Emulator of shell-model calculations via eigenvector continuation & a surrogate model for IMSRG

"Eigenvector continuation and related techniques in nuclear structure and reaction theory" 30 May – 2 June @CEA Saclay

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◆ A Julia package for nuclear structure calculations



◆ IMSRG-Net: a surrogate model for IMSRG



schematic of EC + valence shell model

Constructing approximate shell-model wavefunctions by eigenvector continuation





SY and N.Shimizu, PTEP 2022 053D02 (2022).

Problems of interest



row & column: indices for EC samples

N. Shimizu et al., Comp. Phys. Comm. 244 (2019) 372-384

~ msec. (or less?)

~ sec.

25000

Sample eigenvectors

Example:

sd-shell (¹⁶O core + 0d5/0d3/1s1 valence orbits)

parameters: 66 (3 SPEs & 63 TBMEs, w/ isospin)

target nuclei: ²⁵Mg (vp=4,vn=5), ²⁸Si (vp=vn=6, dim. ~ 90,000)

sampling 5 states for given total J at 50 (random) different points (5×50=250 samples) **around USDB**



"validation" for 100 random parameters

EC approximates energies within a few percent accuracy

$$\begin{split} \widetilde{H}\vec{v} &= \lambda N\vec{v}, \\ \widetilde{H}_{i,j} &= \langle \psi(\vec{c}_i) | H(\vec{c}_{\odot}) | \psi(\vec{c}_j) \rangle, \\ N_{i,j} &= \langle \psi(\vec{c}_i) | \psi(\vec{c}_j) \rangle. \end{split} \qquad E(\vec{c}_{\odot}) \simeq \lambda, \\ |\psi(\vec{c}_{\odot})\rangle &\simeq \sum_{i=1}^{N_s} v_i | \psi(\vec{c}_i) \rangle \equiv |\psi_{EC}(\vec{c}_{\odot})\rangle. \end{split}$$

SY and N Shimizu PTEP 2022 053D02

4

Results: energy

Table 1. Average sizes of two errors by EC estimates for the five yrast states of the four *sd*-shell nuclei: One is the relative error (%) of absolute energies, and the other one is the error of excitation energies. The sample size N_s means the product of the number of random interactions and the number of excited states used as the *sample* eigenvectors in Eqs. (8)–(9). $N_s = 250^*$ with $\sigma_{int.} = 3$ means that the standard deviation to generate the random interactions is increased from the default value $\sigma_{int.} = 1$, and $N_s = 250^*$ (LHS, L = 2) corresponds to the result using Latin hypercube sampling (LHS).

N_s	mean of		relative error(%) $\equiv 100 \left \frac{E_{\text{exact}} - E_{\text{EC}}}{E_{\text{exact}}} \right $				mean	of ex. err	ex. error (MeV) $\equiv E_{\text{exact}}^{\text{ex.}} - E_{\text{EC}}^{\text{ex.}} $		
(# interaction ×# states))	²⁸ Si	²⁶ A1	²⁵ Mg	²⁴ Mg		²⁸ Si	^{26}Al	²⁵ Mg	²⁴ Mg	
$50(50 \times 1)$		1.4	2.1	1.8	1.3		0.66	1.22	0.62	0.65	
$50^* (25 \times 2)$		1.8	2.3	2.1	1.7		0.82	1.16	0.61	0.97	
$150(50 \times 3)$		0.9	1.2	1.1	0.7		0.44	0.85	0.42	0.62	
$250(50 \times 5)$		0.7	0.9	0.8	0.5		0.39	0.70	0.37	0.51	
$250^* (50 \times 5; \sigma_{\text{int.}} = 3)$		2.8	3.3	3.1	2.3		1.35	2.35	1.09	1.96	
$250^* (50 \times 5; LHS, L = 2)$	2)	0.8	1.0	0.9	0.6		0.47	0.73	0.40	0.57	

each row shows different settings (# of samples, way of sampling)

