



## *Single-particle relaxation effects from the multi-particle-multi-hole configuration mixing approach*

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# Introduction

## *Multiparticle-Multihole Configuration Mixing Method (MPMH):*

- ★ Method applied in atomic physics and quantum chemistry:
  - Multi-Configuration Hartree-Fock (MCHF), Multi-Configuration Self-Consistent Field (MCSCF)
  
- ★ Based on the determination of a **Configuration Interaction (CI) wave function** → allows:
  - ▶ explicit symmetry preservations (particle number, spherical symmetry, Pauli principle),
  - ▶ indiscriminate treatment of long-range correlations,
  - ▶ treatment of ground and excited states in even-even, odd-even & odd-odd nuclei on the same footing.
  
- ★ The underlying mean-field and the single-particle states evolve with the correlations of the system
  - **fully self-consistent approach**

# Outline

- ◆ Formalism of the MPMH method

  - *role and interpretation of the orbital optimization*

- ◆ Applications with the Gogny D1S interaction

  - ◆ Numerical algorithm

    - *doubly iterative convergence process*

  - ◆ Description of even-even sd-shell nuclei

    - *Effect of the orbital optimization on ground and excited states properties: Charge radii, excitation energies, transition probabilities, inelastic electron and proton scattering...*

- ◆ Towards an "ab-initio" theory

  - *implementation of a chiral interaction: preliminaries*

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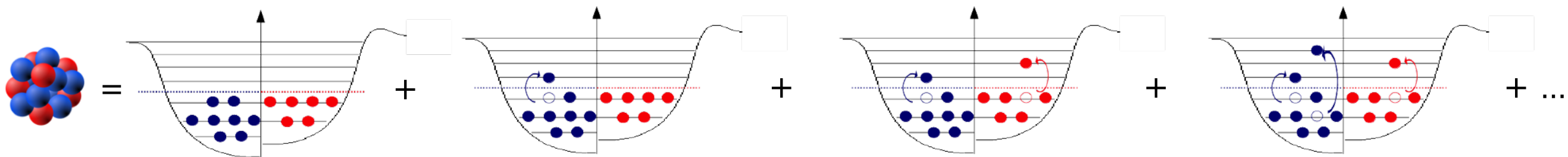
  - *implementation of a chiral interaction: preliminaries*



# MPMH method: Formalism

\* **Trial wave function**  $|\Psi\rangle = \text{superposition of Slater determinants}$

$$|\Psi\rangle = A_{0p0h}|\Phi_{0p0h}\rangle + \sum_{1p1h} A_{1p1h}|\Phi_{1p1h}\rangle + \sum_{2p2h} A_{2p2h}|\Phi_{2p2h}\rangle + \sum_{3p3h} A_{3p3h}|\Phi_{3p3h}\rangle + \dots$$

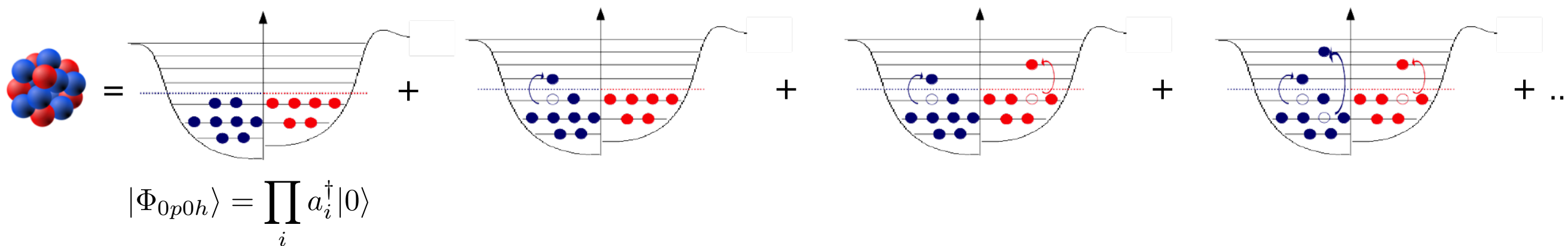


$$|\Phi_{0p0h}\rangle = \prod_i a_i^\dagger |0\rangle$$

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Combinatorial growth of the number of configurations  $\Rightarrow$  select the most relevant ones

*Possible truncation schemes:*

- ▶ Core + Valence space
- ▶ Excitation order (Np-Nh)
- ▶ Excitation energy
- ▶ etc (symmetry-constrained)

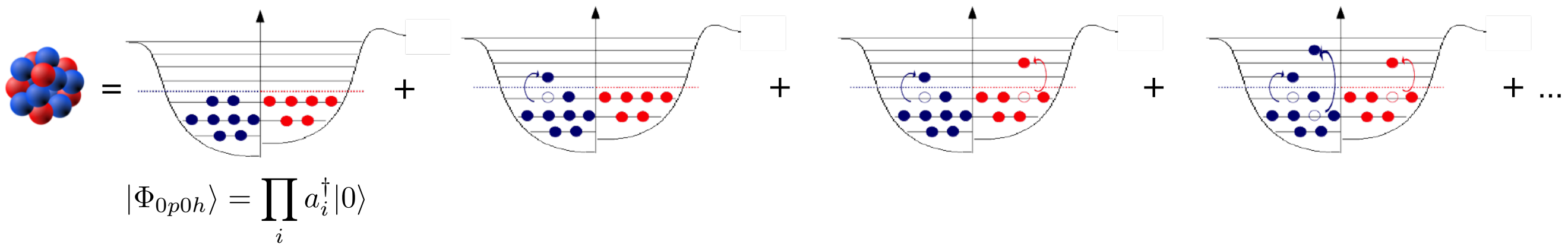
$\rightarrow$  defines subspace  $\mathcal{P}$  of Hilbert space

$$\mathcal{H} = \left( \mathcal{P} \right) \subset \mathcal{Q}$$

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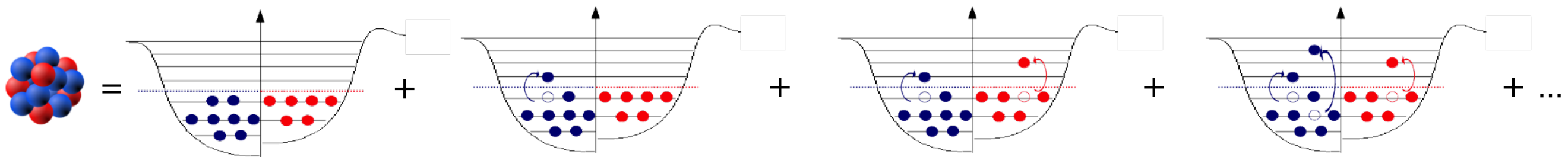


**Unknowns?**

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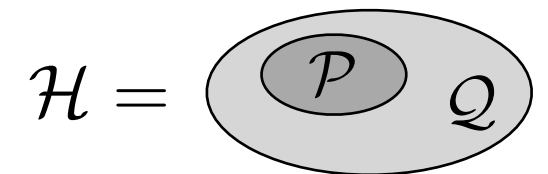
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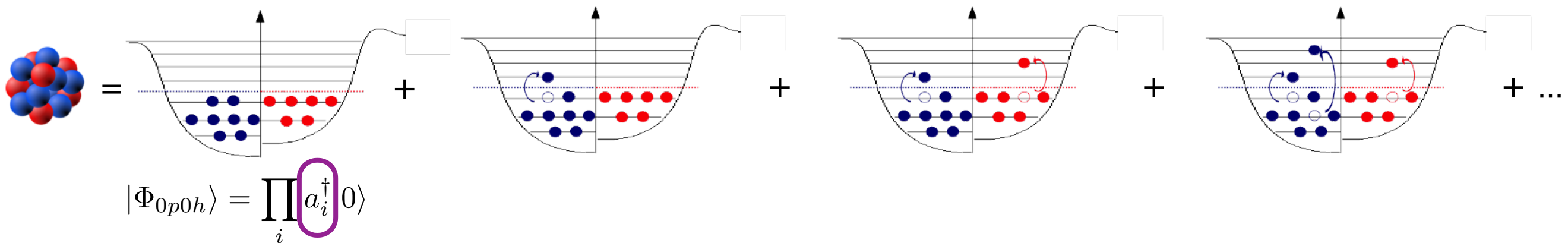
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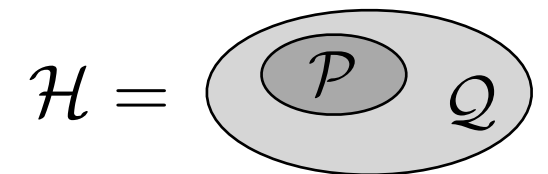


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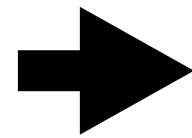
**Unknowns?**

The mixing coefficients  $\{A_\alpha\}$

The single-particle states  $\{\varphi_i\}$

# MPMH method: Formalism

\* **Variational principle applied to the energy of the system:**  $\mathcal{E}[\Psi] = \langle \Psi | \hat{H} | \Psi \rangle = 0$



Two coupled equations to solve:

$$\begin{cases} \delta\mathcal{E}[\Psi]/\{A_{\alpha}^*\} = 0 \\ \delta\mathcal{E}[\Psi]/\{\varphi_i^*\} = 0 \end{cases}$$

Note: formalism shown here for a 2-body Hamiltonian

derivations for 2-body density-dependent or 3-body interaction available in *C.R., N. Pillet, D. Peña Arteaga & J.-F. Berger, PRC 93, 024302 (2016)*.

# MPMH method: Formalism

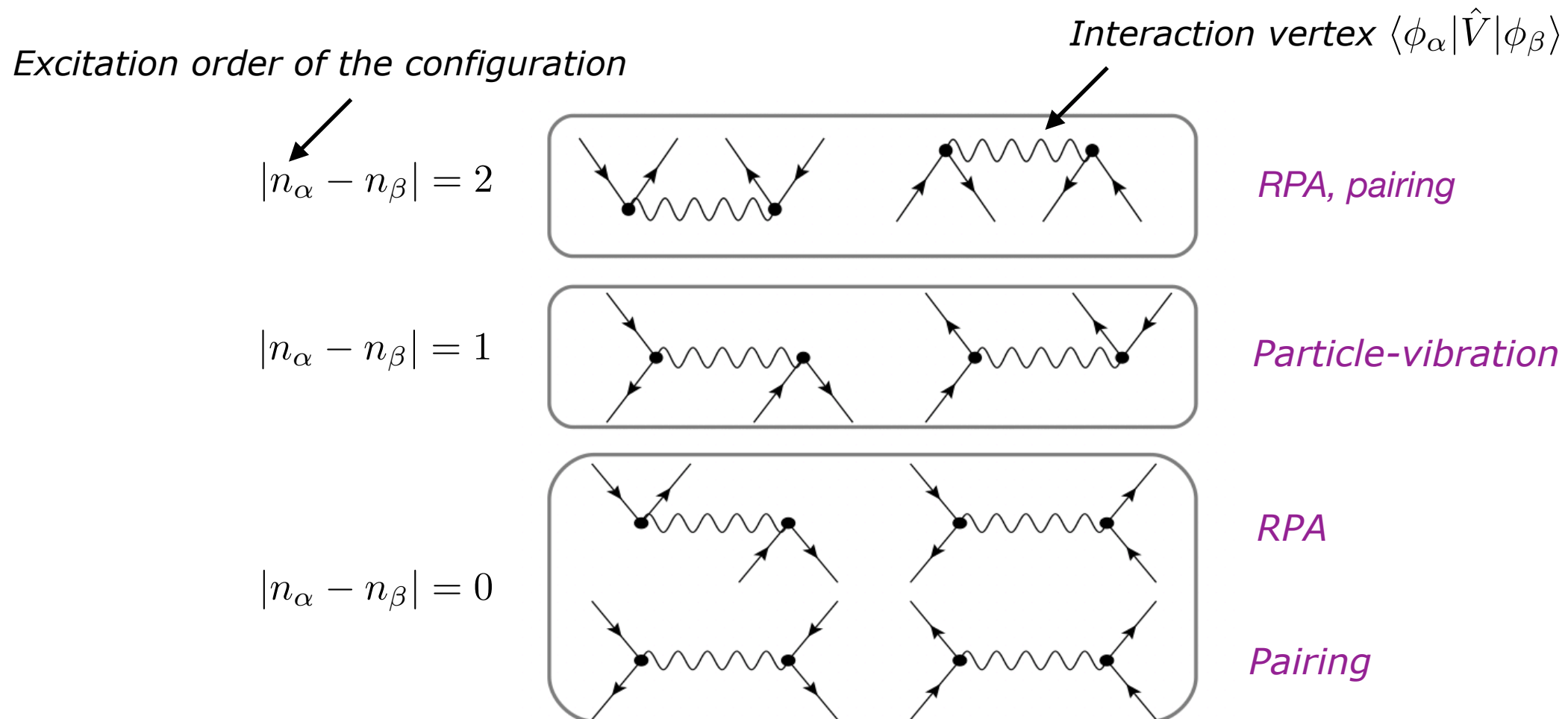
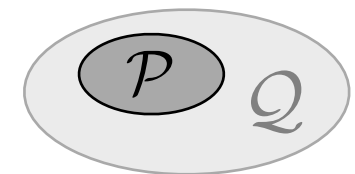
Usual  
CI diagonalization

★ 1st variational equation: The mixing coefficients

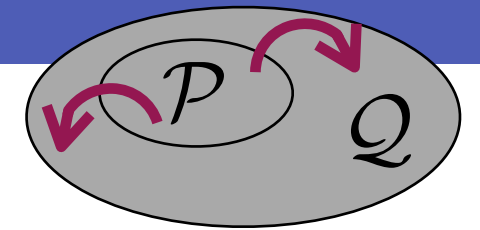
$$\delta\mathcal{E}[\Psi]_{/\{A_\alpha^*\}} = 0 \rightarrow \sum_{\beta} A_{\beta} \langle \phi_{\alpha} | \hat{H} | \phi_{\beta} \rangle = E A_{\alpha}$$

$$\begin{pmatrix} H \end{pmatrix} \begin{pmatrix} A \end{pmatrix} = E \begin{pmatrix} A \end{pmatrix}$$

➔ introduces explicit correlations in restricted configuration space  $\mathcal{P}$   
All types of long-range correlations are treated at the same time:



# MPMH method: Formalism

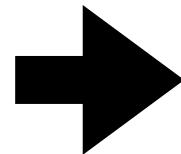


## ★ 2nd variational equation: The single-particle states

◆ variation of the single-particle states:

$$a_i^\dagger \rightarrow e^{i\hat{T}} a_i^\dagger e^{-i\hat{T}} \Rightarrow \delta a_i^\dagger = i [\hat{T}, a_i^\dagger]$$

$T = \text{hermitian 1-body operator}$



◆ 1<sup>st</sup> order variation of the many-body wave function:

$$\begin{aligned} |\delta\Psi\rangle &= i\hat{T}|\Psi\rangle_{\mathcal{P}} \\ &= |\delta\Psi\rangle_{\mathcal{P}} + |\delta\Psi\rangle_{\mathcal{Q}} \end{aligned}$$

→ Note:  $\delta\mathcal{E}[\Psi]_{/\{\varphi_i^*\}} = \mathcal{P}\langle\Psi|\hat{H}|\delta\Psi\rangle + \langle\Psi|\hat{H}|\delta\Psi\rangle_{\mathcal{P}}$

$$= \mathcal{P}\langle\Psi|\hat{P}\hat{H}\hat{P}|\delta\Psi\rangle_{\mathcal{P}} + \mathcal{P}\langle\Psi|\hat{P}\hat{H}\hat{P}|\delta\Psi\rangle_{\mathcal{P}} + \mathcal{P}\langle\Psi|\hat{P}\hat{H}\hat{Q}|\delta\Psi\rangle_{\mathcal{Q}} + \mathcal{Q}\langle\Psi|\hat{Q}\hat{H}\hat{P}|\delta\Psi\rangle_{\mathcal{P}}$$

→ the orbital optimization takes into account the coupling  $H_{\mathcal{P}\mathcal{Q}}/H_{\mathcal{Q}\mathcal{P}}$  between P and Q spaces (however not  $H_{\mathcal{Q}\mathcal{Q}}$ )

$$\rightarrow \delta\mathcal{E}[\Psi]_{/\{\varphi_i^*\}} = \langle\Psi| [\hat{H}, \hat{T}] |\Psi\rangle = 0 \iff [\hat{h}(\rho), \hat{\rho}] = \hat{G}(\sigma)$$

“Generalized Brillouin condition”

Generalized  
mean-field  
equation



# *MPMH method: Formalism*

Generalized  
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$$\left[ \hat{h}(\rho), \hat{\rho} \right] = \hat{G}(\sigma)$$

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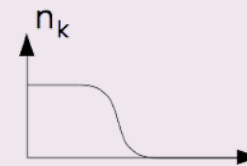
$$[\hat{h}(\rho), \hat{\rho}] = \hat{G}(\sigma)$$

**correlated  
one-body density**

$$\rho_{ki} = \langle \Psi | a_i^\dagger a_k | \Psi \rangle$$

→ “natural” basis

→ occupation  
numbers



# MPMH method: Formalism

Generalized mean-field equation

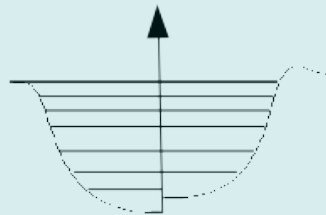
## general mean field

$$h_{ij}(\rho) = K_{ij} + \sum_{kl} \langle ik | \tilde{V} | jl \rangle \rho_{lk}$$

contribution from particles & holes

→ "canonical basis"

→ single-particle energies



$$\begin{aligned} \varepsilon_a = & \sum_N |\langle \Psi_N^{A+1} | a_a^\dagger | \Psi \rangle|^2 (E_N^{A+1} - E) \\ & + \sum_M |\langle \Psi_M^{A-1} | a_a | \Psi \rangle|^2 (E - E_M^{A-1}) \end{aligned}$$

= centroid of one-nucleon separation energies

= "most unambiguous definition of single-particle energies"

(Baranger (1970), Duguet & Hagen (2012)...)

