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## Nuclear discontinuities through the prism of Machine Learning

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# Table of contents

- 1 Introduction: Problems and Challenge of Nuclear Theory
- 2 A taste of what Machine Learning is about
- 3 NucleAI Phase I: PES Prediction using Deep Learning
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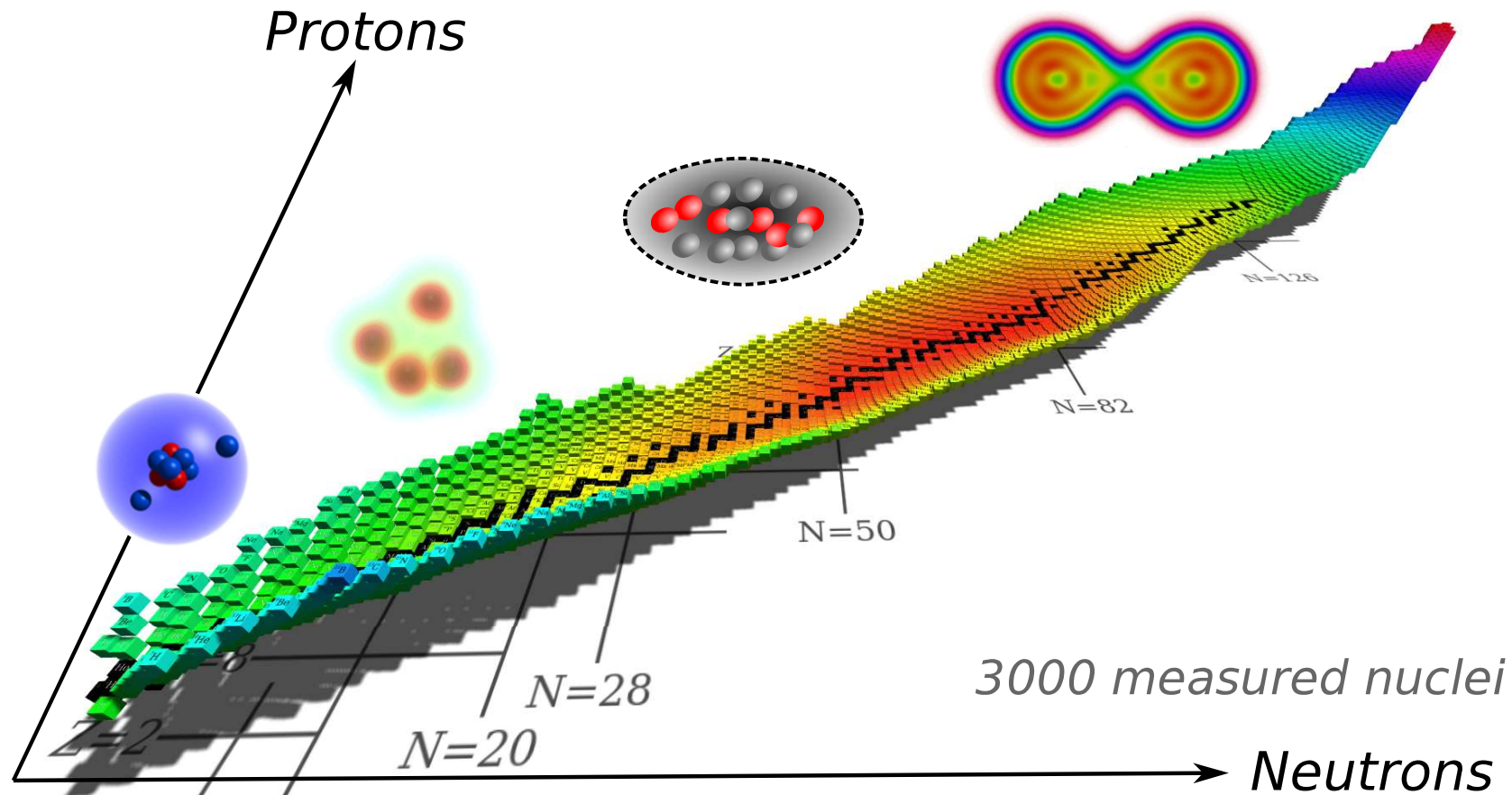
# Predicting nuclear structure on the whole chart...

## Why so complex ?

- Three fundamental interactions
- Non elementary fermions
- Mesoscopic many-body problem

## Some open questions

- Properties of exotic matter ?
- Mechanisms of nucleosynthesis ?
- Super-heavy island of stability ?



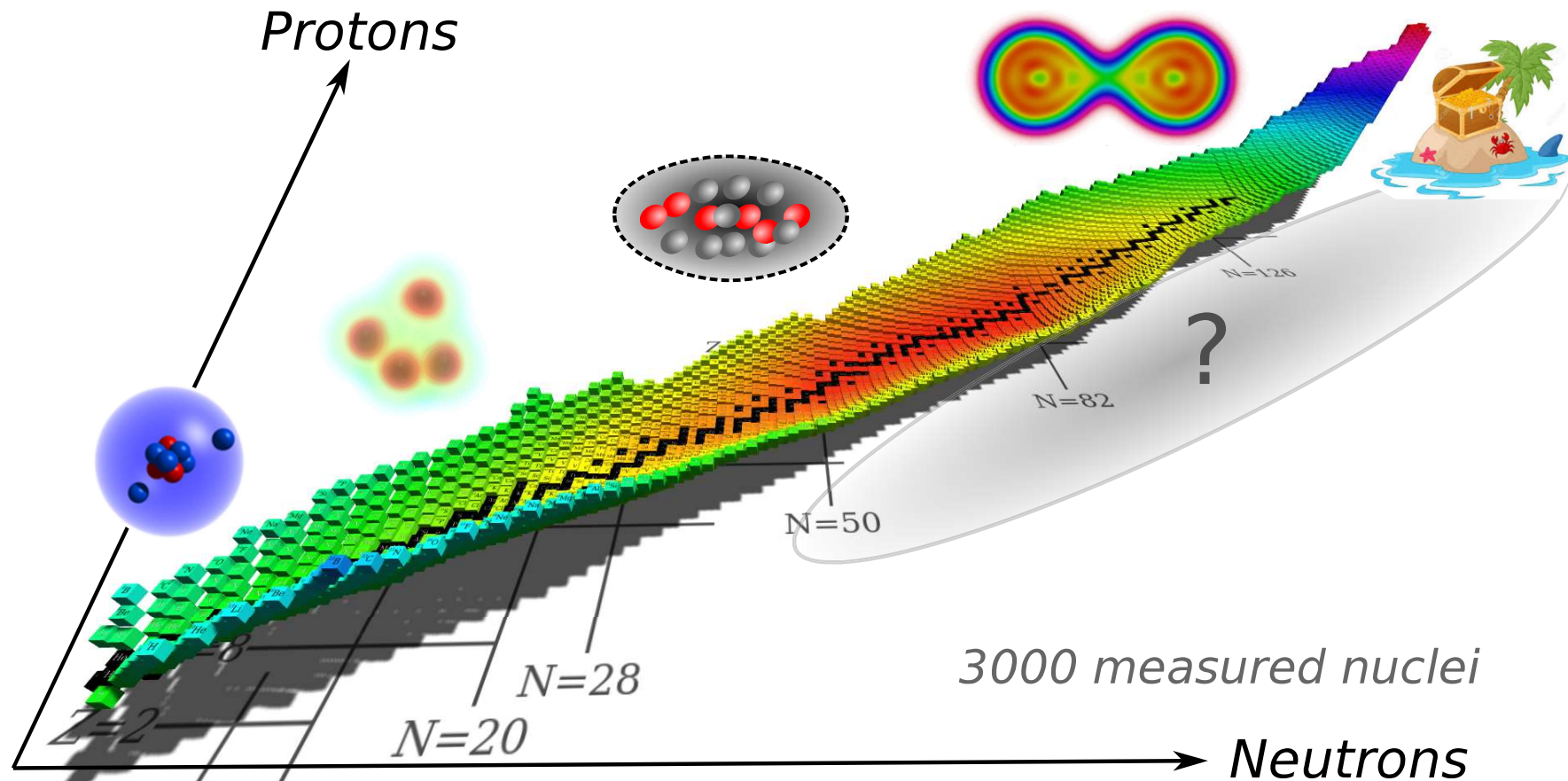
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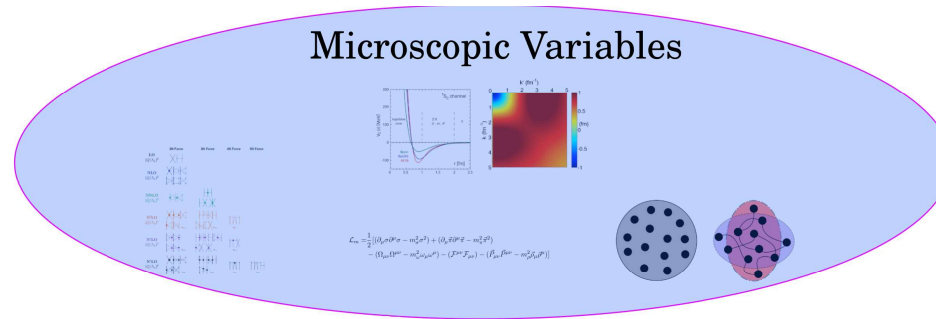
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# Building bridges – Global Strategy



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**Microscopic Variables**

$\hat{H}_0$	$\sum_{i,j} \frac{1}{r_{ij}}$	$\sum_{i,j} \frac{1}{r_{ij}}$	$\sum_{i,j} \frac{1}{r_{ij}}$
$\hat{H}_1$	$\sum_{i,j} \frac{1}{r_{ij}^2}$	$\sum_{i,j} \frac{1}{r_{ij}^2}$	$\sum_{i,j} \frac{1}{r_{ij}^2}$
$\hat{H}_2$	$\sum_{i,j} \frac{1}{r_{ij}^3}$	$\sum_{i,j} \frac{1}{r_{ij}^3}$	$\sum_{i,j} \frac{1}{r_{ij}^3}$
$\hat{H}_3$	$\sum_{i,j} \frac{1}{r_{ij}^4}$	$\sum_{i,j} \frac{1}{r_{ij}^4}$	$\sum_{i,j} \frac{1}{r_{ij}^4}$
$\hat{H}_4$	$\sum_{i,j} \frac{1}{r_{ij}^5}$	$\sum_{i,j} \frac{1}{r_{ij}^5}$	$\sum_{i,j} \frac{1}{r_{ij}^5}$
$\hat{H}_5$	$\sum_{i,j} \frac{1}{r_{ij}^6}$	$\sum_{i,j} \frac{1}{r_{ij}^6}$	$\sum_{i,j} \frac{1}{r_{ij}^6}$
$\hat{H}_6$	$\sum_{i,j} \frac{1}{r_{ij}^7}$	$\sum_{i,j} \frac{1}{r_{ij}^7}$	$\sum_{i,j} \frac{1}{r_{ij}^7}$
$\hat{H}_7$	$\sum_{i,j} \frac{1}{r_{ij}^8}$	$\sum_{i,j} \frac{1}{r_{ij}^8}$	$\sum_{i,j} \frac{1}{r_{ij}^8}$
$\hat{H}_8$	$\sum_{i,j} \frac{1}{r_{ij}^9}$	$\sum_{i,j} \frac{1}{r_{ij}^9}$	$\sum_{i,j} \frac{1}{r_{ij}^9}$
$\hat{H}_9$	$\sum_{i,j} \frac{1}{r_{ij}^{10}}$	$\sum_{i,j} \frac{1}{r_{ij}^{10}}$	$\sum_{i,j} \frac{1}{r_{ij}^{10}}$

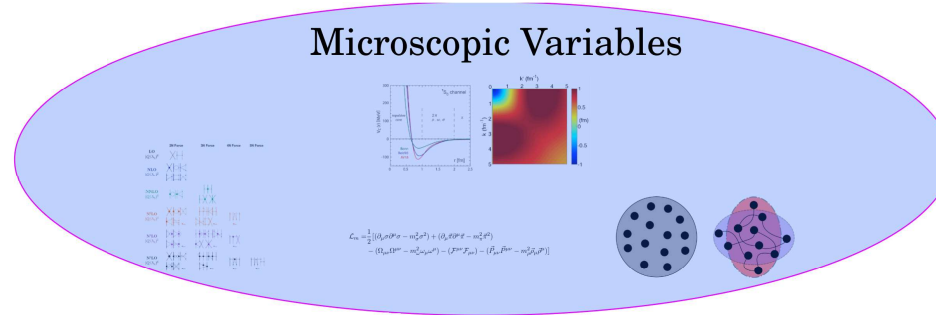
$$E_{\text{tot}} = \frac{1}{2} \left( \langle \hat{H}_0 \rangle + \langle \hat{H}_1 \rangle + \langle \hat{H}_2 \rangle + \langle \hat{H}_3 \rangle + \langle \hat{H}_4 \rangle + \langle \hat{H}_5 \rangle + \langle \hat{H}_6 \rangle + \langle \hat{H}_7 \rangle + \langle \hat{H}_8 \rangle + \langle \hat{H}_9 \rangle \right)$$

**Modelisation**
}

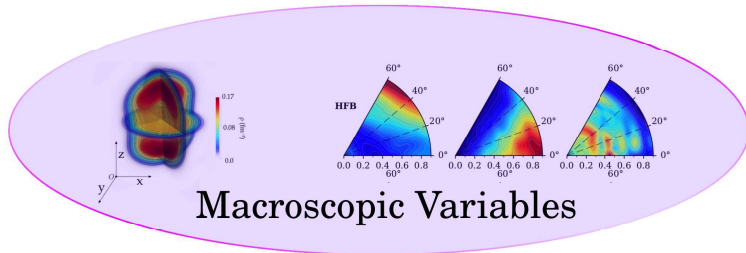
**HFB**  
**Ab-Initio**  
**Machine Learning**

**Macroscopic Variables**

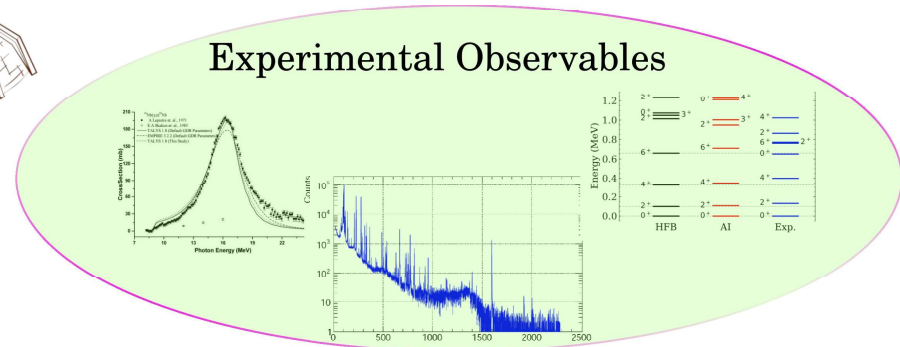
# Building bridges – Global Strategy



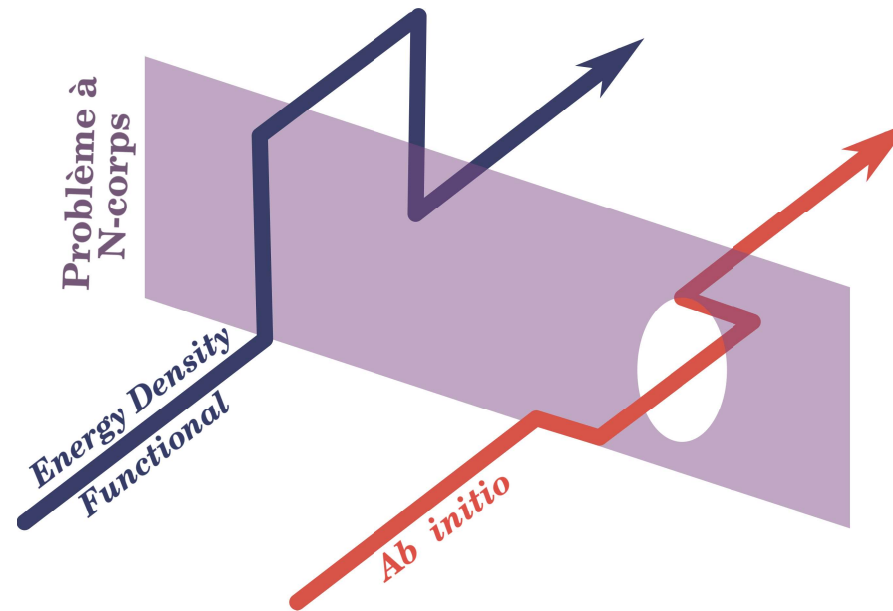
Modelisation { **HFB**  
**Ab-Initio**  
**Machine Learning**



Evaluation { **QRPA/5DCH**  
**Machine Learning**



# Limits of our current paradigm

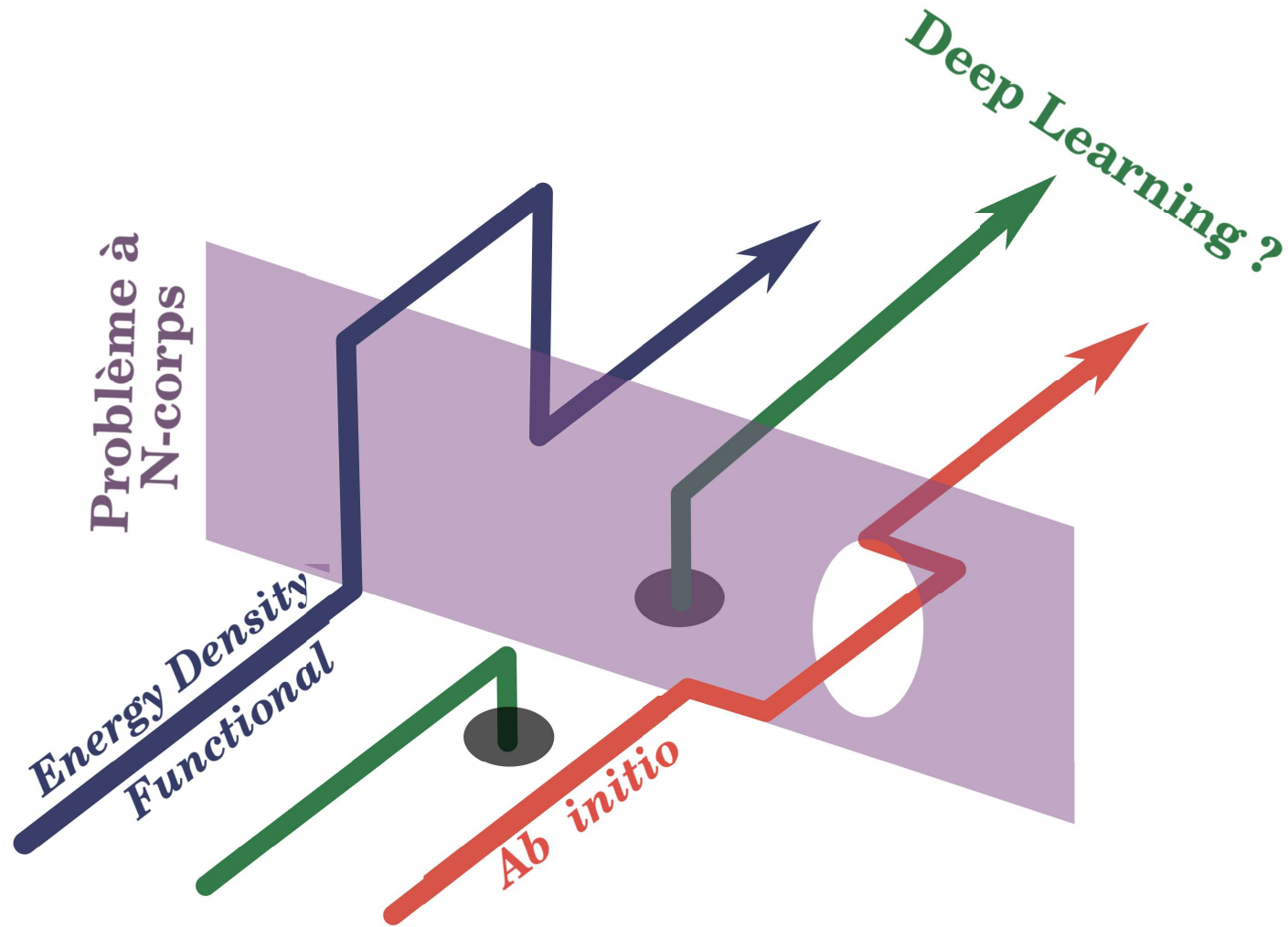


## Limitations

- No link with QCD
- Spuriousities
- Difficult to link with experiments
- Numerical Cost



# Toward a new paradigm ?



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# Statistical Learning – A fundamental postulate

- Let  $X$  be a vector space of all possible "inputs"
- Let  $Y$  be a vector space of all the possible "outputs"
- Let  $Z$  be the product space  $Z = X \times Y$