- sample not only g.s. but also excited states if you want to know excited states too
- odd or odd-odd nuclei are more difficult than even (even-even) ones



Fig. 7. Relative errors with $N_s = 250$ samples against *J*-scheme dimensions for ^{24, 25}Mg, ²⁶Al, ²⁸Si (lower panel), ⁴⁶V, and ^{47, 48}Ti (upper panel). The filled symbols correspond to the samples generated by varying all the parameters with $\sigma_{int.} = 1$ around the reference values (USDB and GXPF1A). The open symbols in the upper panel show the results with samples in which only the 32 parameters related to f7/2 and p3/2 were varied with the same $\sigma_{int.}$.

pf-shell => <u>199 parameters</u> (4 SPEs & 195 TBMEs)

(craziest application of EC method !?)

Dotted lines are results considering

only the samples spread over f7/2&p3/2

it is better to sample over a subspace

more relevant to what you want to know

Q. What is a better sampling strategy?

Is there any way to maximize **information gain** from a next observation?

no answer will be shown in this talk though...

As a preprocessor



SY and N.Shimizu, PTEP 2022 053D02.



q: size of initial "block" vector

n: # of excited states of interest

dotted: initialized by random vectors

solid: initialized by EC eigenvectors

Starting from better initial guess, # of manipulation could be reduced!!

Exception => (q, n) = (4, 10)

since the emulator is trained with 5 lowest states, such emulator do not have much info. on higher states

To feed more samples...

SY and N.Shimizu, PTEP 2022 053D02.

Sampling itself is not easy ...

$$\begin{split} \tilde{H}\vec{v} &= \lambda N\vec{v}, \\ \tilde{H}_{i,j} &= \langle \psi(\vec{c}_i) | H(\vec{c}_{\odot}) | \psi(\vec{c}_j) \rangle, \quad \leftarrow \text{most time-consuming part} \\ N_{i,j} &= \langle \psi(\vec{c}_i) | \psi(\vec{c}_j) \rangle. \end{split}$$

> You don't need to explicitly calculate $H(C_{\odot})|\psi(cj) >$ for each parameter C_{\odot} to evaluate H-tilde above: all you need is 1&2-body transition densities

$$\tilde{H}_{i,j} = \sum_{k} h_{k}^{(1)} \times \underbrace{\text{OBTD}_{k}}_{i,j} + \sum_{k} \underbrace{V_{J}(abcd)_{k}}_{\text{TBTD}_{k}} \times \underbrace{\text{TBTD}_{k}}_{i,j},$$

▶ If you want to increase sample number (for better accuracy),

prepare new sample (green) and calc. overlap (transition densities)

between new w.f. and previous samples (red)

s: sampled w.f.s

$$\langle \psi_{s} | H | \psi_{s} \rangle$$

 $\langle \psi(c') | H | \psi_{s} \rangle$
 $\langle \psi(c') | H | \psi(c') \rangle$

8

Outline

◆ EC + valence shell model

A Julia package for nuclear structure calculations



◆ IMSRG-Net: a surrogate model for IMSRG



≻ A single method (code) can be lengthy ~ 100,000 lines

two language problem (Fortran/C++ & shell/Python)

Especially in Japan, research methods are "clusterized" (localized)

to a specific group. This can be an obstacle to

<u>collective intelligence</u> or <u>co-creation</u>

seen in e.g. ML community through ML frameworks

secret sauce (source)

in Prof. XX Group

➤ Educations for next generation





*These are my personal opinions

NuclearToolkit,jl: Julia package for structure calculations I SY, Journal of Open Source Software, 7(79), 4694,(2022)