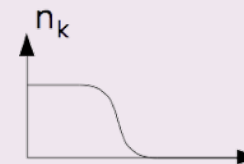
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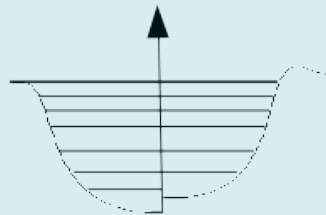
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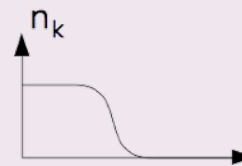
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## source term

$$G_{ij}(\sigma) = \frac{1}{2} \sum_{klm} (\tilde{V}_{kmjl} \sigma_{kiml} - \tilde{V}_{kiml} \sigma_{jlk m})$$

$\sigma$  = two-body correlation matrix

$$\begin{aligned} \sigma_{kiml} = & \langle \Psi | a_i^\dagger a_m^\dagger a_l a_k | \Psi \rangle \\ & - (\rho_{ki} \rho_{lm} - \rho_{km} \rho_{li}) \end{aligned}$$

# MPMH method: Formalism

Generalized mean-field equation

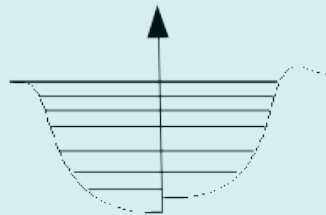
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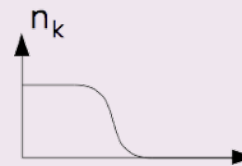
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# MPMH method: Formalism

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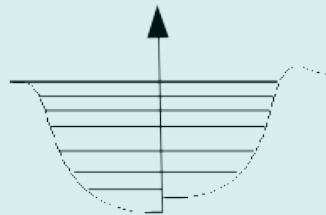
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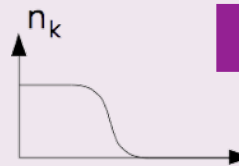
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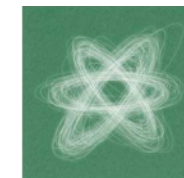
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## single-particle orbitals



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the mean field  $h(\rho)$  is related to the energy while the density  $\rho$  contains information on the wave function

→ single-particle states = natural orbitals = eigenfunctions of the density that satisfies the general mean field equation

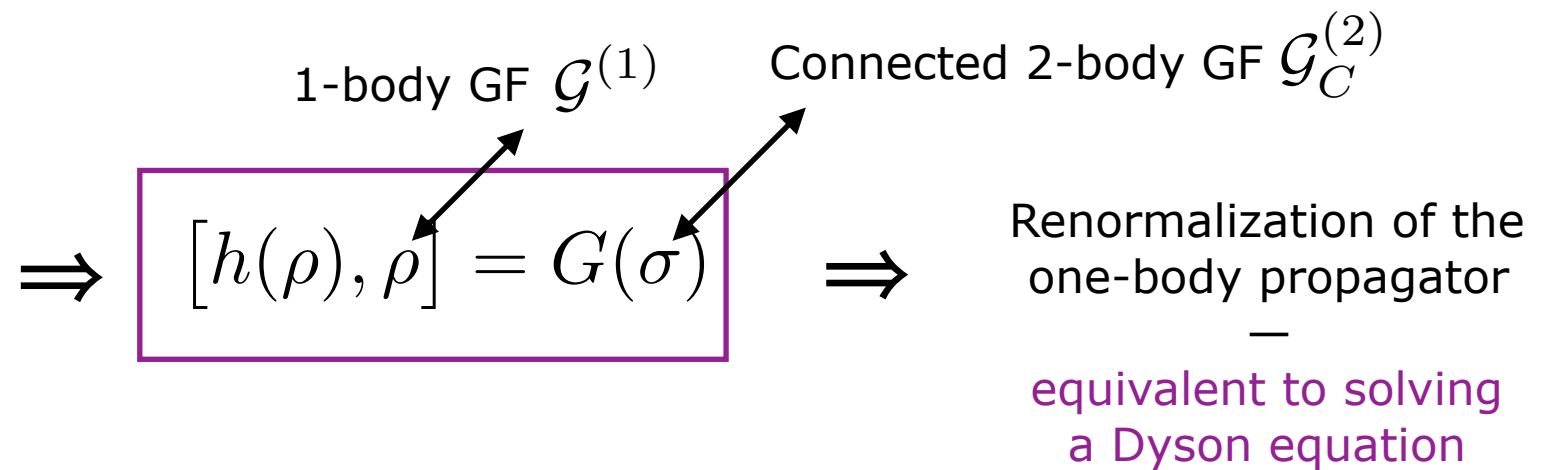
# MPMH method: Formalism

## ★ Role of the orbital equation:

### 1) Consistency between correlations and single-particle picture

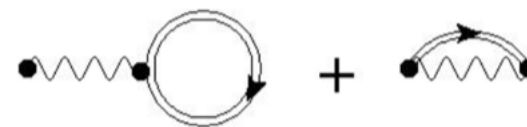
**General equation in physics:**

Equation of motion for the one-body Green's function (at equal times)

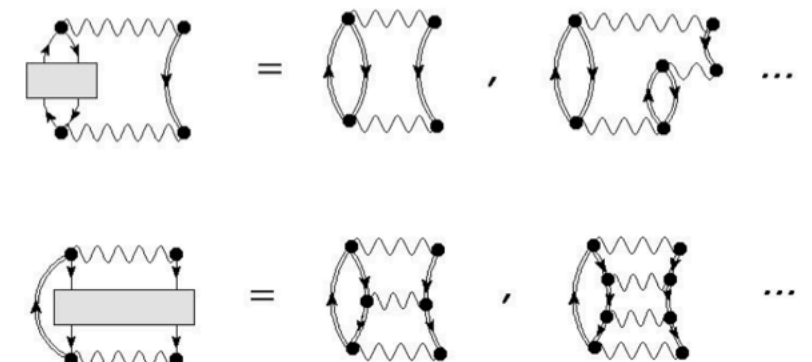


Self-energy:  $\Sigma(t_1 - t_2) = \underbrace{\Sigma^{(0)} \delta(t_1 - t_2)}_{\text{Static part}} + \underbrace{\Sigma^{(dyn)}(t_1 - t_2)}_{\text{Dynamical part}}$

- $\Gamma_{ij}(\rho) = \sum_{kl} \langle ik | \tilde{V} | jl \rangle \rho_{kl} = \Sigma_{ij}^{(0)}$



- $G(\sigma) = \lim_{t_2 \rightarrow t_1^+} \int dt \left[ \mathcal{G}^{(1)}(t - t_2), \Sigma^{(dyn)}(t_1 - t) \right]$



➡ full consistency between mean-field and correlations, which is important to have a fully variational theory (see e.g. "Quantum Theory of Finite systems" by Blaizot and Ripka)

# MPMH method: Formalism

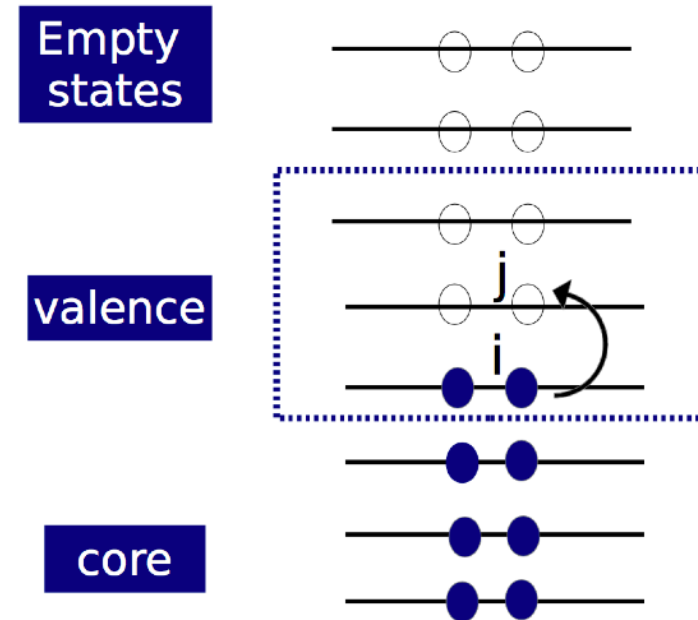
## ★ Role of the orbital equation:

### II) Partial compensation of the truncation P/Q

- Ex: truncation core/valence space

→ Without orbital equation:

$$\rho_{ij} \begin{cases} = \delta_{ij} & \text{if } i, j \in \text{core} \\ \in [0, 1] & \text{if } i, j \in \text{valence} \\ = 0 & \text{otherwise} \end{cases}$$





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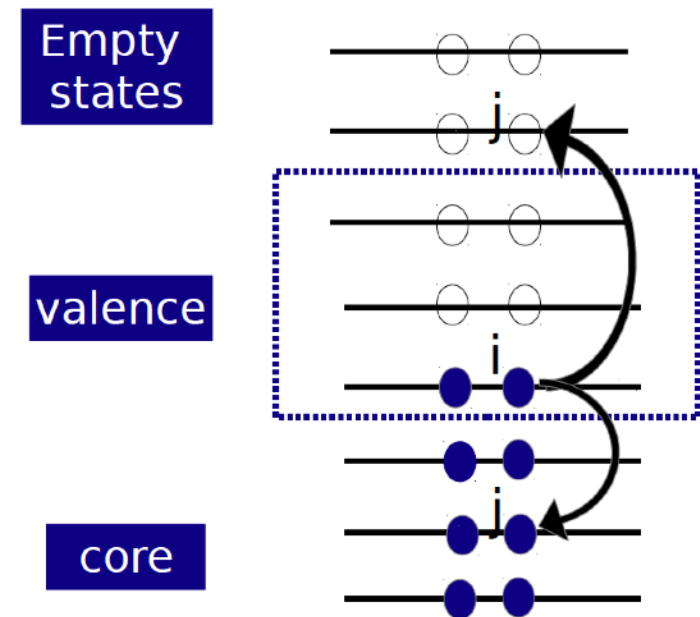
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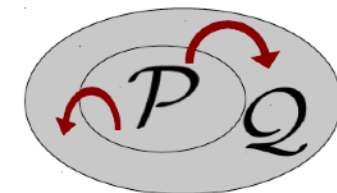
$$\left[ h[\rho], \rho \right] = G[\sigma] \Rightarrow \rho_{ij} = \frac{G_{ij}[\sigma]}{\varepsilon_i - \varepsilon_j}$$

$$G_{ij}(\sigma) = \frac{1}{2} \sum_{klm} \tilde{V}_{kmjl} \sigma_{ki,ml} - \frac{1}{2} \sum_{klm} \tilde{V}_{kiml} \sigma_{jl,km}$$

$\in$  whole basis       $\in$  valence



Single-particle energies

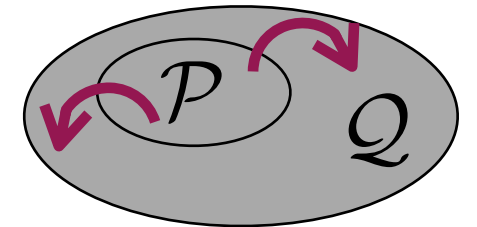


⇒ coupling between valence space and rest of the basis.

# MPMH method: Formalism

## ★ Role of the orbital equation:

### II) Partial compensation of the truncation P/Q



- Ex: truncation in term of the excitation order NpNh

Orbital transformation:  $b_i^\dagger = e^{i\hat{T}} a_i^\dagger e^{-i\hat{T}}$

$$\begin{aligned} \blackrightarrow |\phi^{(f)}\rangle &= e^{iT} |\phi^{(i)}\rangle \\ &= |\phi^{(i)}\rangle + i \sum_{ph} T_{ph} a_p^\dagger a_h |\phi^{(i)}\rangle - \frac{1}{2} \sum_{php'h'} T_{ph} T_{p'h'} a_p^\dagger a_h a_{p'}^\dagger a_{h'} |\phi^{(i)}\rangle + \dots \end{aligned}$$

➡ final reference state = superposition of mpmh excitations on the initial reference state = richer

➡ should have a higher weight in the correlated wave function than the initial one

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# Application to *sd*-shell nuclei with the Gogny force

★ Gogny D1S interaction (Dechargé, Gogny PRC 21, 1568 (1980)):

$$\begin{aligned}
 V[\rho] = \sum_{j=1,2} & (W_j + B_j P_\sigma - H_j P_\tau - M_j P_\sigma P_\tau) e^{-\frac{(\vec{r}_1 - \vec{r}_2)^2}{\mu_j^2}} \\
 & + t_3 (1 + x_0 P_\sigma) \delta(\vec{r}_1 - \vec{r}_2) \rho^\alpha \left( \frac{\vec{r}_1 + \vec{r}_2}{2} \right) \\
 & + i W_{LS} \vec{\nabla}_{12} \delta(\vec{r}_1 - \vec{r}_2) \times \overleftarrow{\nabla}_{12} (\sigma_1 + \sigma_2) \\
 & + (1 + 2\tau_{1z})(1 + 2\tau_{2z}) \frac{e^2}{|\vec{r}_1 - \vec{r}_2|}
 \end{aligned}$$

**Density-dependent term**  
 (zero-range,  $\alpha=1/3$ )

**Central part:**  
 two gaussians  
 (two ranges  $\mu=0.7$  fm and  $\mu=1.2$  fm)

**Spin-Orbit**  
 (zero-range)

**Coulomb**

$\rho$ -dependency  $\leftrightarrow$  resummation of short range correlations, many-body effects ...

$$\rightarrow \mathcal{E}[\Psi] = \langle \Psi | \hat{H}[\rho] | \Psi \rangle$$

correlated density

# Application to *sd*-shell nuclei with the Gogny force

→ modified coupled equations to solve:

$$1) \quad \delta\mathcal{E}[\Psi]_{/A_\alpha^*} = 0 \Leftrightarrow \sum_{\beta} A_{\beta} \langle \phi_{\alpha} | \hat{H}[\rho] + \hat{\mathcal{R}}[\rho, \sigma] | \phi_{\beta} \rangle = \lambda A_{\alpha}$$

- where  $\hat{\mathcal{R}}[\rho, \sigma] = \int d^3r \langle \Psi | \frac{\delta V[\rho]}{\delta \rho(\vec{r})} | \Psi \rangle \hat{\rho}(\vec{r})$
- $\rho$  and  $\sigma$ -dependency → non-linear equation

$$2) \quad \delta\mathcal{E}[\Psi]_{/\varphi_i^*} = 0 \Leftrightarrow [\hat{h}(\rho, \sigma), \hat{\rho}] = \hat{G}(\sigma)$$

- where  $h_{ij}(\rho, \sigma) = K_{ij} + \sum_{kl} \langle ik | \tilde{V} | jl \rangle \rho_{lk} + \frac{1}{4} \sum_{klmn} \langle kl | \frac{\partial \tilde{V}}{\partial |\rho_{ji}} | mn \rangle \langle \Psi | a_k^\dagger a_l^\dagger a_n a_m | \Psi \rangle$

→ explicit dependence on  $\sigma$

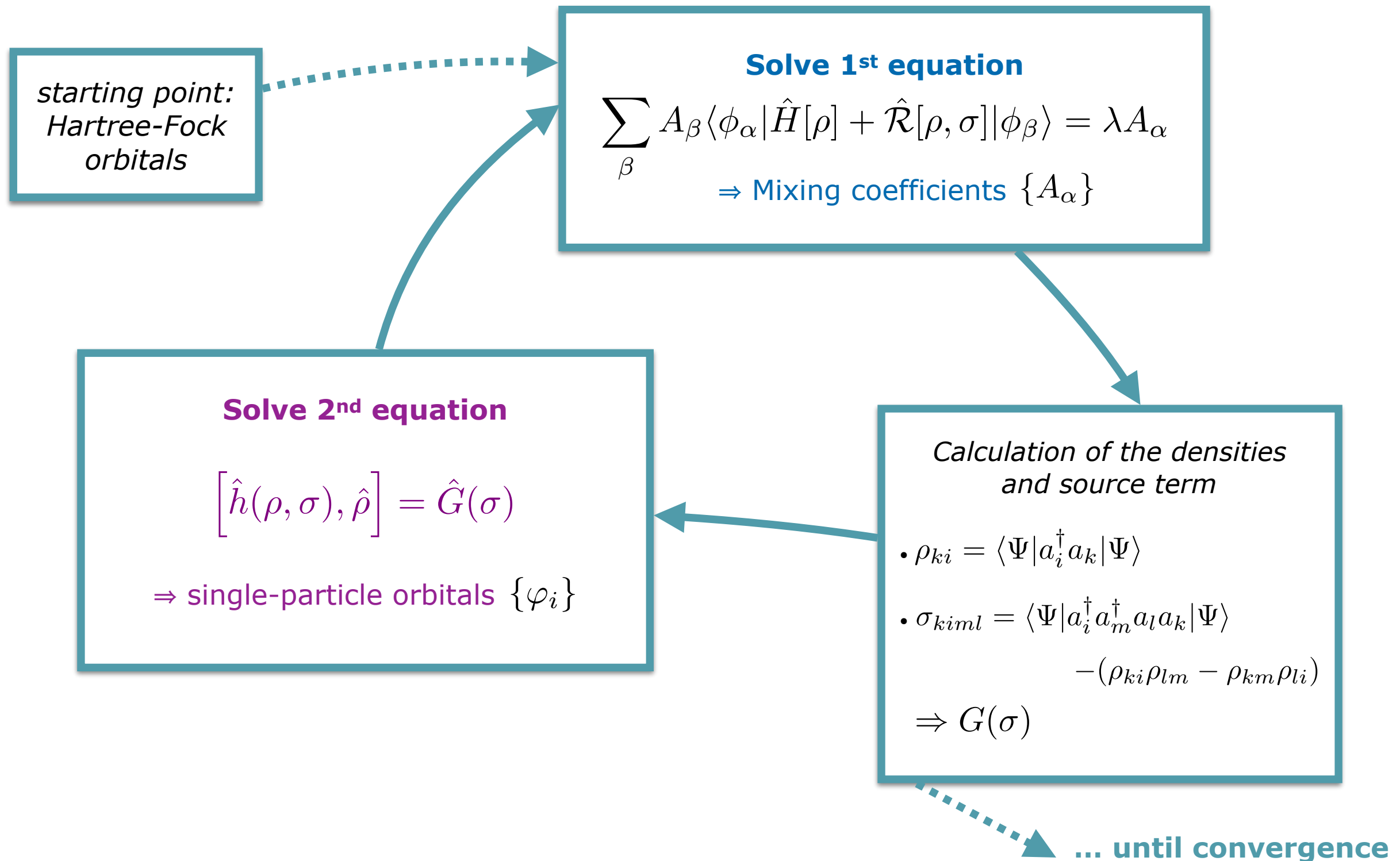
*rearrangement terms*

# Outline

- ◆ Formalism of the MPMH method
  - *role and interpretation of the orbital optimization*
- ◆ Applications with the Gogny D1S interaction
  - ◆ Numerical algorithm
    - *doubly iterative convergence process*
  - ◆ Description of even-even sd-shell nuclei
    - *Effect of the orbital optimization on ground and excited states properties: Charge radii, excitation energies, transition probabilities, inelastic electron and proton scattering...*
- ◆ Towards an "ab-initio" theory
  - *implementation of a chiral interaction: preliminaries*

