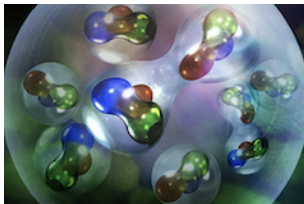




Stefan Typel

## **4<sup>th</sup> International Workshop on Quantitative Challenges in Short-Range Correlations and the EMC Effect Research**

**CEA Paris-Saclay  
Orme des Merisiers site  
Gif-sur-Yvette, France  
January 30 - February 3, 2023**





- **General Reaction Theory**
- **Cross Sections**
- **T-Matrix Elements**
- **Plane-Wave Impulse Approximation for Quasi-Free Reactions**
- **Deuteron Knockout Reactions**
- **Conclusions**

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# General Reaction Theory



- reaction theory
  - lecture with reminder of basic facts
  - non-relativistic description
  - no explicit treatment of antisymmetrisation
  - spin and isospin variables mostly suppressed



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  - specific features



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  - focus on (p,pd) reactions
  - specific features
- application
  - recent publication:  
*'Importance of deuteron breakup in the deuteron knockout reaction'*  
Y. Chazono, K. Yoshida, K. Ogata  
Phys. Rev. C 106 (2022) 064613



- determine scattering wave function  $\Psi$  of many-body system  
⇒ solve Schrödinger equation with given Hamiltonian  $\hat{H}$

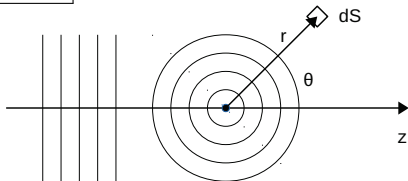


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⇒ time evolution of wave packet
  - ▣ stationary problem  $E\Psi = \hat{H}\Psi$   
⇒ fixed energy, two formulations:
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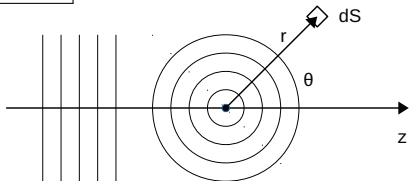
- partial differential equations
- integral equations

- boundary conditions

'plane wave + outgoing (incoming) spherical waves'

- asymptotic states: different channels

- partitions, quantum numbers, energy, momentum, ...
- relevant quantities: cross sections (from comparison of fluxes)





- example: two-body scattering with interaction  $V$ 
  - explicit form of scattering wave function

$$\Psi_i^{(\pm)}(\vec{r}) = \Phi_i(\vec{r}) + \int d^3r' G_0^{(\pm)}(\vec{r}, \vec{r}') V(\vec{r}') \Psi_i^{(\pm)}(\vec{r}') \quad \Phi_i(\vec{r}) = \exp(i\vec{k}_i \cdot \vec{r})$$

with Green's function  $G_0^{(\pm)}(\vec{r}, \vec{r}') = -\frac{2\mu}{\hbar^2} \frac{\exp(\pm ik_j |\vec{r} - \vec{r}'|)}{4\pi |\vec{r} - \vec{r}'|}$



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- scattering amplitude  $f_{\vec{n}} = -\frac{\mu}{2\pi\hbar^2} \langle \Phi_f | \hat{V} | \Psi_i^{(+)} \rangle$   
⇒ differential cross section  $\frac{d\sigma}{d\Omega} = \frac{v_f}{v_i} |f_{\vec{n}}|^2$



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 $\Rightarrow$  differential cross section  $\frac{d\sigma}{d\Omega} = \frac{v_f}{v_i} |f_{fi}|^2$

- define T matrix  $T_{fi} = \langle \Phi_f | \hat{V} | \Psi_i^{(+)} \rangle = \langle \Phi_f | \hat{T} | \Phi_i \rangle = \langle \Psi_f^{(-)} | \hat{V} | \Phi_i \rangle$

with transition operator  $\hat{T}$  from  $\hat{T} = \hat{V} + \hat{V}\mathcal{G}\hat{T}$  with  $\mathcal{G} = (E - \hat{H}_0 + \epsilon)^{-1}$