- ChiEFTint \sim 8000 lines
 - NN potential, Entem-Machleidt(N3LO), EMN(EKMN, N4LO)
 - SRG in momentum space (NN-only)
 - effective NN from 3NF
 - ◆ valence NN interaction ≠ effective interaction
 - input for No-core shell model (in KSHELL fmt)
 - genuine 3NF (only in Jacobi HO form) *plz use NuHamil (by Takayuki Miyagi@TUDarmstadt)
- HartreeFock ~ 3000 lines
 - spherical HF (from snt/snt.bin/memory)
 - ◆ HFMBPT Energy=> 3rd order, Scaler operator => 2nd order
 - Normal ordering w.r.t. target reference, ensemble normal ordering

IM-SRG ~2700 lines

free space

- ◆ IMSRG(2) calculation => g.s. properties
- consistent IMSRG flow of operators with Magnus expansion valence space (VS-IMSRG)
 - derive effective interaction for a target model space
 - effective operators (only scaler ones for now)
- ShellModel.jl ~5000 lines
 - eigenvector continuation (fast emulator of exact wavefunctions)

~ 20,000 lines (including document)

Do you think it's lengthy?

Documenter.jl

Docs are automatically generated from docstring (in markdown)





Workflow w/ GitHub Actions

developer/user make changes to code/docstring







- $\boldsymbol{\cdot}$ see the docs
- play with the code using NuclearToolkit your_own_function()

pull request to GitHub repository



GitHub Actions



- Automatic generation and deployment of the Docs
- Execute test codes with specified OS / version of Julia

avoiding destructive changes/releases

c.f. CI/CD: Continuous Integration/Continuous Delivery

◆Installation of Julia

Download Julia binary and add to PATH

Installation of NuclearToolkit.jl

Download src (recommended)

\$git clone https://github.com/SotaYoshida/NuclearToolkit.jl

Note:

NuclearToolkit.jl was registered as a Julia package.

You can install the package in Julia's REPL

like "pip" in Python





◆ A Julia package for nuclear structure calculations



IMSRG-Net: a surrogate model for IMSRG



In-medium Similarity Renormalization Group (IMSRG)



14

Magnus formulation of IMSRG

formulation with Magnus expansion

$$U(s) = e^{\Omega(s)}$$

$$H(s+ds) = e^{\eta(s)ds}e^{\Omega(s)}H(0)e^{-\Omega(s)}e^{-\eta(s)ds}$$

$$e^{\Omega}(s+ds) \equiv e^{\eta(s)ds}e^{\Omega(s)}$$

$$\Omega(s+ds) = \Omega(s) + \eta(s)ds + \frac{1}{2}[\eta(s),\Omega(s)]ds + \frac{1}{12}[\Omega(s),[\Omega(s),\eta(s)]]ds + \dots$$

explicit calculation of unitary transformation via Magnus operator $\boldsymbol{\Omega}$

- nested commutator (BCH formula)
- 1-step is enough (Euler method), nice for memory or I/O
- reuse $U(s) = exp(\Omega(s)) \rightarrow Any$ operators can be evolved simultaneously

valence-space IMSRG (VS-IMSRG)

IMSRG: P: hole, Q: particleS.R.Stroberg et al., Annu."off-diagonal" component, P-Q $\lim_{s \to \infty} H^{ad}(s) = 0$ "diagonal" component, P-P, Q-Q $\lim_{s \to \infty} H^{d}(s) = H_{eff}$.adopting a certain generator η to achieve this "decoupling"QVS-IMSRG: P => valence, Q => q-space

$$\eta_{abij} = \frac{1}{2} \arctan\left(\frac{2\Gamma_{abij}}{f_{aa} + f_{bb} - f_{ii} - f_{jj} + G_{abij} + \Delta}\right)$$
$$G_{abij} = \Gamma_{abab} + \Gamma_{ijij} - (\Gamma_{aiai} + \Gamma_{bjbj} + [a \leftrightarrow b]).$$

denominator Delta: prescription for multi-shell interaction see T. Miyagi et.al., PRC 102, 034320 (2020)

S.R.Stroberg, et al., PRL 118, 032502 (2017) S.R.Stroberg et al., Annu. Rev. Nucl. Part. Sci. 2019. 69:307–62 (2019)



 $Hv(s \rightarrow \infty) = Effective interactions for a valence space$

(X core is still considered in VS-IMSRG calculations, this figure is edited (by me) to focus on v-space)

Surrogate models for IMSRG/VS-IMSRG...