# MPMH method: Numerical algorithm

➔ The full solution requires a doubly-iterative algorithm:



# MPMH method: Numerical algorithm

➔ The full solution requires a doubly-iterative algorithm:

large-scale shell-model techniques developed by E. Caurier (m-scheme)

starting point:  
Hartree-Fock  
orbitals

## Solve 1<sup>st</sup> equation

$$\sum_{\beta} A_{\beta} \langle \phi_{\alpha} | \hat{H}[\rho] + \hat{\mathcal{R}}[\rho, \sigma] | \phi_{\beta} \rangle = \lambda A_{\alpha}$$

⇒ Mixing coefficients  $\{A_{\alpha}\}$

## Solve 2<sup>nd</sup> equation

$$[\hat{h}(\rho, \sigma), \hat{\rho}] = \hat{G}(\sigma)$$

⇒ single-particle orbitals  $\{\varphi_i\}$

Calculation of the densities  
and source term

- $\rho_{ki} = \langle \Psi | a_i^{\dagger} a_k | \Psi \rangle$
- $\sigma_{kiml} = \langle \Psi | a_i^{\dagger} a_m^{\dagger} a_l a_k | \Psi \rangle$   
 $-(\rho_{ki} \rho_{lm} - \rho_{km} \rho_{li})$

⇒  $G(\sigma)$

... until convergence



# MPMH method: Numerical algorithm

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 $-(\rho_{ki}\rho_{lm} - \rho_{km}\rho_{li})$

⇒  $G(\sigma)$

... until convergence

# MPMH method: Numerical algorithm

The full solution requires a doubly-iterative algorithm:

**Solve the 2<sup>nd</sup> equation:**

$$\left[ \hat{h}(\rho, \sigma), \hat{\rho} \right] = \hat{G}(\sigma) \Leftrightarrow \left[ \hat{h}(\rho, \sigma) - \hat{Q}(\rho, \sigma), \hat{\rho} \right] = 0$$

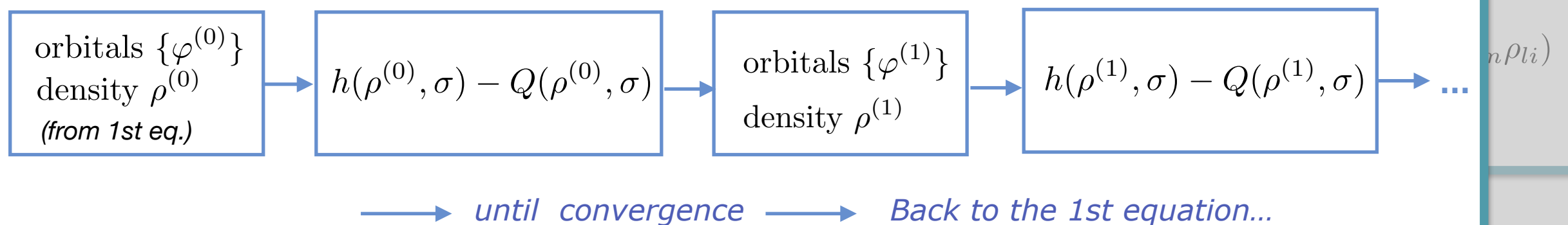
New "correlation field"

In the natural basis  $\hat{\rho}|\mu\rangle = n_\mu|\mu\rangle$

$$\begin{cases} Q_{\mu\nu}(\rho, \sigma) = \frac{G_{\mu\nu}(\sigma)}{n_\mu - n_\nu}, & \text{if } n_\mu \neq n_\nu \\ Q_{\mu\nu}(\rho, \sigma) = 0, & \text{otherwise.} \end{cases}$$

⇒ self-consistent single-particle states  $\{\varphi_i\} =$  eigenfunctions of  $h-Q$  and  $\rho$

⇒ non-linear problem ⇒ iterative solution:



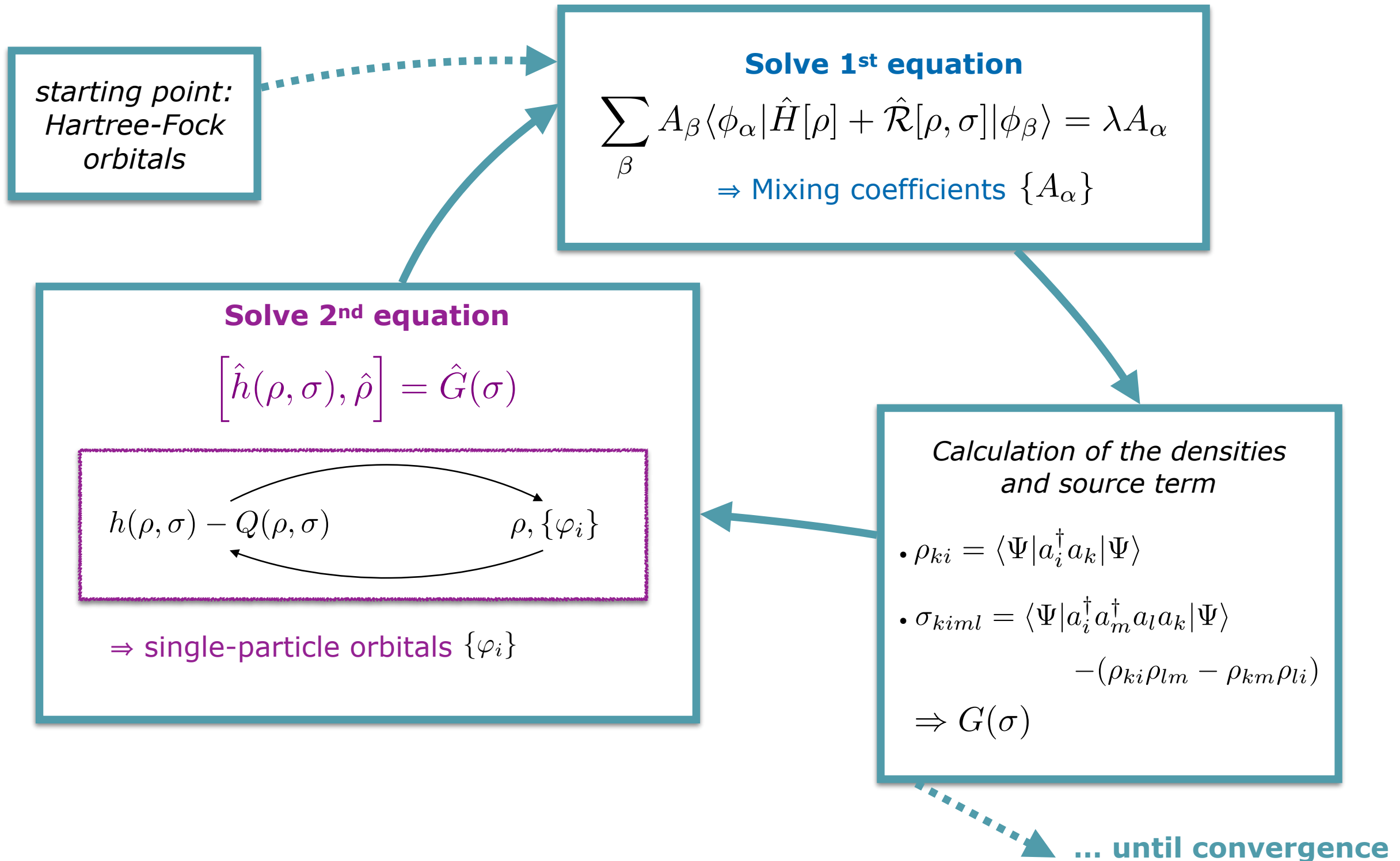
es

nρli)

ergence

# MPMH method: Numerical algorithm

➔ The full solution requires a doubly-iterative algorithm:



# Outline

- ◆ Formalism of the MPMH method

  - *role and interpretation of the orbital optimization*

- ◆ Applications with the Gogny D1S interaction

  - ◆ Numerical algorithm

    - *doubly iterative convergence process*

  - ◆ Description of even-even sd-shell nuclei

    - *Effect of the orbital optimization on ground and excited states properties: Charge radii, excitation energies, transition probabilities, inelastic electron and proton scattering...*

- ◆ Towards an "ab-initio" theory

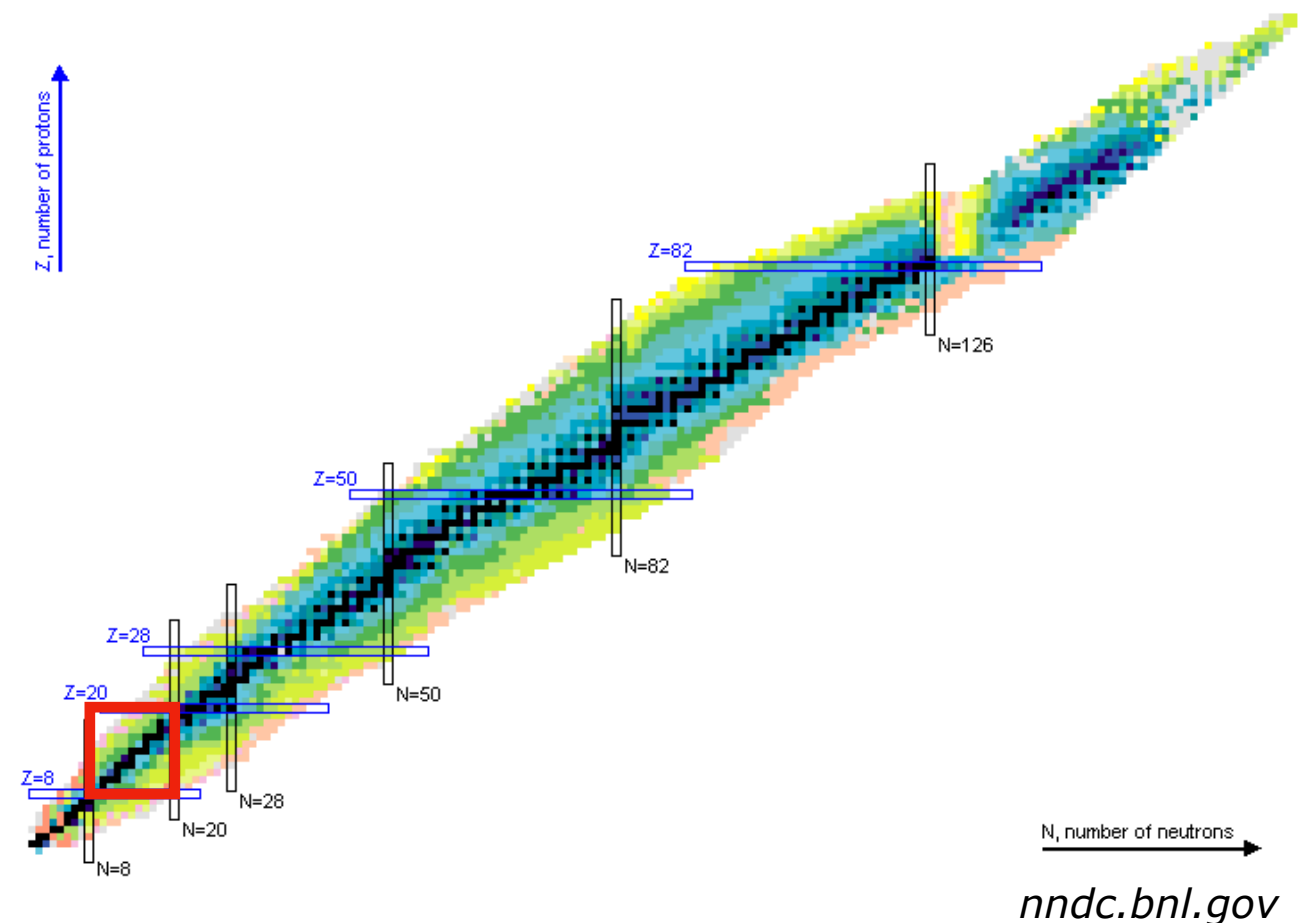
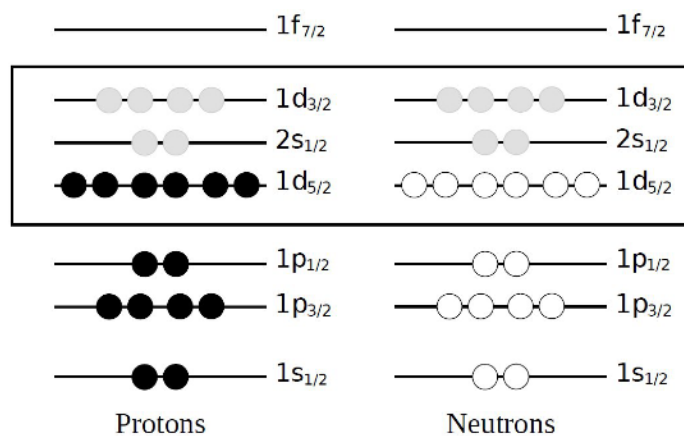
  - *implementation of a chiral interaction: preliminaries*

# Application to *sd*-shell nuclei with the Gogny force

## Framework

- Even-even nuclei with  $10 \leq (Z, N) \leq 18$
- truncation scheme: **core of  $^{16}\text{O}$  + valence space**
- 9 major oscillator shells

Ex:  $^{28}\text{Si} \rightarrow 12p-12h$



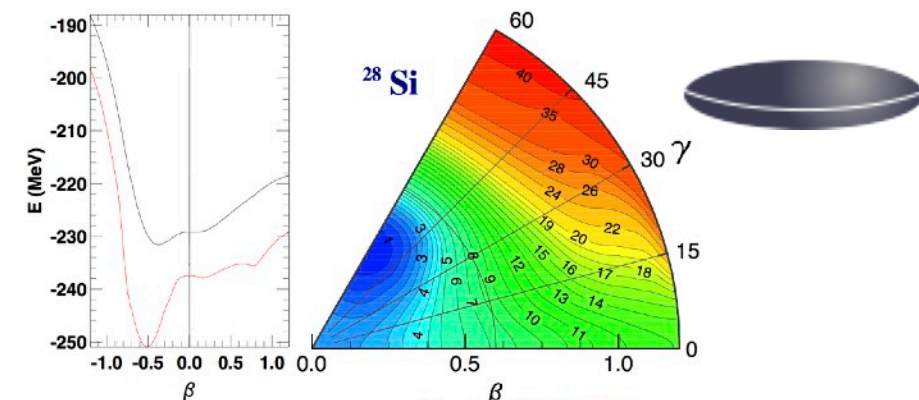
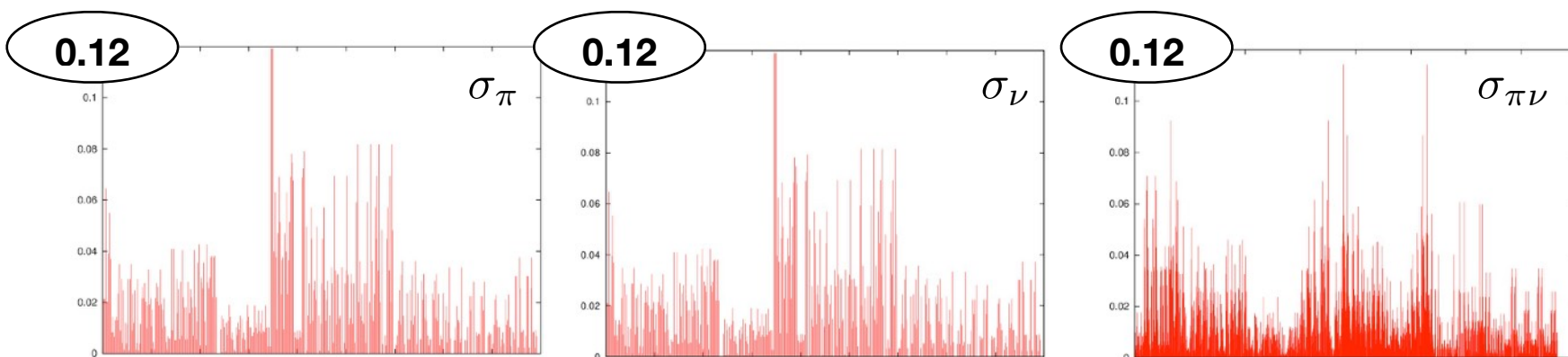
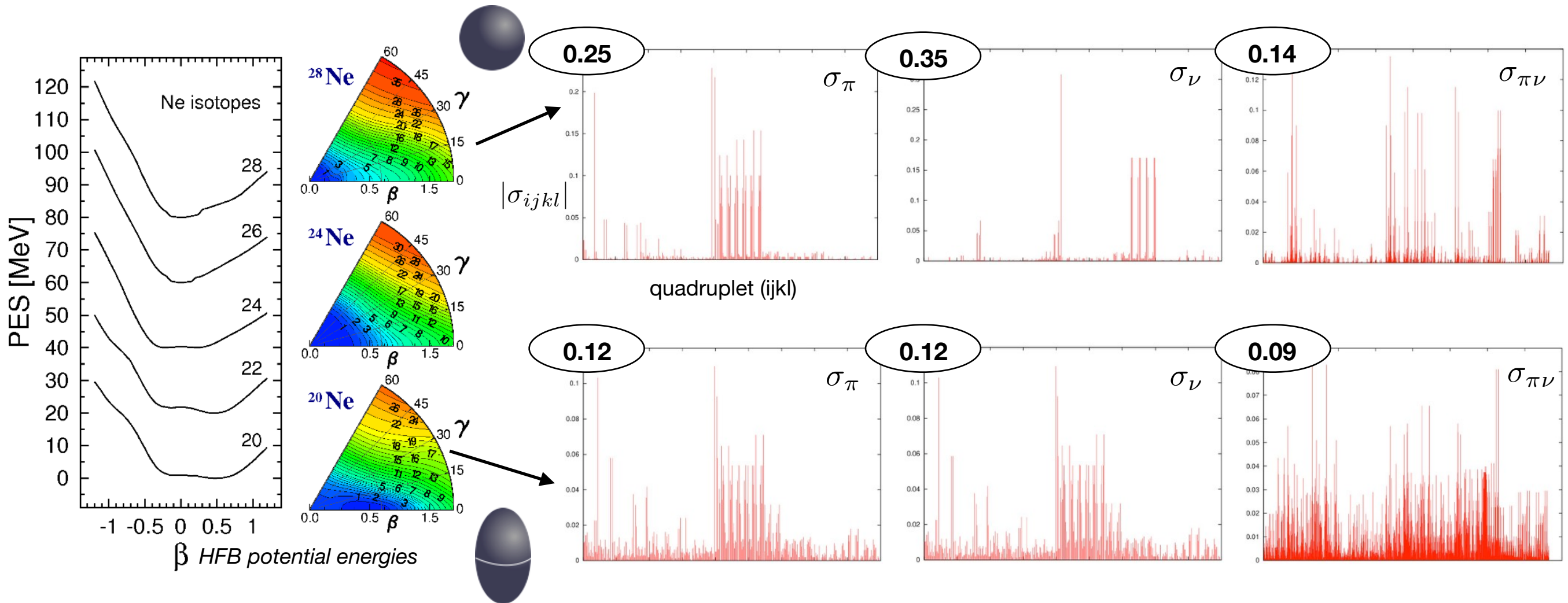
Calculation of ground- and excited-state properties:

- ▶ Binding and separation energies, charge radii
  - ▶ Excitation energies
  - ▶ Magnetic dipole moments and quadrupole spectroscopic moments
  - ▶ Transition probabilities  $B(E2)$ ,  $B(M1)$ ...
- ➔ How are these observables impacted by the optimization of orbitals?