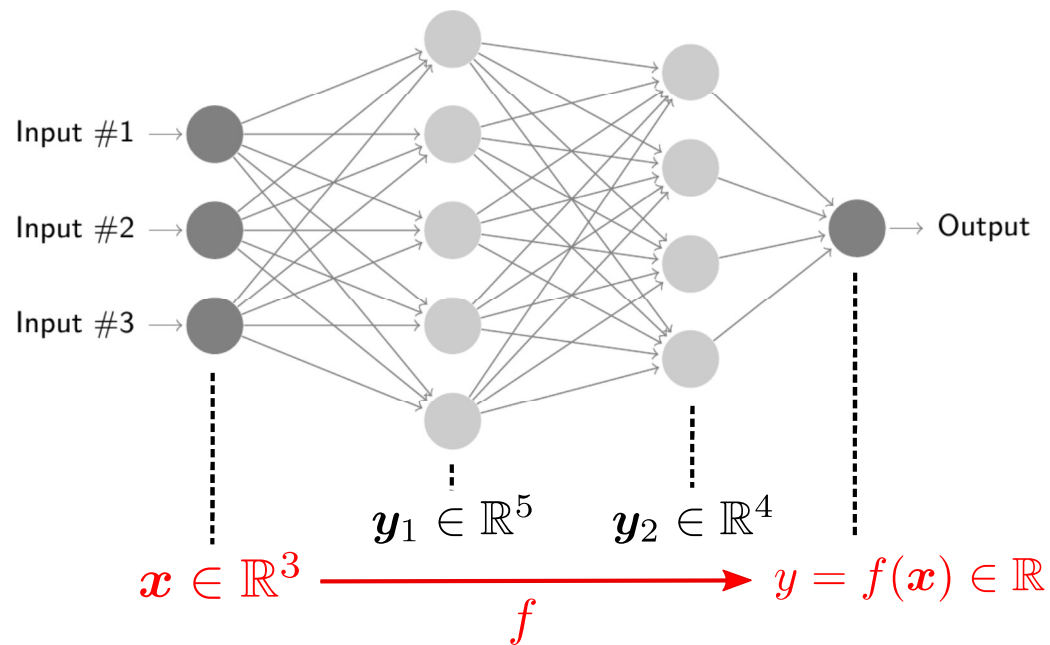
## Postulate

$$\exists p \in \mathcal{Z} | p(z) = p(x, y)$$

Where  $p$  is an unknown probability distribution mapping the "inputs" to the "outputs"

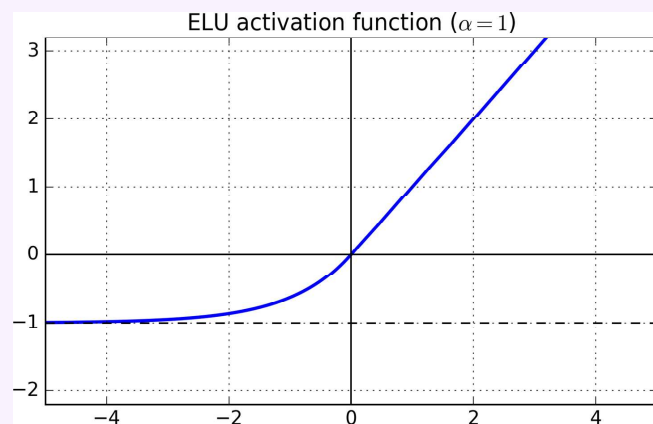
In Physics, because of the causal assumption  $\rightarrow$  **There is** something to learn.

# Machine Learning – Neural network



$$\begin{aligned} \mathbf{y}_1 &= f_1(\mathbf{x}) &= A_1(W_1 \cdot \mathbf{x} + \mathbf{b}_1) \\ \mathbf{y}_2 &= f_2(\mathbf{y}_1) &= A_2(W_2 \cdot \mathbf{y}_1 + \mathbf{b}_2) \\ y &= f_3(\mathbf{y}_2) &= A_3(W_3 \cdot \mathbf{y}_2 + \mathbf{b}_3) \\ y &= f(\mathbf{x}) &= f_3 \circ f_2 \circ f_1(\mathbf{x}) \end{aligned}$$

$A_1, A_2, A_3 =$  non-linear functions.



$W_1, W_2, W_3 =$  matrices,  
 $\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3 =$  vectors.

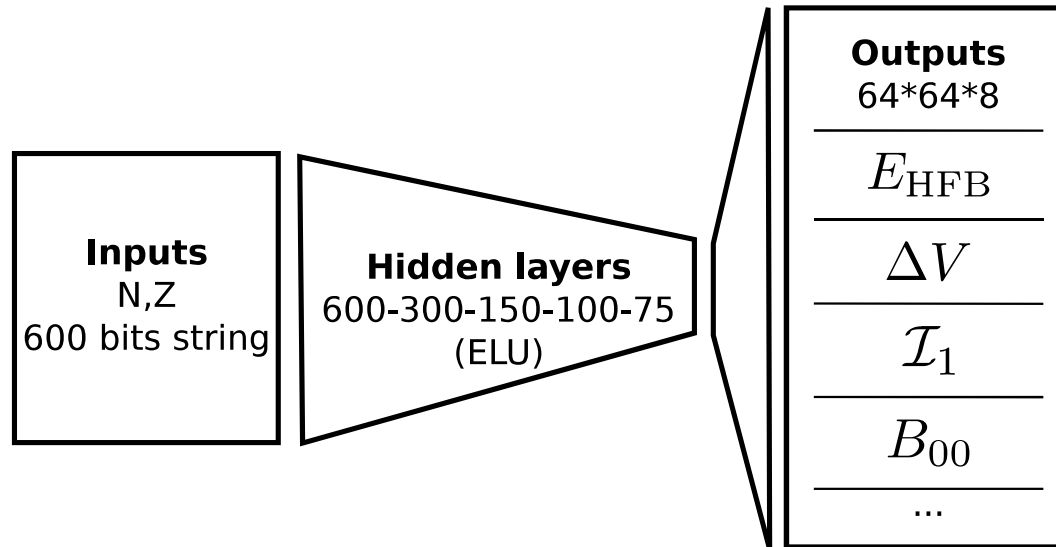
We fit these parameters so to reproduce some training data  $(\mathbf{x}^i, y^i), i \in [0, M]$ .

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# Building the neural network

## Architecture:



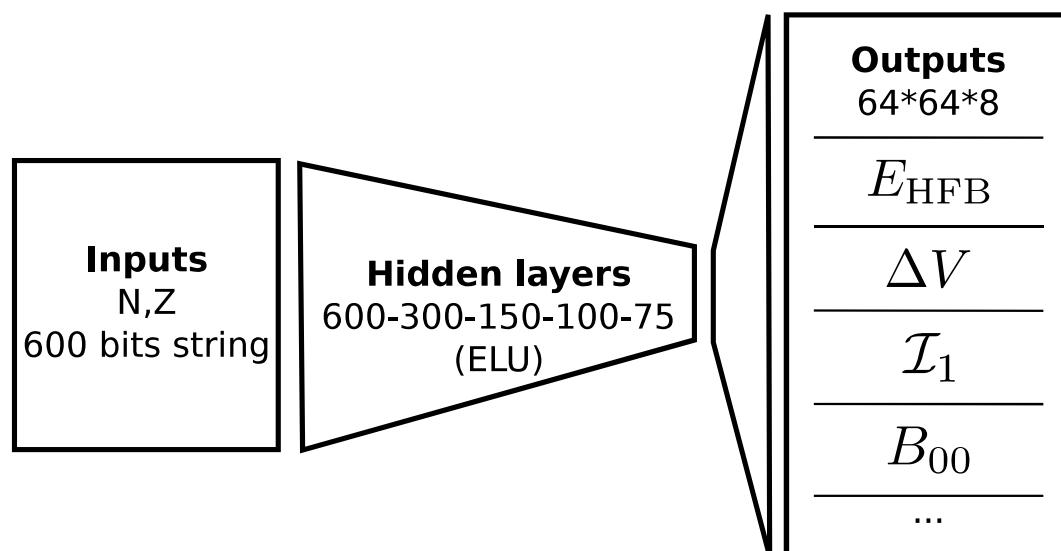
## Implementation:

- Keras/TensorFlow
- Fast GPU execution



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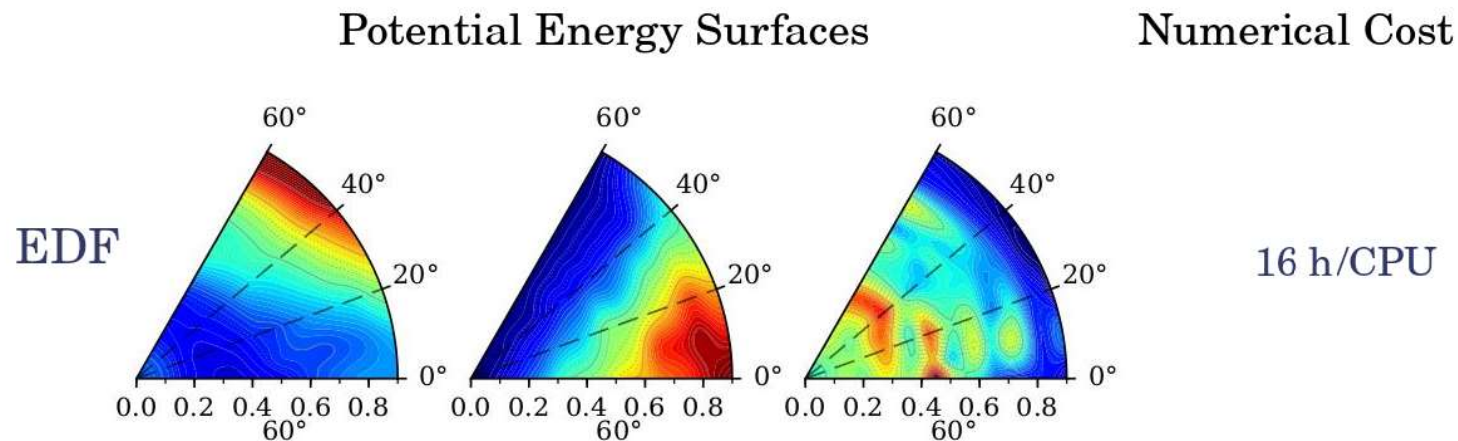
## Training:

- Training set: sample from **2100 even-even nuclei**, Gogny D1S functional
- Loss function based on a weighted sum of:

$$\mathcal{L}_t(N, Z) = \frac{6}{\pi B^2} \int_{\beta, \gamma} |t_{\text{AI}}(\beta, \gamma) - t_{\text{HFB}}(\beta, \gamma)|^2 d\beta d\gamma, \quad (1)$$

with  $t = E_{\text{HFB}}, \Delta V, \mathcal{I}_1, \dots$

# PES Prediction using Deep Learning – Published in Phys Rev Lett (2020)

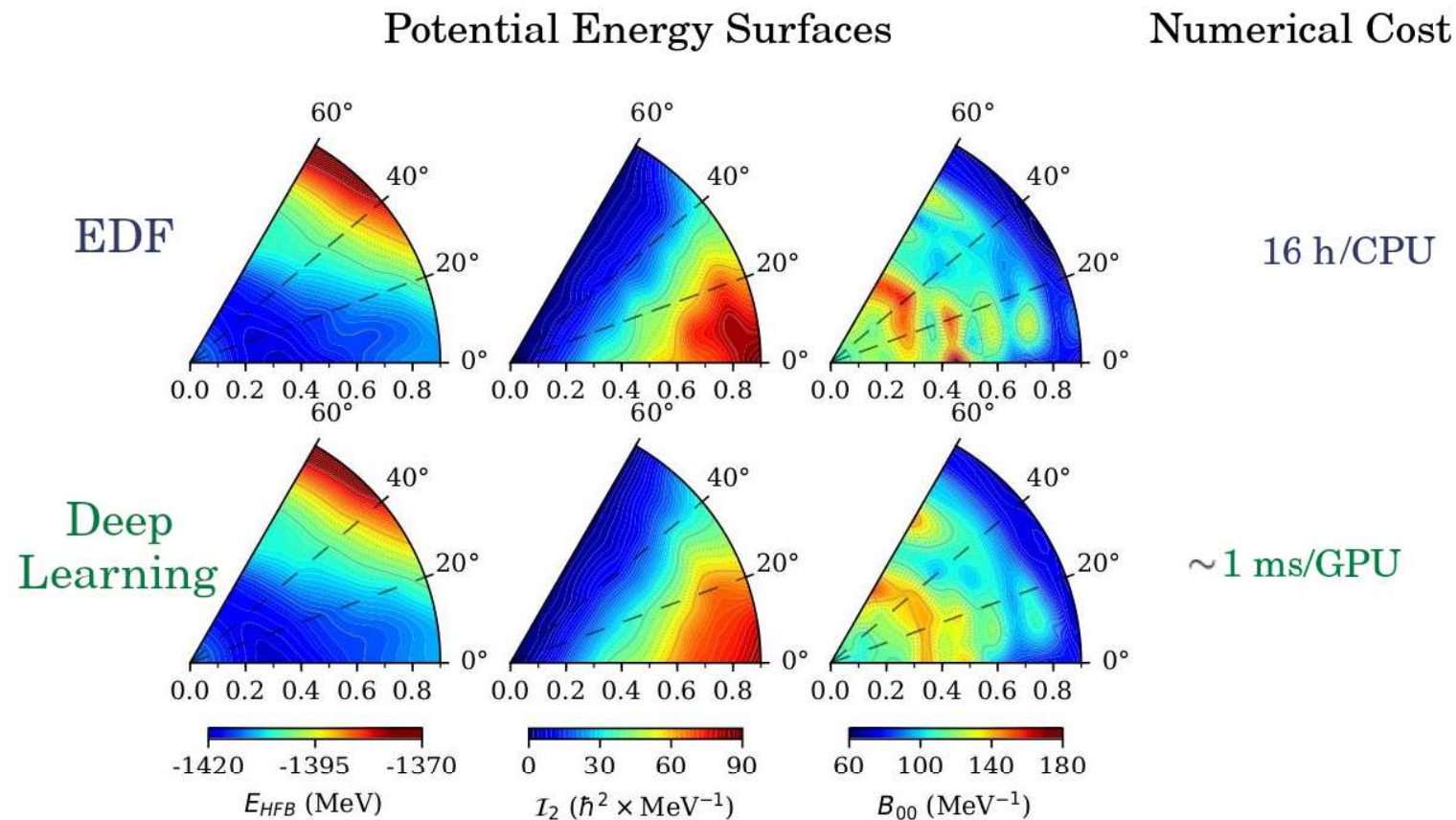


## Applications

- Accurate and fast observable predictions (experimental and astrophysical applications)
- New EDF families (Ongoing work @ULB)



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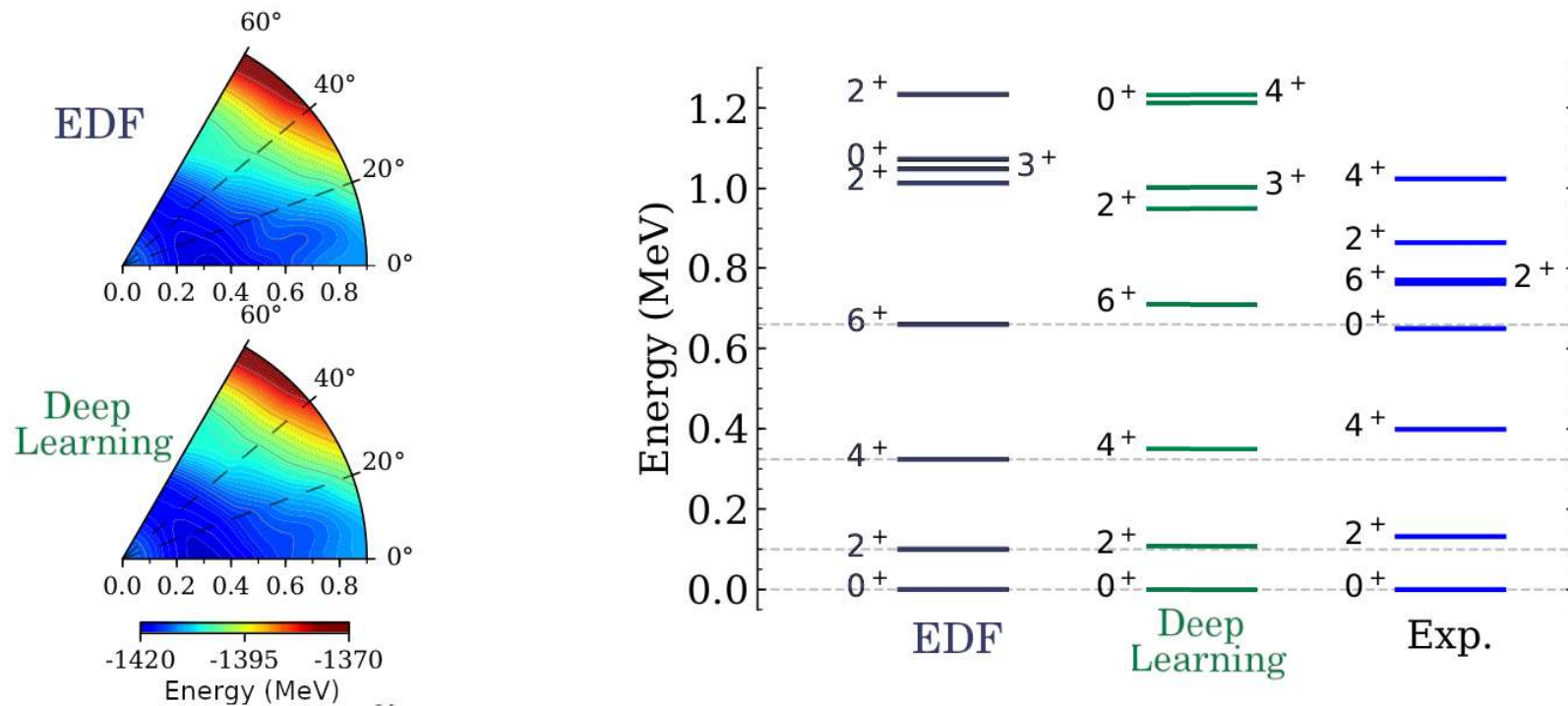


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# PES Prediction using Deep Learning – Published in Phys Rev Lett (2020)