One-body Hamiltonians <alVlb>

Two-body Hamiltonians <ablVlcd>_{JPTz}

Three-body ... (ignored in IMSRG(2) truncation)

$$111 \quad [A^{(1)}, B^{(1)}]^{(1)} = \sum_{ij} \sum_{a} :a_{i}^{\dagger}a_{j} : (A_{ia}B_{aj} - B_{ia}A_{aj})$$

$$110 \quad [A^{(1)}, B^{(1)}]^{(0)} = \sum_{ij} A_{ij}B_{ji}(n_{i} - n_{j})$$

$$122 \quad [A^{(1)}, B^{(2)}]^{(2)} = \frac{1}{4} \sum_{ijkl} \sum_{a} :a_{i}^{\dagger}a_{j}^{\dagger}a_{l}a_{k} : \{(1 - P_{ij})A_{ia}B_{ajkl} - (1 - P_{kl})A_{ak}B_{ijal}\}$$

$$121 \quad [A^{(1)}, B^{(2)}]^{(1)} = \sum_{ij} \sum_{ab} :a_{i}^{\dagger}a_{j} : \{(n_{a} - n_{b})A_{ab}B_{biaj}\}$$

$$222 \quad [A^{(2)}, B^{(2)}]^{(2)} = \frac{1}{4} \sum_{ijkl} \sum_{ab} :a_{i}^{\dagger}a_{j}^{\dagger}a_{l}a_{k} : \left\{\frac{1}{2}(A_{ijab}B_{abkl} - B_{ijab}A_{abkl})(1 - n_{a} - n_{b}) + (n_{a} - n_{b})(1 - P_{ij} - P_{kl} + P_{ij}P_{kl})A_{aibk}B_{bjal}\right\}$$

$$221 \quad [A^{(2)}, B^{(2)}]^{(1)} = \frac{1}{2} \sum_{ij} \sum_{abc} :a_{i}^{\dagger}a_{j}^{\dagger} : (A_{ciab}B_{abcj} - B_{ciab}A_{abcj})(\bar{n}_{a}\bar{n}_{b}n_{c} + n_{a}n_{b}\bar{n}_{c})$$

$$220 \quad [A^{(2)}, B^{(2)}]^{(0)} = \frac{1}{4} \sum_{ijkl} n_{i}n_{j}\bar{n}_{k}\bar{n}_{l} (A_{ijkl}B_{klij} - B_{ijkl}A_{klij})$$



How can we accelerate...



c.f. talk by

- Jacob Davison, TRIUMF workshop 2023

- H. Hergert, INT Program 21r-1c "Tensor Networks in Many Body and Quantum Field Theory", 2023

IMSRG-Net: data-driven approach to solve IMSRG

One may expect... "If we feed neural networks many data, they will learn underlying law".

It is usually not the case. We need some "inductive biases" or more constraints.

IMSRG-Net: A machine-learning based solver for In-Medium Similarity Renormalization Group

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hopefully, it will be available on arXiv&GitHub within a couple of days weeks !!