# Application to *sd*-shell nuclei with the Gogny force

Symmetry-preserving scheme

➔ The information about deformation is contained in the two-body correlation matrices  $\sigma$  :



# Application to *sd*-shell nuclei with the Gogny force

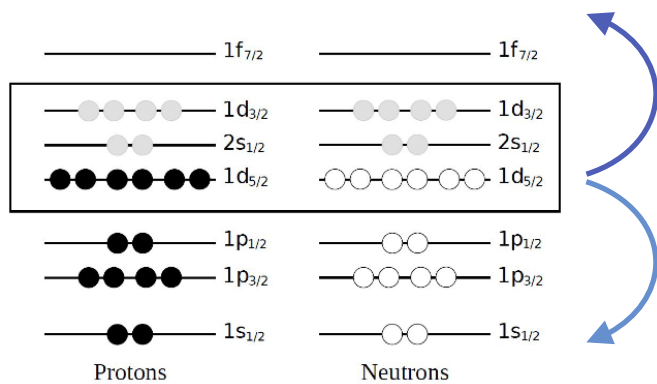
\* Source term of the orbital equation:

$$G_{ij}(\sigma) = \frac{1}{2} \sum_{klm} \tilde{V}_{kmjl} \sigma_{kiml} - \frac{1}{2} \sum_{klm} \tilde{V}_{kiml} \sigma_{jlk m}$$

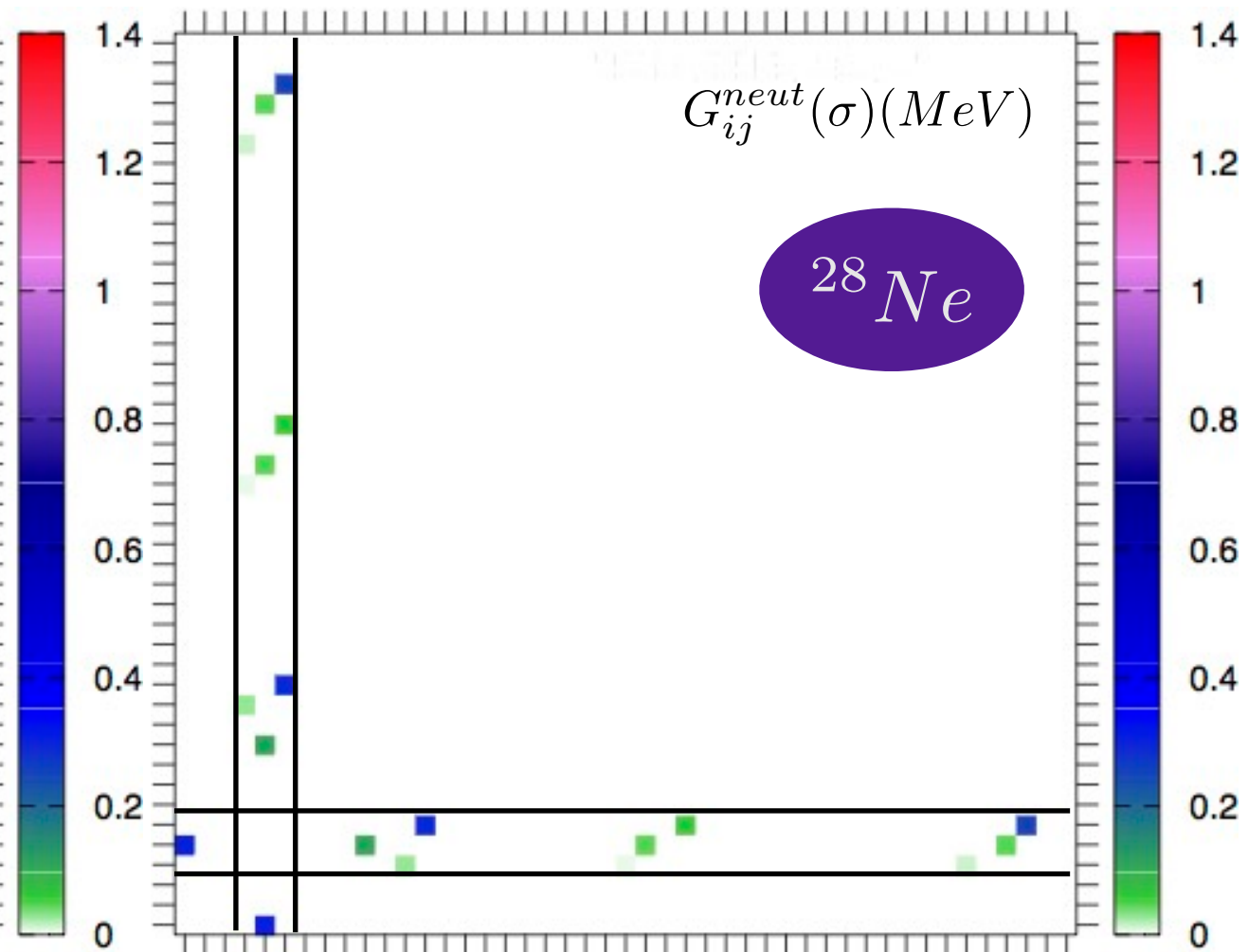
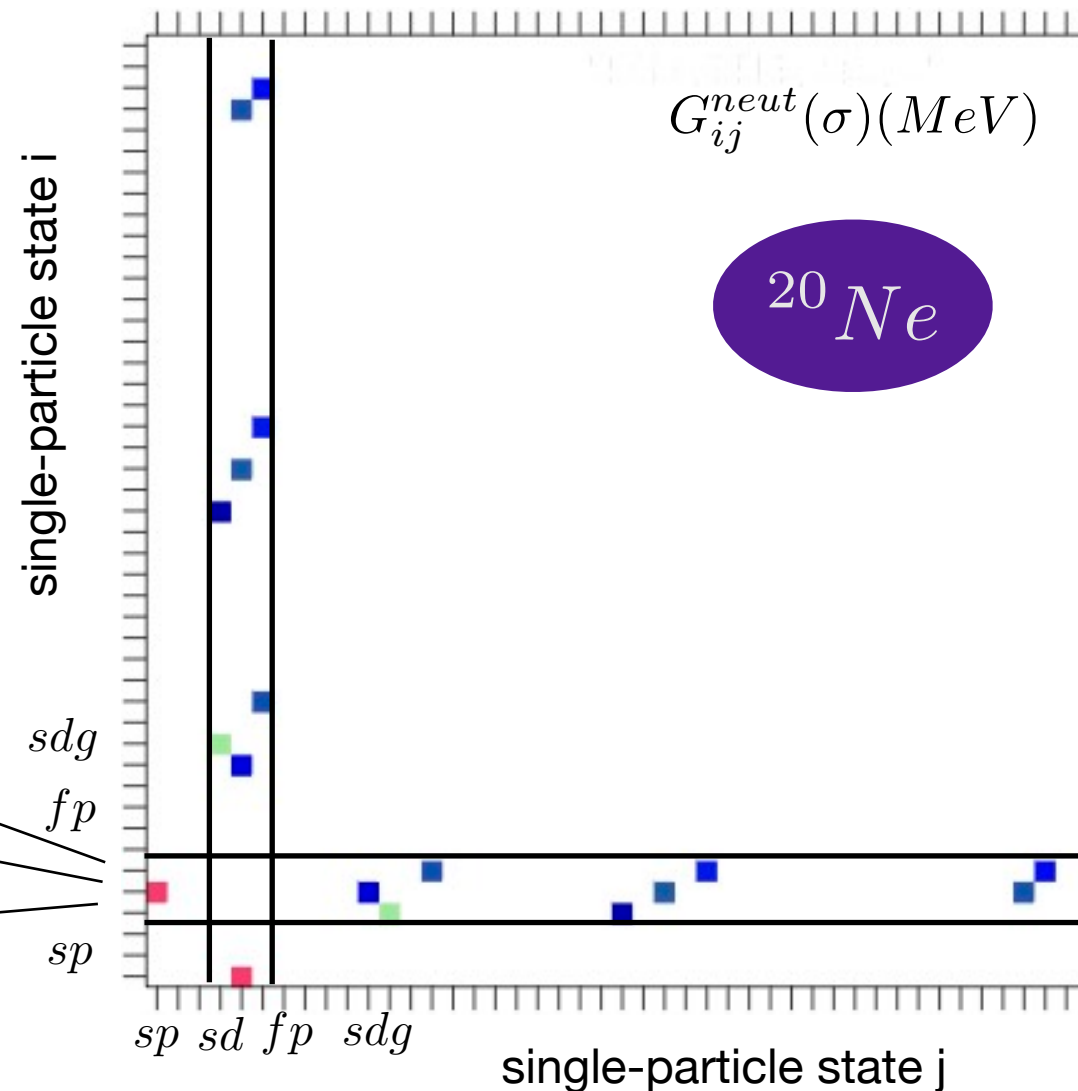
∈ whole basis

∈ valence space

⇒ Introduces couplings between the valence space and the rest of the single-particle basis.



At iteration #1:





# Application to *sd*-shell nuclei with the Gogny force

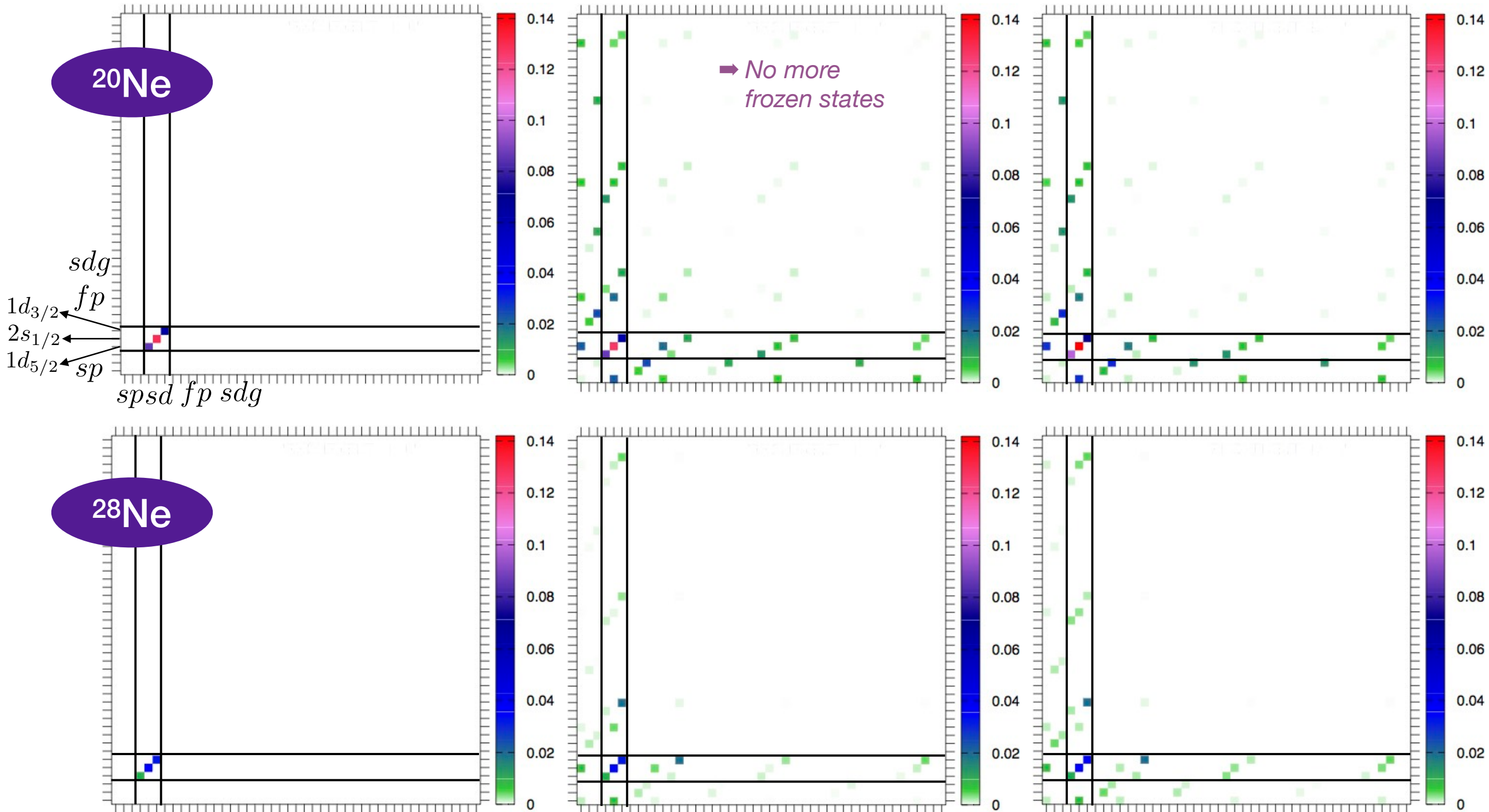
\* **One-body density matrix (neutrons):**

Representation of  $\Delta\rho = |\rho - \rho_{HF}^{(0)}|$  in the HF basis:

Equation 1 - iteration 1

Equations 1&2 - iteration 1

After convergence (iteration 22)