Internal Variables  $\longrightarrow$  Experimental Observables



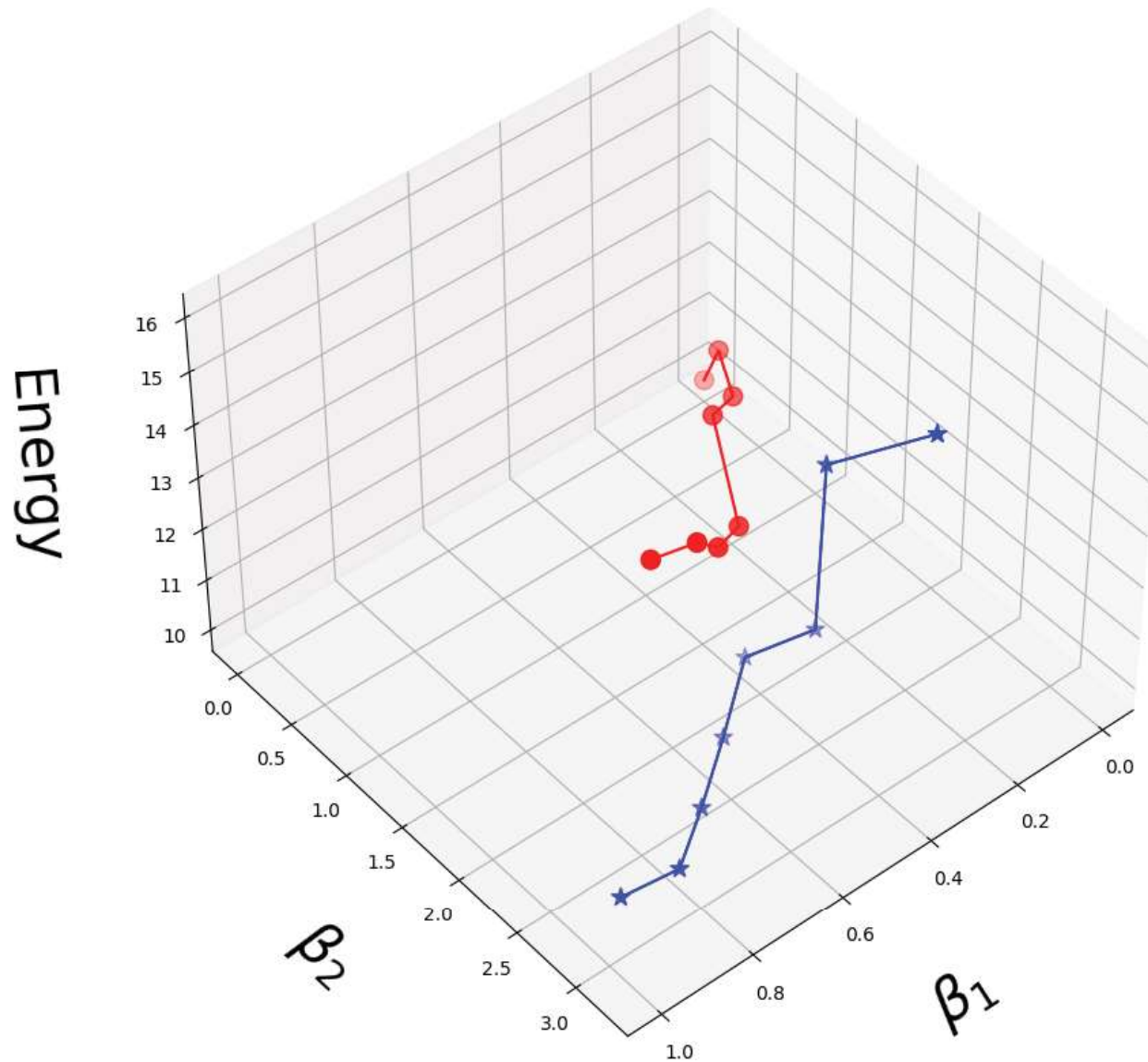
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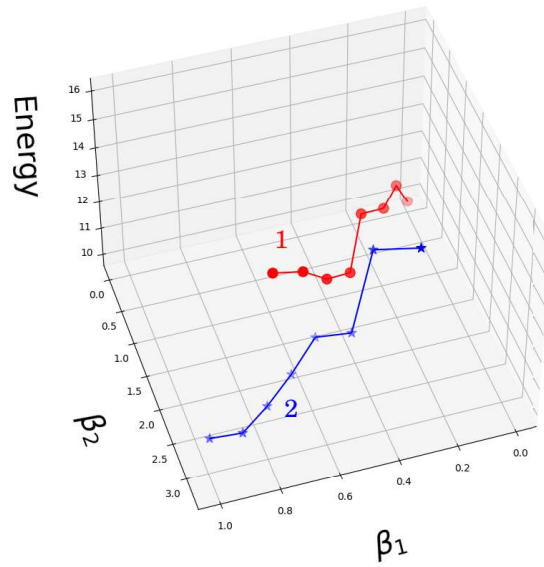
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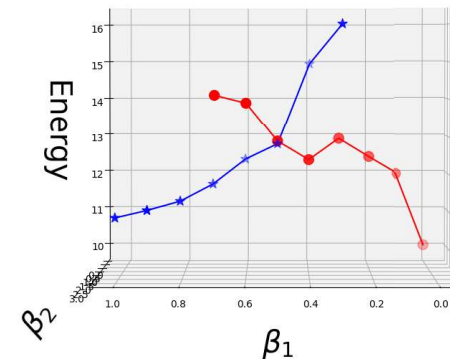
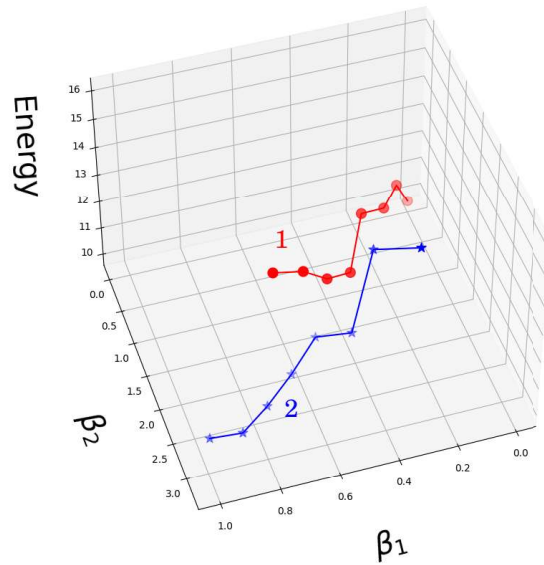
# Discontinuities – A painfull story



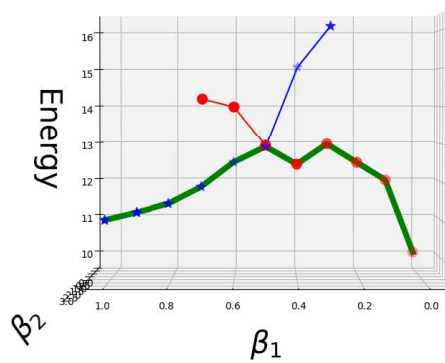
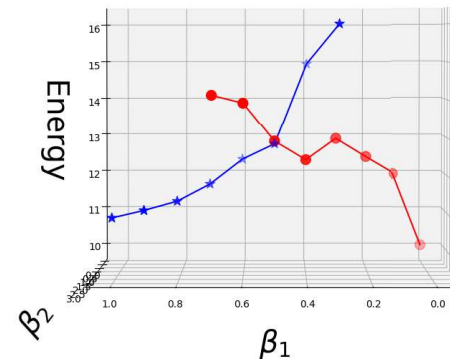
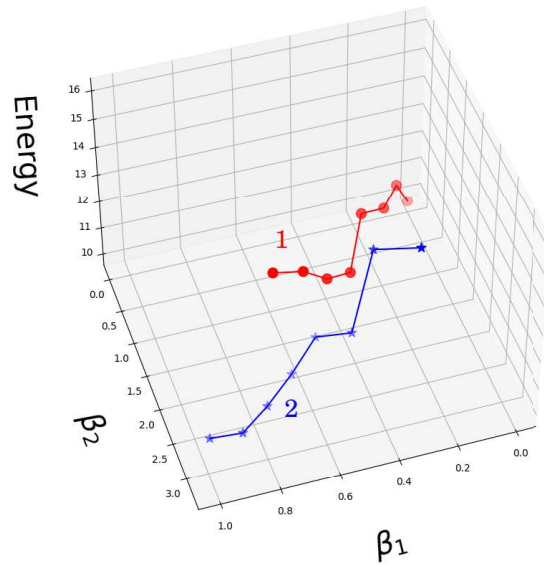
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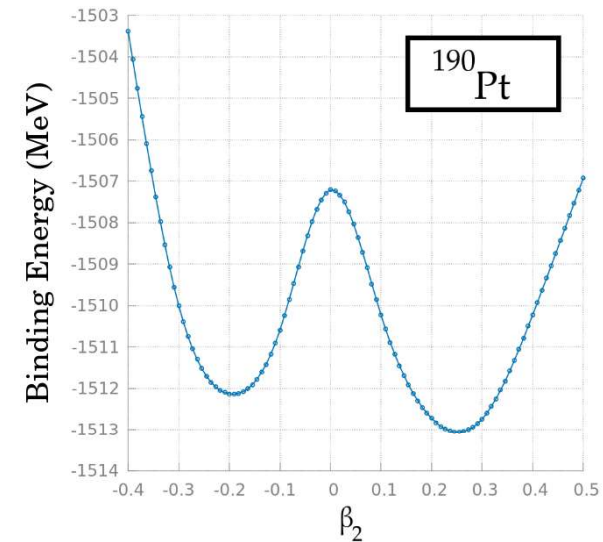


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# Discontinuities – How to get rid of them ?

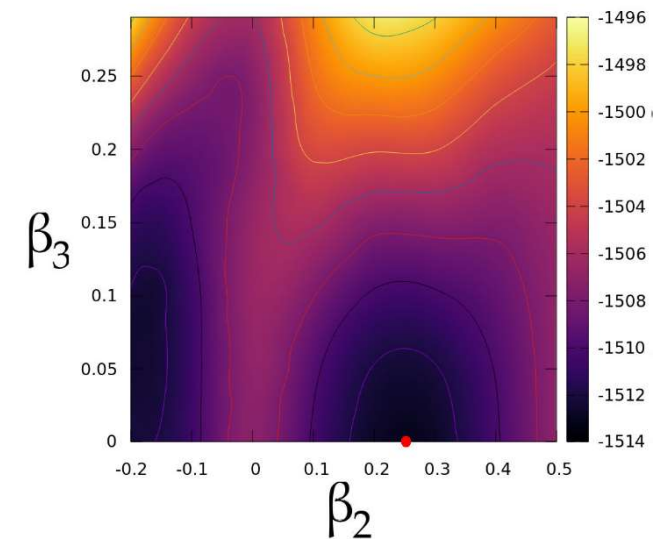
- Bruteforce
- "Smart" smoothing: DPM Method
- A curious alternative: Generative Machine Learning





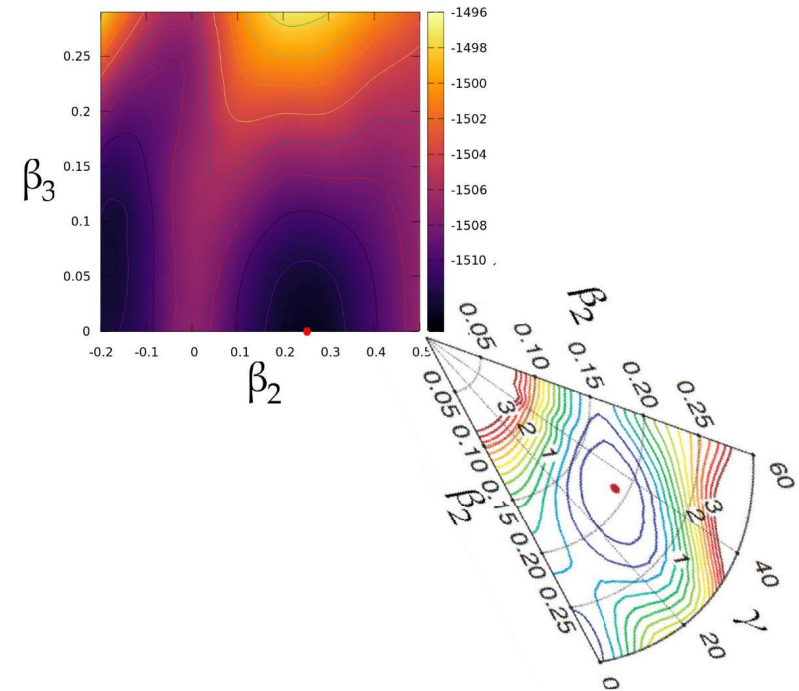
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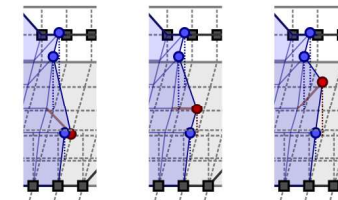
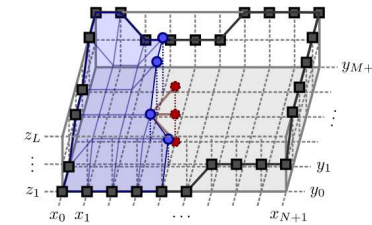
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Exponential increases of computation time

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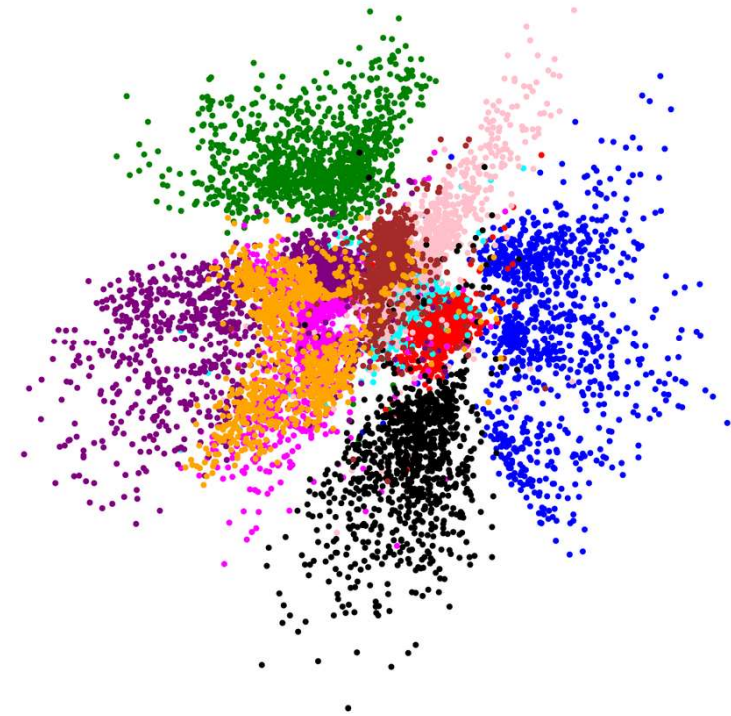
Promising, yet expensive and relies on physicist insights (Hi Rémi ! :) )<sup>1</sup>

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<sup>1</sup>Lau, Bernard, Simenel

# Discontinuities – How to get rid of them ?

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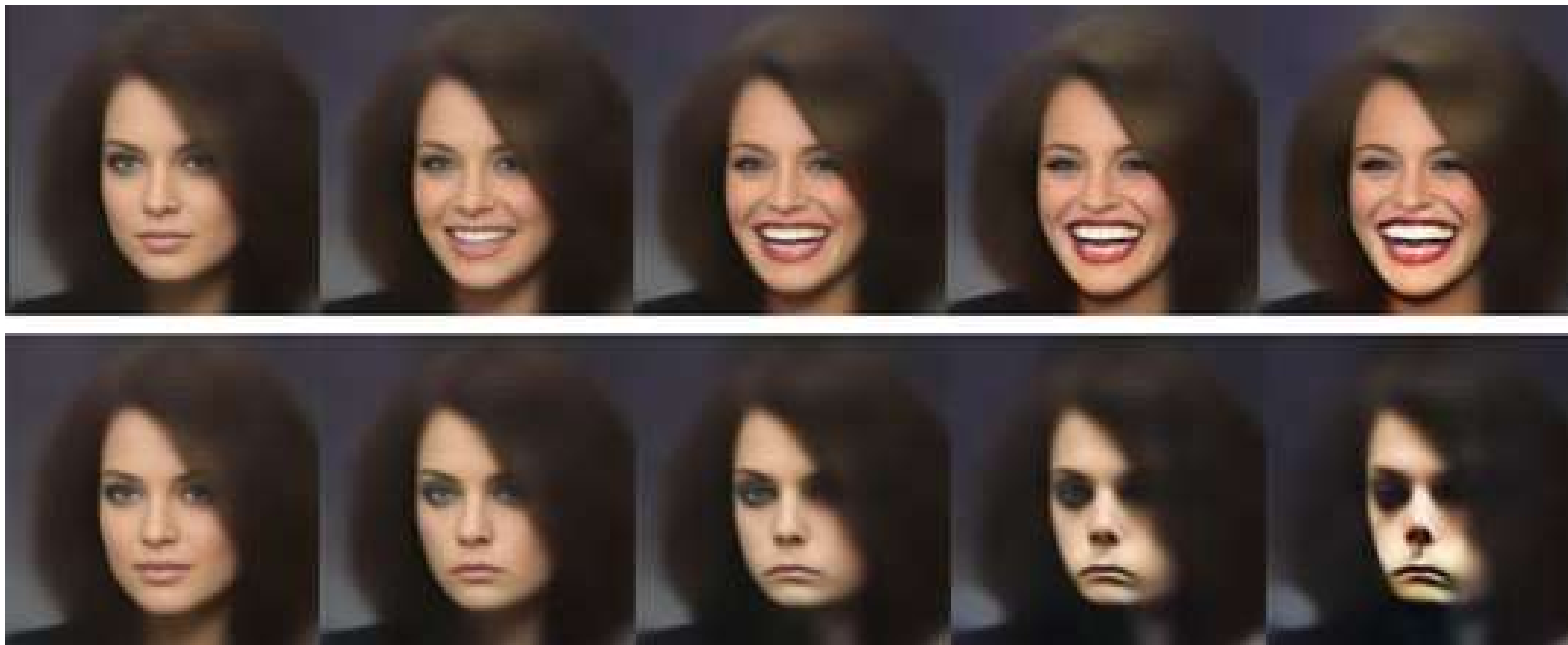
Physically *cumbersome* but very promising

# General idea: building manifolds of many-body states

Generative Adversarial Networks, Auto Encoders: capacity to

- 1 Reduce information to a small optimal latent space (neck)
- 2 Generate a continuous outputs from the latent space

**Example:** the smile vector (T. White, Victoria Univ. of Wellington)

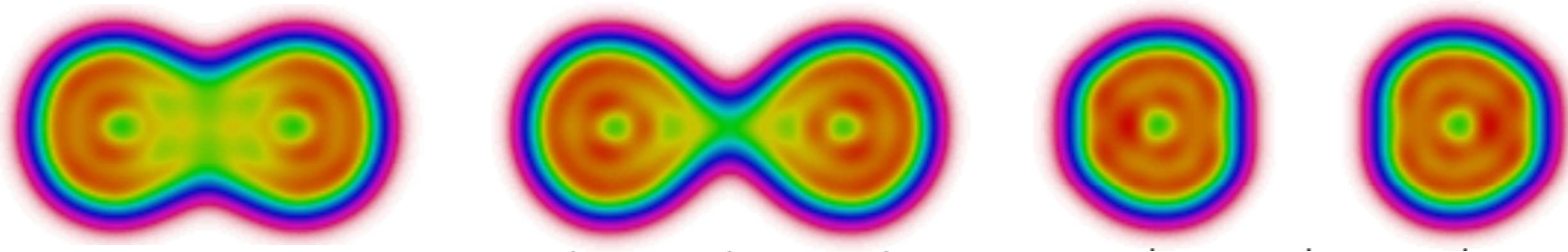


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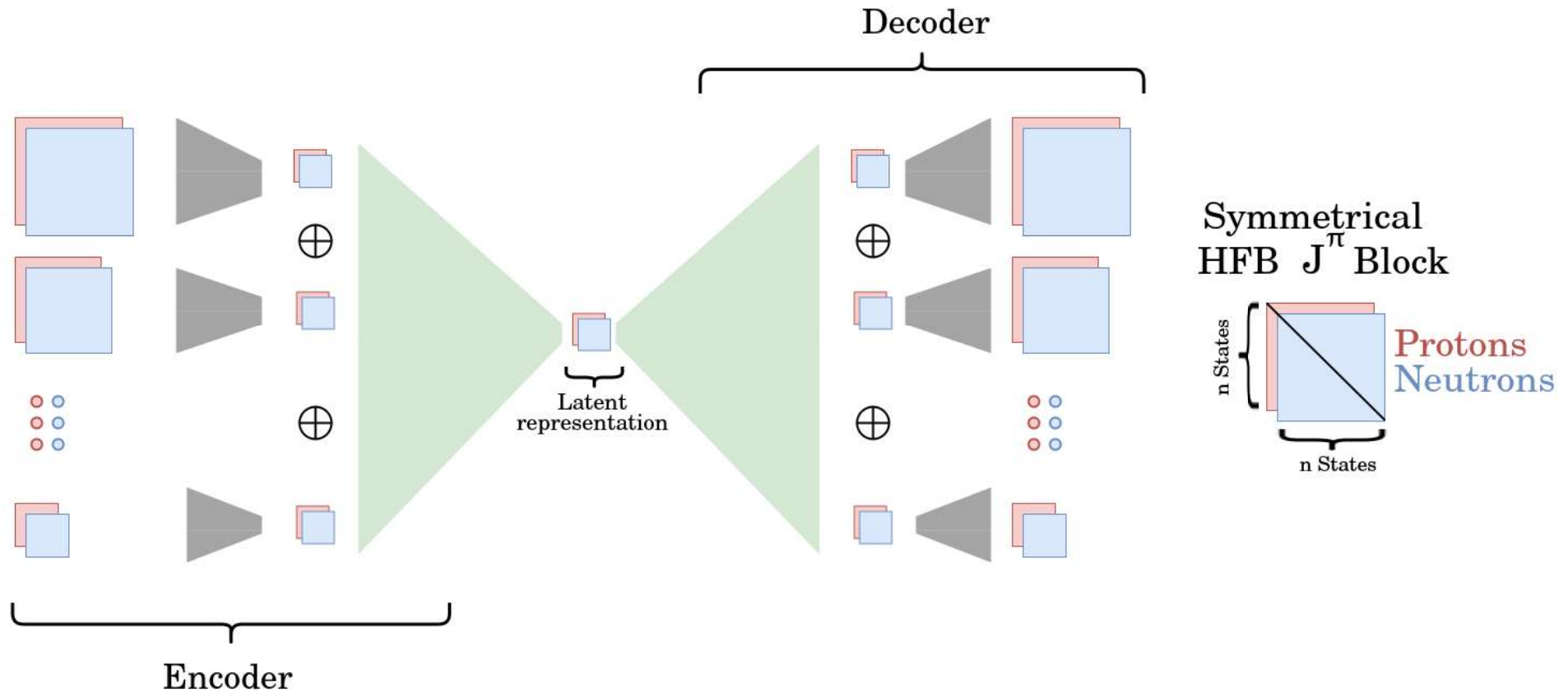
- 1 Reduce information to a small optimal latent space (neck)
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**Project:** continuous manifolds of Hartree-Fock-Bogoliubov states



A new way to include the diabatic effects in our description of fission ?

