino and antineutrino scattering the $\theta = 0$ muon and electron lines explore in the (q, ω) plane two different regions, the muon one corresponding to larger quasielastic cross sections

By increasing the neutrino energies the difference between the muon and electron θ = 0 lines decreases and the two curves explore more and more similar region in the (q, ω) plane 98