# Application to *sd*-shell nuclei with the Gogny force

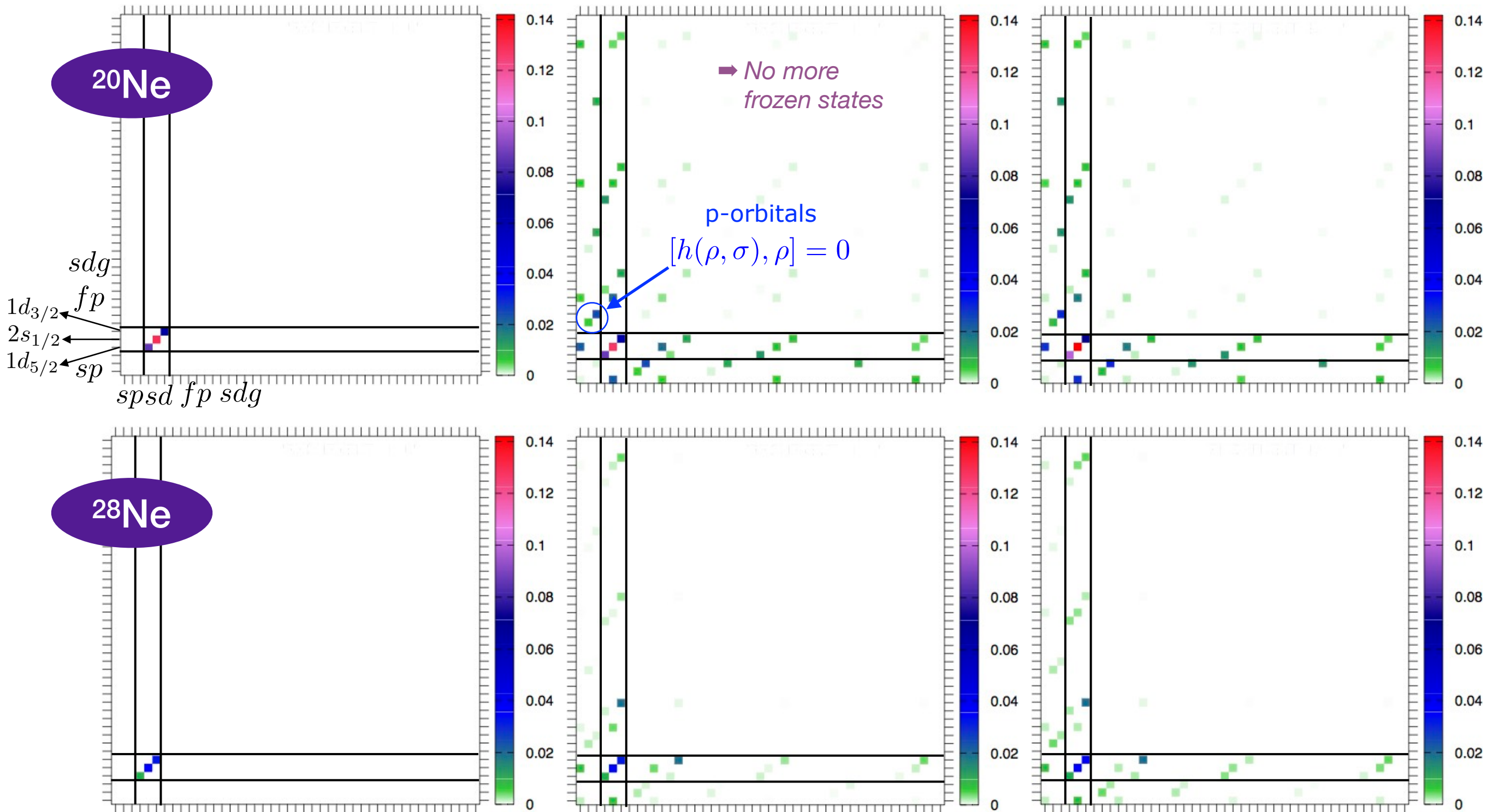
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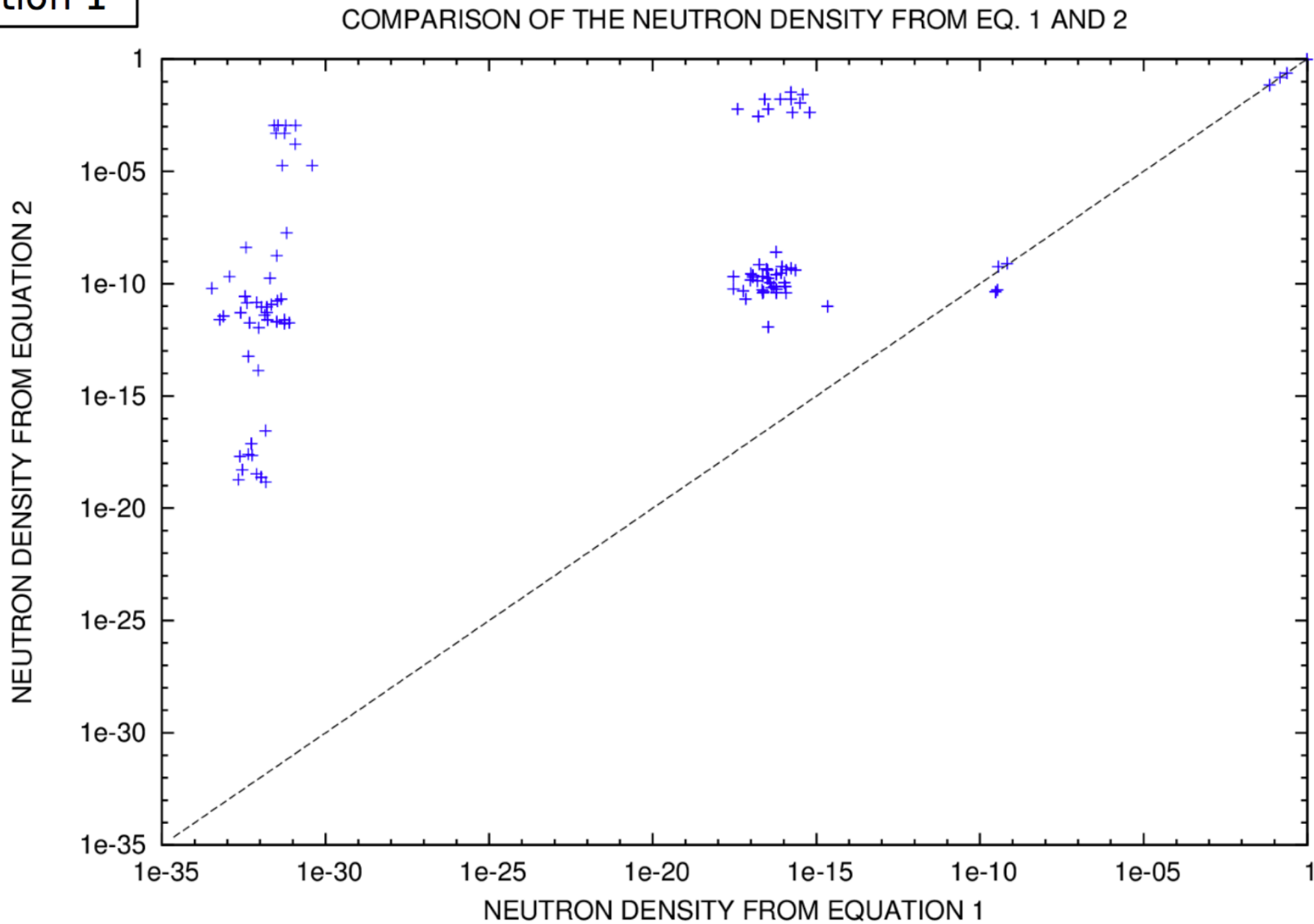


# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

**$^{20}\text{Ne}$**

Iteration 1



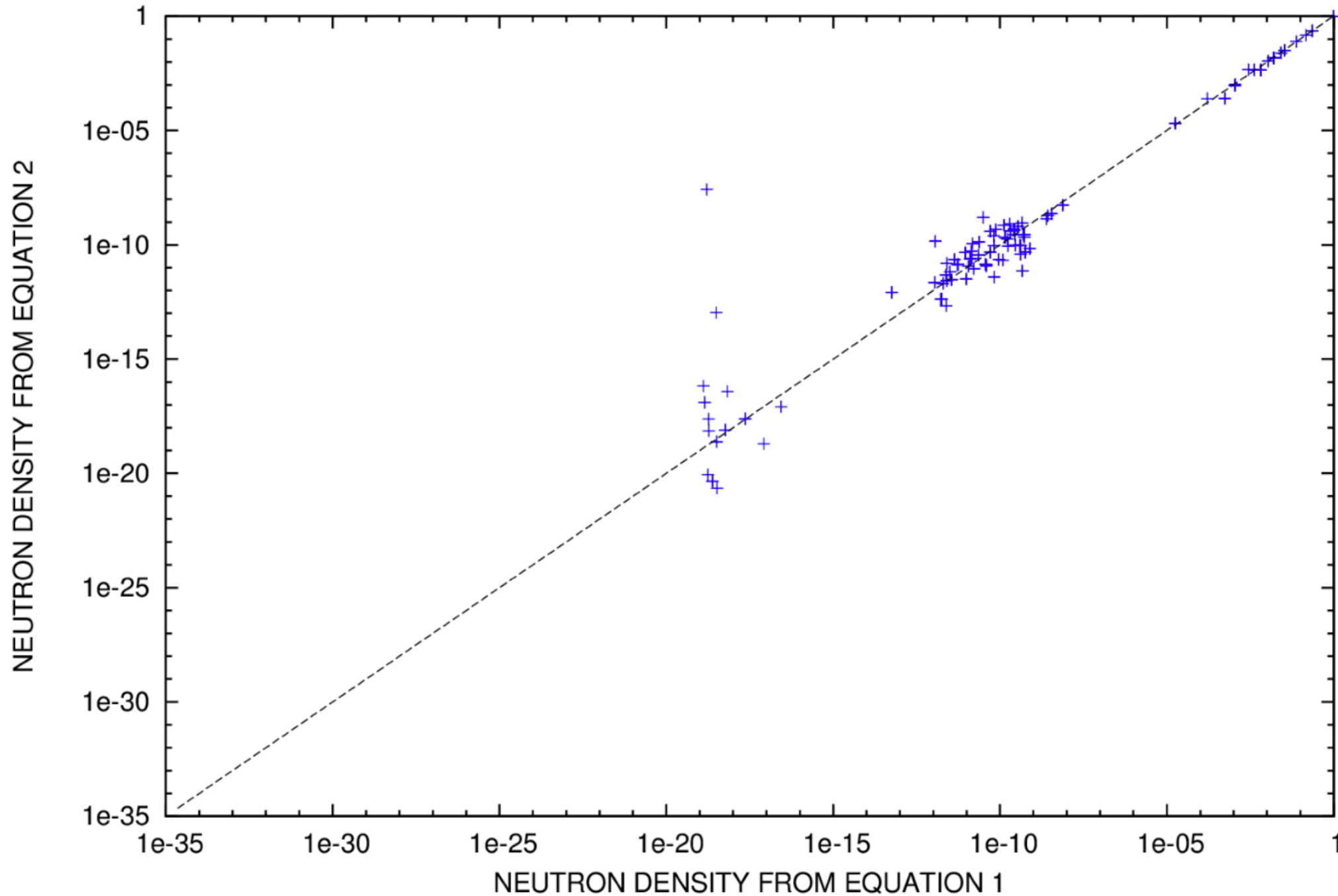
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 2

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2

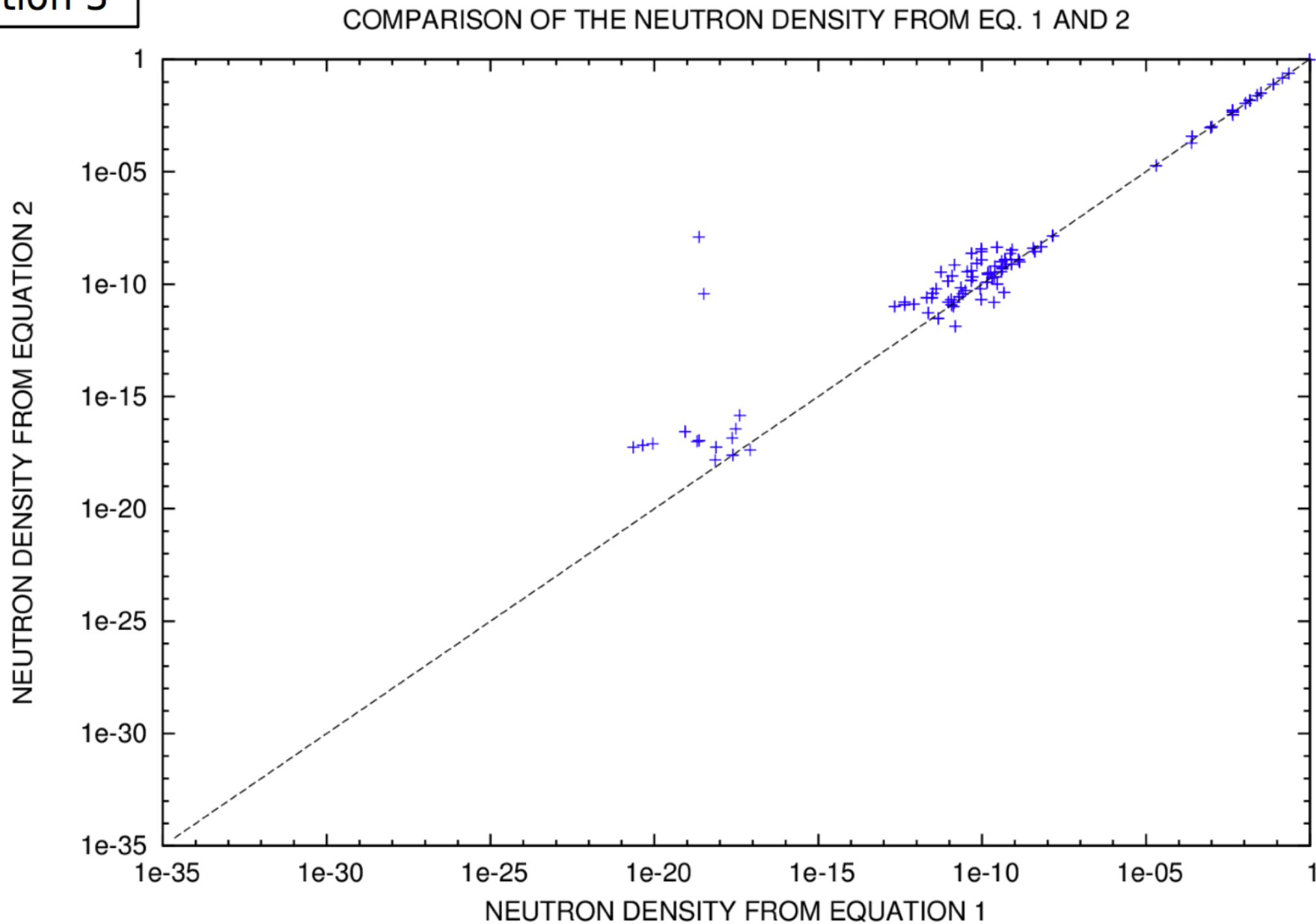


# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 3



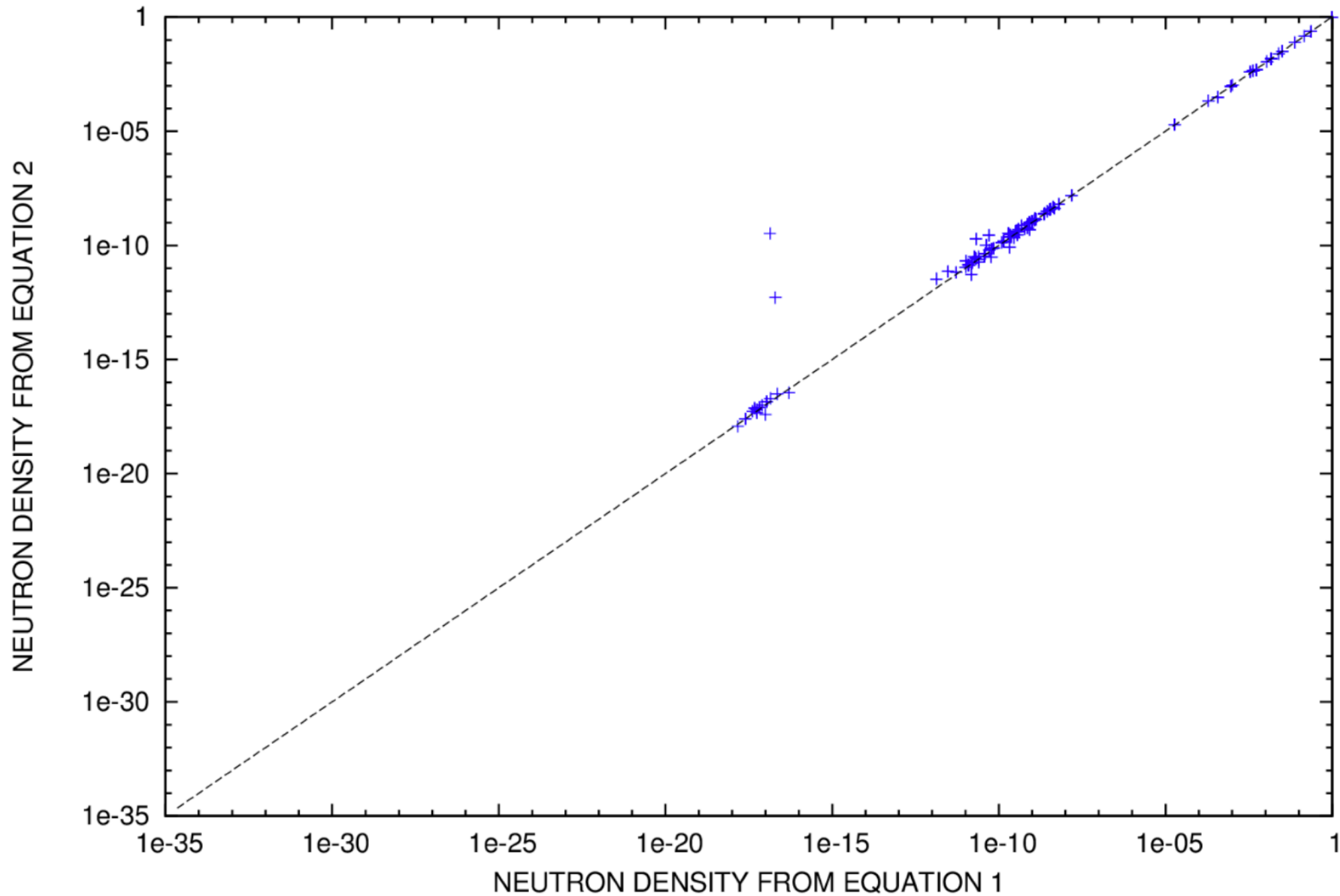
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 4

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



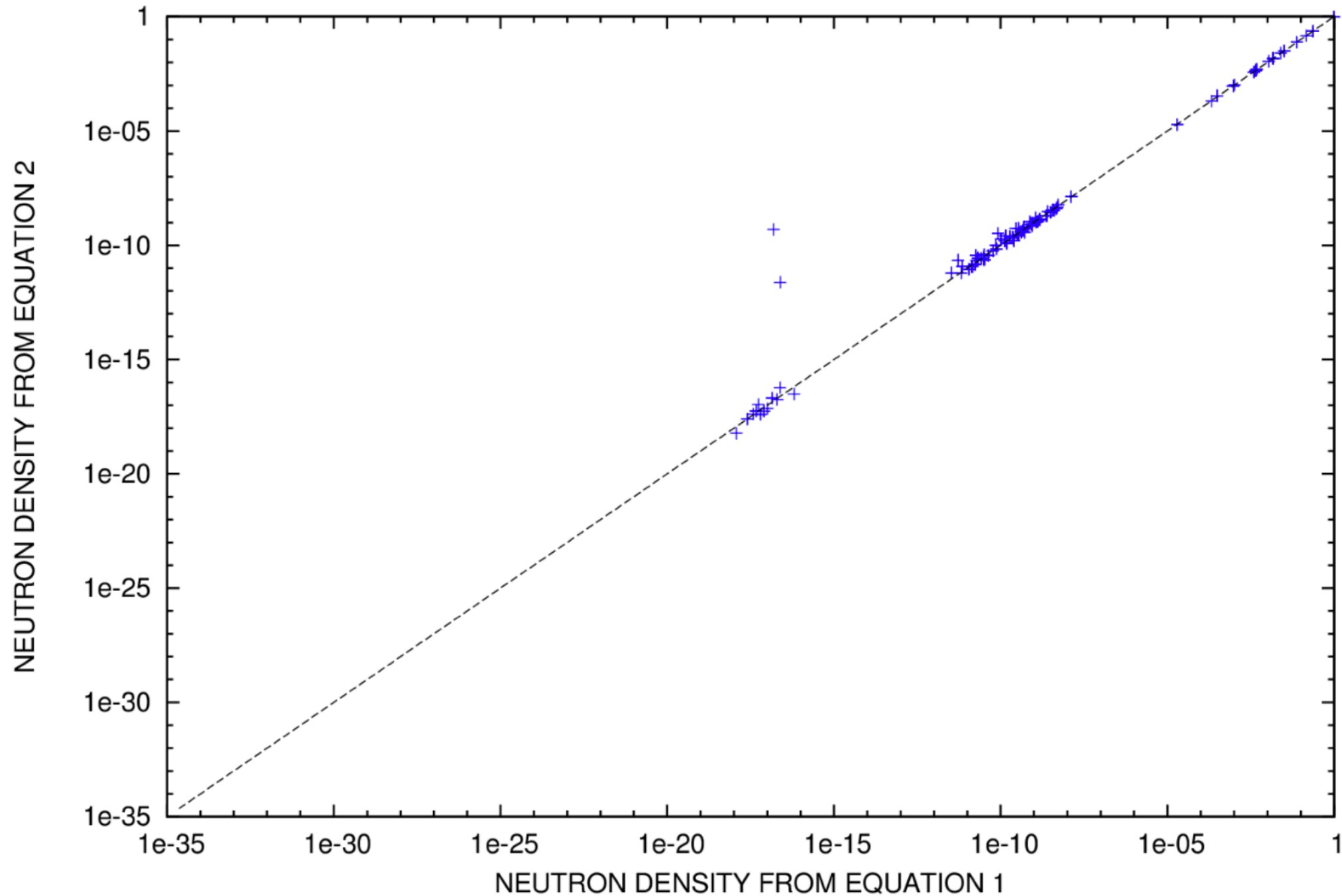
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 5

