

Breaking and restoring symmetries with the nuclear EDF method

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Outline

1 Introduction

2 Single-reference implementation

- Inputs
- Equation of motion
- Breaking symmetries
- Typical applications

3 Empirical parametrization of the EDF kernel

- General strategy
- Skyrme family

4 Multi-reference implementation

- Limitations of the single-reference implementation
- Restoring symmetries
- Unexpected pathologies
- Typical applications

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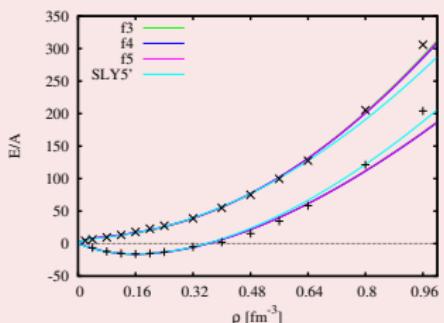
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Towards a unified theoretical description of nucleonic matter

Extended matter $\rho \in [0, \sim 3\rho_{\text{sat}}]$

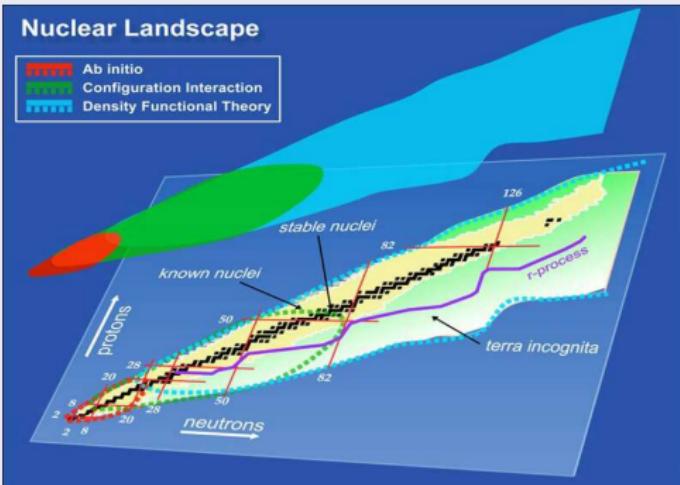


[T. Lesinski *et al.*, PRC74 (2006) 044315]

Theoretical methods

- ❶ "Exact" (VMC, ...)
- ❷ Ab-initio (SCGF, BHF, ...)
- ❸ Effective (EDF)

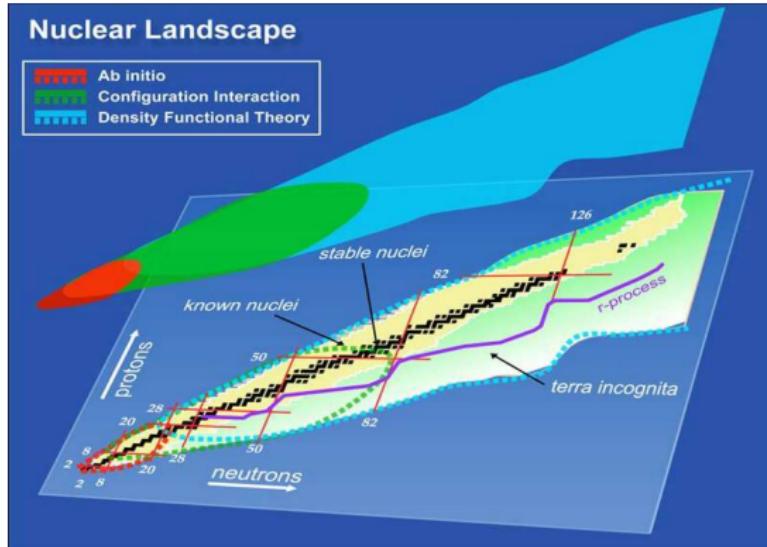
Finite nuclei $Z \in [0, 118+]$



Theoretical methods

- ❶ "Exact" (GFMC, NCSM, ...)
- ❷ Ab-initio (CC, SCGF, IMSRG)
- ❸ Effective (SM, EDF)

Playground versus theoretical methods



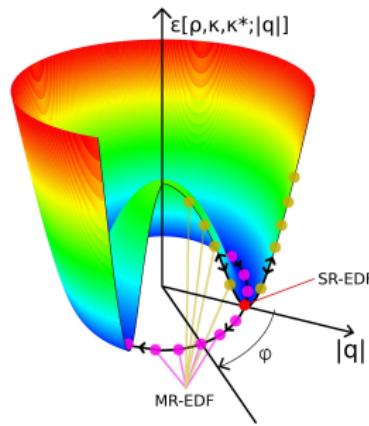
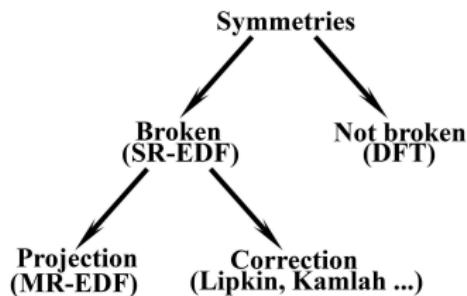
The nuclear Energy Density Functional method

- Systematic quantal approach to medium- and heavy-mass nuclei
- Addresses both ground-state and spectroscopic properties
- Next generation of RIB facilities opens up EDF era
- EDF is meant to strongly overlap with ab-initio methods in the next 10 years

Key statements

Context

- ➊ Two-step nuclear EDF method (i) single-reference (ii) multi-reference
- ➋ Built by analogy with wave-function based methods (no existence theorem)
- ➌ SR-EDF has both similarities and differences with (standard) DFT
- ➍ Strongly relies on spontaneous symmetry breaking (SR) and restoration (MR)



Philosophy of the EDF method

Basic ingredients

- ➊ Approach NOT based on $\{H; |\Psi\rangle\}$; i.e. $E = \langle \Psi | H | \Psi \rangle$ with $|\Psi\rangle \approx |\Psi_{\text{exact}}\rangle$
- ➋ Key input is the off-diagonal energy kernel $\mathcal{E}_{qq'}$

$$\mathcal{E}_{qq'} \equiv \mathcal{E}[\langle \Phi_q |; | \Phi_{q'} \rangle] = \mathcal{E}[\rho^{qq'}, \kappa^{qq'}, \kappa^{q'q*}]$$

which is a functional of one-body transition density matrices

$$\rho_{ij}^{qq'} \equiv \frac{\langle \Phi_q | a_j^\dagger a_i | \Phi_{q'} \rangle}{\langle \Phi_q | \Phi_{q'} \rangle} ; \quad \kappa_{ij}^{qq'} \equiv \frac{\langle \Phi_q | a_j a_i | \Phi_{q'} \rangle}{\langle \Phi_q | \Phi_{q'} \rangle} ; \quad \kappa_{ij}^{q'q*} \equiv \frac{\langle \Phi_q | a_i^\dagger a_j^\dagger | \Phi_{q'} \rangle}{\langle \Phi_q | \Phi_{q'} \rangle}$$

with $\{a_i^\dagger\}$ = arbitrary single-particle basis; e.g. $i = (\vec{r}, \sigma, \tau)$

- $|\Phi_q\rangle$ = product (Bogoliubov) state with collective label q
- EDF kernel re-sums bulk correlations through functional character
- ➌ Approach relies on symmetry breaking and restoration (J^2, N, Z, Π, \dots)
- ➍ Empirical param. (Gogny, Skyrme, ...) successful but lack predictive power

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Single-reference implementation

First level of implementation ("mean-field")

- Invokes single state $|\Phi_q\rangle$ and diagonal energy kernel $\mathcal{E}_{qq} = \mathcal{E}[\rho^{qq}, \kappa^{qq}, \kappa^{qq*}]$
 - $|\Phi_q\rangle$ may break symmetries and acquire finite order parameters $|q| e^{i\varphi_q}$
 - Provides first approx to BE, $\langle r_{\text{ch}}^2 \rangle$, $\rho_\tau(\vec{r})$, β_2 and ESPE $\{\epsilon_\alpha\}$

$$|\Phi\rangle \equiv \prod_{\mu} \beta_{\mu} |0\rangle$$

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Single-particle basis $\{|\alpha\rangle\}$

- $a_\alpha^\dagger |0\rangle = |\alpha\rangle$
 - $\psi_\alpha(\vec{r}\sigma\tau) \equiv \langle \vec{r}\sigma\tau | \alpha \rangle$

Diagonal density matrices

$$\rho_{\alpha\beta} \equiv \frac{\langle \Phi | a_\beta^\dagger a_\alpha | \Phi \rangle}{\langle \Phi | \Phi \rangle} = +\rho_{\beta\alpha}^*$$

$$\kappa_{\alpha\beta} \equiv \frac{\langle \Phi | a_\beta a_\alpha | \Phi \rangle}{\langle \Phi | \Phi \rangle} = -\kappa_{\beta\alpha}$$

Bogoliubov state (i)

- ## 1 Product of quasi-particle operators

$$|\Phi\rangle \equiv \prod_{\mu} \beta_{\mu} |0\rangle$$

$$\beta_\mu \equiv \sum_\alpha U_{\alpha\mu}^* a_\alpha + V_{\alpha\mu}^* a_\alpha^+$$

- ② Unitary transformation $\begin{pmatrix} U^\dagger & V^\dagger \\ V^T & U^T \end{pmatrix}$

- ### 8 Vacuum of quasi-particle operators

$$\beta_\mu |\Phi\rangle = 0 \quad \forall \mu$$

- #### ④ Symmetry breaking $\hat{N}|\Phi\rangle \neq N|\Phi\rangle$

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Diagonal density matrices

$$\begin{aligned} \rho_{\alpha\beta} &\equiv \frac{\langle \Phi | a_\beta^\dagger a_\alpha | \Phi \rangle}{\langle \Phi | \Phi \rangle} = +\rho_{\beta\alpha}^* \\ \kappa_{\alpha\beta} &\equiv \frac{\langle \Phi | a_\beta a_\alpha | \Phi \rangle}{\langle \Phi | \Phi \rangle} = -\kappa_{\beta\alpha} \end{aligned}$$

Bogoliubov state (ii)

- ❶ Indefinite particle number $\kappa \neq 0$
- ❷ Generalized density matrix

$$\mathcal{R} \equiv \begin{pmatrix} \rho & \kappa \\ -\kappa^* & 1 - \rho^* \end{pmatrix} = \mathcal{R}^2$$

- ❸ In basis $\{c_\nu^\dagger\}$ diagonalizing ρ

$$|\Phi\rangle = \prod_{\nu>0} \left(u_\nu + v_\nu c_\nu^+ c_\nu^+ \right) |0\rangle$$

BCS-like state with occupations $\rho_{\nu\nu} = v_\nu^2$

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Ansatz

SR-EDF ansatz

- Diagonal energy kernel postulated as a functional $\mathcal{E} \equiv \mathcal{E}[\rho, \kappa, \kappa^*]$
- The energy results from the minimization of the diagonal kernel

$$E^{\text{SR}} \equiv \text{Min}_{\{|\Phi\rangle\}} \mathcal{E}[\rho, \kappa, \kappa^*]$$

within the manifold spanned by product states $|\Phi\rangle$

- Bulk correlations, i.e. smoothly varying with (N, Z) , resummed into $\mathcal{E}[\rho, \kappa, \kappa^*]$