# Cascade Auto Encoder: Reconstruction the full HFB matrix – Training



Losses:

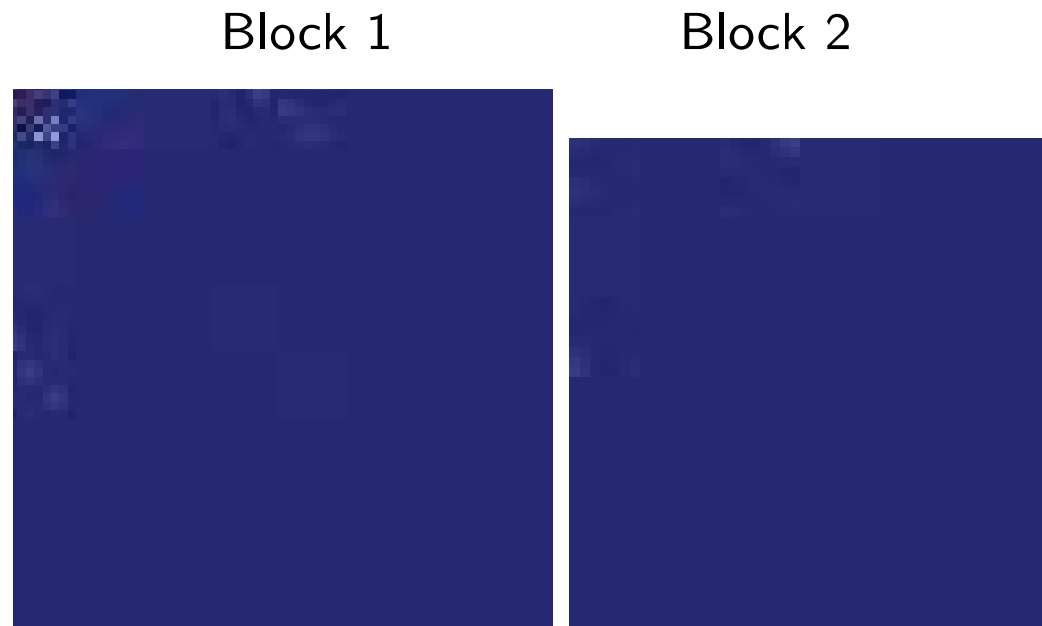
- Mean Square Error / Mean Absolute Error
- Mean Square Error + Trace conservation + Idempotence conservation +
- ...

# What do we want to learn ?

Currently the  $\rho$  matrix at Relativistic Mean Field Level (without  $\kappa$ )

The problem is:

- In an H.O basis
- Axially symmetric  $\rightarrow \Omega^\pi$  block diagonal.
- Hermiticity  $\rightarrow$  Symmetric



Over 1000  $\beta_2$  configurations.



# Tips, tricks and limitations

A few limitations:

- ① GPU VRAM (From 4Gb to 40Gb)
- ② Vanishing Gradients
- ③ Optimal metrics/cost function

**Duplication Matrix :**

$$D_n \text{vech}(A) = \text{vec}(A)$$

**Elimination matrix:**

$$L_n \text{vec}(A) = \text{vech}(A)$$

$\text{vech}(A)$  being the half-vectorization of  $A$  Efficient (vectorizable) way to go from

$$n^2 \rightarrow \frac{n(n+1)}{2}$$

# Tips, tricks and limitations

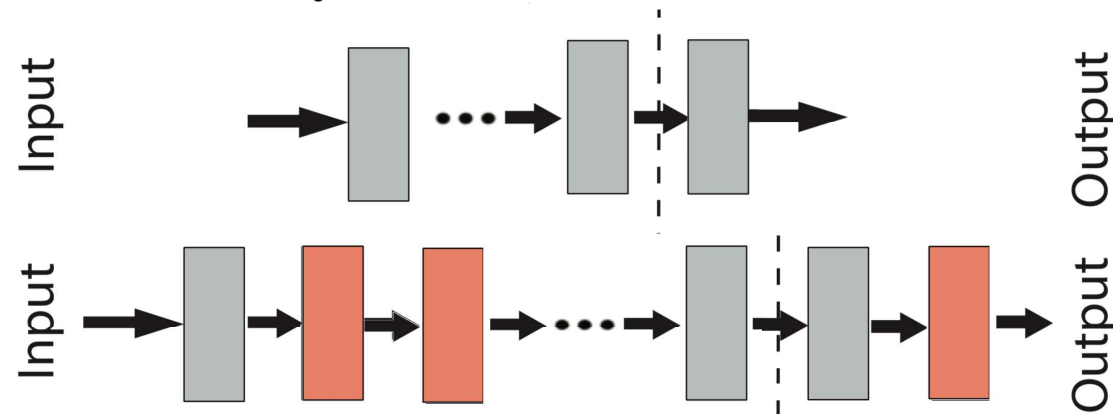
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Transfer learning strategy  $\Rightarrow$  One order of magnitude decrease of the loss

■ Transferred Layer

■ Trained from scratch layer

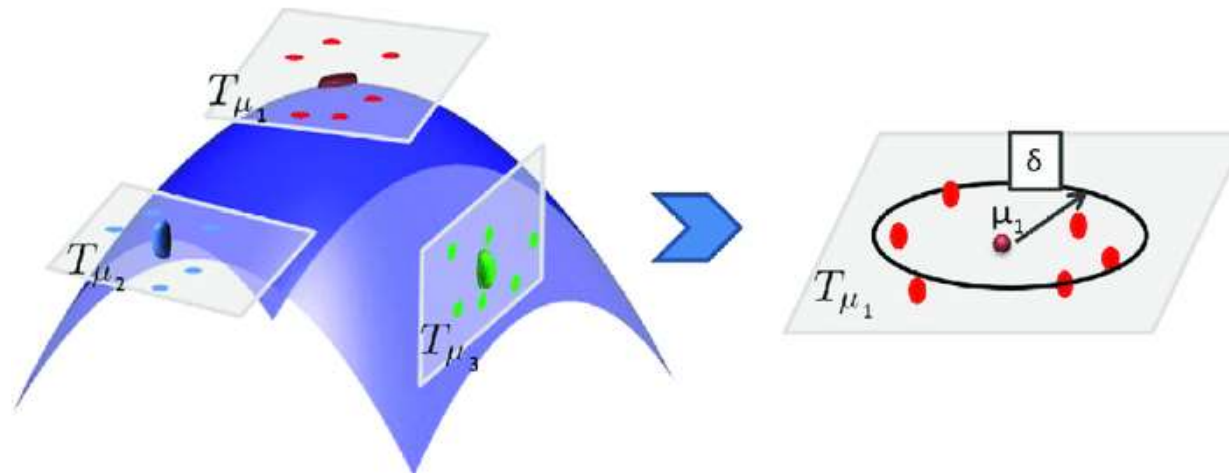


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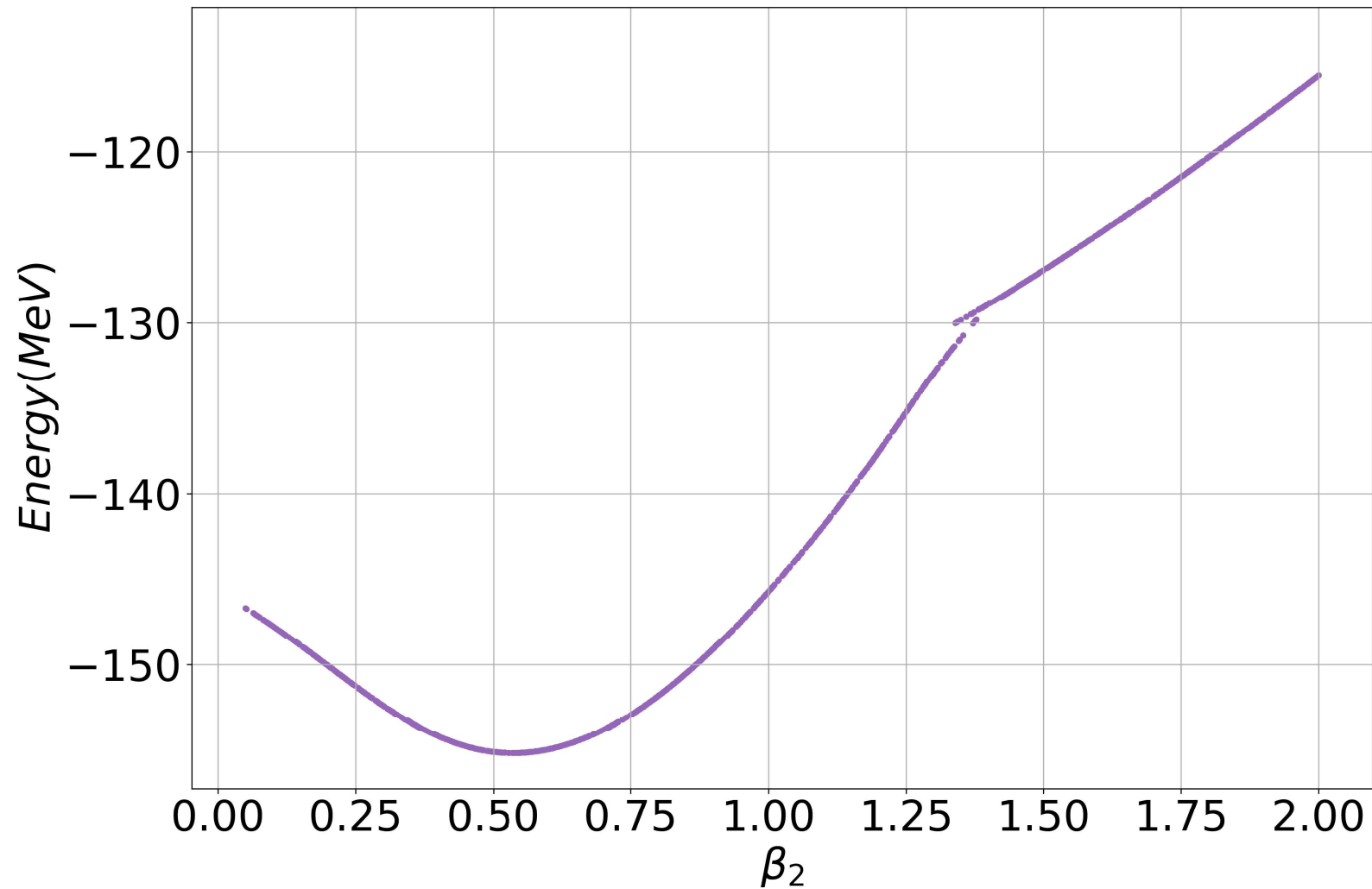
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What is the accurate distance in between two Slater/ $\rho$  matrices ?



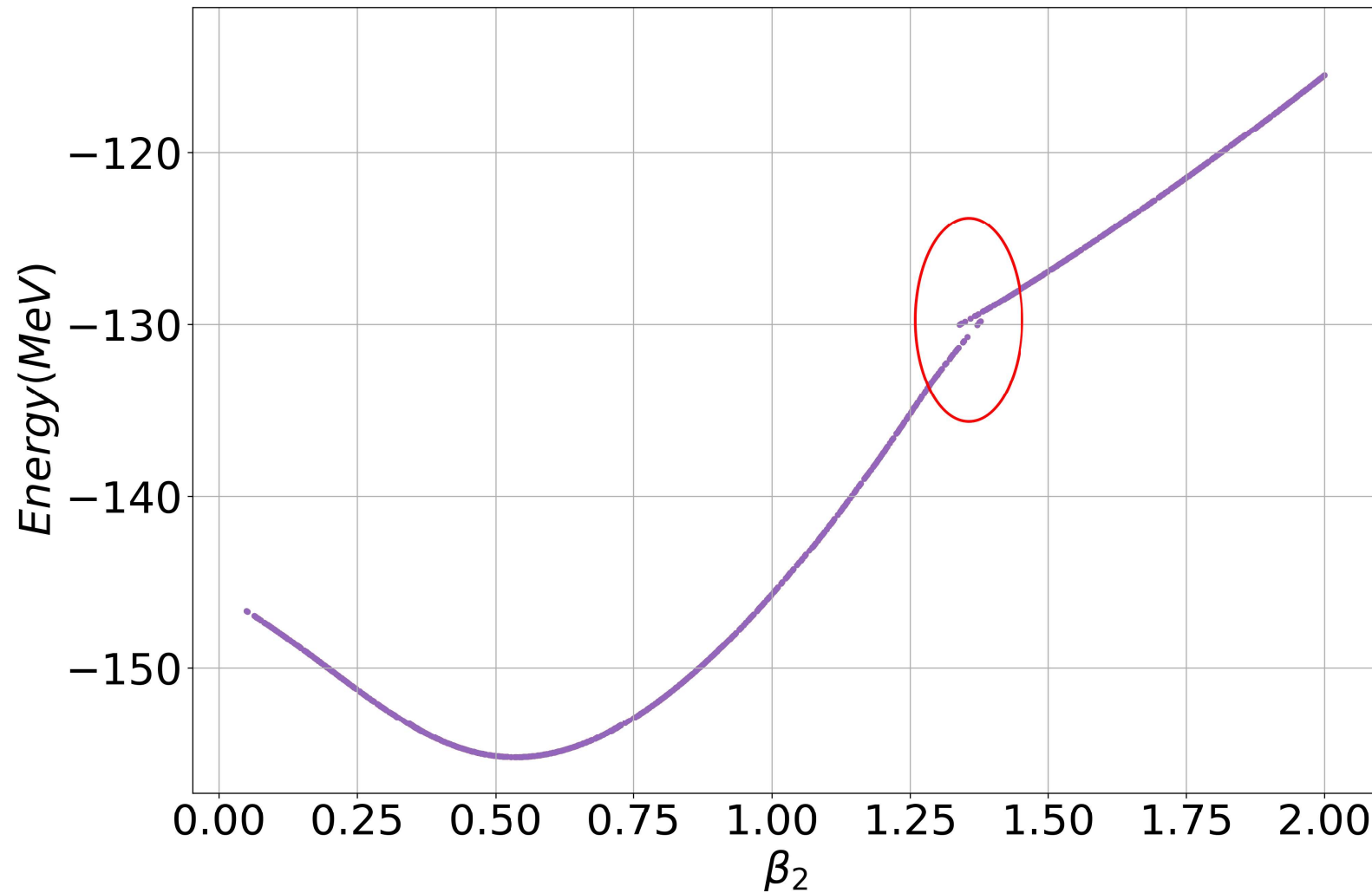
# $^{20}\text{Ne}$ : Some preliminary results

- 8 Shell
- DDME-2 Functional



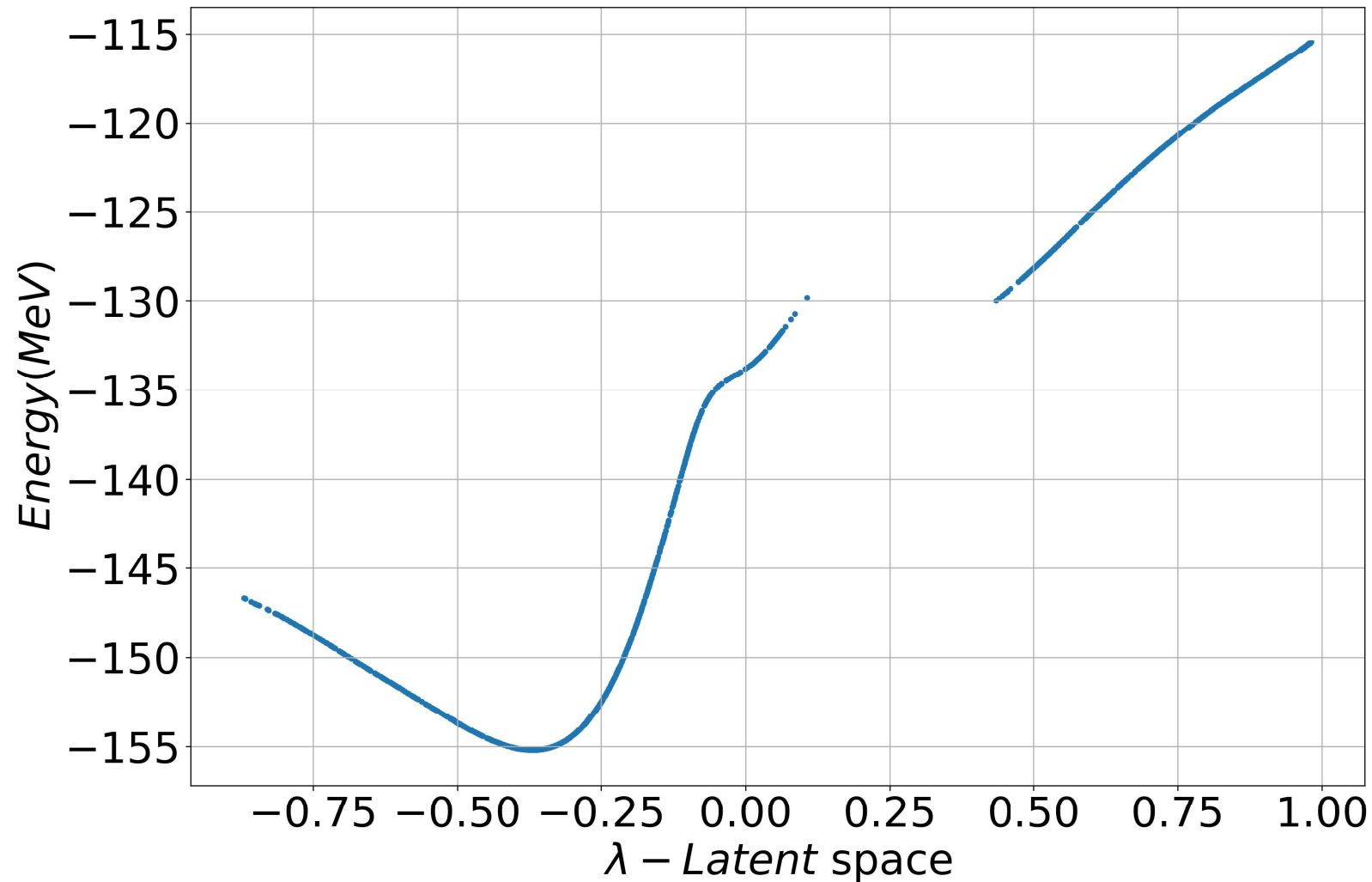
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## Discontinuity in the PES



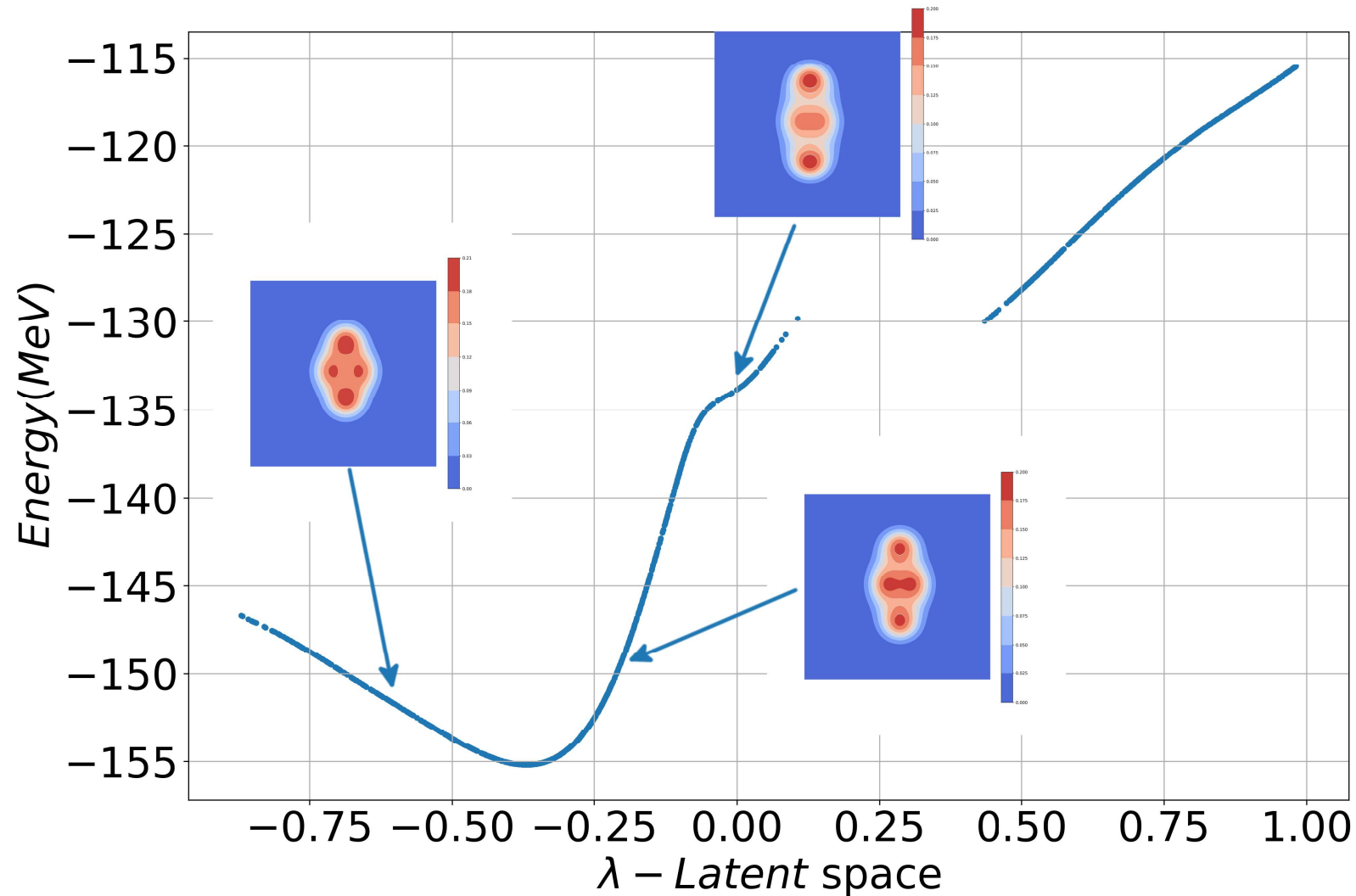
# $^{20}\text{Ne}$ : Some preliminary results