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



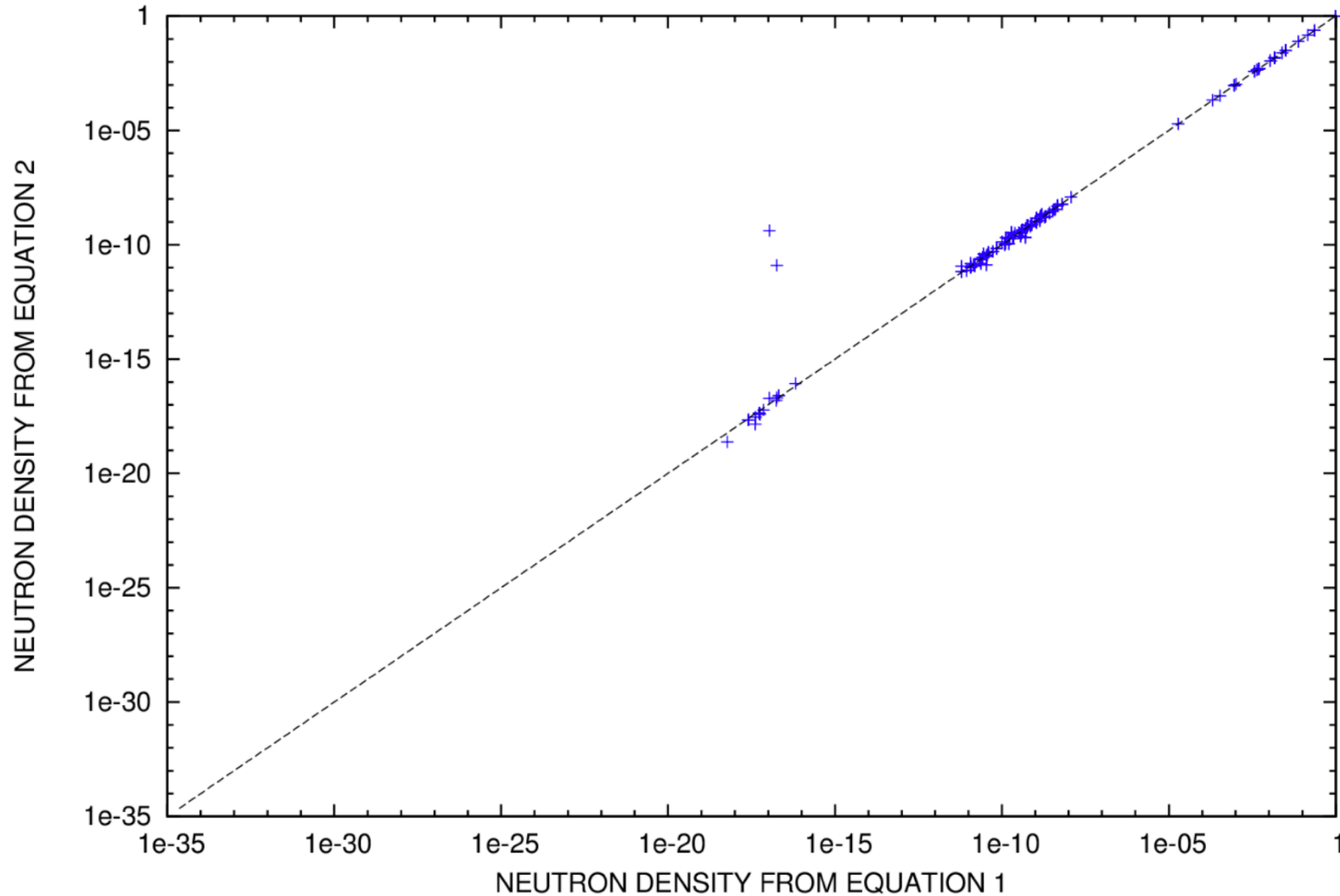
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 6

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



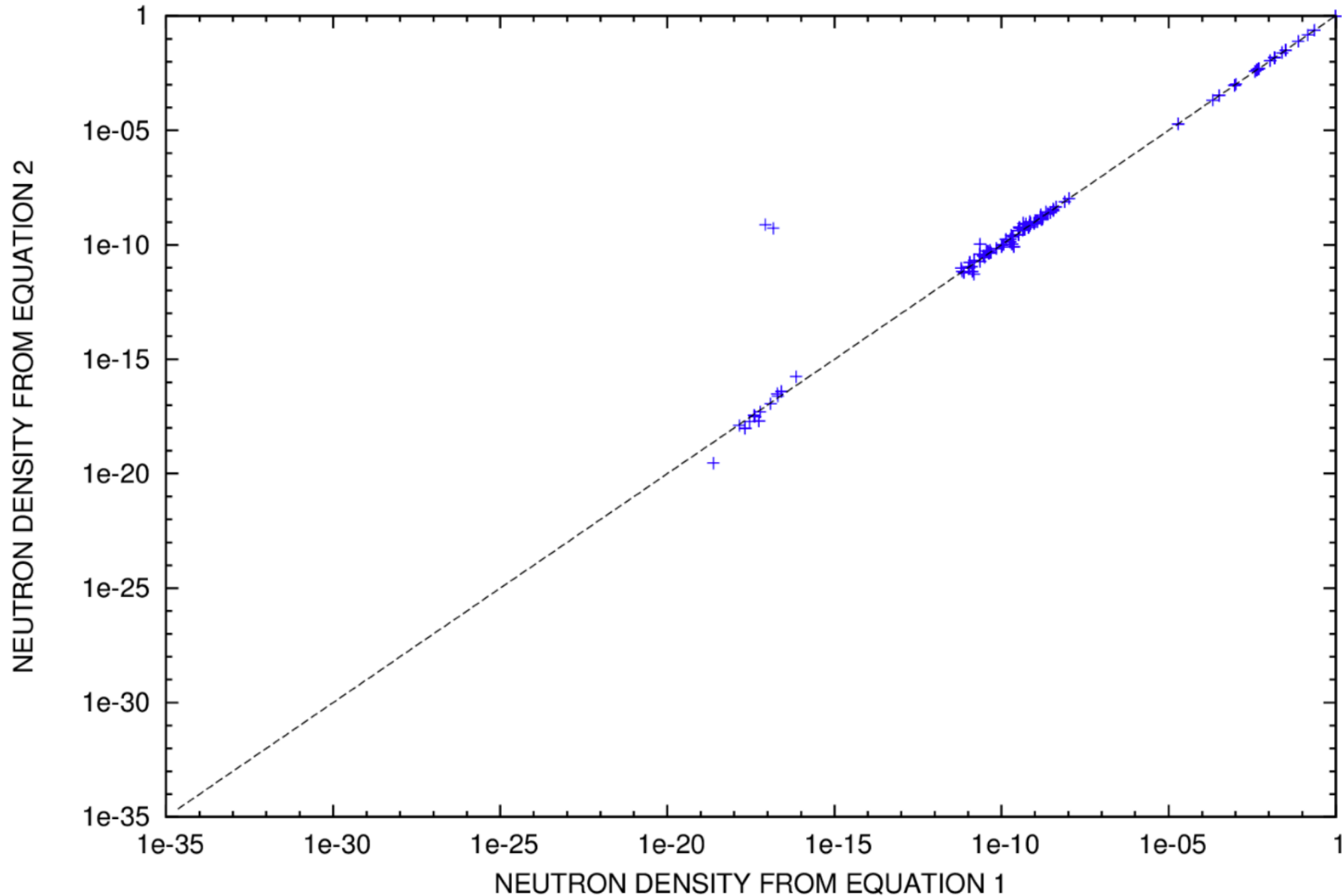
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 7

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2





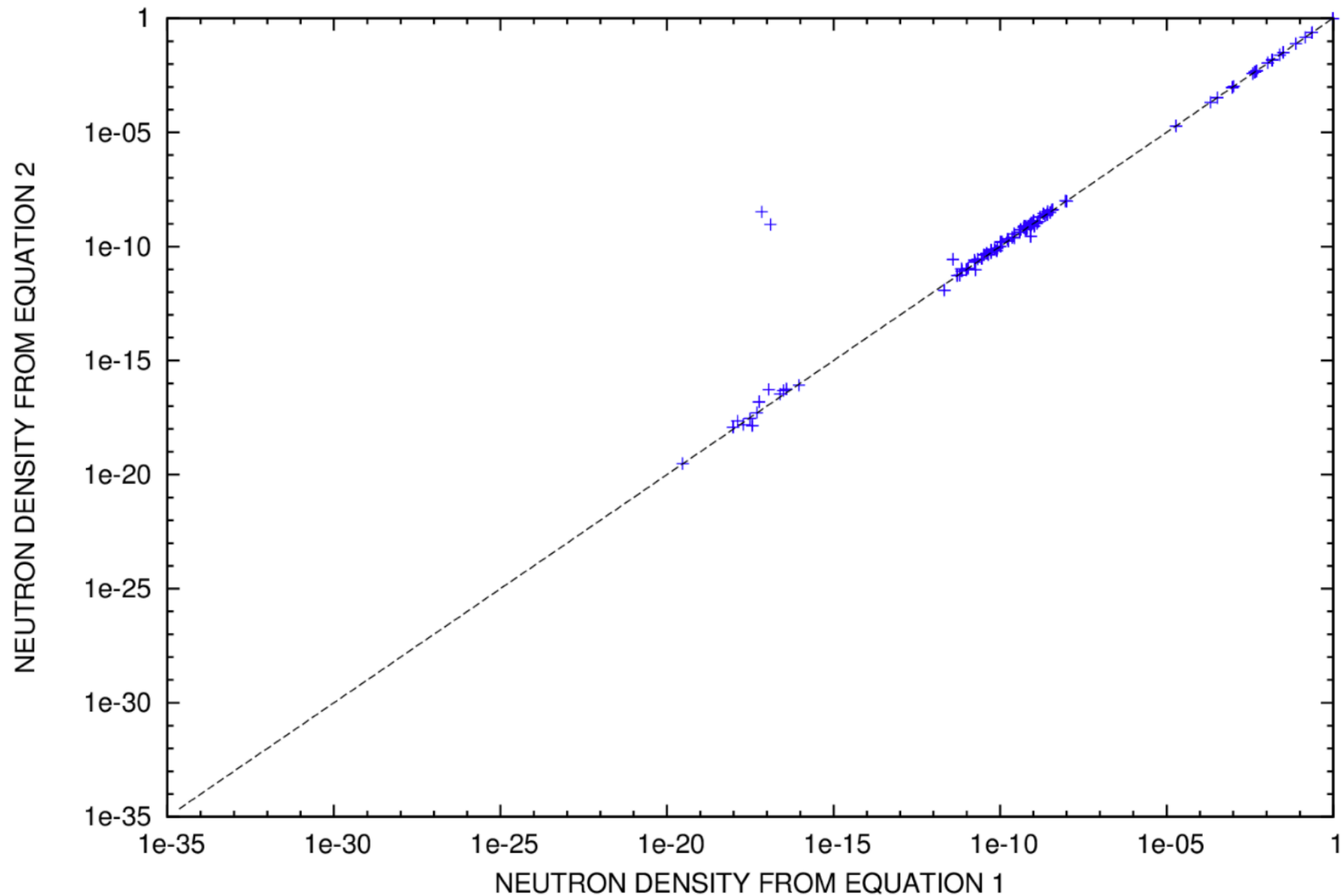
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 8

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



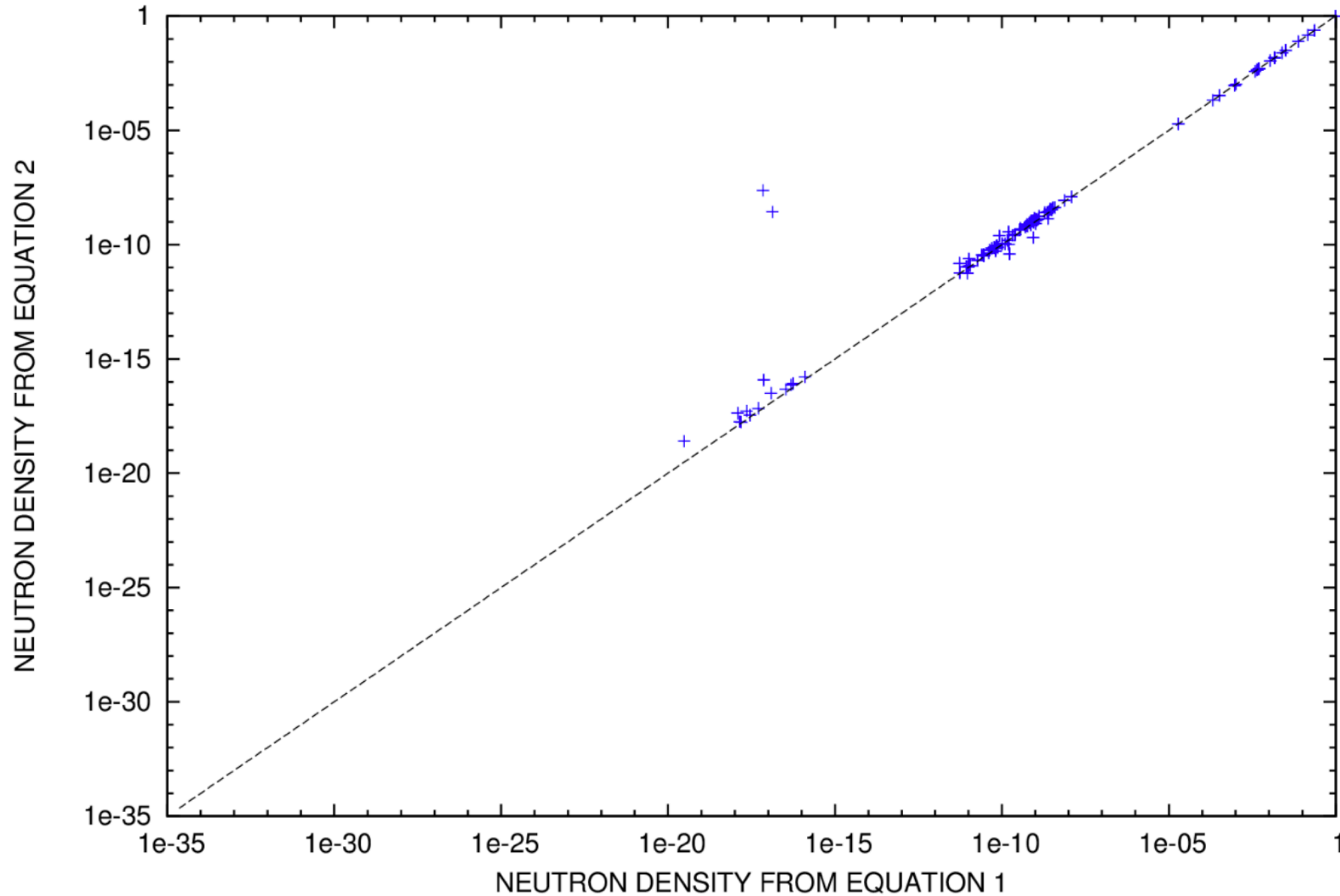
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