$\Rightarrow$  all information in  $T_{fi}$

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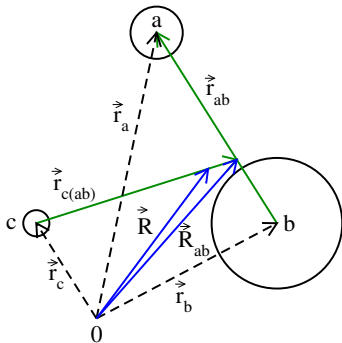
## Cross Sections



- three-body system with coordinates  $\vec{r}_i$  and momenta  $p_i$  ( $i = a, b, c$ )
  - ▣ Jacobi coordinates (one of 3 possibilities)

$$\vec{r}_{ab} = \vec{r}_a - \vec{r}_b \quad \vec{R}_{ab} = \frac{m_a \vec{r}_a + m_b \vec{r}_b}{M_{ab}}$$

$$\vec{r}_{c(ab)} = \vec{r}_c - \vec{R}_{ab} \quad \vec{R} = \frac{m_c \vec{r}_c + M_{ab} \vec{R}_{ab}}{M_{abc}}$$



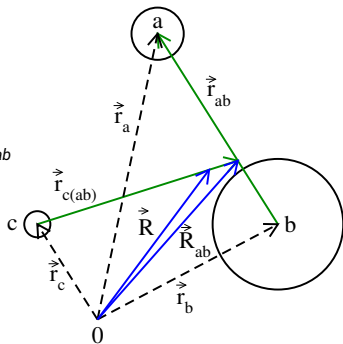
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$$\vec{p}_{ab} = \mu_{ab} \left( \frac{\vec{p}_a}{m_a} - \frac{\vec{p}_b}{m_b} \right) \quad \vec{P}_{ab} = \vec{p}_a + \vec{p}_b$$

$$\vec{p}_{c(ab)} = \mu_{c(ab)} \left( \frac{\vec{p}_c}{m_c} - \frac{\vec{p}_{ab}}{M_{ab}} \right) \quad \vec{P} = \vec{p}_c + \vec{P}_{ab}$$







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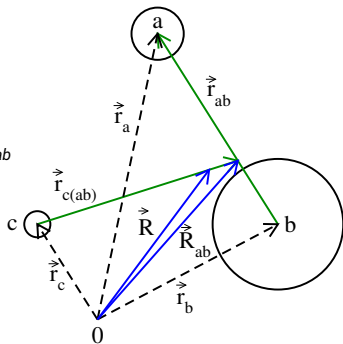
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- ▣ total and reduced masses

$$M_{ab} = m_a + m_b \quad M_{abc} = m_c + M_{ab}$$

$$\mu_{ab} = \frac{m_a m_b}{M_{ab}} \quad \mu_{c(ab)} = \frac{m_c M_{ab}}{M_{abc}}$$

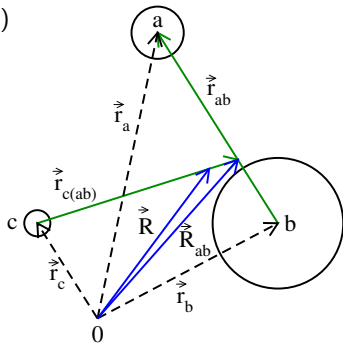




- three-body system with coordinates  $\vec{r}_i$  and momenta  $p_i$  ( $i = a, b, c$ )
  - ▣ kinetic energies

$$E_i = \vec{p}_i^2 / (2m_i) \quad E_{ab} = \vec{p}_{ab}^2 / (2\mu_{ab})$$

$$E_{c(ab)} = \vec{p}_{c(ab)}^2 / (2\mu_{c(ab)}) \quad E = \vec{P}^2 / (2M_{abc})$$