Clear clustering in the latent space



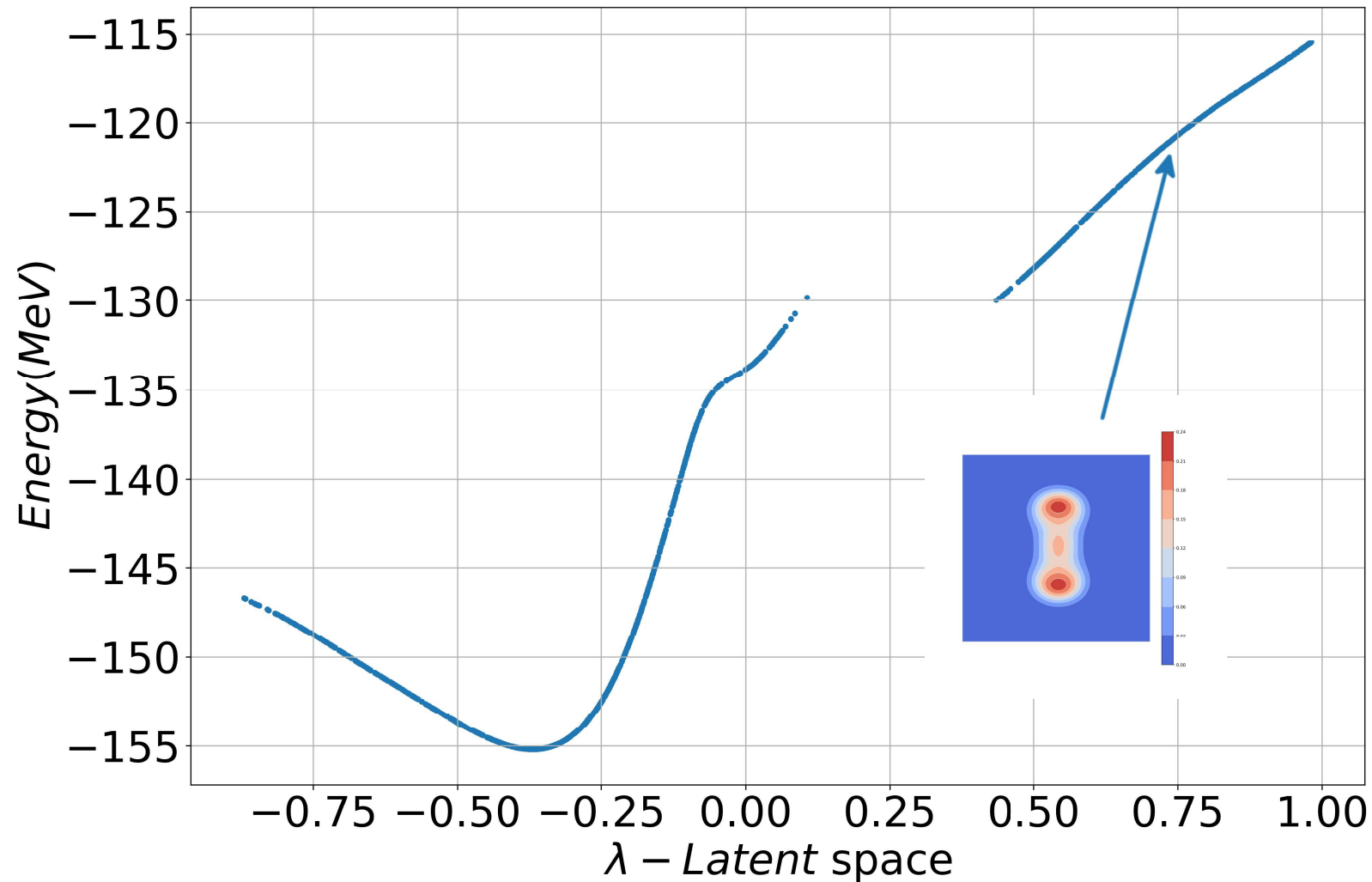
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## Nucleonic densities



# $^{20}\text{Ne}$ : Some preliminary results

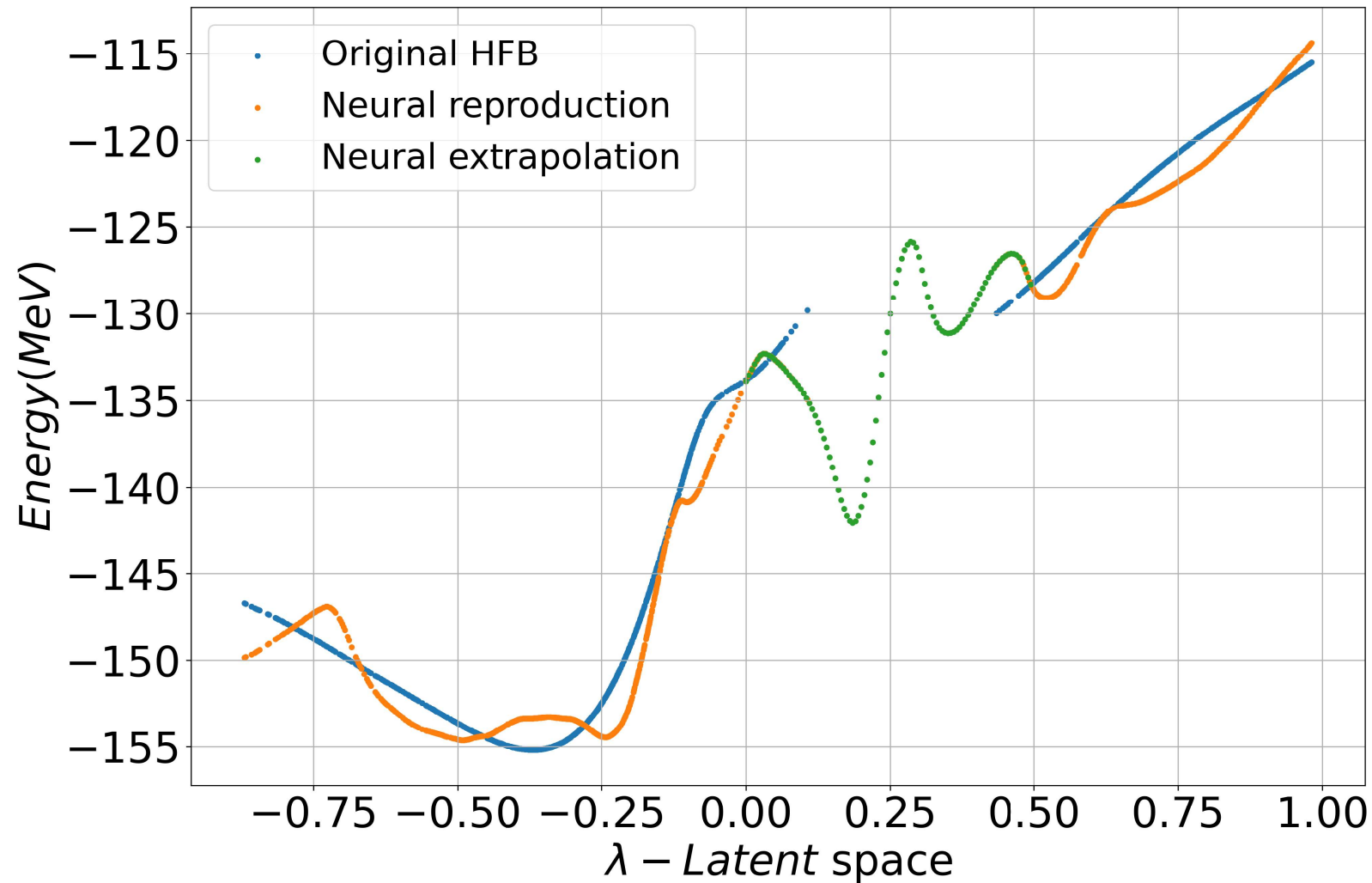
## Nucleonic densities





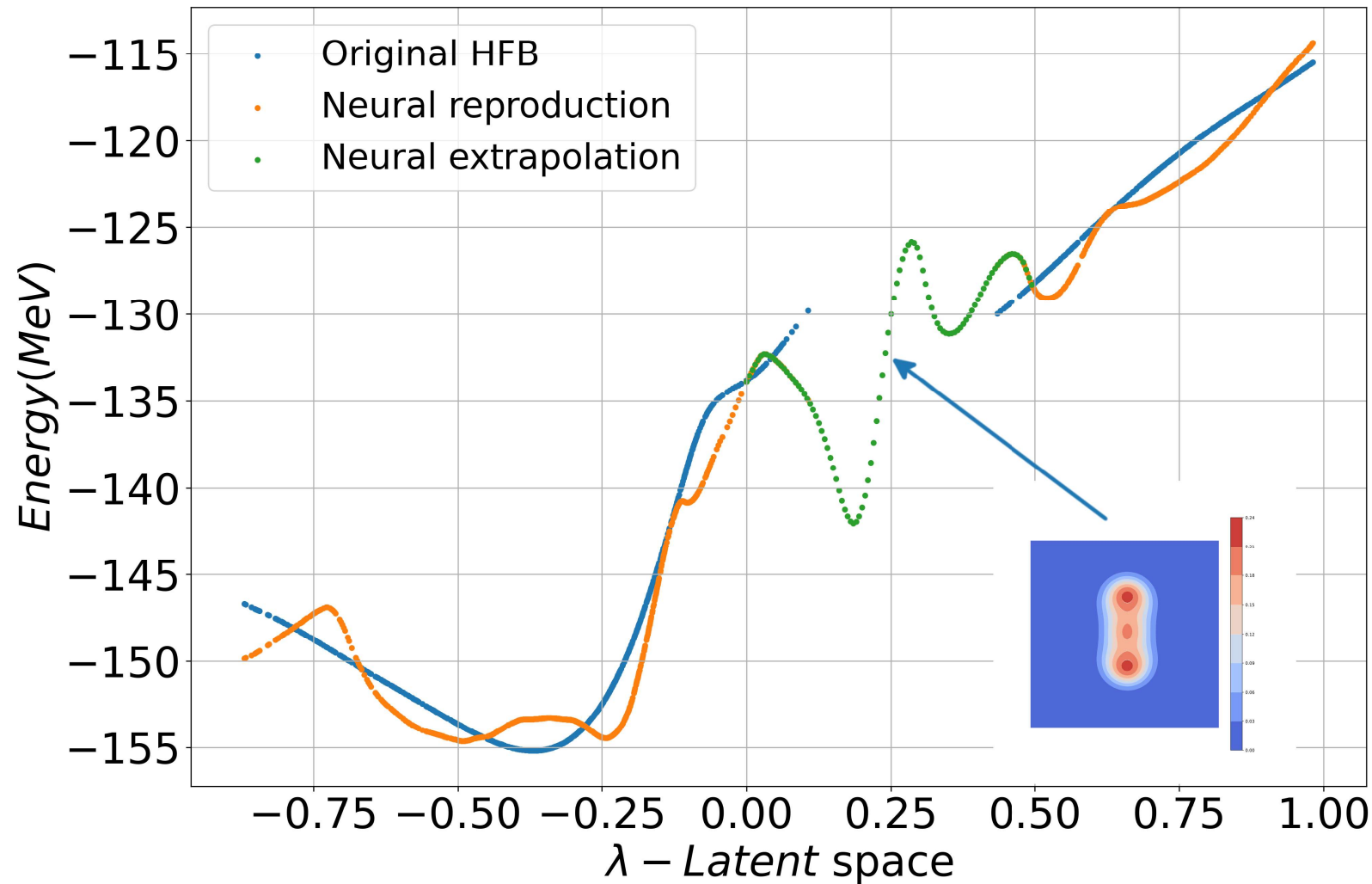
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## Extrapolation along the latent space



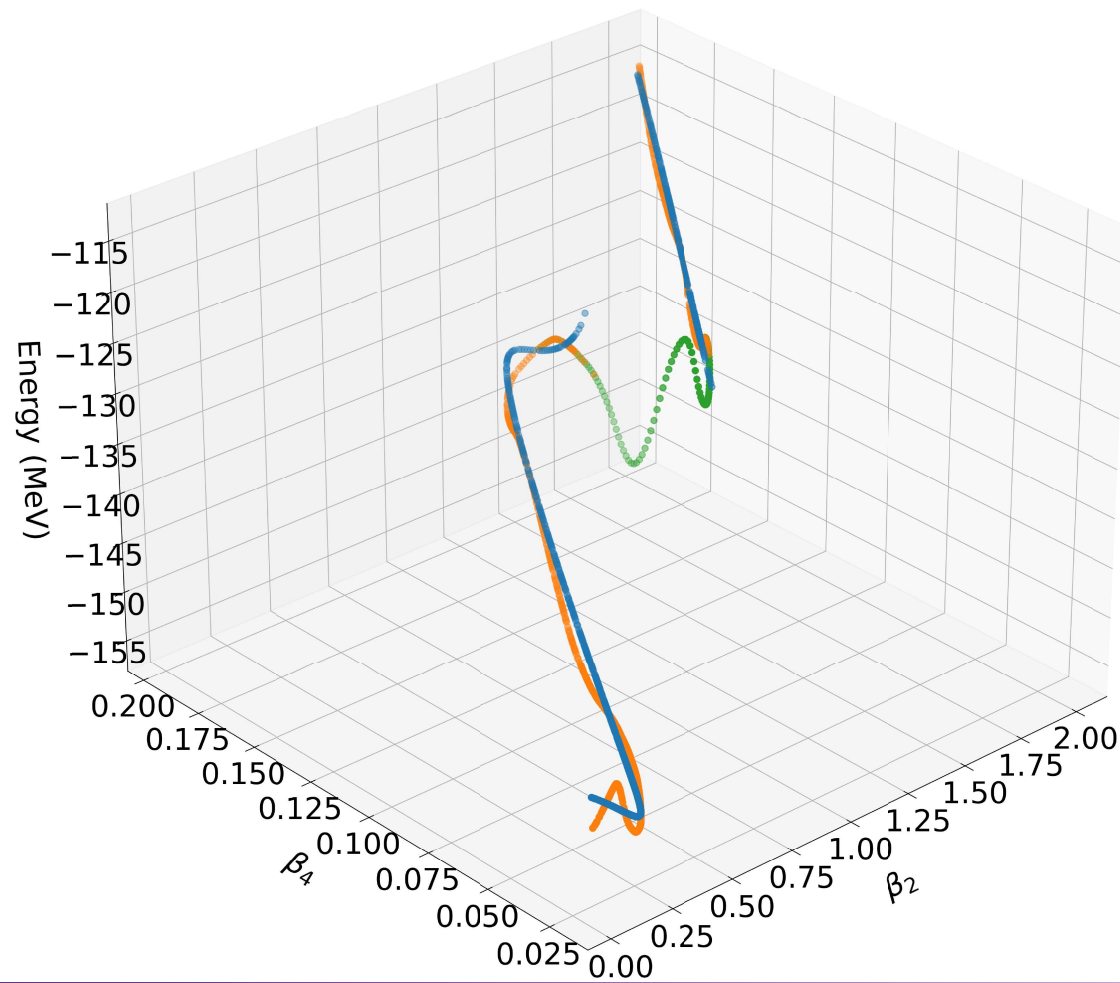
# $^{20}\text{Ne}$ : Some preliminary results

Extrapolation along the latent space + Nucleonic density



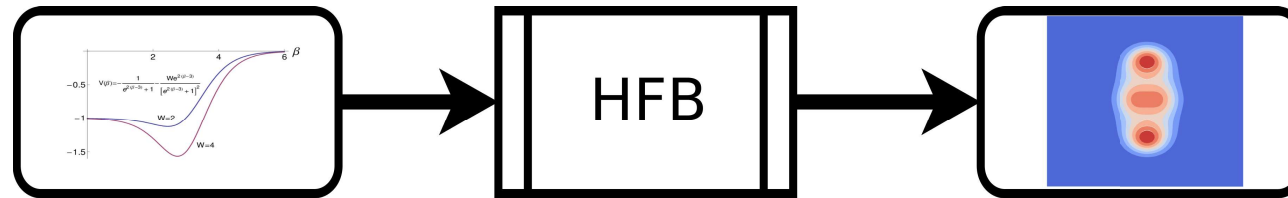
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## Hexadecapolar discontinuity



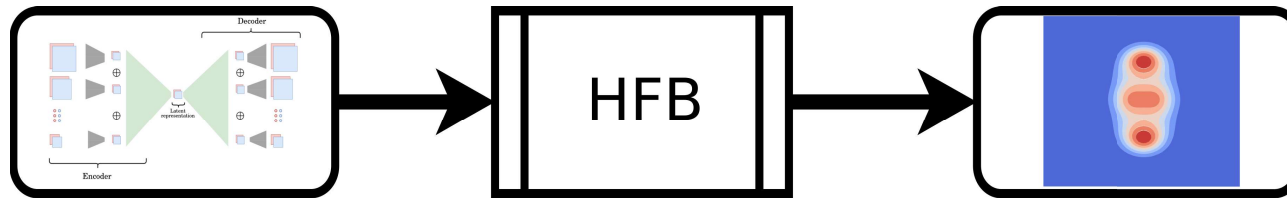
# Possibles uses and roadmap

- 1 A good *prior*/starting point for HFB solvers
- 2 A direct generative approach for HFB states
- 3 A possible way to overcome discontinuities ?