**$^{20}\text{Ne}$**

Iteration 9

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



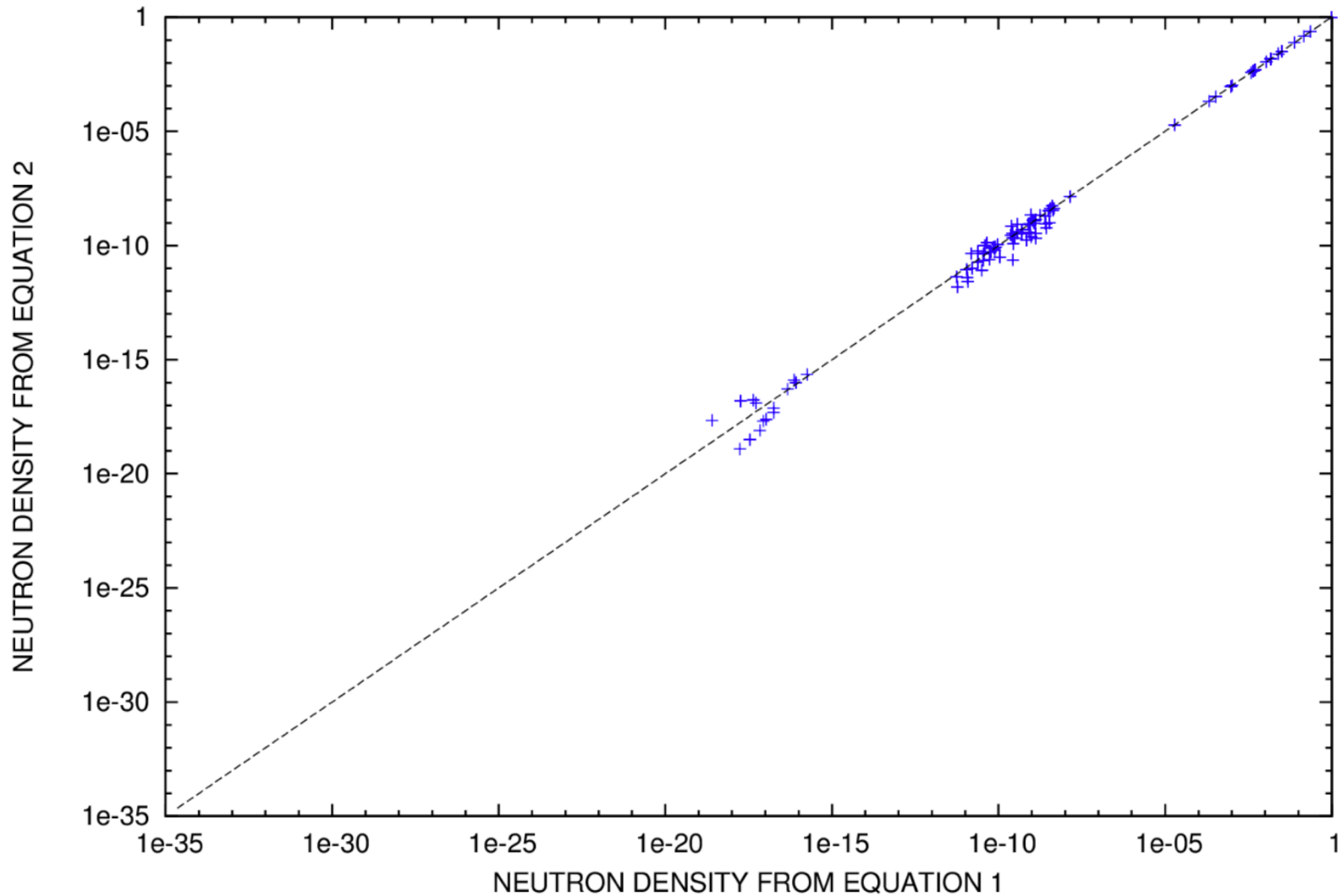
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 10

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



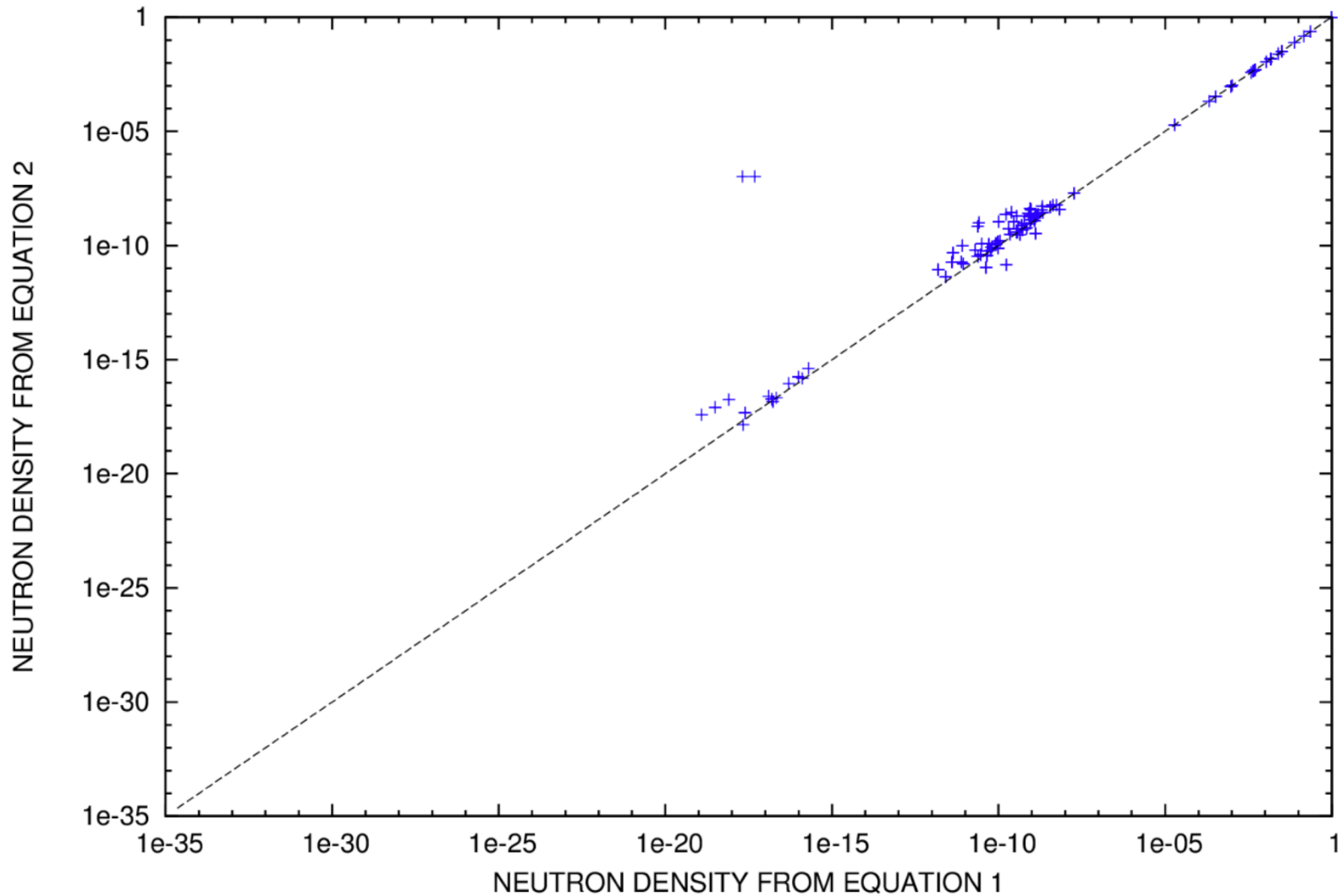
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 11

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



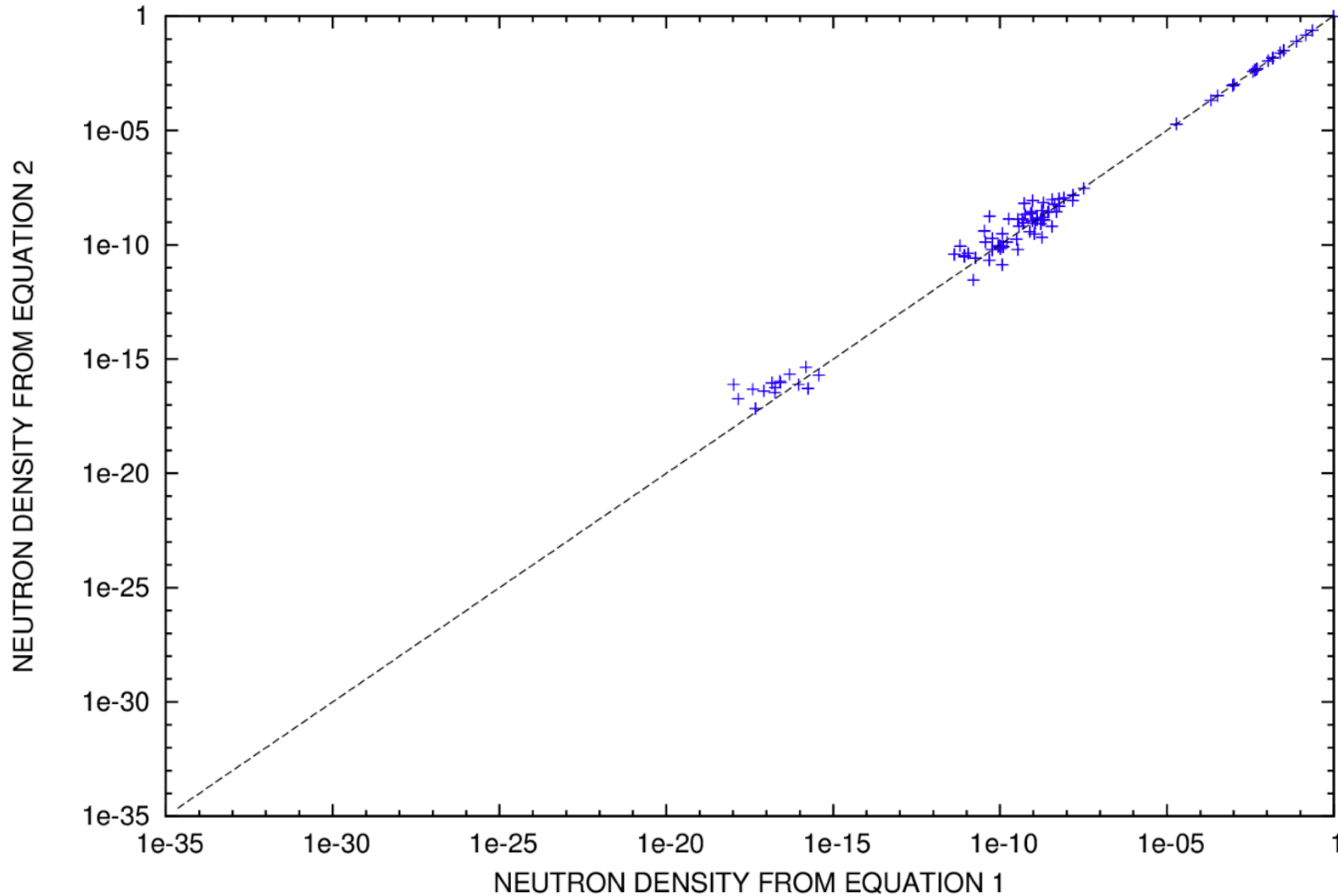
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

**$^{20}\text{Ne}$**

Iteration 12

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



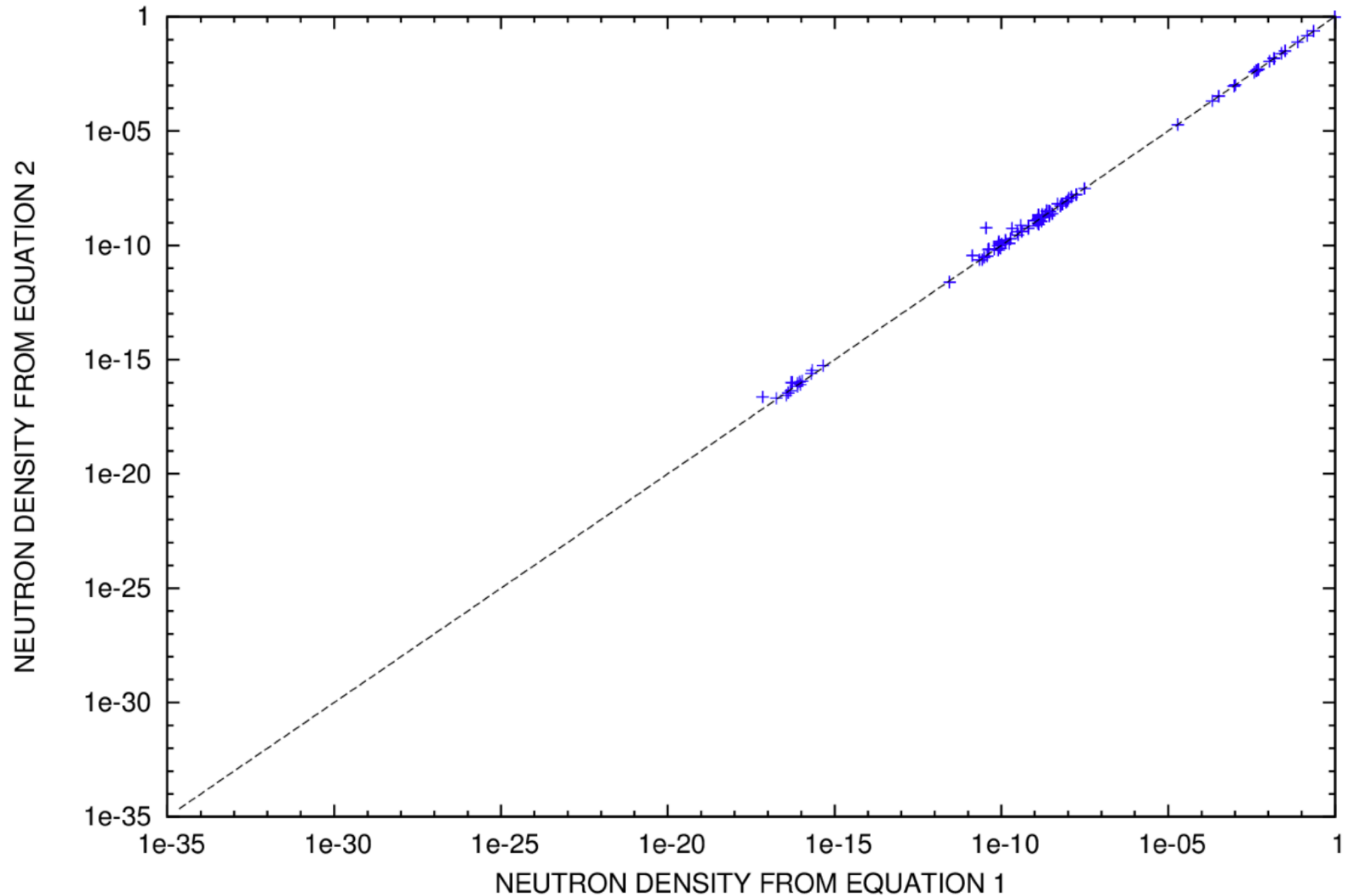
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

**$^{20}\text{Ne}$**

Iteration 13

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



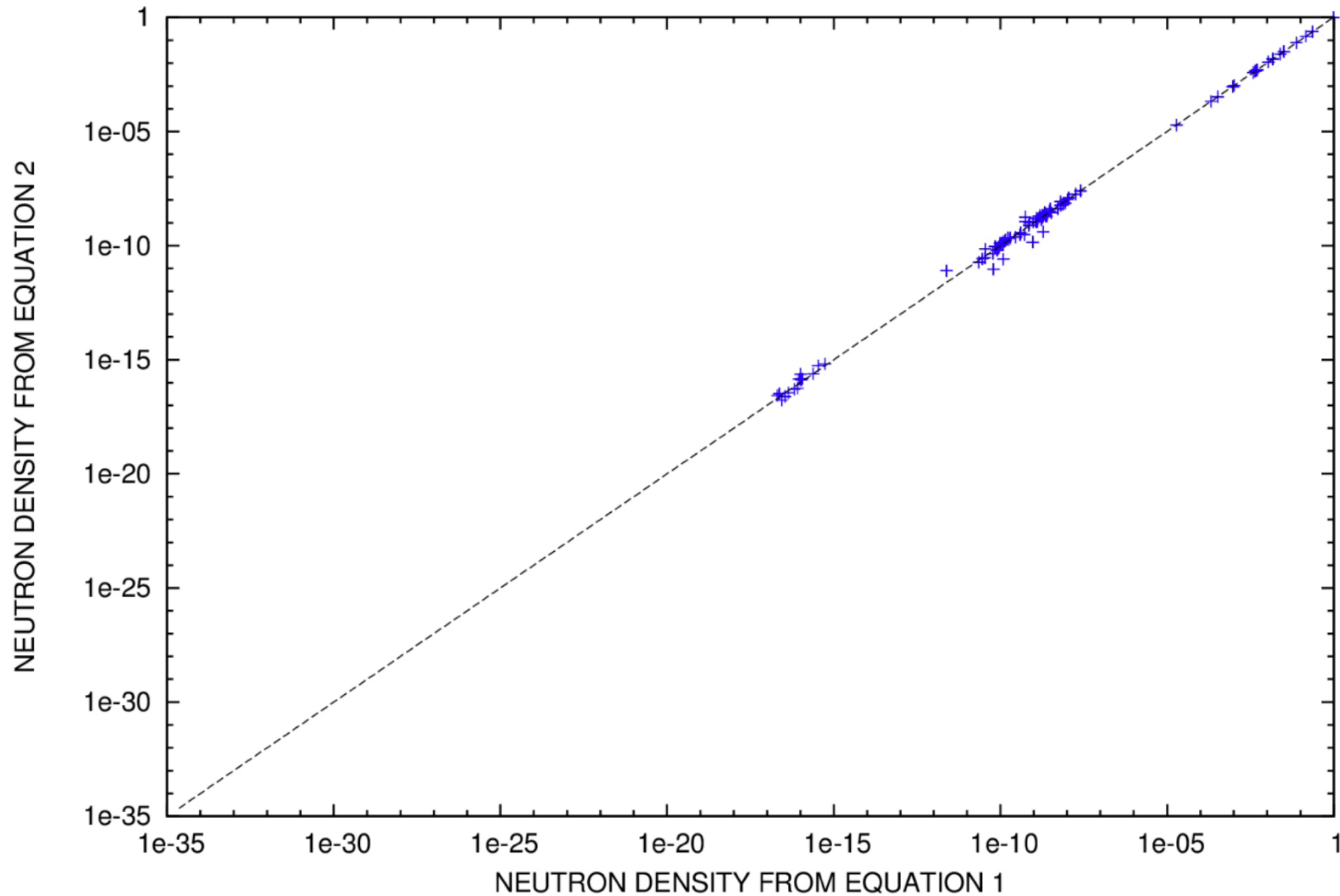
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 14