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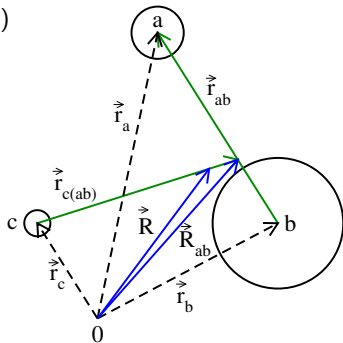
- ▣ useful identities

$$\begin{aligned} & \vec{p}_a \cdot \vec{r}_a + \vec{p}_b \cdot \vec{r}_b + \vec{p}_c \cdot \vec{r}_c \\ &= \vec{p}_{ab} \cdot \vec{r}_{ab} + \vec{p}_{c(ab)} \cdot \vec{r}_{c(ab)} + \vec{P} \cdot \vec{R} \end{aligned}$$

$$E_a + E_b + E_c$$

$$= E_{ab} + E_{c(ab)} + E$$

⇒ separation of cm motion possible



# Reactions with Two Particles in Final State

## $a + b \rightarrow c + d$



- general form of differential cross section

$$d\sigma^{(2)} = \frac{2\pi}{\hbar} \frac{\mu_{ab}}{p_{ab}} \frac{1}{(2J_a + 1)(2J_b + 1)} \sum_{M_a, M_b} \sum_{M_c, M_d} \int \frac{d^3 p_c}{(2\pi\hbar)^3} \frac{d^3 p_d}{(2\pi\hbar)^3} |T_{fi}^{(2)}|^2 \delta(Q_2 + E^{(i)} - E^{(f)}) (2\pi\hbar)^3 \delta(\vec{p}^{(i)} - \vec{p}^{(f)})$$

with Q value  $Q_2 = m_a + m_b - m_c - m_d$

and T-matrix element  $T_{fi}^{(2)} = (2\pi\hbar)^3 \delta(\vec{p}^{(i)} - \vec{p}^{(f)}) \langle \Phi_f | \hat{T}^{(2)} | \Phi_i \rangle$

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DARMSTADT

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- energy integration (with  $d^3 p_{cd} = \mu_{cd} p_{cd} dE_{cd} d\Omega_{cd}$ )

$$\frac{d^2 \sigma^{(2)}}{d\Omega_{cd}} = \frac{\mu_{ab} \mu_{cd}}{(2\pi)^2 \hbar^4} \frac{p_{cd}}{p_{ab}} \frac{1}{(2J_a + 1)(2J_b + 1)} \sum_{M_a, M_b} \sum_{M_c, M_d} |T_{fi}^{(2)}|^2$$

with dependence on 2 angles in final state

# Reactions with Three Particles in Final State I

## $a + b \rightarrow c + d + e$



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$$d\sigma^{(3)} = \frac{2\pi}{\hbar} \frac{\mu_{ab}}{p_{ab}} \frac{1}{(2J_a + 1)(2J_b + 1)} \sum_{M_a, M_b} \sum_{M_c, M_d, M_e} \int \frac{d^3 p_c}{(2\pi\hbar)^3} \frac{d^3 p_d}{(2\pi\hbar)^3} \frac{d^3 p_e}{(2\pi\hbar)^3} |T_{fi}^{(3)}|^2 \delta(Q_3 + E^{(i)} - E^{(f)}) (2\pi\hbar)^3 \delta(\vec{P}^{(i)} - \vec{P}^{(f)})$$

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- different choices for further integration, e.g.,
  - change to Jacobi momenta (see below)



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- different choices for further integration, e.g.,

- change to Jacobi momenta (see below)

- introduce variables  $x = \frac{1}{\sqrt{3}}(E_d - E_e)$      $y = \frac{1}{3}(2E_c - E_d - E_e)$

$$\Rightarrow E_c = \frac{1}{3}E + y \quad E_d = \frac{1}{3}E - \frac{1}{2}y + \frac{\sqrt{3}}{2}x \quad E_e = \frac{1}{3}E - \frac{1}{2}y - \frac{\sqrt{3}}{2}x$$

$\Rightarrow$  all allowed states lie in 2-dim. hyperplane (x,y)

in first octant of  $(E_c, E_d, E_e)$  space for given  $E = E_c + E_d + E_e$

$\Rightarrow$  Dalitz plot

# Reactions with Three Particles in Final State II

## $a + b \rightarrow c + d + e$



- change to Jacobi momenta, momentum integration

$$d\sigma^{(3)} = \frac{2\pi}{\hbar} \frac{\mu_{ab}}{p_{ab}} \frac{1}{(2J_a + 1)(2J_b + 1)} \sum_{M_a, M_b} \sum_{M_c, M_d, M_e} \int \frac{d^3 p_{cd}}{(2\pi\hbar)^3} \frac{d^3 p_{e(cd)}}{(2\pi\hbar)^3} |T_{fi}^{(3)}|^2 \delta(Q_3 + E^{(i)} - E^{(f)})$$