Minimization and constraints

- ➊ Independent variables are $\{\rho_{\alpha\beta}, \rho_{\alpha\beta}^*, \kappa_{\alpha\beta}, \kappa_{\alpha\beta}^* \text{ for } \beta \leq \alpha\}$
- ➋ The property that $\mathcal{R}^2 = \mathcal{R}$ must be enforced
 - ▶ Matrix Λ of Lagrange parameters (one per isospin τ)
- ➌ Constrain $\langle \Phi | \hat{N} | \Phi \rangle = \text{Tr}\{\rho\} = N$ as a free variation would lead to $E^{\text{SR}} \rightarrow -\infty$
 - ▶ Lagrange parameter λ (one per isospin τ)

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Equation of motion

Minimization

- ➊ Set $\delta_{\mathcal{R}} \left[\mathcal{E}[\rho, \kappa, \kappa^*] - \lambda \text{Tr}\{\rho\} - \text{Tr}\{\Lambda(\mathcal{R}^2 - \mathcal{R})\} \right] = 0$ leads to $[\mathcal{H}, \mathcal{R}] = 0$

$$\mathcal{H} \equiv \begin{pmatrix} h - \lambda & \Delta \\ -\Delta^* & -h^* + \lambda \end{pmatrix}$$

where the effective one-body fields are defined through

$$h_{\alpha\gamma} \equiv \frac{\delta \mathcal{E}[\rho, \kappa, \kappa^*]}{\delta \rho_{\gamma\alpha}} \quad ; \quad \Delta_{\alpha\gamma} \equiv \frac{\delta \mathcal{E}[\rho, \kappa, \kappa^*]}{\delta \kappa_{\alpha\gamma}^*}$$

- ➋ This is equivalent to solving a Bogoliubov-De-Gennes eigenvalue problem

$$\mathcal{H} \begin{pmatrix} U \\ V \end{pmatrix}_{\mu} = E_{\mu} \begin{pmatrix} U \\ V \end{pmatrix}_{\mu}$$

where $\{(U, V)_{\mu}\}$ and $\{E_{\mu}\}$ denote quasi-particle states and energies

- ➌ h drives the effective shell structure while Δ drives the pair scattering
- ➍ Iterative procedure $\mathcal{H}[\{(U, V)_{\mu}^{[n]}\}] \rightarrow \{(U, V)_{\mu}^{[n+1]}\} \rightarrow \mathcal{H}[\{(U, V)_{\mu}^{[n+1]}\}] \rightarrow \dots$

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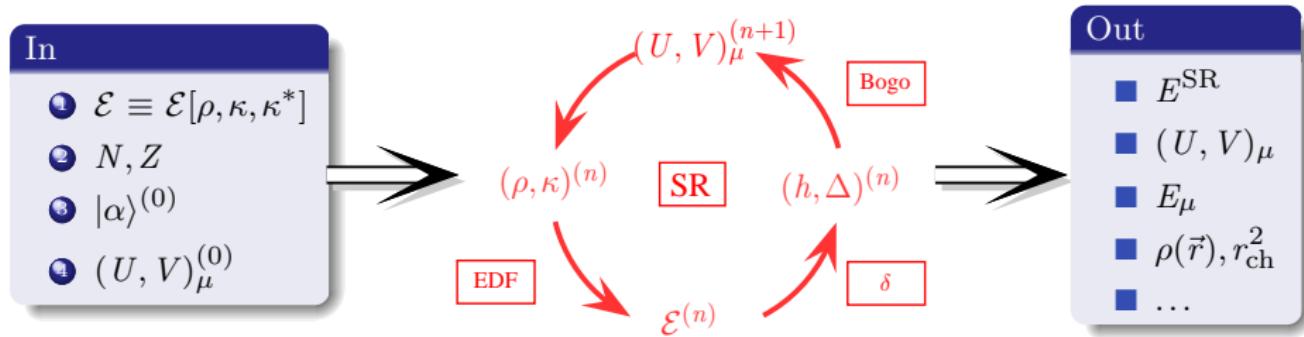
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Iterative procedure and self-consistency



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Symmetries

Symmetries of H and quantum numbers

- In nuclear physics $[X, H] = 0$ for $\{X\} = \{N, Z, \vec{P}, J^2, J_z, \Pi, \mathcal{T}^2\}$
- ⇒ Solutions $|\Psi_i^x\rangle$ are eigenstates of $\{X\}$ and labeled by quantum numbers $\{x\}$
- The nuclear part of H also nearly commutes with isospin operators (T^2, T_z)

In which space do we actually vary $|\Phi\rangle/\{\rho, \kappa\}$ when minimizing $\mathcal{E}[\rho, \kappa, \kappa^*]$?

- Natural to vary such that $|\Phi\rangle$ carries the same quantum numbers $\{x\}$ as $|\Psi_i^x\rangle$
- ⇒ Too constraining for simple product states
- ⇒ Let $|\Phi\rangle$ span several irreducible representations of the symmetry group \mathcal{G}

$$|\Phi\rangle \equiv \sum_x c_x |\Theta^x\rangle$$

- ✓ E^{SR} lower than for a symmetry-conserving variation
- ✗ E^{SR} does not access the ground-state energy but the one of a wave-packet

$$E^{\text{SR}} = \sum_x |c_x|^2 E^x$$

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Physical content and patterns

Origin and manifestation of spontaneous symmetry breaking

	Group		Correlations	
	Label	Casimir	V^{NN}	Internal motion
Translation \vec{a}	$T(3)$	\hat{P}	Short range	Spatial localization
Rotation φ	$U(1)$	\hat{N}	S -wave virtual state	Pairing
Rotation α, β, γ	$SO(3)$	\hat{J}^2	Quadrup.-quadrup.	Angular localization

Nuclei and spontaneous symmetry breaking

	Nuclei	Excitation pattern
Translation \vec{a}	All of them	Surface vibrations
Rotation φ	All but doubly-magic ones	Energy gap
Rotation α, β, γ	All but singly-magic ones	Rotational bands

- Symmetries are enforced or relaxed according to which nucleus is studied
- Enforcing symmetries leads to a great gain in CPU time

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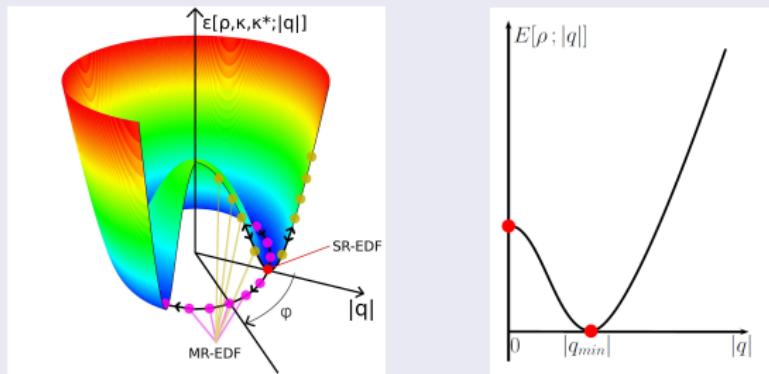
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Minimization and correlation energy

Order parameter and energy minimization



- ❶ Symmetry breaking monitored by an order parameter $q \equiv |q| e^{i\text{Arg}(q)}$
- ❷ E^{SR} is independent of $\text{Arg}(q)$ such that $\mathcal{E}_{qq} = \mathcal{E}_{|q||q|}$
- ❸ The magnitude $|q|$ may vary when minimizing \mathcal{E}_{qq}
 - ❶ Variation at fixed $|q| = 0$ provides symmetry-conserving solution
 - ❷ Free variation ($|q| \neq 0$) may provide a lower solution in the larger space
 - ❸ Constrained variation via Lagrange ($-\lambda_q \langle \Phi_q | \hat{Q} | \Phi_q \rangle$) to access PES

Minimization and correlation energy

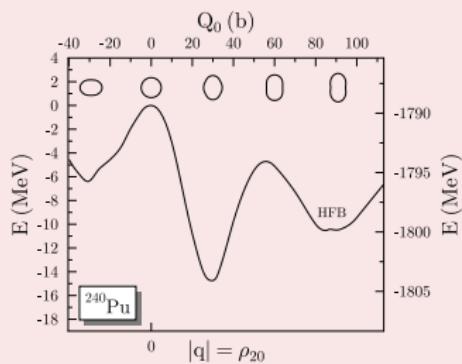
Breaking of $S0(3)$ and spatial deformation

① Order parameter relates to

- ① The non-zero multipoles of the density distribution, i.e. $|q| \equiv \rho_{\lambda\mu}$
- ② The orientation of the density distribution, i.e. $\text{Arg}(q) \equiv (\alpha, \beta, \gamma)$

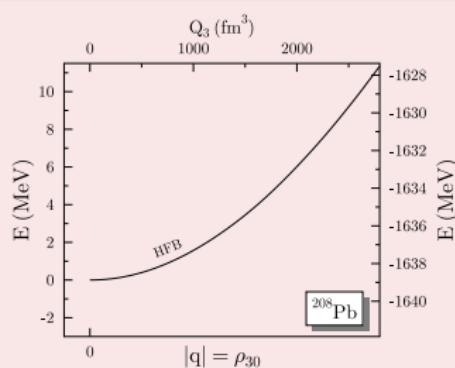
② Captures static long-range multipole-multipole correlations

Quadrupole ($J=0$) correlation $\Delta E_{\rho_{20}}$



[M. Bender, private communication]

Octupole ($J^\pi=0^+$) correlation $\Delta E_{\rho_{30}}$



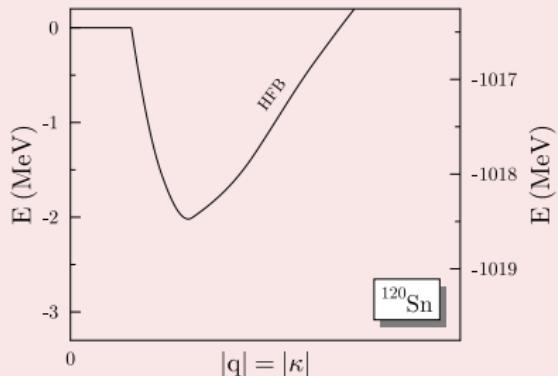
[M. Bender, private communication]

Minimization and correlation energy

Breaking of $U(1)$ and pairing deformation

- ➊ Order parameter relates to
 - ➌ The non-zero anomalous density, i.e. $|q| \equiv |\kappa|$
 - ➍ The orientation of κ in gauge space, i.e. $\text{Arg}(q) \equiv \alpha$
- ➋ Captures static long-range pairing correlations

Pairing ($N = 70$) correlation $\Delta E_{\|\kappa\|}$



Data from [M. Bender, T.D., IJME16 (2007) 222]

Pairing correlations

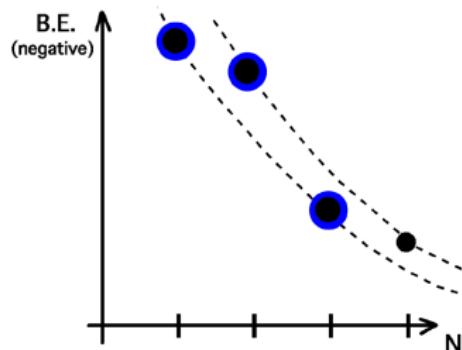
- Weak symmetry breaking
- $|\Delta E_{\|\kappa\|}| \ll \Delta E_{\rho_{20}}$
- $|\Delta E_{\|\kappa\|}| \geq$ needed accuracy
- Sharp collapse for $\|\kappa\| \leq \|\kappa\|_{\text{crit.}}$