Results: g.s. energies of ¹⁶O and ⁴⁰Ca



Upper panels: g.s. energy, dotted = IMSRG(2), solid = IMSRG-Net using 10 points around s=20 Lower panels: energy diff., solid = IMSRG-Net, dashed = naïve(?) ANN

Symbols: s = 20 or the point giving converged IMSRG(2)

prediction errors are less than 1 keV (~ 0.5 keV level)

				Energy (MeV)				$R_{\rm ch}$ (fm)				
			s = 20		<i>s</i> =	$=\infty$	<i>s</i> =	= 20	$s = \infty$			
target	interection	e_{\max}	IMSRG(2)	IMSRG-Net	IMSRG(2)	IMSRG-Net	IMSRG(2)	IMSRG-Net	IMSRG(2)	IMSRG-Net		
^{16}O	EM500	4	-156.9474	-156.9474	-156.96 11	-156.96 07	2.2578	2.2578	2.261 2	2.2610		
		6	-163.4079	-163.4079	-163.415 3	-163.415 0	2.2526	2.2526	2.2547	2.2546		
		8	-165.1876	-165.187 5	-165.19 32	-165.19 27	2.2482	2.2482	2.249 9	2.2497		
		10	-165.5309	-165.5309	-165.535 9	-165.5357	2.2469	2.2469	2.2485	2.248 4		
	EMN500+2n3n	4	-111.8453	-111.8453	-111.84 70	-111.84 62	2.3600	2.3600	2.3607	2.3605		
		6	-114.4895	-114.4895	-114.49 25	-114.49 18	2.3681	2.3681	2.369 2	2.3690		
		8	-115.5894	-115.5894	-115.59 30	-115.59 25	2.3735	2.3735	2.374 8	2.3746		
		10	-115.9040	-115.9040	-115.90 79	-115.90 82	2.3751	2.3751	2.3765	2.3765		
⁴⁰ Ca	EM500	4	-555.6791	-555.6791	-555.68 84	-555.68 79	2.5947	2.5947	2.595 9	2.595 8		
		6	-582.4293	-582.4293	-582.435 0	-582.435 1	2.5960	2.5960	2.5967	2.5967		
		8	-591.5783	-591.578 2	-591.582 2	-591.582 1	2.5915	2.5915	2.5920	2.5920		
		10	-594.0215	-594.0215	-594.024 2	-594.024 6	2.5890	2.5890	2.5894	2.5895		
	EMN500+2n3n	4	-293.3474	-293.3474	-293.348 6	-293.3487	2.8579	2.8579	2.8581	2.8581		
		6	-315.6334	-315.6334	-315.64 11	-315.64 09	2.9082	2.9082	2.908 9	2.908 8		
		8	-321.323 3	-321.323 2	-321.33 20	-321.33 19	2.9201	2.9200	2.920 9	2.920 8		
		10	-323.3519	-321.35 20	-323.36 05	-323.3613	2.9252	2.9252	2.9260	2.9260		

prediction errors of IMSRG-Net are much smaller than the *residuals*

to be gained through the rest IMSRG flow (s = from 20 to ∞)

80-150

Results: valence space effective interactions

IMSRG-Net for a valence space

- same architecture and training strategy
- trained w/ earlier VS-IMSRG(2) flow



shell-model results agree in typically ≤ 1 keV level !!

*Some show ~10 keV error, attributed not to IMSRG-Net, but numerical instability of VS-IMSRG

Summary

EC + (valence) shell model

for optimization or UQ for effective interactions

better sampling scheme is needed go beyond sd shell



SY and Noritaka Shimizu, PTEP 2022 053D02 (2022).



https://github.com/SotaYoshida/NuclearToolkit.jl SY, Journal of Open Source Software, 7(79), 4694,(2022)

Toolkit covering chiral potentials, IMSRG, valence CI, etc.

Any feedbacks and contributions are welcomed!

IMSRG-Net Available soon

ML-based alternative of IMSRG solver. Stay tuned!!