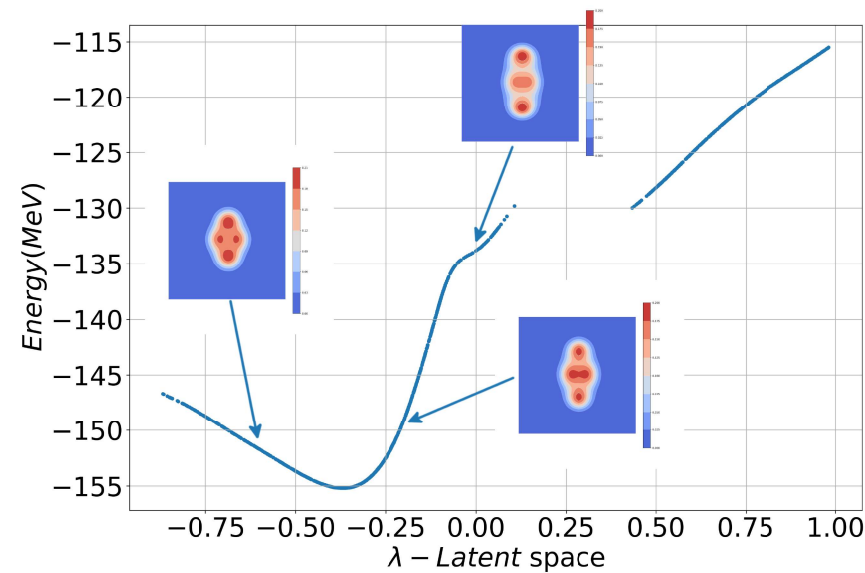
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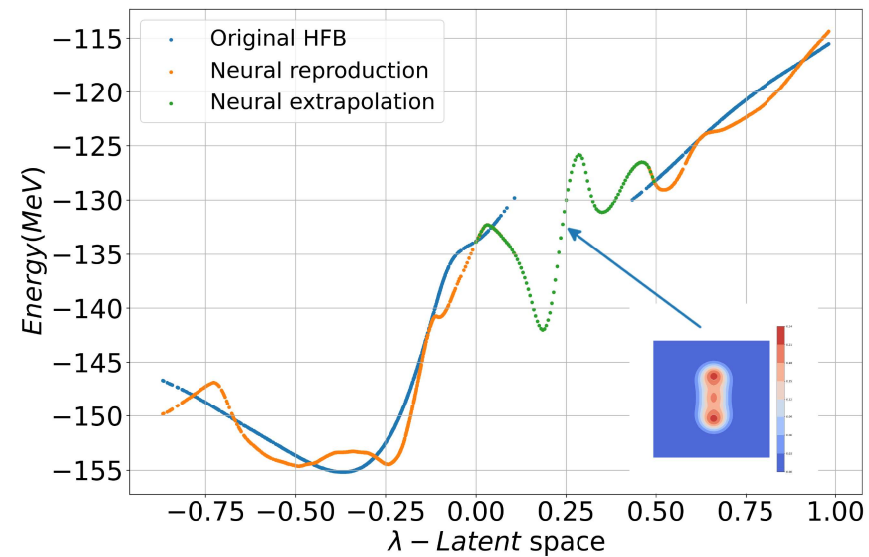
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Currently struggling to reproduce approximately-enough "correct" HF states



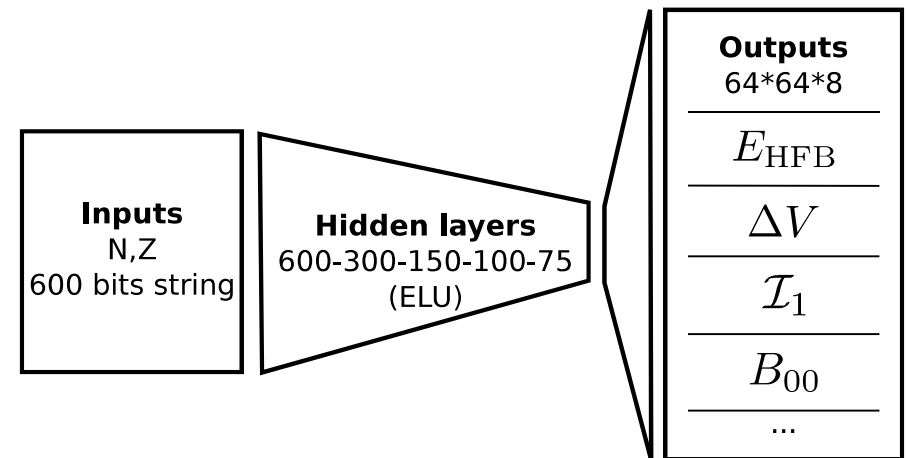
Several open questions yet



# Conclusions and outlooks

Machine Learning for:

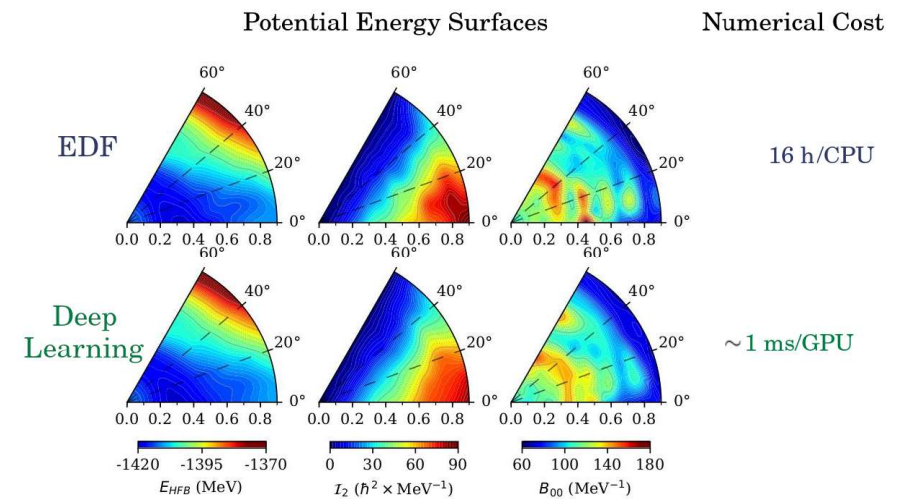
- Modelisation of collective variables
- Generation of a manifold of HFB states



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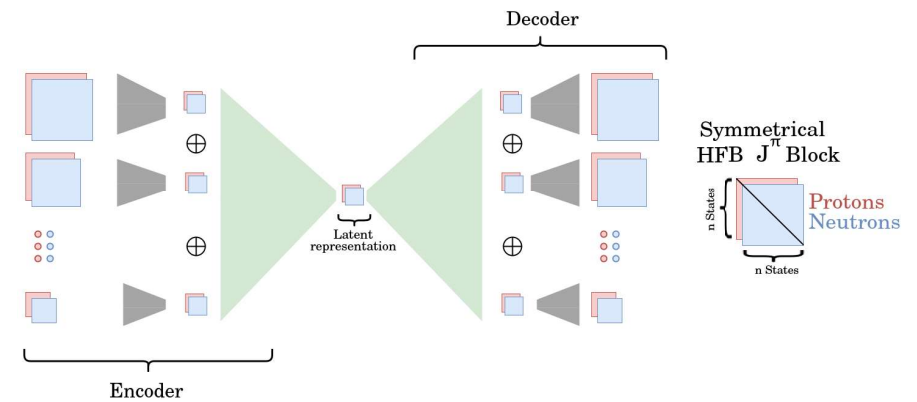
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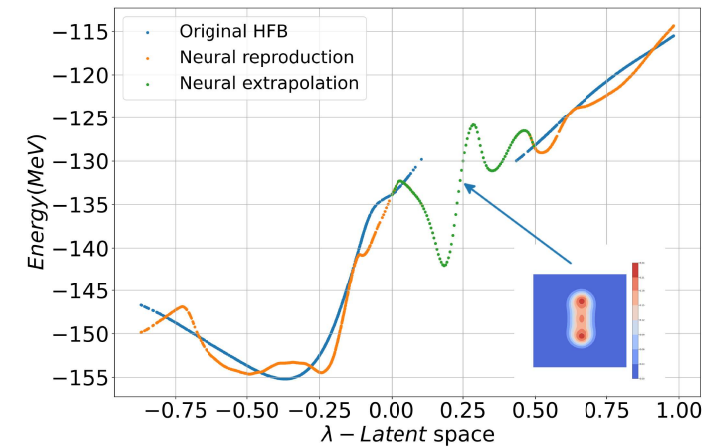
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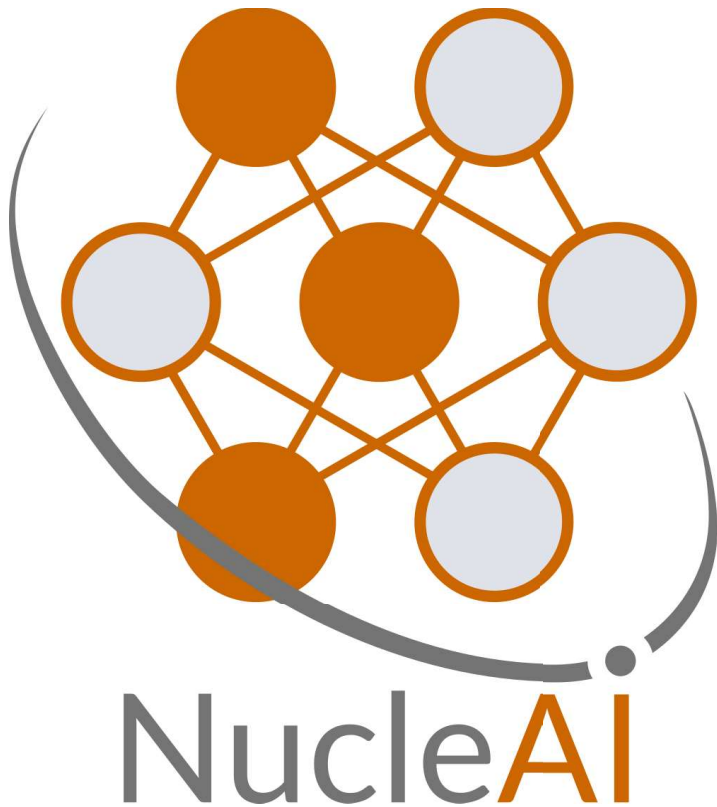
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# The NucleAI project



## Collaborators:

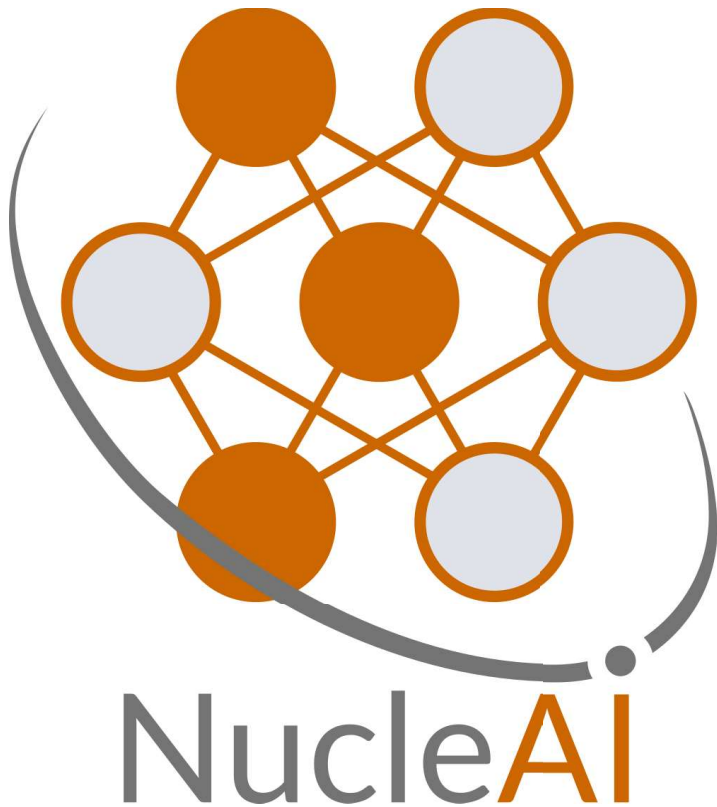
- G. Hupin, D. Lacroix, IJCLab
- D. Regnier, J-P. Ebran, S. Hilaire, CEA, DAM
- S. Goriely, ULB
- J. Margueron, IPNL
- A. Penon, J. Ripoche, Magic Lemp

## Support:

- NVIDIA GPU Grant Program:  
2× Titan V GPU 

Thank you for your attention !

# The NucleAI project



## Collaborators:

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- D. Regnier, J-P. Ebran, S. Hilaire, CEA, DAM
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## Support:

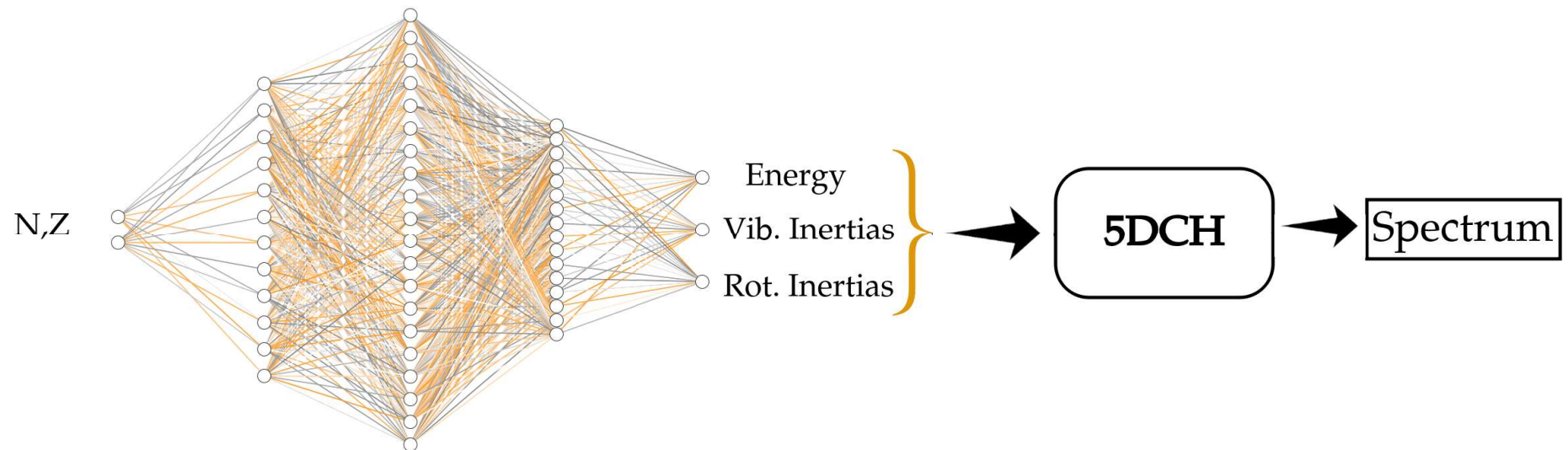
- NVIDIA GPU Grant Program:  
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Thank you for your attention !

# Replacing the time consuming part by a neural network

[noframenumbering]

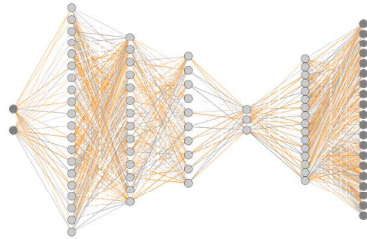
Multi task Learning



- Learning the correlations between the 8 outputs

# Using a committee of neural networks

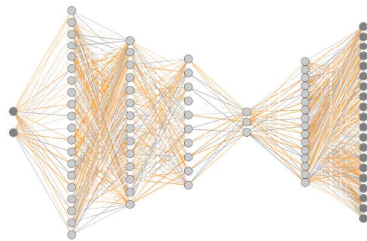
Committee



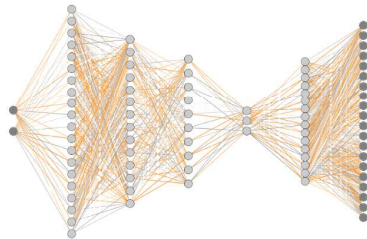
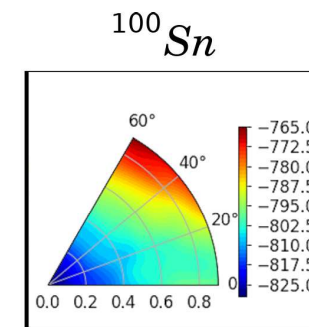
"Query"

825

Candidate



821



828

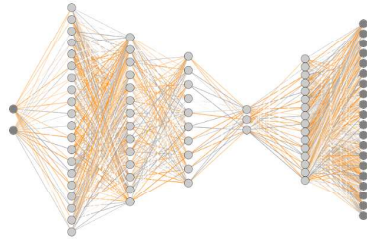
## Benefits of a committee

- Less sensitive to the random initialization
- Estimation of the associated standard deviation



# Using a committee of neural networks

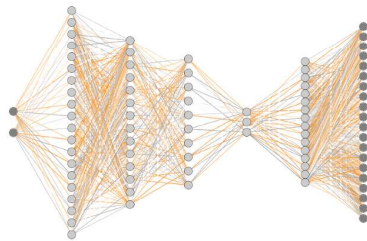
Committee



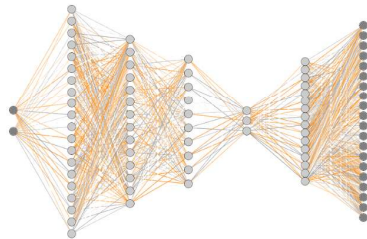
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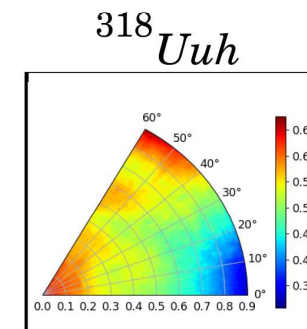
Candidate



1700



698

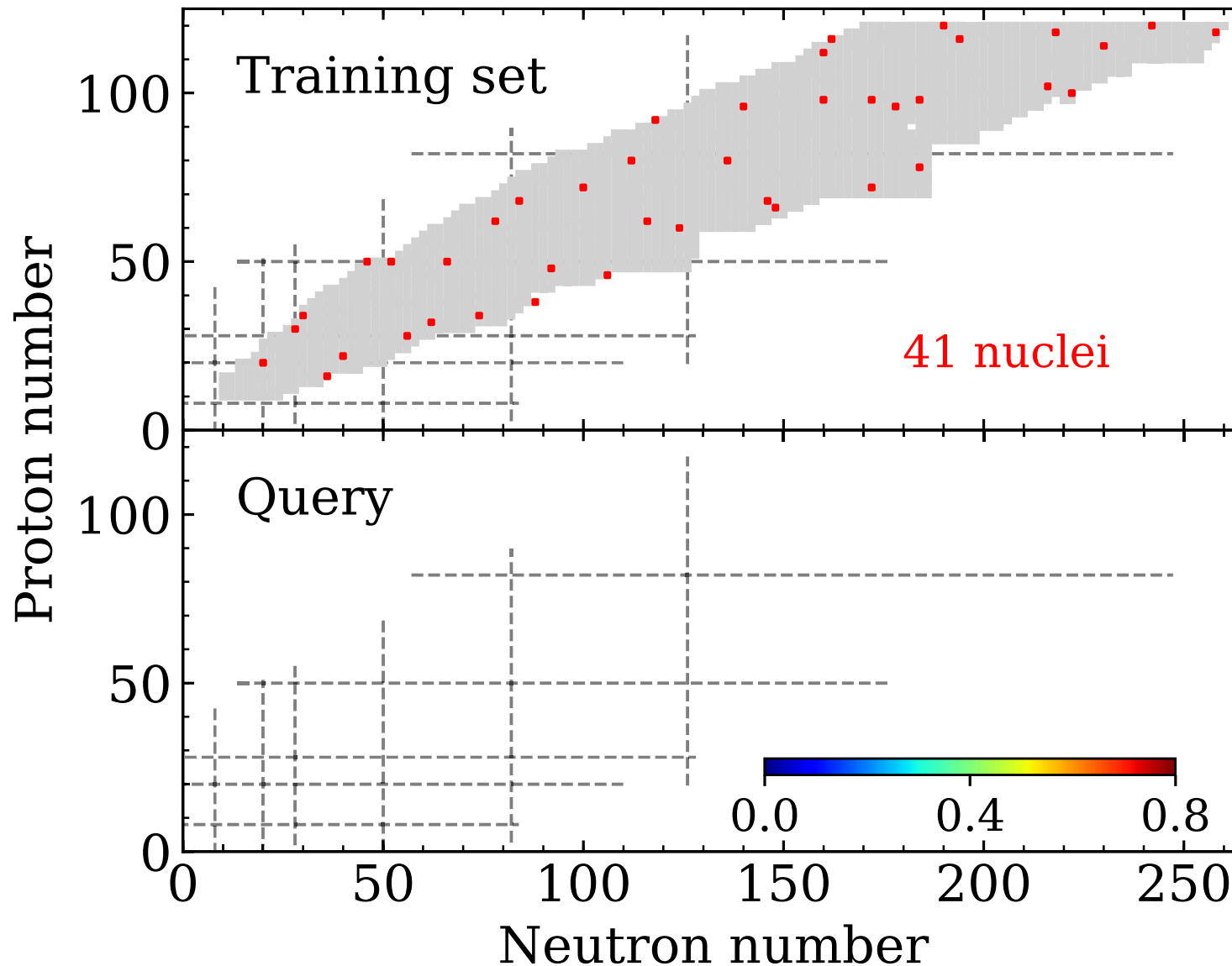


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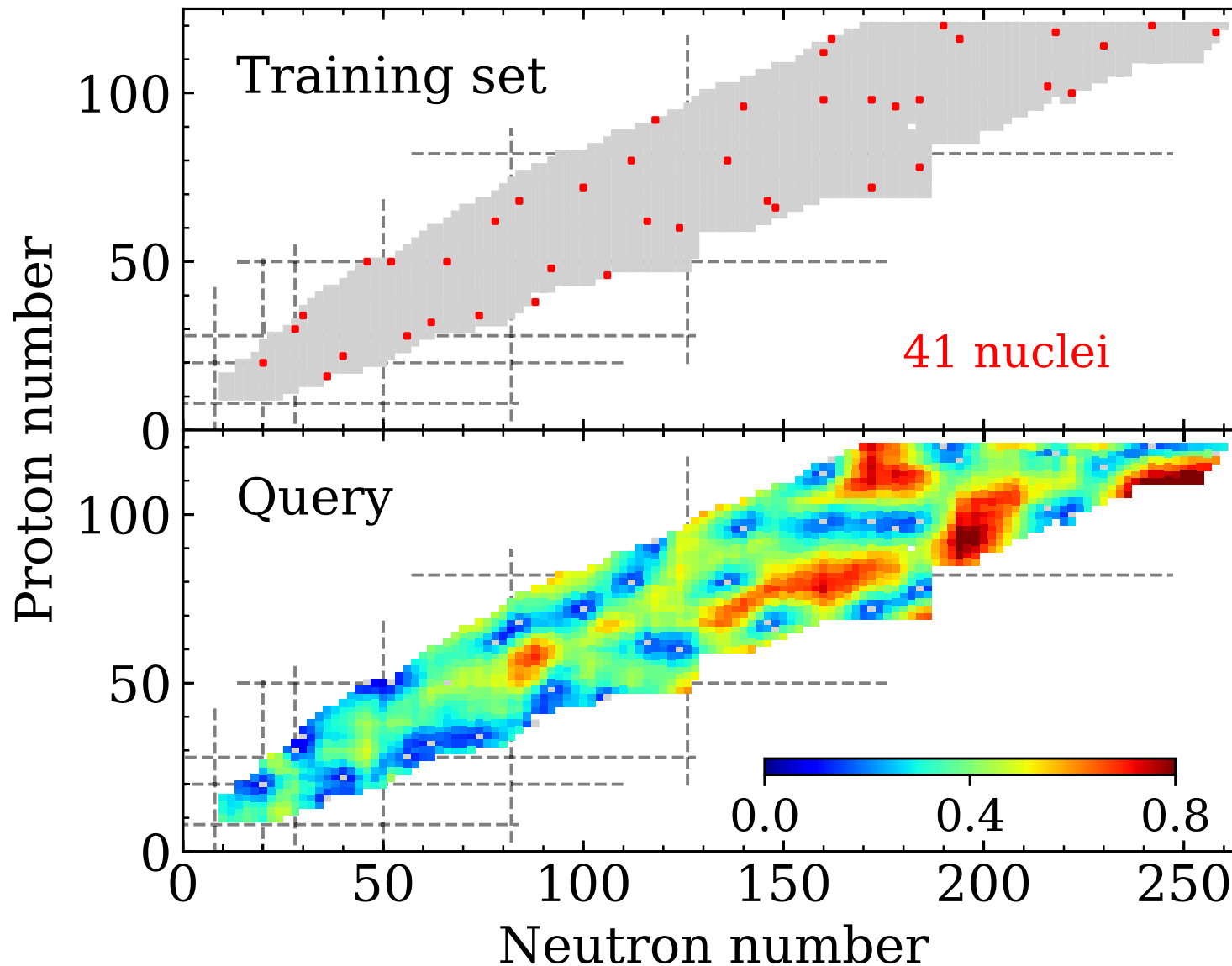
## Active learning