# Reactions with Three Particles in Final State II

## $a + b \rightarrow c + d + e$



- change to Jacobi momenta, momentum integration

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- energy integration (with  $d^3 p_{cd} = \mu_{cd} p_{cd} dE_{cd} d\Omega_{cd}$  and  $d^3 p_{e(cd)} = \mu_{e(cd)} p_{e(cd)} dE_{e(cd)} d\Omega_{e(cd)}$ )

$$\frac{d^5 \sigma^{(3)}}{dE_{cd} d\Omega_{cd} d\Omega_{e(cd)}} = \frac{\mu_{ab} \mu_{cd} \mu_{e(cd)}}{(2\pi)^5 \hbar^7} \frac{p_{cd} p_{e(cd)}}{p_{ab}} \frac{1}{(2J_a + 1)(2J_b + 1)} \sum_{M_a, M_b} \sum_{M_c, M_d, M_e} |T_{fi}^{(3)}|^2$$

⇒ differential cross section depends on 5 (= 3 · 3 – 3 – 1) variables in final state

---

## T-Matrix Elements



- impulse approximation (see below)



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- reformulation with distorted waves
  - introduce optical potentials  $U_{i,f}$  with known solutions  $\chi_{i,f}^{(\pm)}$  of Schrödinger equations  $(\hat{H}_0^{(i)} + \hat{U}_{i,f})\chi_{i,f}^{(\pm)} = E_{i,f}\chi_{i,f}^{(\pm)}$   
( $\chi_{i,f}^{(\pm)}$  sometimes approximated by eikonal wave functions in high-energy scattering)



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  - apply Gell-Mann–Goldberger relation/two-potential formula
$$T_{fi} = \langle \phi_f \Phi_0^{(f)} | \hat{U}_i | \phi_i \chi_i^{(\pm)} \rangle + \langle \phi_f \chi_f^{(-)} | \hat{V}_f - \hat{U}_f | \psi_i^{(+)} \rangle$$
  
( $\phi_i, \phi_f$ : internal wave functions of particles,  $\Phi_0^{(i,f)}$ : plane waves)



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( $\phi_i, \phi_f$ : internal wave functions of particles,  $\Phi_0^{(i,f)}$ : plane waves)
  - for rearrangement reactions ( $i \neq f \Rightarrow \langle \phi_f \Phi_0^{(f)} | \hat{U}_i | \phi_i \chi_i^{(\pm)} \rangle = 0$ )
    - 'post' form:  $T_{fi} = \langle \phi_f \chi_f^{(-)} | \hat{V}_f - \hat{U}_f | \psi_i^{(+)} \rangle$
    - 'prior' form:  $T_{fi} = \langle \psi_f^{(-)} | \hat{V}_i - \hat{U}_i | \phi_i \chi_i^{(+)} \rangle$still exact but problem to find full solutions  $\psi_{i,f}^{(\pm)}$  remains!





- distorted-wave Born approximation (DWBA):

replace full solutions  $\Psi_{i,f}^{(\pm)}$  with distorted waves  $\chi_{i,f}^{(\pm)}$

- 'post' form:  $T_{fi} = \langle \phi_f \chi_f^{(-)} | \hat{V}_f - \hat{U}_f | \phi_i \chi_i^{(+)} \rangle$

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no longer identical!