Minimization and correlation energy

Fingerprint at finite density of n-n virtual state in vacuum

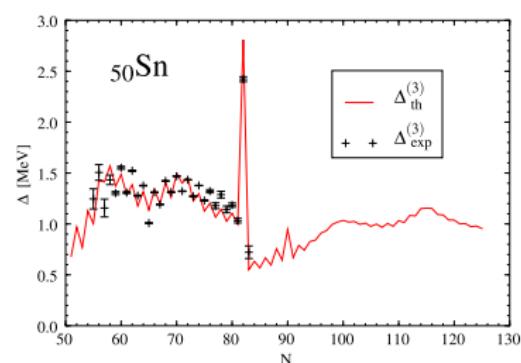
- Additional ground-state correlations
- Odd-even mass staggering (OEMS)
- Gap opens up in individual excitation spectrum
- Moment of inertia \nearrow with $J \iff$ Meissner effect in superconductors

Odd-even mass staggering



From [S. Baroni, private communication]

Gap extracted from the OEMS



Data from [T. D., T. Lesinski, arXiv:0907.1043]

Outline

1 Introduction

2 Single-reference implementation

- Inputs
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3 Empirical parametrization of the EDF kernel

- General strategy
- Skyrme family

4 Multi-reference implementation

- Limitations of the single-reference implementation
- Restoring symmetries
- Unexpected pathologies
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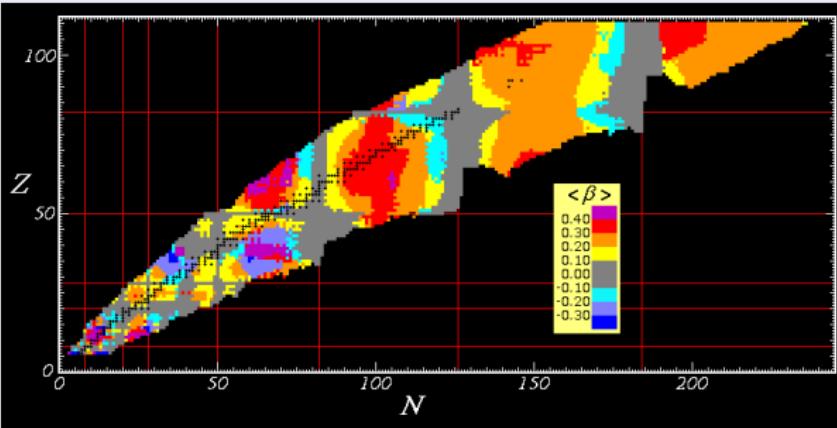
5 Bibliography

Typical results from SR-EDF calculations

Calculations

- ① Systematics
- ② Shells
- ③ Densities
- ④ Halos
- ⑤ Radii
- ⑥ Superfluidity
- ⑦ Reactions

Ground-state properties over the nuclear chart



- Large-scale deformed calculations in one day
- Masses, deformation, radii, s.p.e energies...
- Tables for experimentalists/astrophysics
- Ex: <http://www-phynu.cea.fr/HFB-Gogny.htm>

[S. Hilaire and M. Girod, Eur. Phys. J. **A33** (2007) 237]

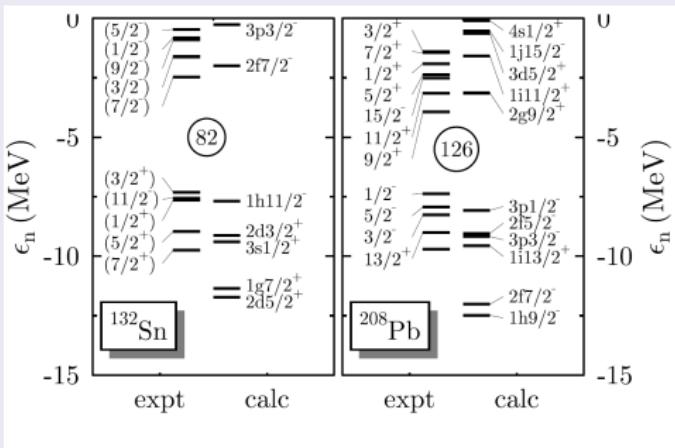
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Spherical shell-structure in Sn and Pb isotopes

- $\hbar\varphi_{nlj\tau} = \epsilon_{nlj\tau}\varphi_{nlj\tau}$
- $\epsilon_{nlj\tau} \equiv$ centroid of one-nucleon separation energies
 [T. D., J. Sadoudi, (2011) unpublished]



- Too spread out to anticipate correlations
- Current interest focuses on $N-Z$ behavior

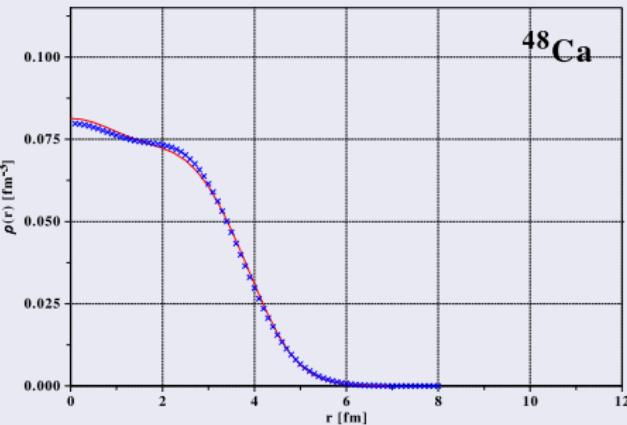
[M. Bender, G. F. Bertsch, P.-H. Heenen, PRC73 (2006) 034322]

Typical results from SR-EDF calculations

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- ➊ Systematics
- ➋ Shells
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- ➍ Halos
- ➎ Radii
- ➏ Superfluidity
- ➐ Reactions

Charge density distribution in ^{48}Ca



- $\rho_{ch}(\vec{r}) \approx \rho_p(\vec{r})$
- Saturation density $\rho_{sat} = 2\rho_p(0) \approx 0.16 \text{ fm}^{-3}$
- Stable nuclei = good reproduction of data
- No measure yet in unstable nuclei

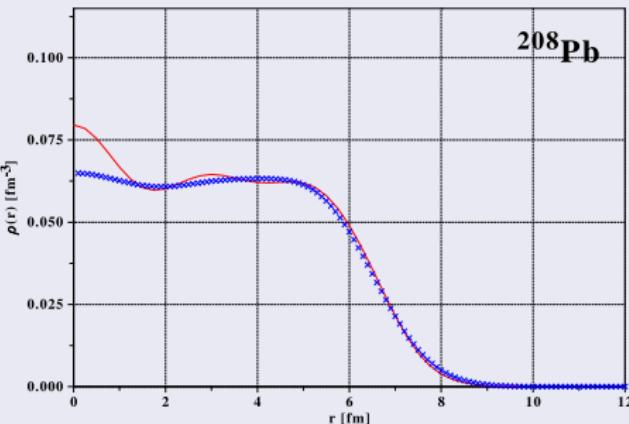
[V. Rotival and T. D., unpublished]

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Calculations

- ① Systematics
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- ④ Halos
- ⑤ Radii
- ⑥ Superfluidity
- ⑦ Reactions

Charge density distribution in ^{208}Pb



- $\rho_{ch}(\vec{r})$
- Saturation density $\rho_{sat} \approx 2\rho_p(0) \approx 0.16 \text{ fm}^{-3}$
- Sensitive to shell effects
- Sensitive to correlations

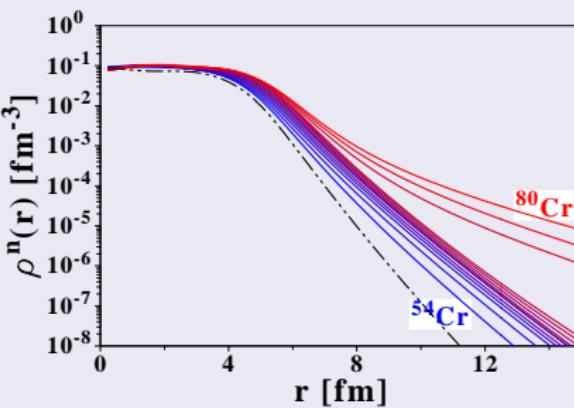
[V. Rotival and T. D., unpublished]

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Halos in medium-mass nuclei



- Nuclei with anomalous extensions
- Predictions done over the nuclear chart
- Can only exist very close to neutron drip-line
- Best candidates are Cr and Fe isotopes

[V. Rotival, T. D., PRC79 (2009) 054308]

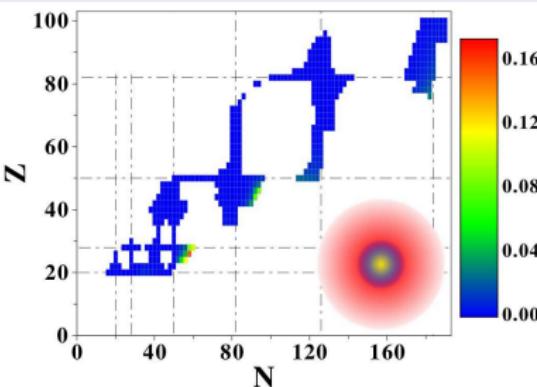
[V. Rotival, K. Bennaceur, T. D., PRC79 (2009) 054309]

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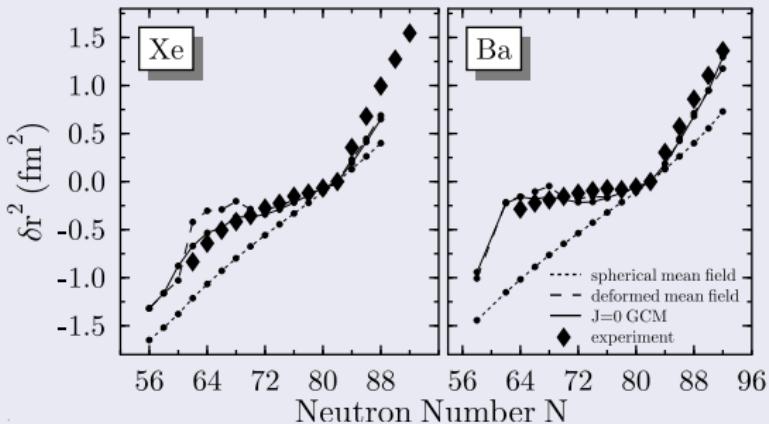
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Charge radii in Xe and Ba isotopes



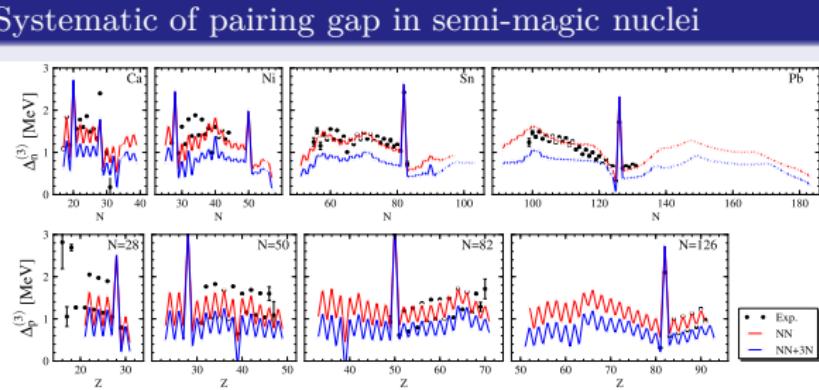
- Good reproduction of data
- Correct $N-Z$ dependence
- Importance of static deformation

[M. Bender, G. F. Bertsch, P.-H. Heenen, PRC73 (2006) 034322]