- An **incremental** and **automatic** choice of training nuclei (5 nuclei/step)
- Query  $\simeq$  standard deviation between the committee members



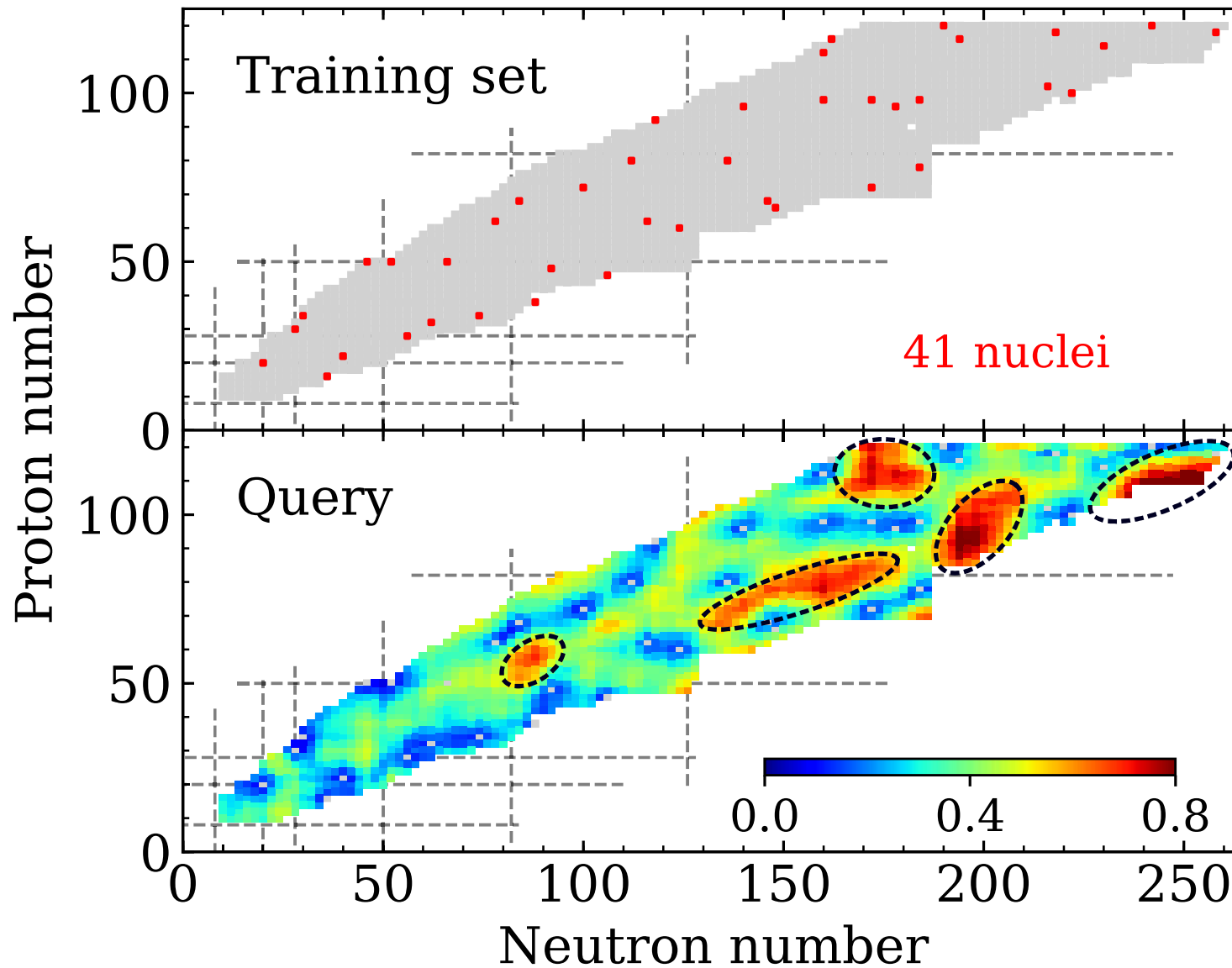
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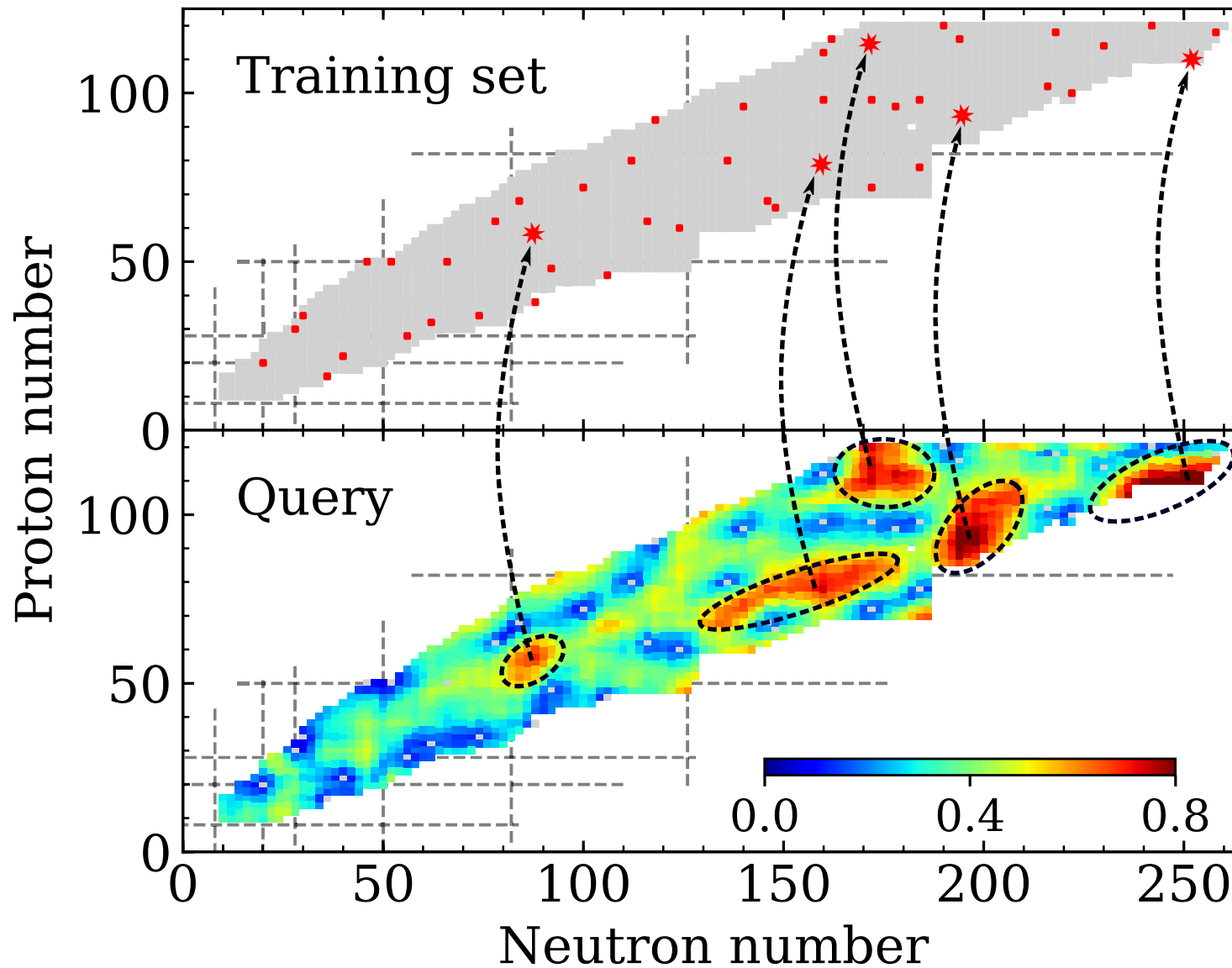
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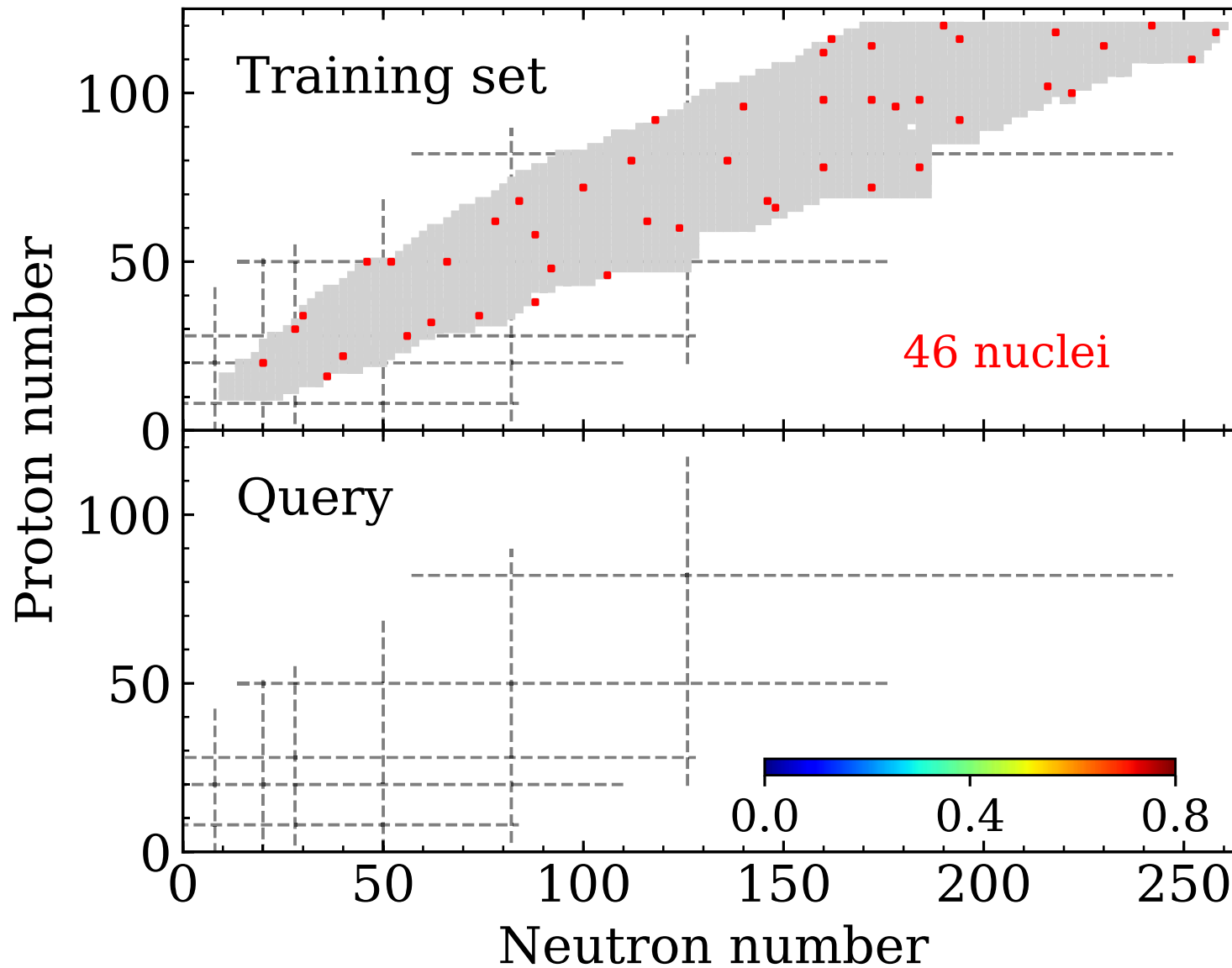
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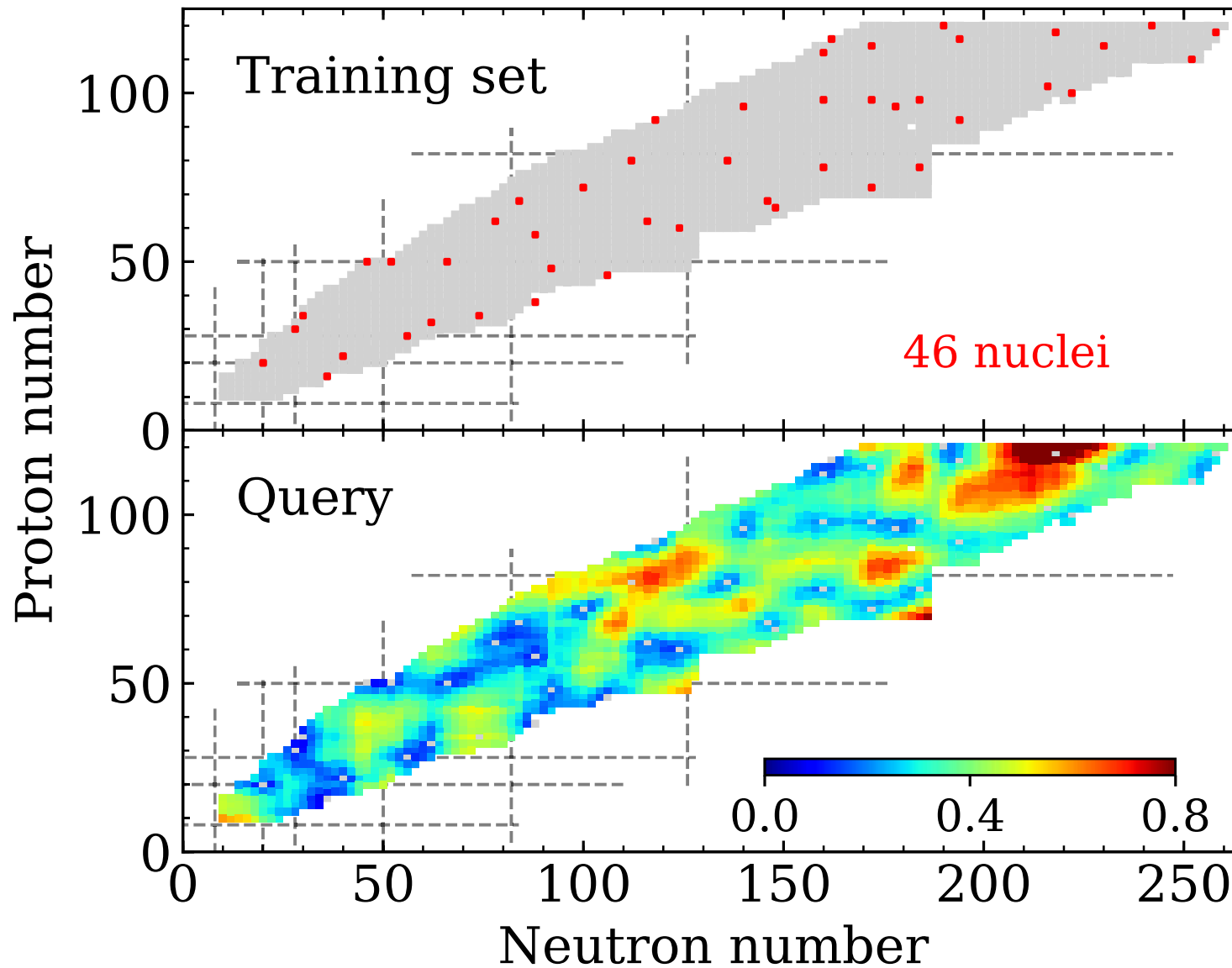
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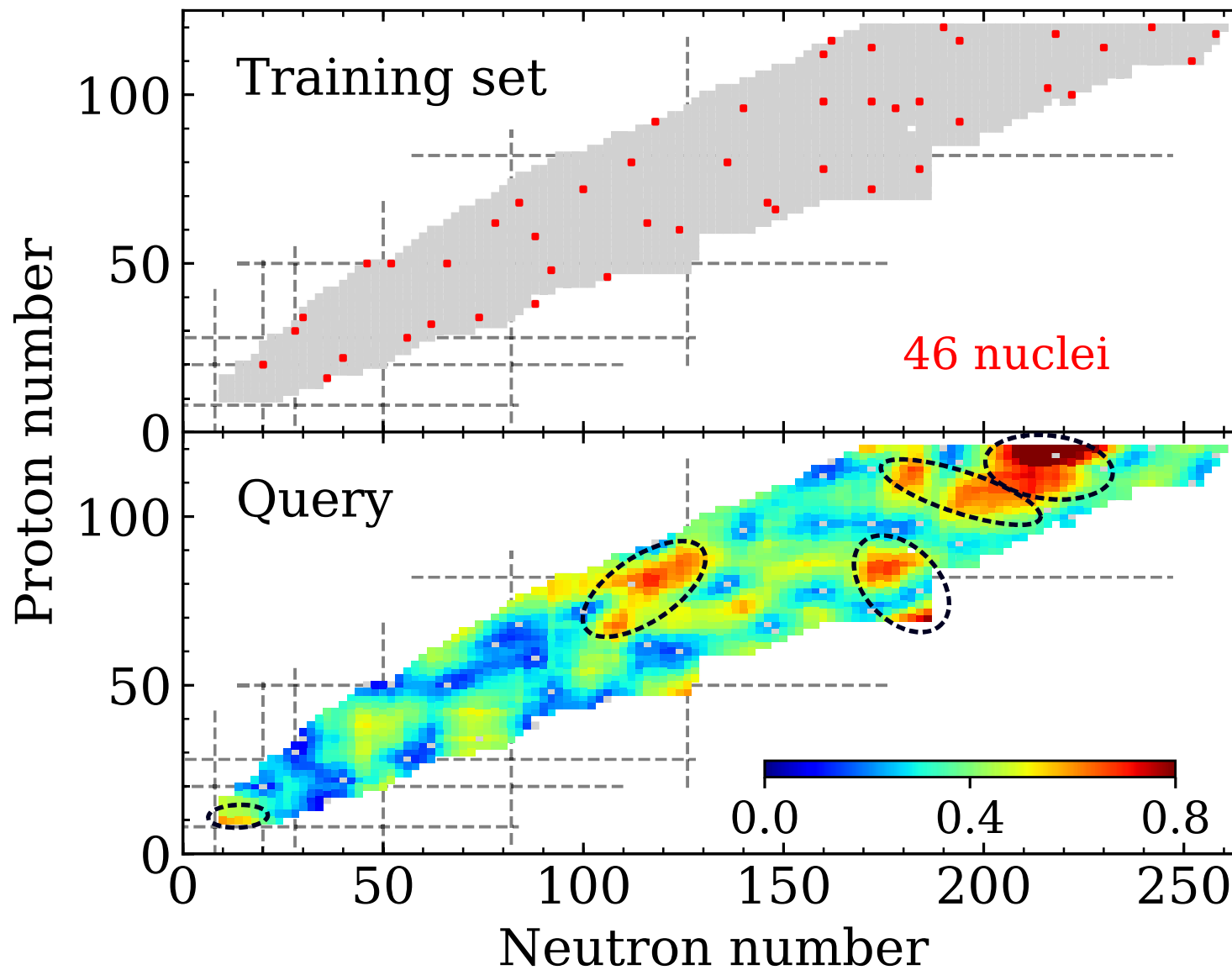
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## Active learning