- use coupled-channel (CC) approximation for full solution with boundary condition

$$\Psi_i^{(+)} \rightarrow \delta_{c0} \phi_i^{(c)} \exp(i\vec{p}_i^{(c)} \cdot \vec{r}_i / \hbar) + \sum_c f_i^{(c)} \phi_i^{(c)} \frac{\exp(ip_i^{(c)} r_i / \hbar)}{r_i} \quad \text{for } r_i \rightarrow \infty$$

with different internal channel wave functions  $\phi_i^{(c)}$  in initial state  $i$

- particular realisation:  
continuum-discretized coupled channel (CDCC) approximation

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# **Plane-Wave Impulse Approximation for Quasi-Free Reactions**



- detection of deuteron-like  $n + p$  correlation in nuclei by proton scattering
  - ▣ consider reaction  $p + T \rightarrow p + d + S$   
with target nucleus  $T$  and residual nucleus  $S$
  - ▣ assume that  $T$  contains  $d$  as  $n + p$  correlation



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- $T_{fi}^{(3)} = \langle \Phi_{p d S}(\vec{p}_{pd}, \vec{p}_{S(pd)}) | \hat{T}^{(3)} | \Phi_{p T}(\vec{p}_{pT}) \rangle$

- with  $\Phi_{pT}(\vec{p}_{pT}) = \phi_p \phi_T \exp[i(\vec{p}_{pT} \cdot \vec{r}_{pT})/\hbar]$

- and  $\Phi_{pdS}(\vec{p}_{pd}, \vec{p}_{S(pd)}) = \phi_p \phi_d \phi_S \exp[i(\vec{p}_{pd} \cdot \vec{r}_{pd} + \vec{p}_{S(pd)} \cdot \vec{r}_{S(pd)})/\hbar]$



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- $$T_{fi}^{(2)} = \langle \Phi_{pd}(\vec{p}_{pd}^{(f)}) | \hat{T}^{(2)} | \Phi_{pd}(\vec{p}_{pd}^{(i)}) \rangle$$

with  $\Phi_{pd}(\vec{p}_{pd}^{(i/f)}) = \phi_p \phi_d \exp[i(\vec{p}_{pd}^{(i/f)} \cdot \vec{r}_{pT})/\hbar]$



- assumption: target wave-function  $\phi_T$  contains deuteron-like cluster correlation  $\phi_d$ 
  - consider overlap function with momentum space wave function  $\chi_{ds}$

$$\varphi_T^{dS}(\vec{r}_{ds}) = \langle \phi_d \phi_S | \phi_T \rangle = \int \frac{d^3 Q_{dS}}{(2\pi\hbar)^3} \chi_{ds}(\vec{Q}_{dS}) \exp(-i\vec{Q}_{dS} \cdot \vec{r}_{ds}/\hbar)$$

(norm of  $\varphi_T^{dS} \rightarrow$  'deuteron spectroscopic factor')





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- change of Jacobi variables in initial state of T-matrix element  $T_{fi}^{(3)}$  from  $\vec{r}_{pT}$  and  $\vec{r}_{dS}$  to  $\vec{r}_{pd}$  and  $\vec{r}_{S(pd)}$



- apply plane-wave impulse approximation (PWIA)
  - neglect interaction of residual nucleus  $S$  with  $p$  and  $d$   
⇒ approximation of transition operator  $\hat{T}^{(3)} \approx \hat{T}^{(2)}$  in  $T_{fi}^{(3)}$



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      - momentum transfer to residual (= spectator) nucleus  $S$

$$\vec{Q} = \vec{p}_{S(pd)} + \frac{m_S}{M_{dS}} \vec{p}_{pT} = \vec{p}_{S(pd)} - \frac{m_S}{m_T} \vec{p}_{Tp}$$

(argument of deuteron wave function in momentum space)

- initial-state momentum in T-matrix element

$$\vec{q}_{pd}^{(i)} = \vec{p}_{pT} + \frac{m_p}{M_{pd}} \vec{p}_{S(pd)}$$



- approximation of T-matrix element

$$\boxed{T_{fi}^{(3)} \approx \chi_{dS}(\vec{Q}) \langle \Phi_{pd}(\vec{p}_{pd}^{(f)}) | \hat{T}^{(2)} | \Phi_{pd}(\vec{q}_{pd}^{(i)}) \rangle} \Rightarrow \text{factorization!}$$



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$$\boxed{\frac{d^5\sigma^{(3)}}{dE_{pd}d\Omega_{pd}d\Omega_{S(pd)}} \approx K W(\vec{Q}) \frac{d^2\sigma^{(2)HOES}}{d\Omega_{pd}}}$$