Grant: JSPS 22K14030

Transition densities

The one-body transition densities (OBTDs) is given as

$$OBTD(fi; j_a j_b; \lambda) \equiv \frac{1}{\sqrt{2\lambda + 1}} \langle \psi_{J_f M_f} || [c_{j_a}^{\dagger} \otimes \tilde{c}_{j_b}]^{(\lambda)} || \psi_{J_i M_i} \rangle,$$
(A1)

where we introduced $\tilde{c}_{j_b} \equiv (-1)^{j_b-m_b} c_{j_b}$, and $\langle || \cdot || \rangle$ means taking the so-called reduced matrix element, and the notation $[\cdot \otimes \cdot]^{(\lambda)}$ is for the rank- λ irreducible tensor operators. For more details on the tensor algebra, see e.g., [1, 2]. Since we are interested in the $\lambda = 0$ (scaler in terms of irreducible tensor operator) and the diagonal $(f = i, J_f = J_i, M_f = M_i)$ component, which contributes to \tilde{H} in Eq. (13) in the main text. $\overline{\text{OBTD}}$ for the k-th single particle state is defined as

$$\overline{\text{OBTD}}_k \equiv \sqrt{\frac{2j_k + 1}{2J_i + 1}} \text{OBTD}(ii; j_k j_k; 0) = \langle \psi_{J_i M_i} || N_k || \psi_{J_i M_i} \rangle, \tag{A2}$$

where N_k is the occupation number of the k-th orbital, and the factor $\sqrt{(2j_k+1)/(2J_i+1)}$ is introduced to make $\overline{\text{OBTD}}_k$ identical with the occupation number of k-th orbital.

The two-body transition densities (TBTDs) are defined as

$$\text{TBTD}(fi; abcd; J_{ab}J_{cd}; \lambda) \equiv \frac{1}{\sqrt{2\lambda + 1}} \langle \psi_{J_f M_f} || [A^{\dagger}(ab; J_{ab}M_{ab}) \otimes \tilde{A}(cd; J_{cd}M_{cd})]^{(\lambda)} || \psi_{J_i M_i} \rangle,$$
(A3)

$$\tilde{A}(cd; J_{cd}M_{cd}) \equiv (-1)^{J_{cd}+M_{cd}} A(j_c j_d; J_{cd} - M_{cd}),$$
(A4)

where A^{\dagger} and A are the same as in Eqs. (5-6).For the factorization in Eq. (13). the TBTD for a two-body interaction $V_J(abcd)$ is defined as follows

$$\overline{\text{TBTD}} \equiv \sqrt{\frac{2J_{ab}+1}{2J_i+1}} \text{TBTD}(fi; abcd; J_{ab}J_{ab}; 0),$$
(A5)

where only the term with $\lambda = 0$, $J_{cd} = J_{ab}$, $M_{cd} = M_{ab}$, $J_f = J_i$, $M_f = M_i$ is needed due to the symmetry.

μ &Q moments

magnetic moments & quadrupole moments for the lowest states



Why Julia ?



Since 2012: Becoming popular in physics, DS, Machine Learning, etc.

- MIT LICENSE
- Multiple dispatch
- Dynamically typed
- JIT(Just-In-Time) compilation by LLVM
- Fast as C++/Fortran
- Macros like Lisp
- Package manager
- Easy to call Python, C, Fortran, etc.
- → High readability and productivity like Python
- → High performance like C++/Fortran

If you are "greedy", you should consider to use Julia 😉

NuclearToolkit.jl: How to start

Home NuclearToolkit.jl Search docs Installation and example Package features Building blocks Optional parameters Issues/Pull requests Home	
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owToUse 1. clone the repository and run test/sample_script.jl in the repository like \$ julia -t 8	
eFormat sample_script.jl This performs:	
• calculating NN potential from Chiral EFT	
HFMBPT(3) and IMSRG/VS-IMSRG(2) calculation with it	
shell-model calculations with the effective interaction derived by VS-IMSRG	
ferences An expected results using the latest dev branch can be found here.	
ChiEFTint 2. Try sample codes in HowToUse page.	
HartreeFock Please make sure to use the latest version of the package. Update can be done with	
IMSRG	
julia>]up NuclearToolkit	
In the Julia REPL, you can see the UUIDs and versions of the installed packages	
julia>using Pkg julia>Pkg.status()	Ű
Version dev V	