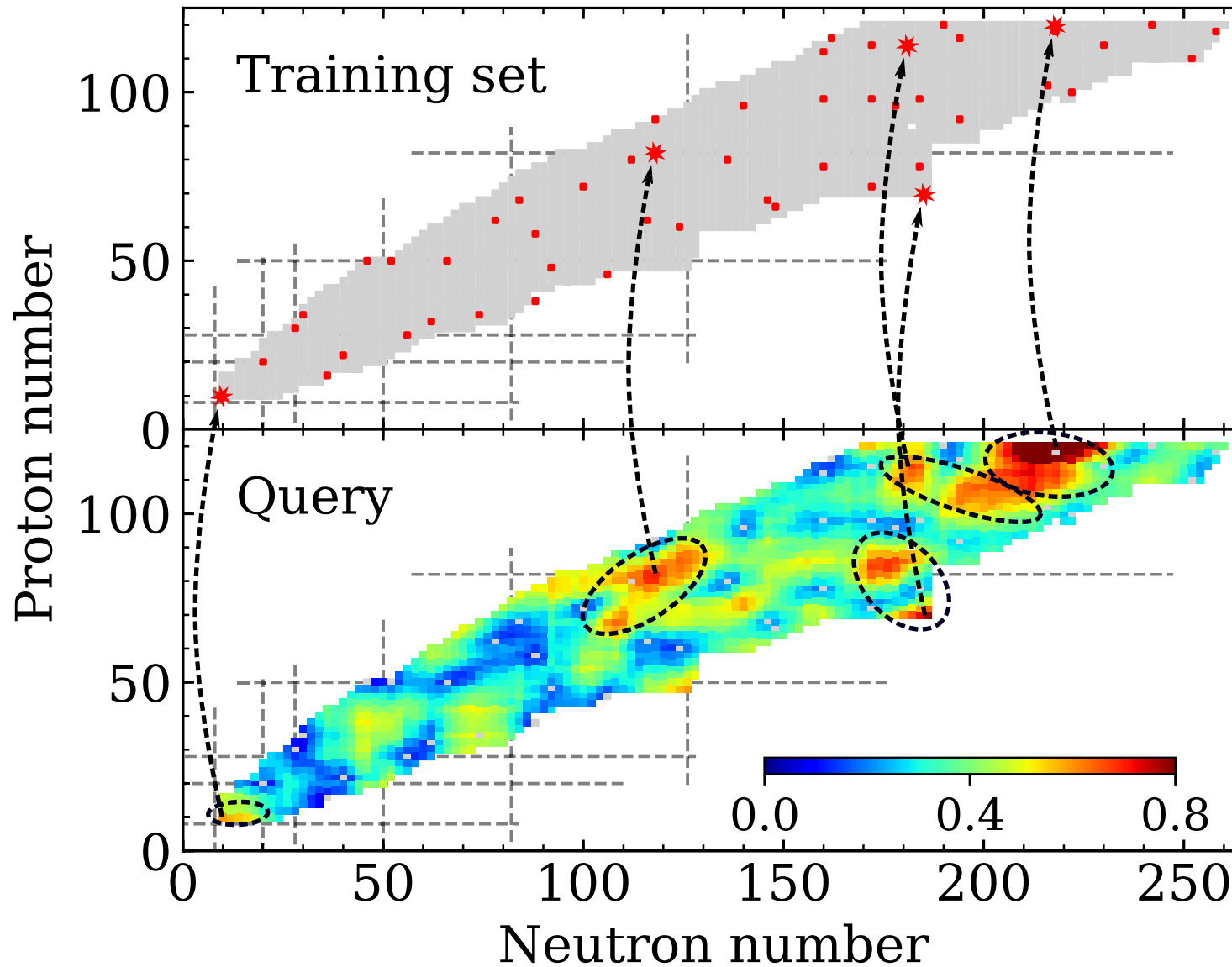
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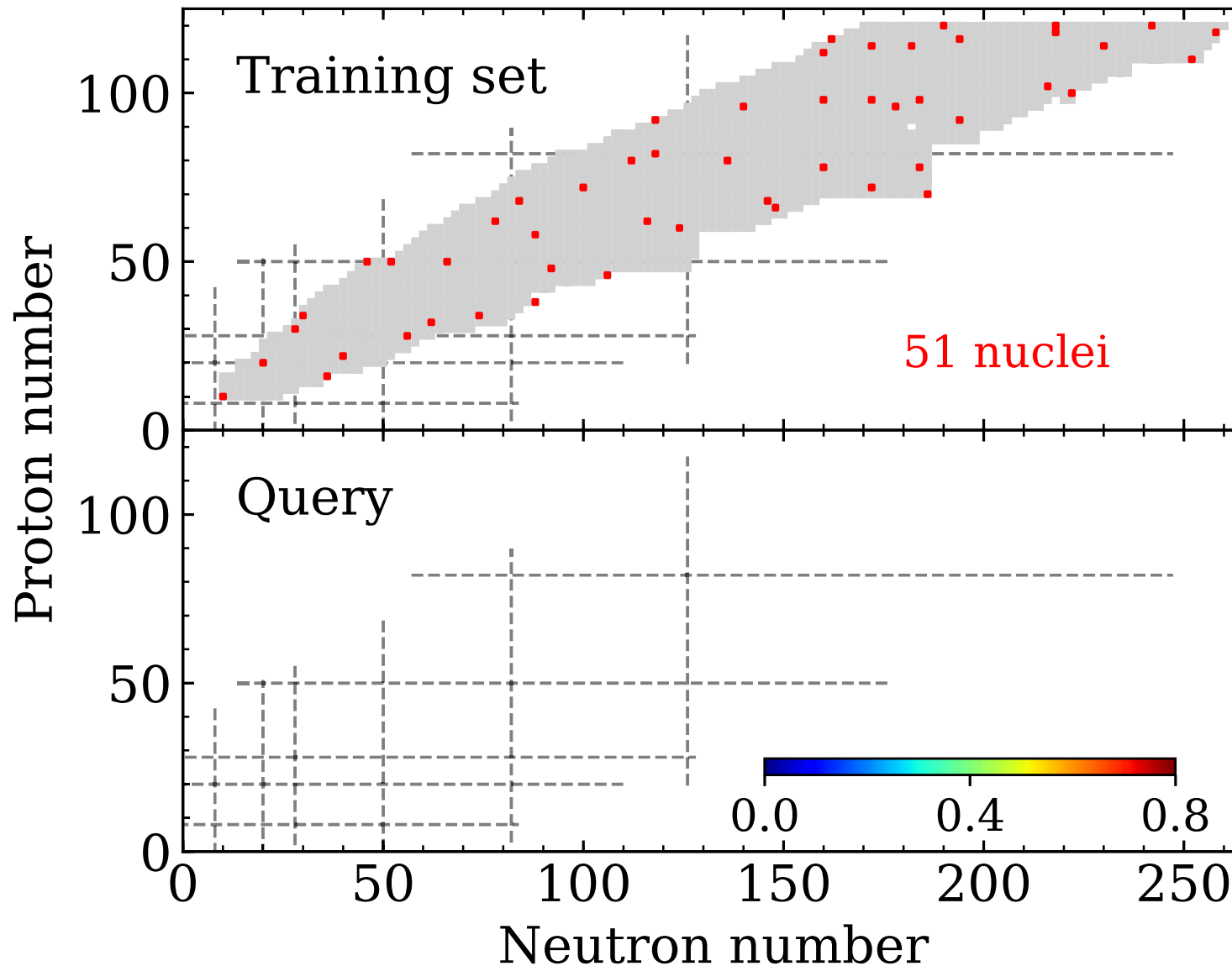
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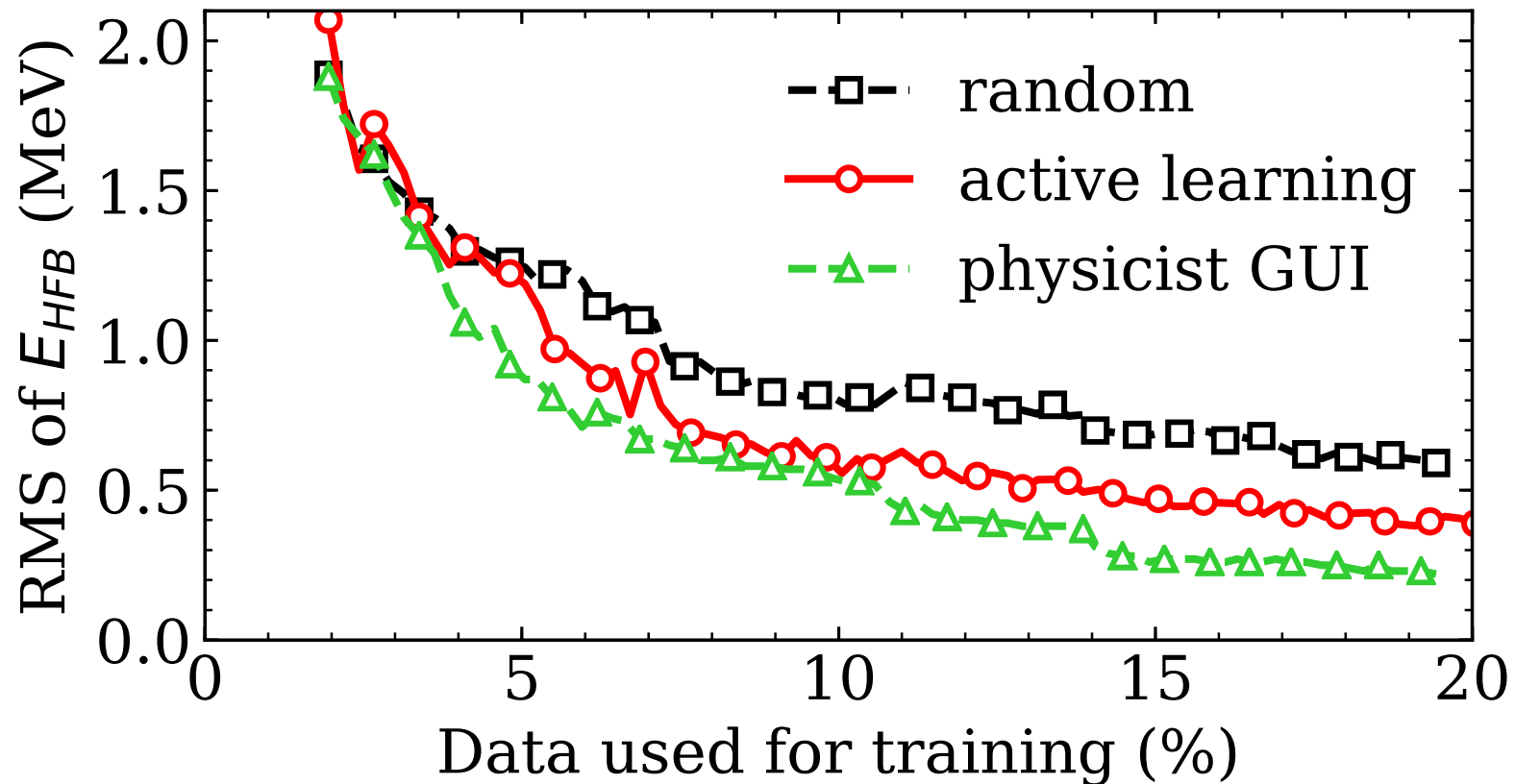
# Active learning

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- Query  $\simeq$  standard deviation between the committee members



# Root Mean Square error (RMS) of the potential energy surface

Test RMS =  $\sqrt{(AI - HFB)^2}$  on the nuclei not in the training set



# Root Mean Square error (RMS) of all outputs

## AI versus HFB:

Train %	$E_{\text{HFB}}$ (keV)	$\Delta V$	$\mathcal{I}_1$	$\mathcal{I}_2$	$\mathcal{I}_3$	$B_{00}$	$B_{01}$	$B_{11}$	$E_{\text{GS}}$ (keV)
			$(\hbar^2 \times \text{MeV}^{-1})$			$(\text{MeV}^{-1})$			
5	1190	417	1.84	2.80	0.97	13.8	12.0	28.2	1325
<b>10</b>	<b>557</b>	<b>312</b>	<b>1.40</b>	<b>2.25</b>	<b>0.76</b>	<b>11.7</b>	<b>10.2</b>	<b>23.9</b>	<b>716</b>
15	471	247	1.25	2.02	0.69	10.6	9.4	21.9	655
20	388	202	1.22	1.96	0.68	10.2	9.1	21.2	518

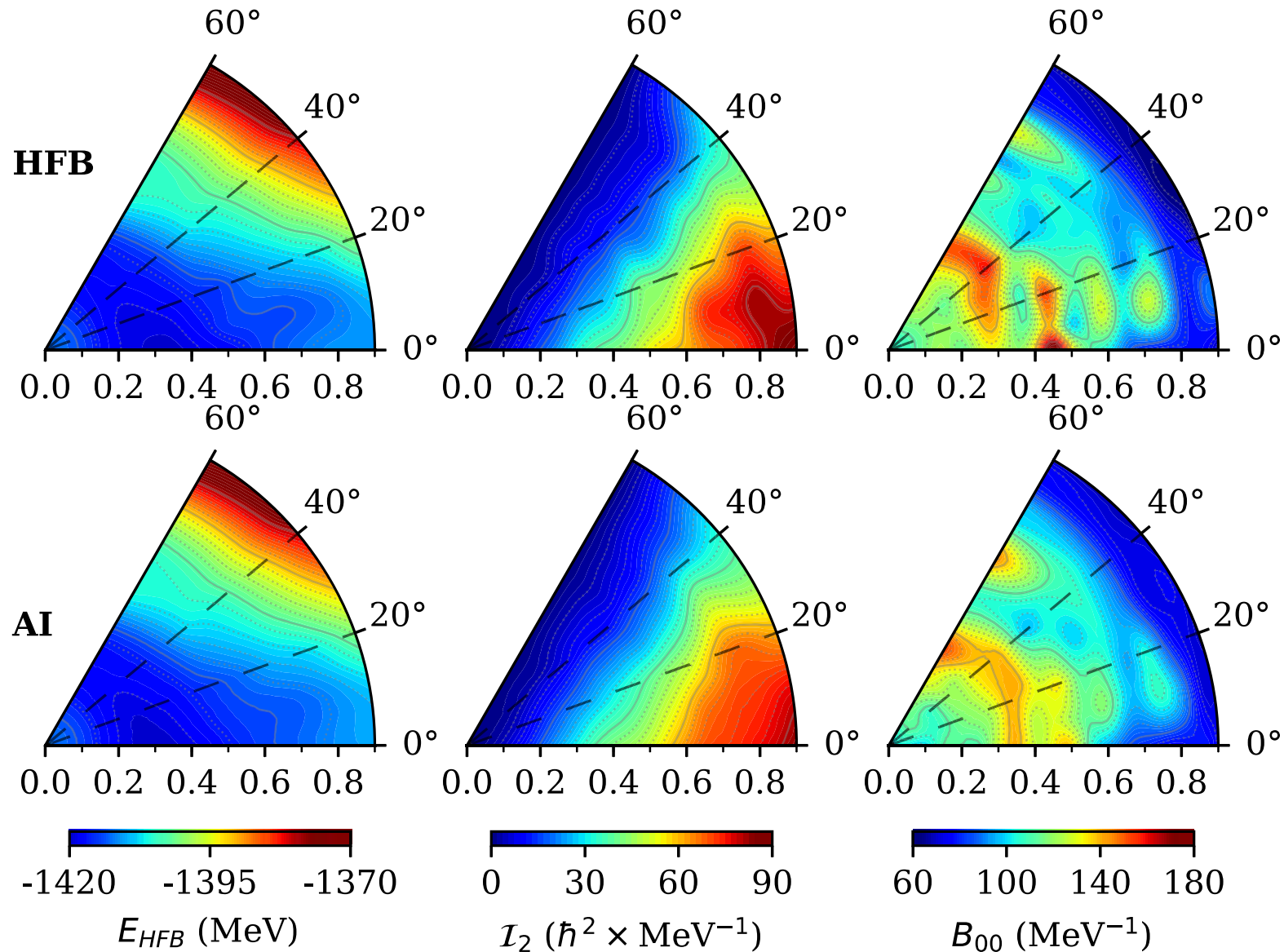
The first column contains the size of the training set in % of the AMEDEE database while the others highlight the RMS of the outputs of the AI. The last column contains the RMS associated to the correlated ground state energy  $E_{\text{GS}}$ .

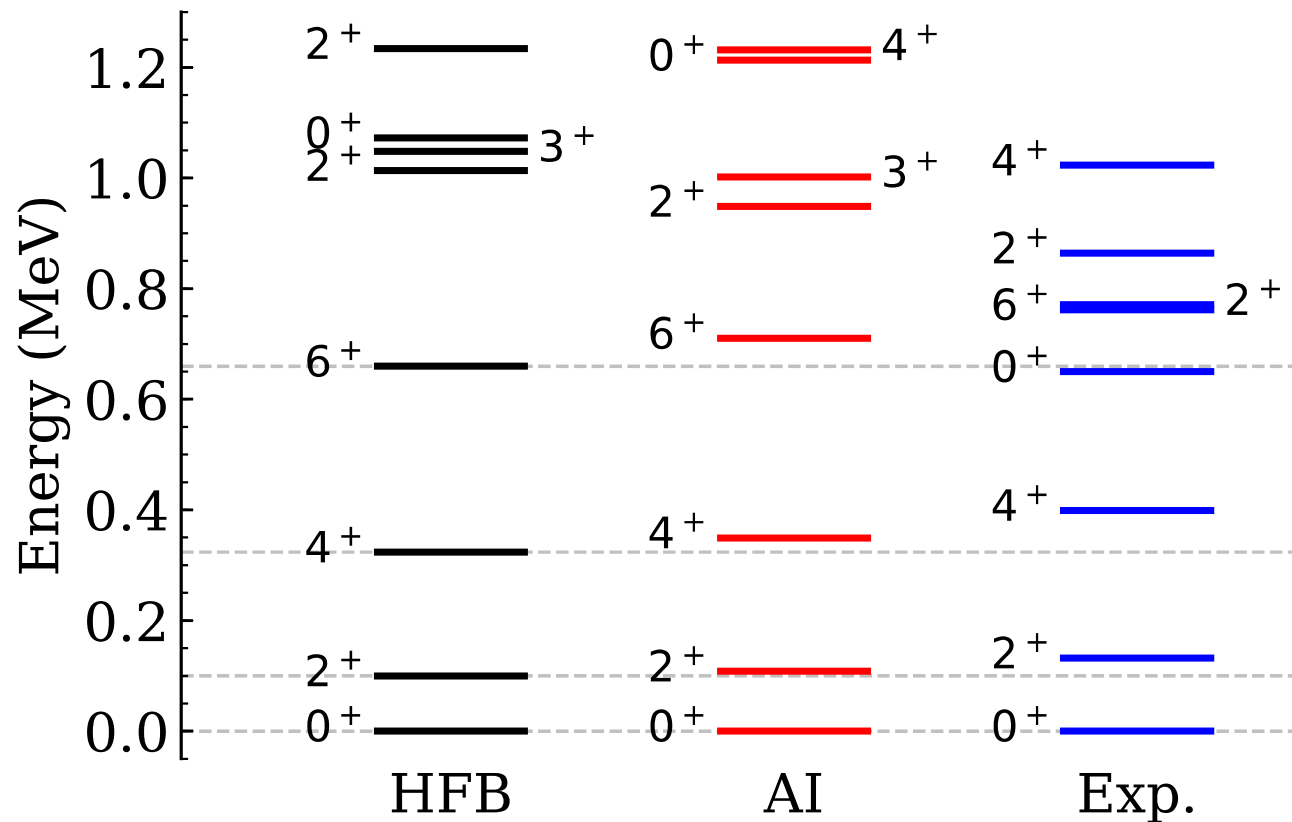
Keep in mind:

- RMS= 950 keV, AI vs Exp: [Athanassopoulos et. al \(2004\)](#), fitted on 1800 nuclei
- RMS= 790 keV: 5DCH Gogny D1M vs Exp.

## Example of $^{178}\text{Os}$

- $\text{RMS}(E_{\text{HFB}}) \simeq$  **median RMS** on the 1800 test nuclei
- Closest trained nucleus: +4 neutrons, -2 protons



Excitation spectrum of  $^{178}\text{Os}$ 

- Correlated ground state:  $|E_{GS}^{AI} - E_{GS}^{HFB}| = 150 \text{ keV}$
- Rotational states reproduced **within 8%**
- First vibrational state **within 13%**