Typical results from SR-EDF calculations

Calculations

- ① Systematics
 - ② Shells
 - ③ Densities
 - ④ Halos
 - ⑤ Radii
 - ⑥ Superfluidity
 - ⑦ Reactions



- The nucleus is superfluid
 - Odd-even mass staggering is a measure of $\Delta\tau$
 - All low-energy nuclear properties impacted

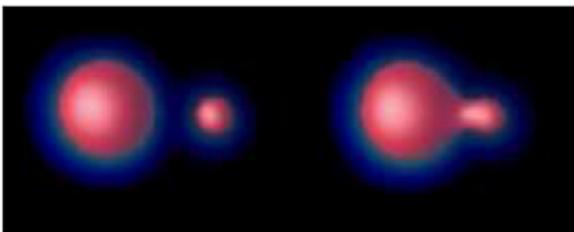
[T. Lesinski, K. Hebeler, T. D., A. Schwenk, JPG (2011) in press]

Typical results from SR-EDF calculations

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- ④ Halos
- ⑤ Radii
- ⑥ Superfluidity
- ⑦ Reactions

Reactions from time-dependent EDF calculations



- Ex: fusion at the Coulomb barrier ($^{16}\text{O} + ^{208}\text{Pb}$)
- Pre-transfer, barrier distribution...
- Note: full EDF essential to solve old problems

[C. Simenel and B. Avez, arXiv:0711.0934]

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$$\text{Building } \mathcal{E}_{qq'} = \mathcal{E}[\langle \Phi_q |; |\Phi_{q'} \rangle] = \mathcal{E}[\rho^{qq'}, \kappa^{qq'}, \kappa^{q'q*}]$$

The mathematical form

- ① It can be as elaborate and as complicated as one finds empirically necessary

⇒ It can be a very non-local functional in space, i.e.

$$\mathcal{E}_{qq'} = \sum_{\sigma_1 \dots \sigma_n, \tau_1 \dots \tau_n} \int \dots \int d\vec{r}_1 \dots d\vec{r}_n \mathcal{E}(\rho_{\vec{r}_1 \sigma_1 \tau_1}^{qq'}, \dots, \kappa_{\vec{r}_{n-1} \sigma_{n-1} \tau_{n-1}}^{qq'})$$

⇒ One tries to limit the complexity and the number of free parameters

- ② The form is constrained as $\mathcal{E}_{qq'}$ (implicitly) relates to the *scalar* operator H

$$\mathcal{E}[\langle \Phi_q | R^\dagger(g); R(g) | \Phi_{q'} \rangle] = \mathcal{E}[\langle \Phi_q |; |\Phi_{q'} \rangle] \quad \text{for any } R(g) \in \mathcal{G}$$

Fitting its free parameters (so far done at the SR level)

- ① Use empirical knowledge of Infinite Nuclear Matter equation of state

⇒ $e_{\text{sat}}, \rho_{\text{sat}}, K_\infty, m_0^*, a_{\text{sym}}$ + various ρ_{inst}

- ② Use a selected set of finite nuclei properties

⇒ $BE, r_{\text{ch}}^2, \Delta\epsilon_{j=l\mp 1/2}, \Delta E_{\text{fission}}$, ...

Building $\mathcal{E}_{qq'} = \mathcal{E}[\langle \Phi_q |; |\Phi_{q'} \rangle] = \mathcal{E}[\rho^{qq'}, \kappa^{qq'}, \kappa^{q'q*}]$

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Quasi-local form of $\mathcal{E} = \mathcal{E}[\rho, \kappa, \kappa^*]$

Strategy followed below

- ➊ Diagonal kernel $\mathcal{E}_{qq} \equiv \mathcal{E}[\rho, \kappa, \kappa^*]$
- ➋ Focus on the ρ dependence

Scalar and vector non-local densities

$$\rho_\tau(\vec{r}, \vec{r}') \equiv \sum_{\sigma\sigma'} \rho_{\vec{r}\sigma\tau\vec{r}'\sigma'\tau} 1^{\sigma'\sigma}$$

$$s_{\tau,\nu}(\vec{r}, \vec{r}') \equiv \sum_{\sigma\sigma'} \rho_{\vec{r}\sigma\tau\vec{r}'\sigma'\tau} \sigma_\nu^{\sigma'\sigma}$$

Time-even local densities

$$\rho_\tau(\vec{r}) \equiv \rho_\tau(\vec{r}, \vec{r}')|_{\vec{r}=\vec{r}'}$$

Matter density

$$\tau_\tau(\vec{r}) \equiv \sum_\mu \nabla_\mu \nabla'_\mu \rho_\tau(\vec{r}, \vec{r}')|_{\vec{r}=\vec{r}'}$$

Kinetic density

$$J_{\tau,\mu\nu}(\vec{r}) \equiv \frac{i}{2} (\nabla'_\mu - \nabla_\mu) s_{\tau,\nu}(\vec{r}, \vec{r}')|_{\vec{r}=\vec{r}'}$$

Spin-current tensor density

$$J_{\tau,\kappa}(\vec{r}) \equiv \sum_{\mu,\nu=x}^z \epsilon_{\kappa\mu\nu} J_{\tau,\mu\nu}(\vec{r})$$

Spin-orbit density

Quasi-local form of $\mathcal{E} = \mathcal{E}[\rho, \kappa, \kappa^*]$

Strategy followed below

- ➊ Diagonal kernel $\mathcal{E}_{qq} \equiv \mathcal{E}[\rho, \kappa, \kappa^*]$
- ➋ Focus on the ρ dependence

Scalar and vector non-local densities

$$\rho_\tau(\vec{r}, \vec{r}') \equiv \sum_{\sigma\sigma'} \rho_{\vec{r}\sigma\tau\vec{r}'\sigma'\tau} 1^{\sigma'\sigma}$$

$$s_{\tau,\nu}(\vec{r}, \vec{r}') \equiv \sum_{\sigma\sigma'} \rho_{\vec{r}\sigma\tau\vec{r}'\sigma'\tau} \sigma_\nu^{\sigma'\sigma}$$

Time-odd local densities

$$s_{\tau,\nu}(\vec{r}) \equiv s_{\tau,\nu}(\vec{r}, \vec{r}')|_{\vec{r}=\vec{r}'} \quad \text{Spin density}$$

$$T_{\tau,\nu}(\vec{r}) \equiv \sum_\mu \nabla_\mu \nabla'_\mu s_{\tau,\nu}(\vec{r}, \vec{r}')|_{\vec{r}=\vec{r}'} \quad \text{Spin-kinetic density}$$

$$F_{\tau,\mu}(\vec{r}) \equiv \frac{1}{2} \sum_\nu (\nabla_\mu \nabla'_\nu + \nabla_\nu \nabla'_\mu) s_{\tau,\nu}(\vec{r}, \vec{r}')|_{\vec{r}=\vec{r}'} \quad \text{Tensor-kinetic density}$$

$$j_{\tau,\mu}(\vec{r}) \equiv \frac{i}{2} (\nabla'_\mu - \nabla_\mu) \rho_\tau(\vec{r}, \vec{r}')|_{\vec{r}=\vec{r}'} \quad \text{Current density}$$

Quasi-local form of $\mathcal{E}_{qq'} = \mathcal{E}[\rho^{qq'}, \kappa^{qq'}, \kappa^{q'q*}]$

Building procedure

- ➊ Local bilinear form up to two gradients and two Pauli matrices
- ➋ Form scalars
- ➌ Couplings $C_{\tau\tau'}^{ff'}$ may further depend on $\vec{r} \equiv$ higher-order terms

Skyrme energy density functional

$$\begin{aligned}
 \mathcal{E}[\rho, \kappa, \kappa^*] &= \sum_{\tau} \int d\vec{r} \frac{\hbar^2}{2m} \tau_{\tau} \\
 &+ \sum_{\tau\tau'} \int d\vec{r} \left[C_{\tau\tau'}^{\rho\rho} \rho_{\tau} \rho_{\tau'} + C_{\tau\tau'}^{\rho\Delta\rho} \rho_{\tau} \Delta \rho_{\tau'} + C_{\tau\tau'}^{\rho\tau} \left(\rho_{\tau} \tau_{\tau'} - \vec{j}_{\tau} \cdot \vec{j}_{\tau'} \right) \right. \\
 &+ C_{\tau\tau'}^{ss} \vec{s}_{\tau} \cdot \vec{s}_{\tau'} + C_{\tau\tau'}^{s\Delta s} \vec{s}_{\tau} \cdot \Delta \vec{s}_{\tau'} + C_{\tau\tau'}^{\rho\nabla J} \left(\rho_{\tau} \vec{\nabla} \cdot \vec{j}_{\tau'} + \vec{j}_{\tau} \cdot \vec{\nabla} \times \vec{s}_{\tau'} \right) \\
 &+ C_{\tau\tau'}^{\nabla s \nabla s} (\nabla \cdot \vec{s}_{\tau}) (\nabla \cdot \vec{s}_{\tau'}) + C_{\tau\tau'}^{JJ} \left(\sum_{\mu\nu} J_{\tau,\mu\nu} J_{\tau',\mu\nu} - \vec{s}_{\tau} \cdot \vec{T}_{\tau'} \right) \\
 &\left. + C_{\tau\tau'}^{J\bar{J}} \left(\sum_{\mu\nu} J_{\tau,\mu\mu} J_{\tau',\nu\nu} + J_{\tau,\mu\nu} J_{\tau',\nu\mu} - 2 \vec{s}_{\tau} \cdot \vec{F}_{\tau'} \right) \right] + \text{terms in } [\kappa, \kappa^*]
 \end{aligned}$$

Quasi-local form of $\mathcal{E}_{qq'} = \mathcal{E}[\rho^{qq'}, \kappa^{qq'}, \kappa^{q'q*}]$

Building procedure

- ➊ Local bilinear form up to two gradients and two Pauli matrices
- ➋ Form scalars with respect to (i) Galilean transformation
- ➌ Couplings $C_{\tau\tau'}^{ff'}$ may further depend on $\vec{r} \equiv$ higher-order terms

Skyrme energy density functional

$$\begin{aligned} \mathcal{E}[\rho, \kappa, \kappa^*] &= \sum_{\tau} \int d\vec{r} \frac{\hbar^2}{2m} \tau_{\tau} \\ &+ \sum_{\tau\tau'} \int d\vec{r} \left[C_{\tau\tau'}^{\rho\rho} \rho_{\tau} \rho_{\tau'} + C_{\tau\tau'}^{\rho\Delta\rho} \rho_{\tau} \Delta \rho_{\tau'} + C_{\tau\tau'}^{\rho\tau} \left(\rho_{\tau} \tau_{\tau'} - \vec{j}_{\tau} \cdot \vec{j}_{\tau'} \right) \right. \\ &+ C_{\tau\tau'}^{ss} \vec{s}_{\tau} \cdot \vec{s}_{\tau'} + C_{\tau\tau'}^{s\Delta s} \vec{s}_{\tau} \cdot \Delta \vec{s}_{\tau'} + C_{\tau\tau'}^{\rho\nabla J} \left(\rho_{\tau} \vec{\nabla} \cdot \vec{j}_{\tau'} + \vec{j}_{\tau} \cdot \vec{\nabla} \times \vec{s}_{\tau'} \right) \\ &+ C_{\tau\tau'}^{\nabla s \nabla s} (\nabla \cdot \vec{s}_{\tau}) (\nabla \cdot \vec{s}_{\tau'}) + C_{\tau\tau'}^{JJ} \left(\sum_{\mu\nu} J_{\tau,\mu\nu} J_{\tau',\mu\nu} - \vec{s}_{\tau} \cdot \vec{T}_{\tau'} \right) \\ &\left. + C_{\tau\tau'}^{J\bar{J}} \left(\sum_{\mu\nu} J_{\tau,\mu\mu} J_{\tau',\nu\nu} + J_{\tau,\mu\nu} J_{\tau',\nu\mu} - 2 \vec{s}_{\tau} \cdot \vec{F}_{\tau'} \right) \right] + \text{terms in } [\kappa, \kappa^*] \end{aligned}$$