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with

- kinematic factor  $K = \frac{2J_S + 1}{(2\pi\hbar)^3} \frac{\mu_{pT} \mu_{p(dS)} \mu_{dS}}{\mu_{pd}^2} \frac{q_{pd}^{(i)}}{p_{pd}^{(f)}} \frac{P_{p(dS)} P_{dS}}{P_{pT}}$
- momentum distribution  $W(\vec{Q}) = |\chi_{dS}(\vec{Q})|^2$
- half-off-energy-shell cross section  $\frac{d^2 \sigma^{(2)HOES}}{d\Omega_{pd}}$  of reaction  $d + p \rightarrow d + p$   
 (in general  $\frac{[q_{pd}^{(i)}]^2}{2\mu_{pd}} + Q_2 \neq \frac{[p_{pd}^{(f)}]^2}{2\mu_{pd}}$ )



# Kinematics of Quasi-Free (p,pd) Reactions



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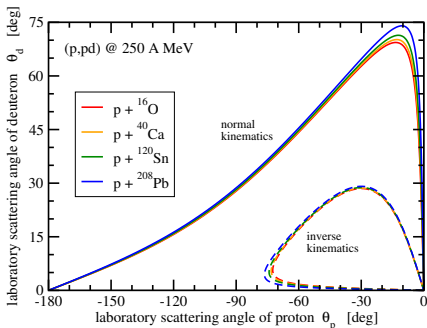
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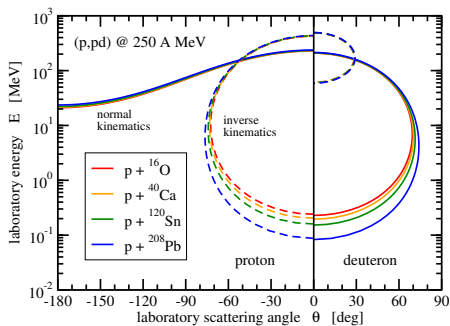
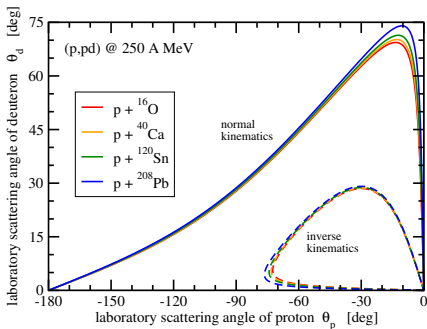
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# Deuteron Knockout Reaction

# Proton-Induced Deuteron Knockout Reaction

## $T(p,pd)S$



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### ■ fragility of deuteron

⇒ final state can be reached via different processes, e.g.,

- ▣  $p_1 + d \rightarrow p_1 + d$  elastic scattering (quasi-free process)
- ▣  $p_1 + d \rightarrow p_1 + (p_2 + n) \rightarrow p_1 + d$  breakup and reformation
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transition between deuteron bound and breakup states

⇒ action of final-state interaction (FSI)

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### ■ new reaction model presented in recent publication:

*'Importance of deuteron breakup in the deuteron knockout reaction'*

(Y. Chazono, K. Yoshida, K. Ogata. Phys. Rev. C 106 (2022) 064613)

- ▣ application for 250 MeV protons on targets  $^{16}\text{O}$ ,  $^{40}\text{Ca}$ ,  $^{56}\text{Ni}$  in normal kinematics
- ▣ general kinematic conditions, not only quasi-free scattering



- combination of different methods
  - distorted-wave impulse approximation (DWIA):  
replace plane waves in PWIA with distorted waves  
in initial and final state of  $T_{fi}^{(3)}$   
⇒ takes proton-target interaction explicitly into account



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- ⇒ CDCCIA method with T-matrix element (prior form)

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- distorted waves  $\chi_{pT}^{(+)}$ ,  $\chi_{pS}^{(-)}$  for  $p + T$  and  $p + S$  scattering
- wave function for  $d + S$  scattering  $\Psi_{dS}^{(-)}$  in CDCC approach (only S waves)
- internal deuteron ground-state wave function  $\phi_d$
- deuteron wave function in target  $\varphi_T^{dS}$
- $\mathcal{A}$  antisymmetrization operator