Quasi-local form of $\mathcal{E}_{qq'} = \mathcal{E}[\rho^{qq'}, \kappa^{qq'}, \kappa^{q'q*}]$

Building procedure

- ➊ Local bilinear form up to two gradients and two Pauli matrices
- ➋ Form scalars with respect to (ii) space rotation
- ➌ Couplings $C_{\tau\tau'}^{ff'}$ may further depend on $\vec{r} \equiv$ higher-order terms

Skyrme energy density functional

$$\begin{aligned} \mathcal{E}[\rho, \kappa, \kappa^*] &= \sum_{\tau} \int d\vec{r} \frac{\hbar^2}{2m} \tau_{\tau} \\ &+ \sum_{\tau\tau'} \int d\vec{r} \left[C_{\tau\tau'}^{\rho\rho} \rho_{\tau} \rho_{\tau'} + C_{\tau\tau'}^{\rho\Delta\rho} \rho_{\tau} \Delta \rho_{\tau'} + C_{\tau\tau'}^{\rho\tau} \left(\rho_{\tau} \tau_{\tau'} - \vec{j}_{\tau} \cdot \vec{j}_{\tau'} \right) \right. \\ &+ C_{\tau\tau'}^{ss} \vec{s}_{\tau} \cdot \vec{s}_{\tau'} + C_{\tau\tau'}^{s\Delta s} \vec{s}_{\tau} \cdot \Delta \vec{s}_{\tau'} + C_{\tau\tau'}^{\rho\nabla J} \left(\rho_{\tau} \vec{\nabla} \cdot \vec{j}_{\tau'} + \vec{j}_{\tau} \cdot \vec{\nabla} \times \vec{s}_{\tau'} \right) \\ &+ C_{\tau\tau'}^{\nabla s \nabla s} (\nabla \cdot \vec{s}_{\tau}) (\nabla \cdot \vec{s}_{\tau'}) + C_{\tau\tau'}^{JJ} \left(\sum_{\mu\nu} J_{\tau,\mu\nu} J_{\tau',\mu\nu} - \vec{s}_{\tau} \cdot \vec{T}_{\tau'} \right) \\ &\left. + C_{\tau\tau'}^{J\bar{J}} \left(\sum_{\mu\nu} J_{\tau,\mu\mu} J_{\tau',\nu\nu} + J_{\tau,\mu\nu} J_{\tau',\nu\mu} - 2 \vec{s}_{\tau} \cdot \vec{F}_{\tau'} \right) \right] + \text{terms in } [\kappa, \kappa^*] \end{aligned}$$

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Building procedure

- ➊ Local bilinear form up to two gradients and two Pauli matrices
- ➋ Form scalars with respect to (iii) time reversal
- ➌ Couplings $C_{\tau\tau'}^{ff'}$ may further depend on $\vec{r} \equiv$ higher-order terms

Skyrme energy density functional

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 \mathcal{E}[\rho, \kappa, \kappa^*] &= \sum_{\tau} \int d\vec{r} \frac{\hbar^2}{2m} \tau_{\tau} \\
 &+ \sum_{\tau\tau'} \int d\vec{r} \left[C_{\tau\tau'}^{\rho\rho} \color{red}{\rho_{\tau}\rho_{\tau'}} + C_{\tau\tau'}^{\rho\Delta\rho} \color{red}{\rho_{\tau}\Delta\rho_{\tau'}} + C_{\tau\tau'}^{\rho\tau} \left(\color{red}{\rho_{\tau}\tau_{\tau'}} - \vec{j}_{\tau} \cdot \vec{j}_{\tau'} \right) \right. \\
 &+ C_{\tau\tau'}^{ss} \color{blue}{\vec{s}_{\tau} \cdot \vec{s}_{\tau'}} + C_{\tau\tau'}^{s\Delta s} \color{blue}{\vec{s}_{\tau} \cdot \Delta \vec{s}_{\tau'}} + C_{\tau\tau'}^{\rho\nabla J} \left(\color{red}{\rho_{\tau}} \vec{\nabla} \cdot \vec{j}_{\tau'} + \vec{j}_{\tau} \cdot \vec{\nabla} \times \vec{s}_{\tau'} \right) \\
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Historically: kernel derived from a pseudo-Hamiltonian H^{pseudo}

① Quasi zero-range two-body pseudo-potential with 9 parameters

$$V_{\text{skyrme}} \equiv V_{\text{cent}} + V_{\text{ls}} + V_{\text{tens}}$$

$$\begin{aligned} V_{\text{cent}} &= t_0 (1 + x_0 P_\sigma) \delta(\vec{r}) \\ &+ \frac{t_1}{2} (1 + x_1 P_\sigma) [\delta(\vec{r}) \vec{k}{}^2 + \overset{\leftarrow}{k'}{}^2 \delta(\vec{r})] \\ &+ t_2 (1 + x_2 P_\sigma) \overset{\leftarrow}{k'} \cdot \delta(\vec{r}) \vec{k} \\ V_{\text{ls}} &= i W_0 (\vec{\sigma}_1 + \vec{\sigma}_2) \overset{\leftarrow}{k'} \wedge \delta(\vec{r}) \vec{k} \\ V_{\text{tens}} &= \frac{t_e}{2} \left\{ [3(\vec{\sigma}_1 \cdot \overset{\leftarrow}{k'}) (\vec{\sigma}_2 \cdot \overset{\leftarrow}{k'}) - (\vec{\sigma}_1 \cdot \vec{\sigma}_2) \overset{\leftarrow}{k'}{}^2] \delta(\vec{r}) \right. \\ &\quad \left. + \delta(\vec{r}) [3(\vec{\sigma}_1 \cdot \vec{k}) (\vec{\sigma}_2 \cdot \vec{k}) - (\vec{\sigma}_1 \cdot \vec{\sigma}_2) \vec{k}{}^2] \right\} \\ &+ t_o \left\{ 3(\vec{\sigma}_1 \cdot \overset{\leftarrow}{k'}) \delta(\vec{r}) (\vec{\sigma}_2 \cdot \vec{k}) - (\vec{\sigma}_1 \cdot \vec{\sigma}_2) \overset{\leftarrow}{k'} \cdot \delta(\vec{r}) \vec{k} \right\} \end{aligned}$$

② $\mathcal{E}_H[\rho, \kappa, \kappa^*] \equiv \langle \Phi | T + V_{\text{skyrme}} | \Phi \rangle \iff \text{Effective Hartree-Fock}$

Quasi-local form of $\mathcal{E}_{qq'} = \mathcal{E}[\rho^{qq'}, \kappa^{qq'}, \kappa^{q'q*}]$

Historically: kernel derived from a pseudo-Hamiltonian H^{pseudo}

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$$\begin{aligned} \mathcal{E}_H[\rho, \kappa, \kappa^*] = & \sum_{\tau} \int d\vec{r} \frac{\hbar^2}{2m} \tau_{\tau} \\ & + \sum_{\tau\tau'} \int d\vec{r} \left[C_{\tau\tau'}^{\rho\rho} \rho_{\tau} \rho_{\tau'} + C_{\tau\tau'}^{\rho\Delta\rho} \rho_{\tau} \Delta \rho_{\tau'} + C_{\tau\tau'}^{\rho\tau} \left(\rho_{\tau} \tau_{\tau'} - \vec{j}_{\tau} \cdot \vec{j}_{\tau'} \right) \right. \\ & + C_{\tau\tau'}^{ss} \vec{s}_{\tau} \cdot \vec{s}_{\tau'} + C_{\tau\tau'}^{s\Delta s} \vec{s}_{\tau} \cdot \Delta \vec{s}_{\tau'} + C_{\tau\tau'}^{\rho\nabla J} \left(\rho_{\tau} \vec{\nabla} \cdot \vec{J}_{\tau'} + \vec{j}_{\tau} \cdot \vec{\nabla} \times \vec{s}_{\tau'} \right) \\ & + C_{\tau\tau'}^{\nabla s \nabla s} (\nabla \cdot \vec{s}_{\tau}) (\nabla \cdot \vec{s}_{\tau'}) + C_{\tau\tau'}^{JJ} \left(\sum_{\mu\nu} J_{\tau,\mu\nu} J_{\tau',\mu\nu} - \vec{s}_{\tau} \cdot \vec{T}_{\tau'} \right) \\ & \left. + C_{\tau\tau'}^{J\bar{J}} \left(\sum_{\mu\nu} J_{\tau,\mu\mu} J_{\tau',\nu\nu} + J_{\tau,\mu\nu} J_{\tau',\nu\mu} - 2 \vec{s}_{\tau} \cdot \vec{F}_{\tau'} \right) \right] + \text{terms in } [\kappa, \kappa^*] \end{aligned}$$

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- ➊ Step towards EDF philosophy by adding a **density dependence** to " V_{skyrme} "

$$\begin{aligned}\text{" } V_{\text{cent}} \text{"} &= t_0 (1 + x_0 P_\sigma) \delta(\vec{r}) \\ &+ \frac{1}{2} t_1 (1 + x_1 P_\sigma) [\delta(\vec{r}) \overrightarrow{k}^2 + \overleftarrow{k'}^2 \delta(\vec{r})] \\ &+ t_2 (1 + x_2 P_\sigma) \overleftarrow{k'} \cdot \delta(\vec{r}) \overrightarrow{k} \\ &+ \frac{1}{6} t_3 (1 + x_3 P_\sigma) \rho_0^\alpha(\vec{r}) \delta(\vec{r})\end{aligned}$$

- ➋ Compute $\mathcal{E}_{H''}[\rho, \kappa, \kappa^*] \equiv \langle \Phi | T + \text{" } V_{\text{skyrme}} \text{"} | \Phi \rangle$
 - ➡ Only $C_{\tau\tau'}^{\rho\rho}$, further depend on \vec{r} via $\rho_0^\alpha(\vec{r})$
- ➌ Modern EDF approach = exploit full freedom offered by EDF kernel
 - Bypass " V_{skyrme} " entirely to parameterize $\mathcal{E}[\rho, \kappa, \kappa^*]$ directly
 - Freedom to use any functional form; e.g. Padé, exponential...
 - Relax constraints between EDF couplings
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1 Introduction

2 Single-reference implementation

- Inputs
- Equation of motion
- Breaking symmetries
- Typical applications

3 Empirical parametrization of the EDF kernel

- General strategy
- Skyrme family

4 Multi-reference implementation

- Limitations of the single-reference implementation
- Restoring symmetries
- Unexpected pathologies
- Typical applications

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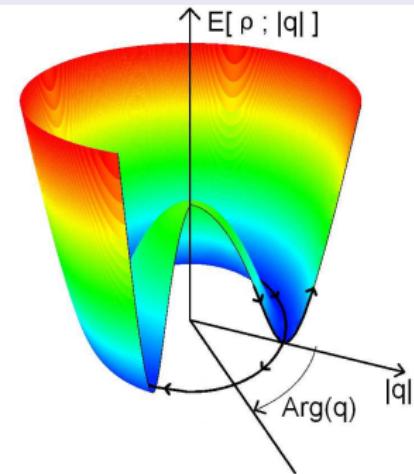
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Limitations of the single-reference method

Using a single reference state $|\Phi_q\rangle$

- Casimir operator A , i.e. J^2 , of \mathcal{G}
- $A |\Phi_{q_{\min}}\rangle \neq a |\Phi_{q_{\min}}\rangle$ with $a \equiv \text{Irrep}(a)$
- ➡ Zero-energy mode at any $|q| \neq 0$

Collective landscape

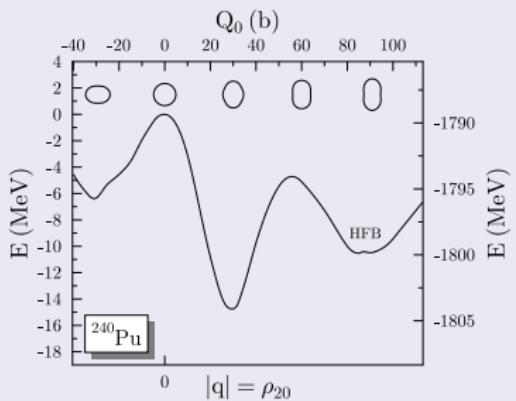


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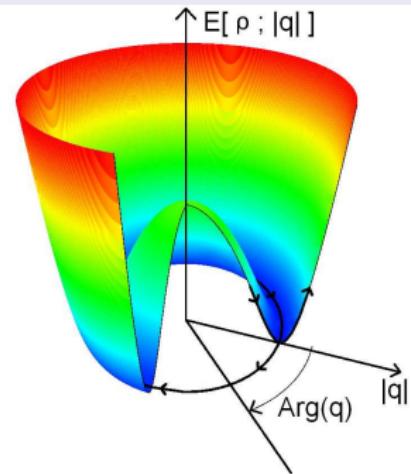
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Different categories of nuclei (1) Stiff



[M. Bender, private communication]

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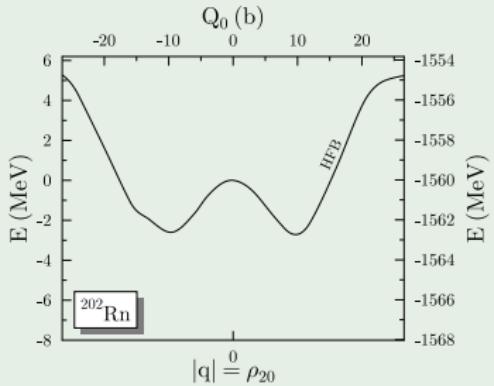


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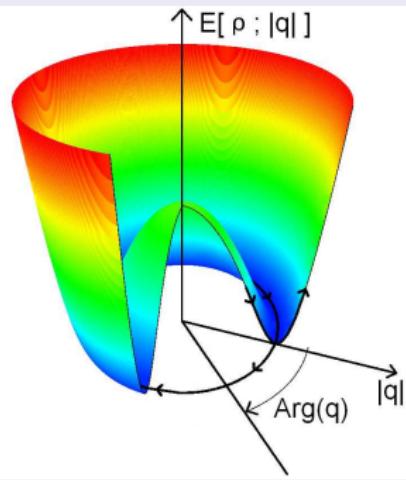
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Different categories of nuclei (2) Soft



[M. Bender, private communication]

Collective landscape

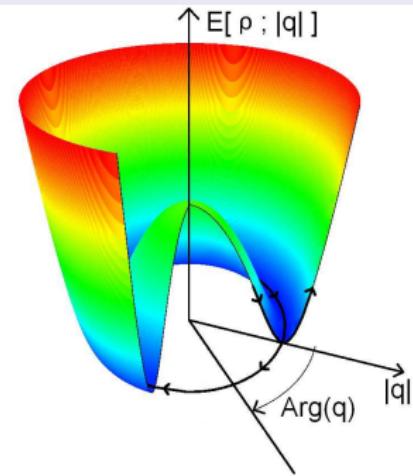


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How to improve on that consistently?

- Go beyond $|\Phi_q\rangle$ at fixed q
- ➡ Mixing in $|q|$ and $\text{Arg}(q)$
- ➡ Add dynamical correlations
- ➡ Provide excitations

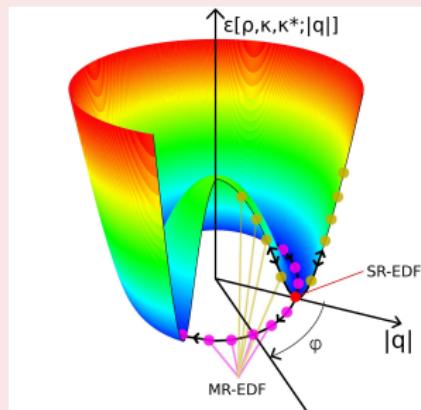
Multi-reference implementation in a nutshell

Second level of implementation

- Mixes off-diagonal energy kernels associated with MR set $\{|\Phi_q\rangle\}$

$$E_k^{\text{MR}} \equiv \text{Min}_{\{f_q^k\}} \frac{\sum_{q,q' \in \text{MR}} f_q^{k*} f_{q'}^k \mathcal{E}_{qq'} \langle \Phi_q | \Phi_{q'} \rangle}{\sum_{q,q' \in \text{MR}} f_q^{k*} f_{q'}^k \langle \Phi_q | \Phi_{q'} \rangle}$$

- Treats collective vibrations = mixing along $|q|$
 - $\{f_q^k\}$ obtained via minimization
- Restores broken symmetries = mixing along φ_q
 - $\{f_q^k\}$ fixed by symmetry-group structure
- Large-amplitude quantum fluctuations
 - Includes G.S. correlations
 - Provides associated collective excitations
- Common approximation schemes
 - QRPA = harmonic fluctuations
 - Bohr-Hamiltonian = GOA



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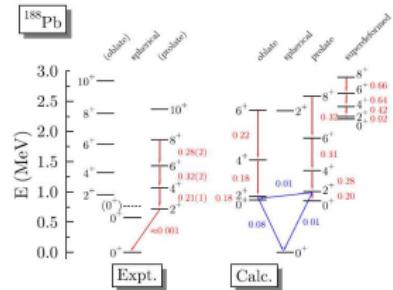
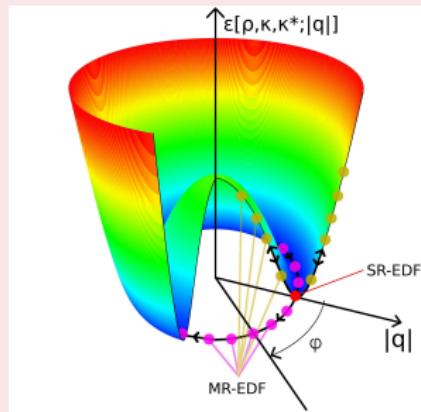
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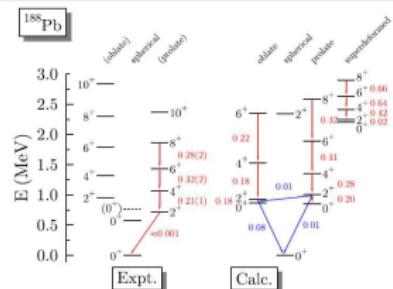
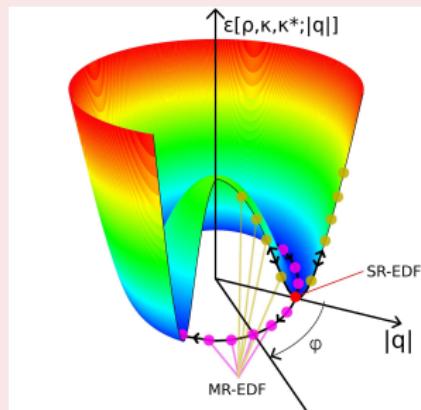
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Symmetry group \mathcal{G} of H

Symmetry group $\mathcal{G} = \{\mathcal{R}(g)\}$

① Continuous, non-abelian, compact, Lie group

- ▶ Parameterized by $g \equiv \{g_i \in D_i; i = 1, \dots, r\} \equiv \text{Arg}(q)$
- ▶ Invariant measure $dm(g)$ and volume $v_{\mathcal{G}} \equiv \int_{\mathcal{G}} dm(g)$
- ▶ Infinitesimal generators $\mathcal{C} = \{\mathcal{C}_i; i = 1, \dots, r\}$ and Casimir Λ

② Represented on Fock space by $R(g)$ with Irreps of dimension d_{λ}

$$S_{ab}^{\lambda}(g) \equiv \langle \Theta^{\lambda a} | R(g) | \Theta^{\lambda b} \rangle \quad \text{where } (a, b) \text{ run over } d_{\lambda}^2 \text{ values}$$

③ Unitarity of Irreps and combination of transformations

$$\sum_c S_{ca}^{\lambda *} (g') S_{cb}^{\lambda} (g) = \sum_c S_{ac}^{\lambda} (-g') S_{cb}^{\lambda} (g) = S_{ab}^{\lambda} (g - g')$$

④ Decomposition of function $f(g)$ over volume of \mathcal{G}

$$f(g) \equiv \sum_{\lambda ab} f_{ab}^{\lambda} S_{ab}^{\lambda} (g)$$

Symmetry group \mathcal{G} of H

Ex1: Space rotation $SO(3) = \{\mathcal{R}(\Omega)\}$

❶ Continuous, non-abelian, compact, Lie group

- ⇒ Parameterized by three Euler angles $\Omega = (\alpha, \beta, \gamma) \in ([0, 2\pi], [0, \pi], [0, 2\pi])$
- ⇒ Invariant measure $d\Omega = \sin \beta d\alpha d\beta d\gamma$ and volume $v_{SO(3)} \equiv 16\pi^2$
- ⇒ Infinitesimal generators \vec{J} and Casimir J^2

❷ On Fock space $R(\Omega) = e^{-i\alpha J_z} e^{-i\beta J_y} e^{-i\gamma J_z}$ with Irreps of $d_J = 2J + 1$

$$\langle JM | R(\Omega) | J' M' \rangle \equiv \mathcal{D}_{MM'}^J(\Omega) \delta_{JJ'} \text{ with } 2J \in \mathbb{N}; -2J \leq 2(M, M') \leq +2J \in \mathbb{Z}$$

❸ Unitarity of Irreps and combination of transformations

$$\sum_{M''} \mathcal{D}_{M'' M}^{J*}(\Omega') \mathcal{D}_{M'' M'}^J(\Omega) = \sum_{M''} \mathcal{D}_{MM''}^J(-\Omega') \mathcal{D}_{M'' M'}^J(\Omega) = \mathcal{D}_{MM'}^J(\Omega - \Omega')$$

❹ Decomposition of function $f(\Omega)$ over volume of $SO(3)$

$$f(\Omega) \equiv \sum_{JMM'} f_{MM'}^J \mathcal{D}_{MM'}^J(\Omega)$$

Symmetry group \mathcal{G} of H

Ex2: Gauge rotation $U(1) = \{\mathcal{R}(\varphi)\}$

① Continuous, abelian, compact, Lie group

- ▶ Parameterized by a gauge angle $\varphi \in [0, 2\pi]$
- ▶ Invariant measure $d\varphi$ and volume $v_{U(1)} \equiv 2\pi$
- ▶ Infinitesimal generator N and Casimir N^2