## ■ interactions

### □ pn interaction in deuteron system

one-range Gaussian form  $v_{pn}(r) = v_0 \exp(-r^2/r_0^2)$  to reproduce binding energy and radius  
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## ■ mismatch of arguments in distorted waves

### □ asymptotic momentum approximation (AMA)

$$\chi^{(\pm)}(\vec{p}, \vec{r} + \Delta\vec{r}) \approx \chi^{(\pm)}(\vec{p}, \vec{r}) \exp(\pm i\vec{p} \cdot \Delta\vec{r}/\hbar)$$

# Elastic p+d Scattering and d(p,p)pn Breakup Reaction



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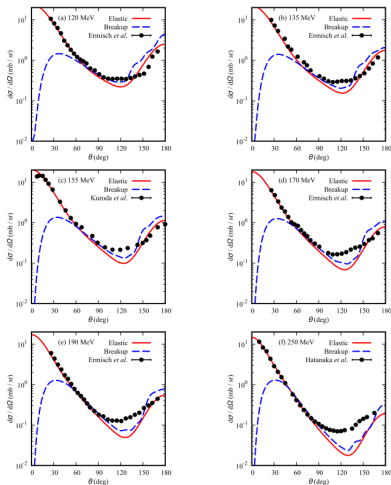
- differential cross sections  
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- (K. Ermisch et al., PRC 71 (2005) 064004  
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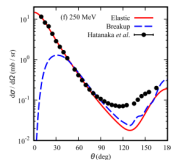
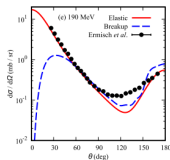
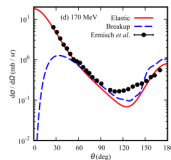
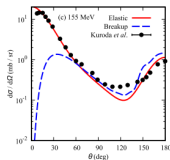
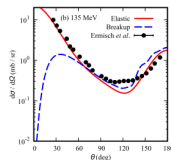
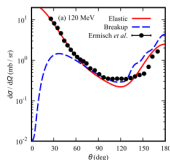


# Elastic p+d Scattering and d(p,p)pn Breakup Reaction



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- correction factor  $C \approx 0.69$  for cross sections
  - needed to reproduce forward-angle elastic p+d scattering
  - also used in calculation of (p,pd) reactions



# Differential Cross Sections for (p,pd) Reactions



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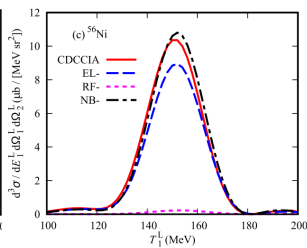
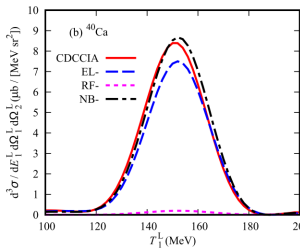
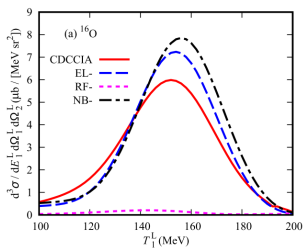
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dependence on energy of outgoing proton  $T_1^L$

# Differential Cross Sections for (p,pd) Reactions



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- 250 MeV protons, targets:  $^{16}\text{O}$ ,  $^{40}\text{Ca}$ ,  $^{56}\text{Ni}$ , dependence on energy of outgoing proton  $T_1^L$ 
  - full CDCCIA calculation (red)
  - EL: without reformation path in CDCCIA (blue)
  - RF: only deuteron reformation path in CDCCIA (pink)
  - NB: no breakup of deuteron (black)



---

## Conclusions



- general reaction theory: transparent formulation for
  - cross sections
  - T-matrix elements
- plane-wave impulse approximation (PWIA)
  - factorization of differential cross section:  
kinematic factor  $\times$  momentum distribution  $\times$  HOES two-body cross section
- quasi-free reactions
  - specific kinematic correlations of particles in final state
  - suppression of other reaction mechanism
- fragility of deuteron in (p,pd) reactions
  - possible effects of deuteron breakup and reformation
- reactions with three particles
  - full Faddeev approach required  $\Rightarrow$  future

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**Thank You for Your Attention!**