② On Fock space $R(\varphi) = e^{i\varphi N}$ with Irreps of $d_N = 1$

$$\langle N | R(\varphi) | N' \rangle \equiv R_N(\varphi) \delta_{NN'} = e^{iN\varphi} \delta_{NN'} \text{ with } N \in \mathbb{Z}$$

③ Unitarity of Irreps and combination of transformations

$$[e^{i\varphi' N}]^* e^{i\varphi N} = e^{i(-\varphi')N} e^{i\varphi N} = e^{i(\varphi-\varphi')N}$$

④ Decomposition, i.e. Fourier expansion, of function $f(\varphi)$ over volume of $U(1)$

$$f(\varphi) \equiv \sum_{N \in \mathbb{Z}} f^N e^{i\varphi N}$$

Symmetry restored MR-EDF energy

Symmetry-restored energies E_λ^{MR}

- ❶ Single-reference state $|\Phi_0\rangle \equiv \sum_{\lambda a} c_{\lambda a} |\Theta^{\lambda a}\rangle$ with $|\Theta^{\lambda a}\rangle \in \text{Irrep } \lambda$
- ❷ MR set $\{|\Phi_g\rangle \equiv R(g)|\Phi_0\rangle\}$ and energy kernel $\mathcal{E}_{g'g} \equiv \mathcal{E}[\langle\Phi_{g'}|;|\Phi_g\rangle]$
- ❸ Decomposition of kernels over Irreps of \mathcal{G}

$$\begin{aligned}\mathcal{E}[\langle\Phi_{g'}|;|\Phi_g\rangle] \langle\Phi_{g'}|\Phi_g\rangle &\equiv \sum_{\lambda ab} E_{ab}^\lambda S_{ab}^\lambda(g-g') \\ \langle\Phi_{g'}|\Phi_g\rangle &= \sum_{\lambda ab} c_{\lambda a}^* c_{\lambda b} S_{ab}^\lambda(g-g')\end{aligned}$$

whose coefficients, e.g. E_{ab}^λ , can be extracted through

$$E_{ab}^\lambda = \left(\frac{d_\lambda}{v_{\mathcal{G}}}\right)^2 \int \int_{\mathcal{G}} dm(g') dm(g) S_{ca}^\lambda(g') S_{cb}^{\lambda*}(g) \mathcal{E}[\langle\Phi_{g'}|;|\Phi_g\rangle] \langle\Phi_{g'}|\Phi_g\rangle$$

- ❹ Real and scalar symmetry-restored MR energy defined through

$$E_\lambda^{\text{MR}} \equiv E_{ab}^\lambda / c_{\lambda a}^* c_{\lambda b}$$

Symmetry restored MR-EDF energy

Pseudo-potential-based EDF method

❶ Non-diagonal kernel $\mathcal{E}_H[\langle \Phi_{g'} |; |\Phi_g \rangle] \equiv \langle \Phi_{g'} | H^{\text{pseudo}} | \Phi_g \rangle = \mathcal{E}_H[\rho^{g'g}, \kappa^{g'g}, \kappa^{gg'*}]$

➡ In general $\mathcal{E}[\langle \Phi_{g'} |; |\Phi_g \rangle]$ CANNOT be factorized as $\langle \Phi_{g'} | H^{\text{pseudo}} | \Phi_g \rangle$

❷ Transfer operator

$$P_{ab}^\lambda \equiv \frac{d_\lambda}{v_{\mathcal{G}}} \int_{\mathcal{G}} \frac{dm(g)}{c_{\lambda b}} S_{ab}^{\lambda*}(g) R(g) \quad \text{such that} \quad |\Theta^{\lambda a}\rangle = P_{ab}^\lambda |\Phi_0\rangle$$

❸ Decomposition of the energy kernel becomes

$$\mathcal{E}_H[\langle \Phi_{g'} |; |\Phi_g \rangle] \langle \Phi_{g'} | \Phi_g \rangle = \sum_{\lambda ab} c_{\lambda a}^* c_{\lambda b} E^\lambda S_{ab}^\lambda(g-g')$$

❹ Symmetry-restored MR energy reads

$$E_\lambda^{\text{MR}} \equiv \frac{E_{ab}^\lambda}{c_{\lambda a}^* c_{\lambda b}} = E^\lambda = \langle \Theta^{\lambda a} | H^{\text{pseudo}} | \Theta^{\lambda a} \rangle$$

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- ➋ Transfer operator

$$P_{ab}^\lambda \equiv \frac{d_\lambda}{v_G} \int_G \frac{dm(g)}{c_{\lambda h}} S_{ab}^{\lambda*}(g) R(g) \quad \text{such that} \quad |\Theta^{\lambda a}\rangle = P_{ab}^\lambda |\Phi_0\rangle$$

Is that difference with the general case of any importance?

$$\mathcal{E}_H[\langle \Phi_{g'} |; |\Phi_g \rangle] \langle \Phi_{g'} | \Phi_g \rangle = \sum_{\lambda ab} c_{\lambda a}^\dagger c_{\lambda b} E^{\lambda*} S_{ab}^\lambda(g-g')$$

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- Limitations of the single-reference implementation
- Restoring symmetries
- **Unexpected pathologies**
- Typical applications

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Consistency requirements on the EDF kernel

Key question

What are the authorized analytical forms of $\mathcal{E}_{q'q}$?

Inspiration: pseudo-potential-based EDF kernel

From Generalized Wick Theorem $\mathcal{E}_{q'q} \equiv \langle \Phi_{q'} | H^{\text{pseudo}} | \Phi_q \rangle = \mathcal{E}_H[\rho^{q'q}, \kappa^{q'q}, \kappa^{qq'*}]$

Set of consistency requirements [L. Robledo, IJMP E16 (2007) 337; JPG 37 (2010) 064020]

- ① $\mathcal{E}_\lambda^{\text{MR}}$ must be real and a scalar under all transformations of \mathcal{G}
- ② Consistency of MR and SR schemes
 - ① $\mathcal{E}_\lambda^{\text{MR}} = \mathcal{E}^{\text{SR}}$ when the MR set $\{|\Phi_q\rangle\}$ reduces to a single state $|\Phi_q\rangle$
 - ② Chemical potential λ must be recovered from Kamlah expansion of $\mathcal{E}_N^{\text{MR}}$
 - ③ QRPA must be recovered through harmonic limit of $\mathcal{E}_\lambda^{\text{MR}}$

Conclusion: kernel must involve $\langle \Phi_{q'} |$ and $|\Phi_q \rangle$ only

Diagonal SR kernel

$$\mathcal{E}[\rho^{qq}, \kappa^{qq}, \kappa^{qq*}]$$

$$\Leftarrow$$

GWT-inspired connection
Is it always a viable option?

Off-diagonal MR kernel

$$\mathcal{E}[\rho^{qq'}, \kappa^{qq'}, \kappa^{q'q*}]$$

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Diagonal SR kernel

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\Leftarrow

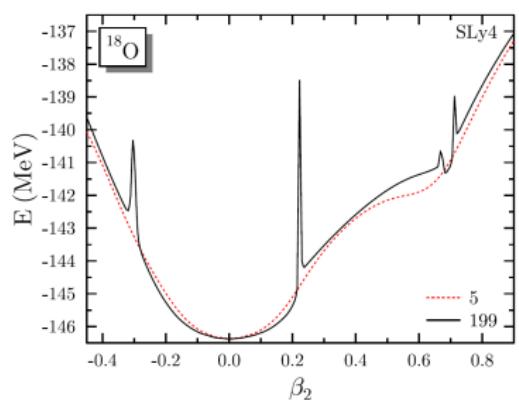
GWT-inspired connection
Is it always a viable option?

Off-diagonal MR kernel

$$\mathcal{E}[\rho^{\mathbf{q}\mathbf{q}'}, \kappa^{\mathbf{q}\mathbf{q}'}, \kappa^{\mathbf{q}'\mathbf{q}*}]$$

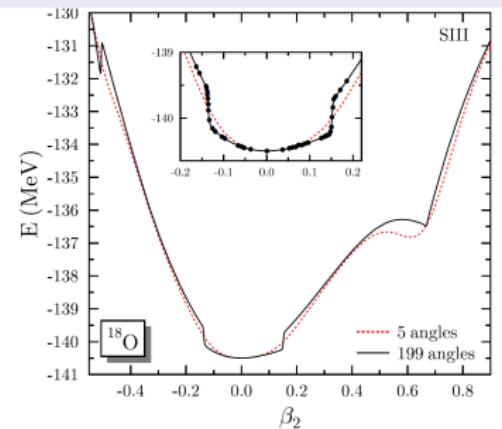
Unexpected pathologies

$\mathcal{E}_{Z=8,N=10}^{\text{MR}}$ vs ρ_{20} for $\mathcal{E}[\rho\rho\rho^{1/6}]$



[M. Bender *et al.*, PRC79 (2009) 044319]

$\mathcal{E}_{Z=8,N=10}^{\text{MR}}$ vs ρ_{20} for $\mathcal{E}[\rho\rho\rho]$

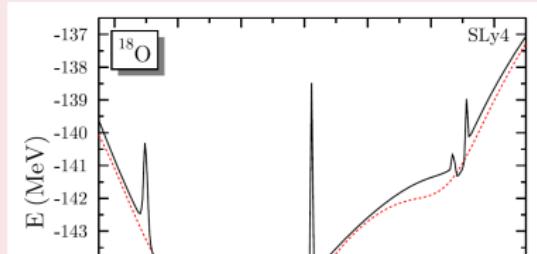


[M. Bender *et al.*, PRC79 (2009) 044319]

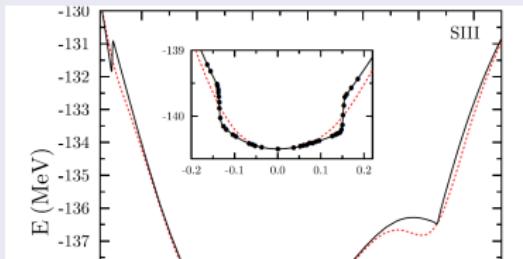
- ➊ Divergencies and finite steps [J. Dobaczewski *et al.*, PRC76 (2007) 054315]
- ➋ Non-analyticity of $\mathcal{E}[\rho^{0\varphi}, \kappa^{0\varphi}, \kappa^{\varphi 0*}]$ over \mathbb{C}^* -plane with $e^{i\varphi} \equiv z$
- ➌ $\mathcal{E}_N^{\text{MR}} \neq 0$ for $N \leq 0!!$ [M. Bender, T.D., D. Lacroix, PRC79 (2009) 044319]
- ➍ Similar problems for other MR modes, e.g. angular momentum restoration

Unexpected pathologies

$\mathcal{E}_{Z=8,N=10}^{\text{MR}}$ vs ρ_{20} for $\mathcal{E}[\rho\rho\rho^{1/6}]$



$\mathcal{E}_{Z=8,N=10}^{\text{MR}}$ vs ρ_{20} for $\mathcal{E}[\rho\rho\rho]$



Absent from pseudo-potential-based method
Pauli principle is fulfilled, i.e. no self-interaction/self-pairing

[M. Bender *et al.*, PRC79 (2009) 044319]

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- ➍ Similar problems for other MR modes, e.g. angular momentum restoration

What to do about that?

Related topics covered during the workshop

- ➊ Design a regularization method $\mathcal{E}_{q'q}^{\text{REG}} \equiv \mathcal{E}[\rho^{q'q}, \kappa^{q'q}, \kappa^{qq'*}] - \mathcal{E}_C[\langle\Phi_{q'}|; |\Phi_q\rangle]$
[D. Lacroix, M. Bender, L. Robledo]
- ➋ Build a pseudo-potential-based kernel $\mathcal{E}_{q'q}^H \equiv \langle\Phi_{g'}|H_{\text{Skyrme}}^{\text{pseudo}}|\Phi_g\rangle$
[J. Sadoudi]
- ➌ Use spuriousity-free approximations to $\mathcal{E}_{q'q}$
[J. Libert, D. Vretenar, P.-G. Reinhard, N. Hinohara]
- ➍ Bypass the MR-EDF step altogether
[J. Messud, J. Dobaczewski, G. Hupin]

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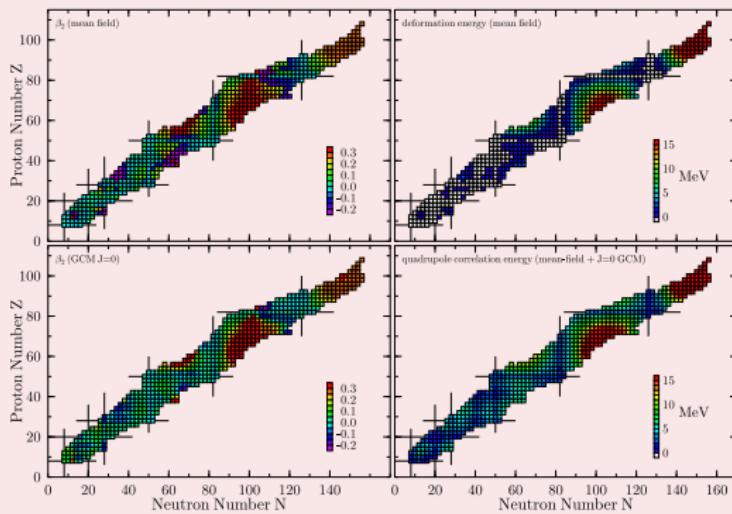
5 Bibliography

Typical results from MR-EDF calculations

Calculations

- ➊ Binding
- ➋ Shells
- ➌ Radii
- ➍ Resonances
- ➎ Spectroscopy
- ➏ Fission

Systematic of quadrupole correlations



- ➊ Static deformation dominate in heavy nuclei
- ➋ Fluctuations dominate in light nuclei
- ➌ MR correlations improve binding energies

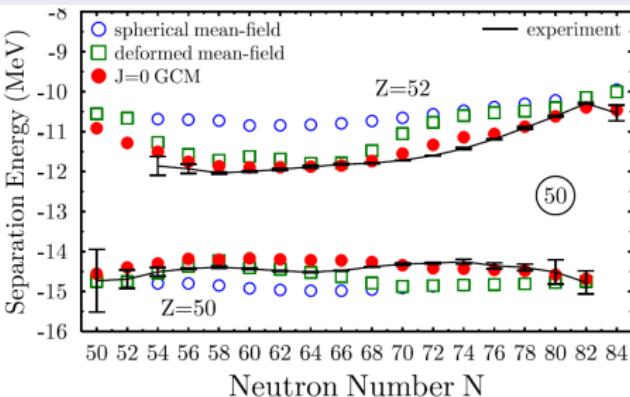
[M. Bender, G. F. Bertsch, P.-H. Heenen, PRC73 (2006) 034322]

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$Z = 50$ magic gaps in Sn isotopes



- From difference of $-S_{2p}(Z) = E_0^Z - E_0^{Z+2}$
- Importance of correlations to reproduce data
- Current focus on evolution with $N-Z$

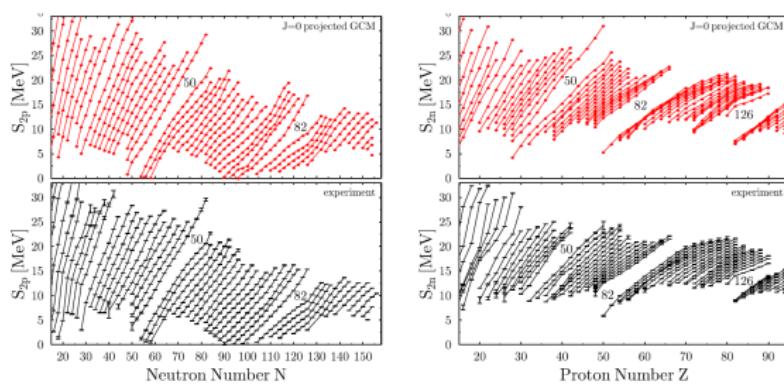
[M. Bender, G. F. Bertsch, P.-H. Heenen, PRC78 (2008) 054312]

Typical results from MR-EDF calculations

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Systematic of S_{2q} and magic gaps



- Visible (e.g. $N = 50$) shell quenching
- Collective correlations are essential
- Shell effects too pronounced in heavy nuclei

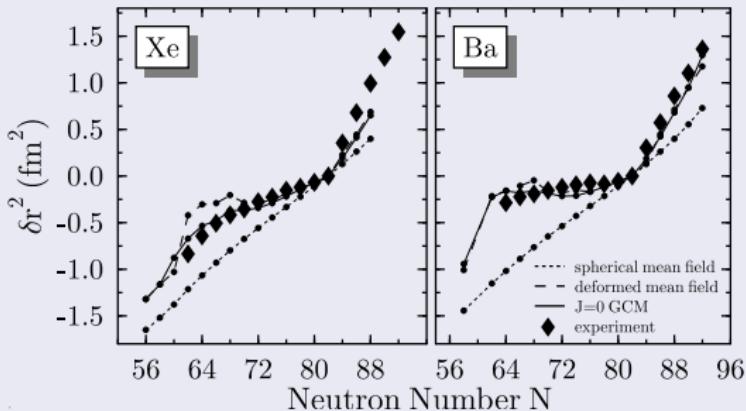
[M. Bender, G. F. Bertsch, P.-H. Heenen, PRC78 (2008) 054312]

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Charge radii in Xe and Ba isotopes



- Good reproduction of data
- Correct $N-Z$ dependence
- Importance of correlations

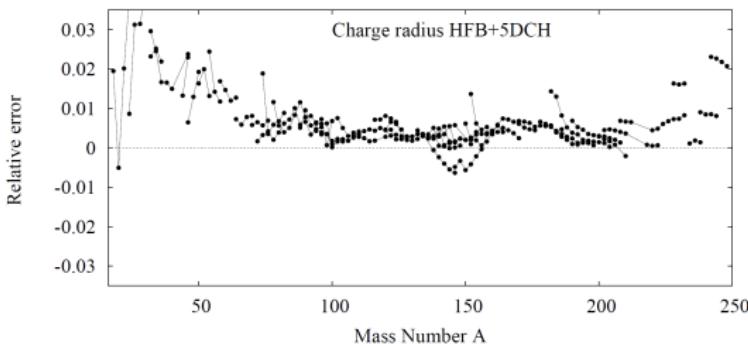
[M. Bender, G. F. Bertsch, P.-H. Heenen, PRC73 (2006) 034322]

Typical results from MR-EDF calculations

Calculations

- ➊ Binding
- ➋ Shells
- ➌ Radii
- ➍ Resonances
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- ➏ Fission

Systematic of charge radii



- Good agreement ($\leq 3\%$)
- More difficult to extract r_n^2 experimentally
- Neutron skin $\sqrt{r_n^2} - \sqrt{r_p^2}$ of importance
- New interest triggered by JLAB exp. on ^{208}Pb

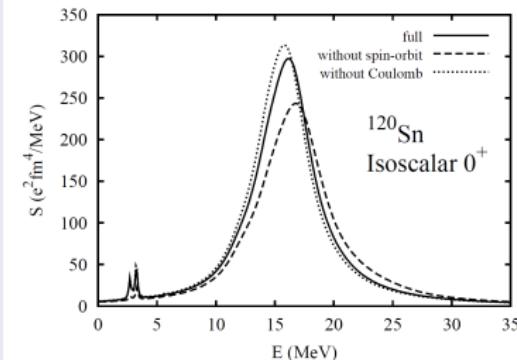
[J. P. Delaroche *et al.*, unpublished]

Typical results from MR-EDF calculations

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Isoscalar giant monopole resonance in ^{120}Sn



- QRPA = harmonic approximation of MR-EDF
 - Strength distribution
- $$S_\lambda(E) = \sum_i \left| \langle \Psi_0^A | F_\lambda | \Psi_i^A \rangle \right|^2 \delta(E_i^A - E_0^A)$$
- Resonances for all $\lambda = L^\pi$ and $T = 0, 1$ with $L \leq 3$
 - Test features of EDF (K_∞ , m_τ^* , a_{sym} , pairing...)

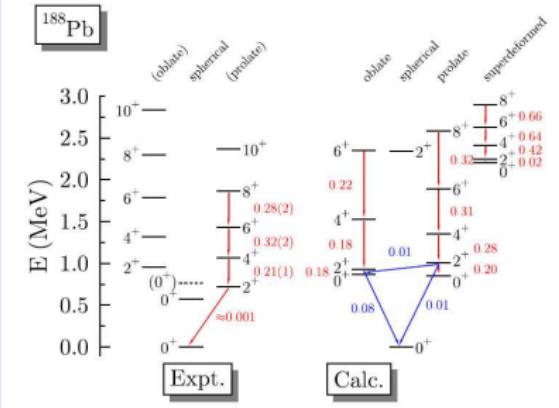
[J. Engel, unpublished]

Typical results from MR-EDF calculations

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Low-lying collective spectroscopy of ^{186}Pb



- Complex nucleus displaying shape coexistence
- Good overall picture: bands, in/out $B(E2)$ s
- Too spread out spectra and too large $E0$
- ➔ Extensions needed

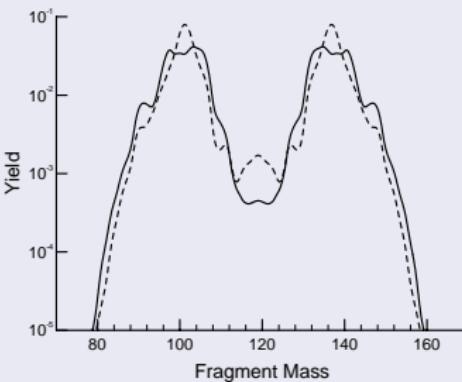
[M. Bender *et al.*, PRC69 (2004) 064303]

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Nuclear fission of ^{238}Pu



- Fission fragments properties: A , E_{kin} distrib.
- SR-EDF \Rightarrow static paths for asymmetric fission
- Bohr Hamiltonian \Rightarrow fission dynamics
- Need exp. Z distrib., $T_{1/2}$, n/γ with $N - Z$

[H. Goutte *et al.*, PRC71 (2005) 024316]

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SR-EDF numerical codes

Some published SR-EDF (Skyrme) codes

① 1D code with spherical symmetry HFBRAD

[K. Bennaceur, J. Dobaczewski, Comp. Phys. Comm. **168** (2005) 96]

<http://www.sciencedirect.com/science/article/pii/S0010465505002304>

② 2D code with axial symmetry HFBTHO

[M. V. Stoitsov, J. Dobaczewski, W. Nazarewicz, P. Ring, Comp. Phys. Comm. **167** (2005) 43]

<http://www.sciencedirect.com/science/article/pii/S0010465505000305>

③ 3D code with 3 symmetry planes ev8

[P. Bonche, H. Flocard, P.-H. Heenen, Comp. Phys. Comm. **171** (2005) 49]

<http://www.sciencedirect.com/science/article/pii/S0010465505002821>

④ 3D code with no symmetry HFODD

[J. Dobaczewski *et al.*, Comp. Phys. Comm. **180** (2009) 2361]

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