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



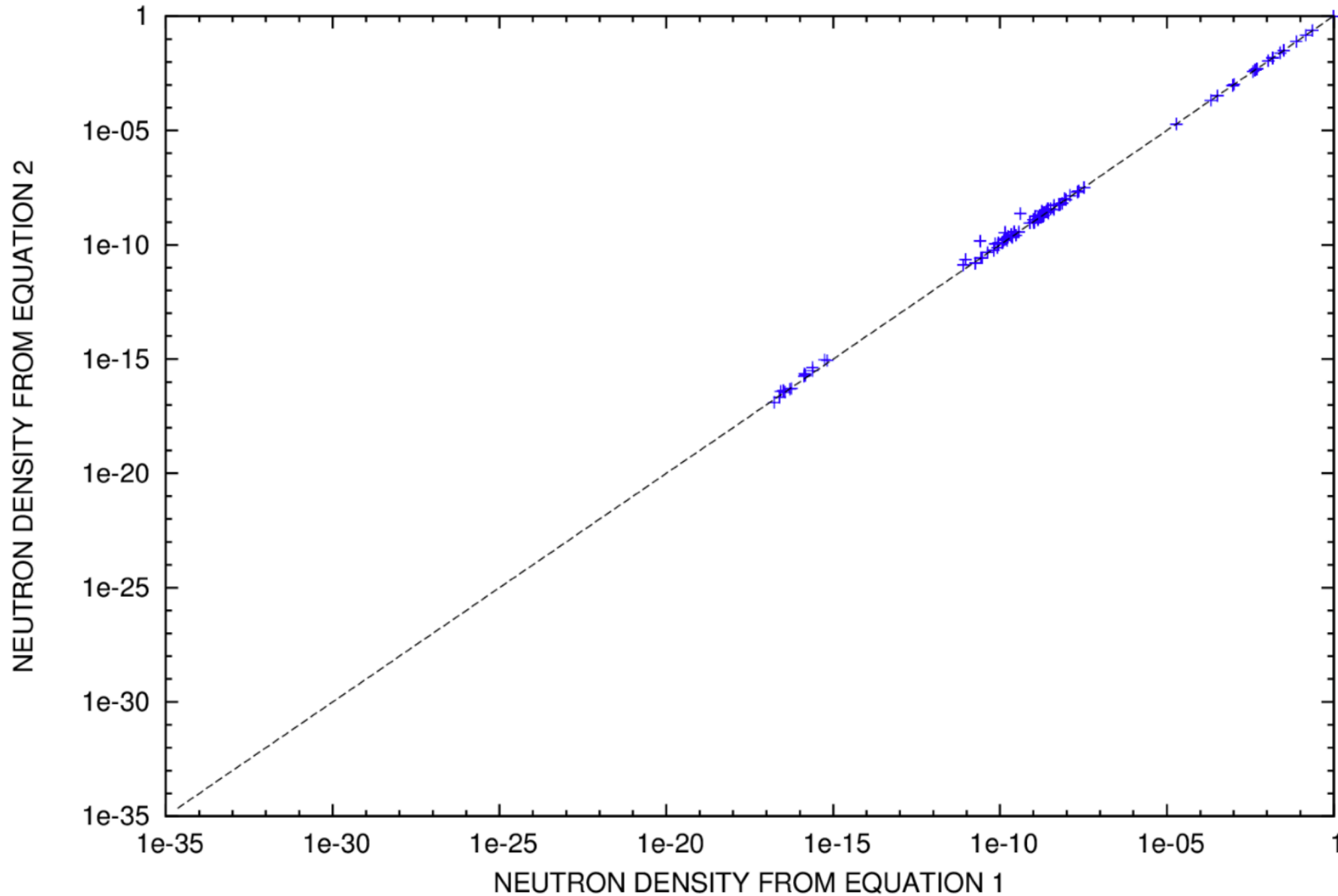
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 15

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2





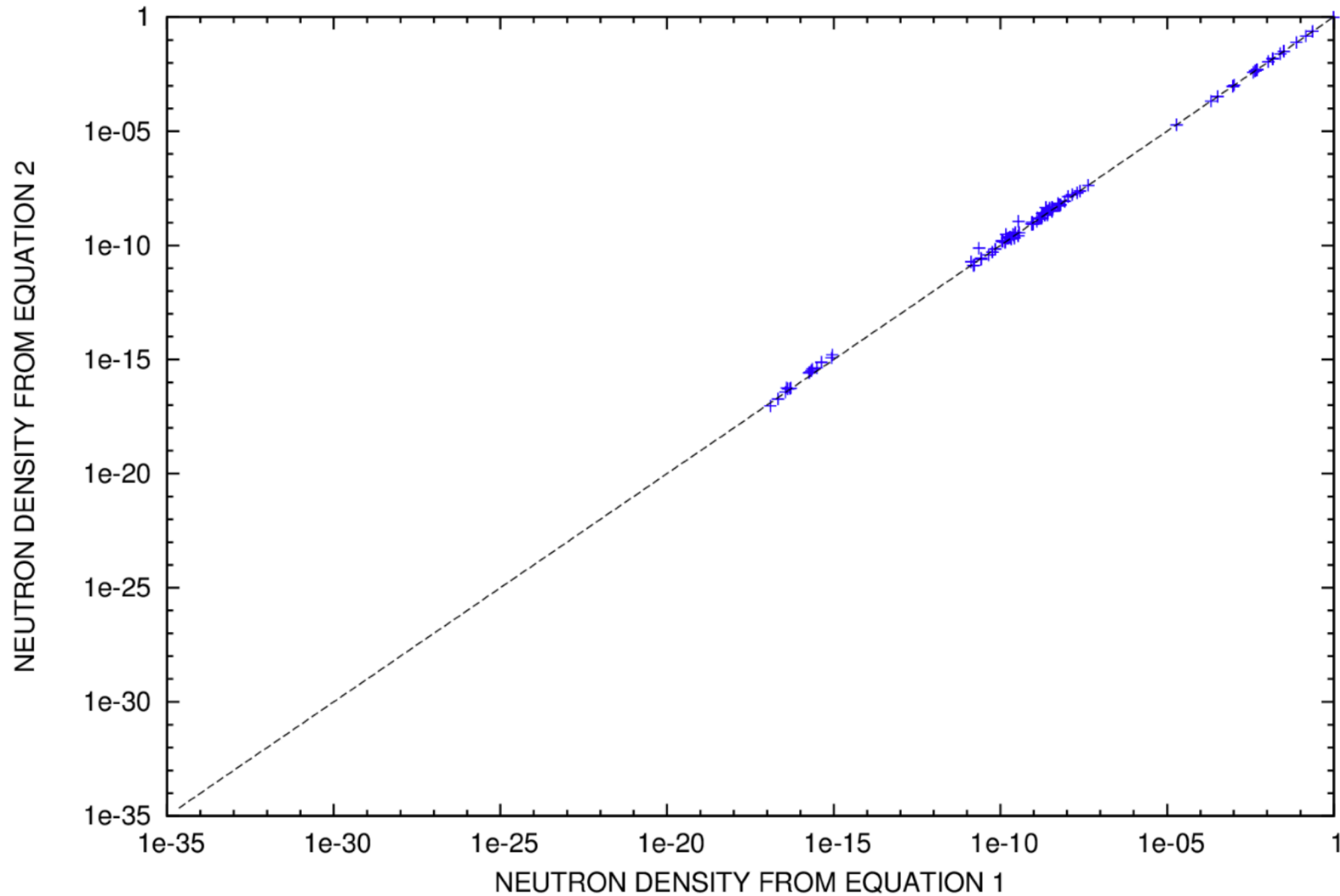
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 16

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



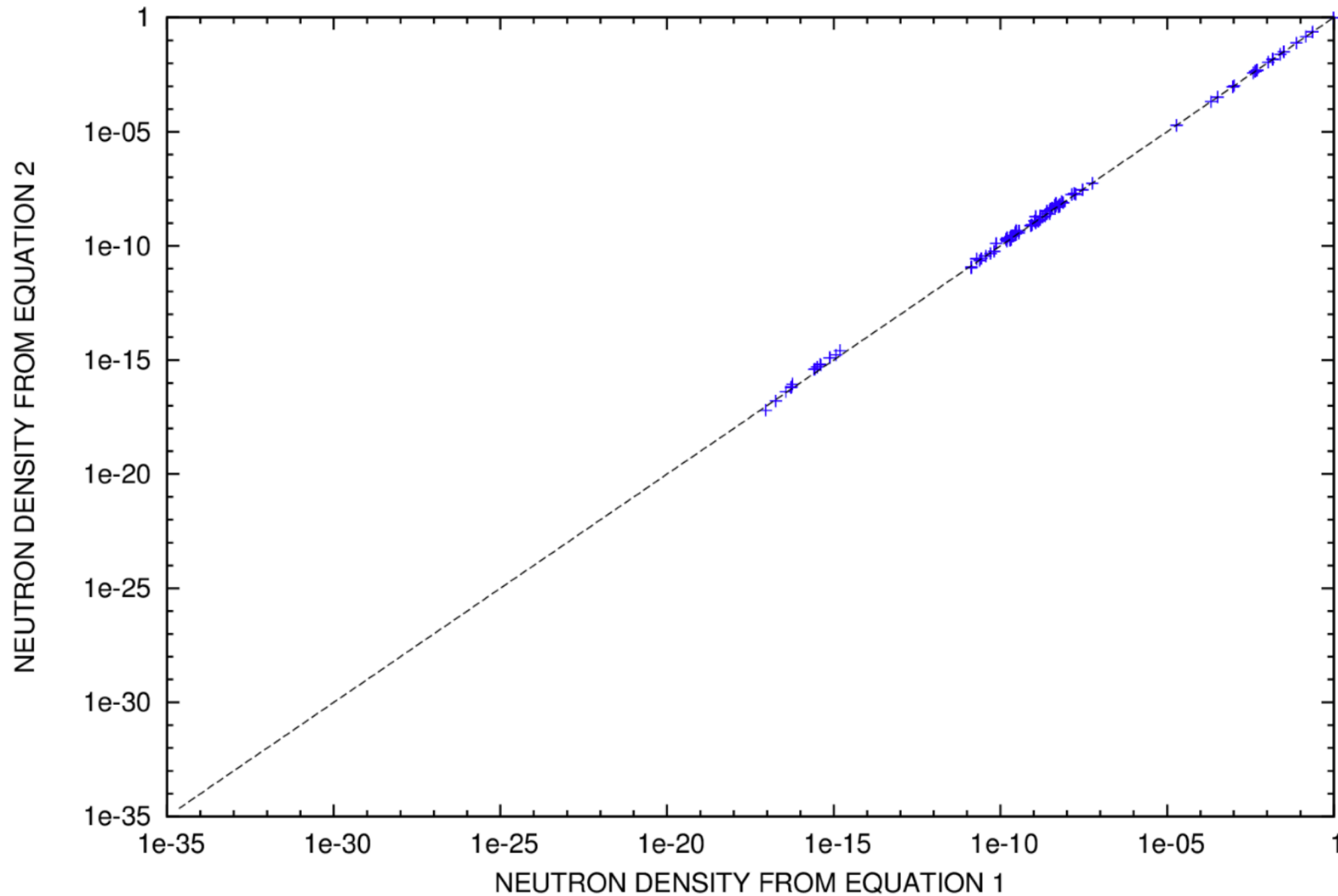
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 17

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



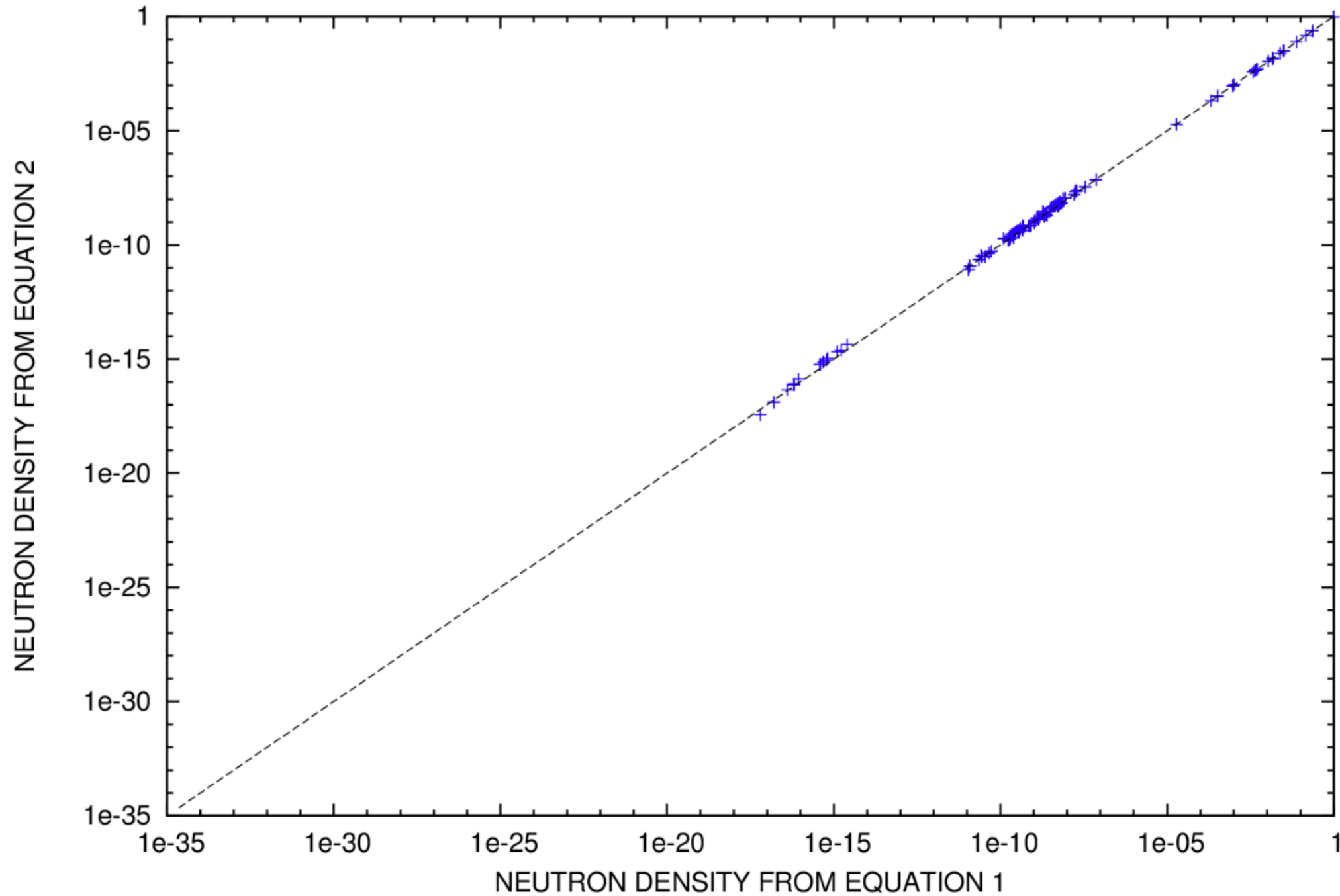
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 18

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



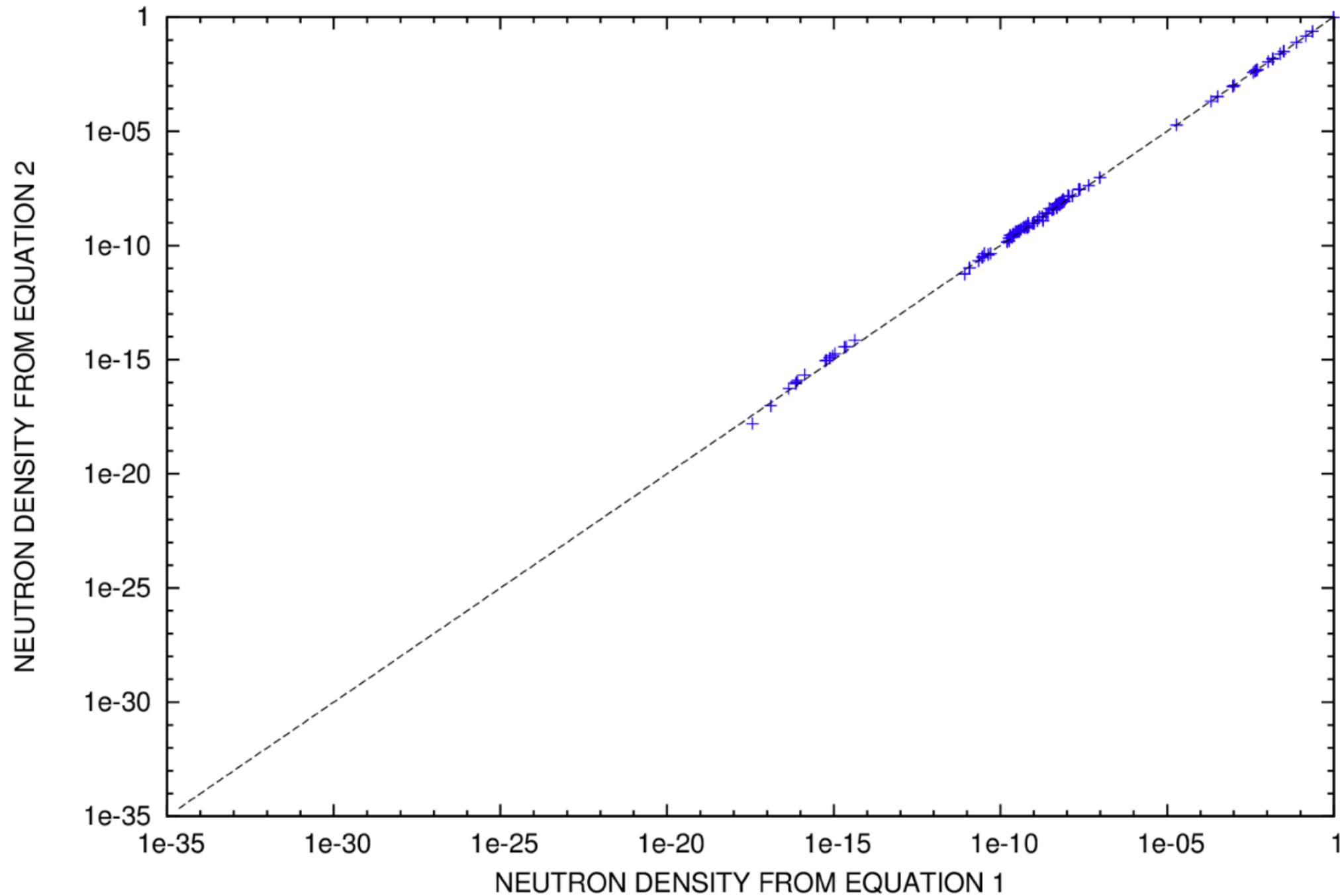
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 19

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



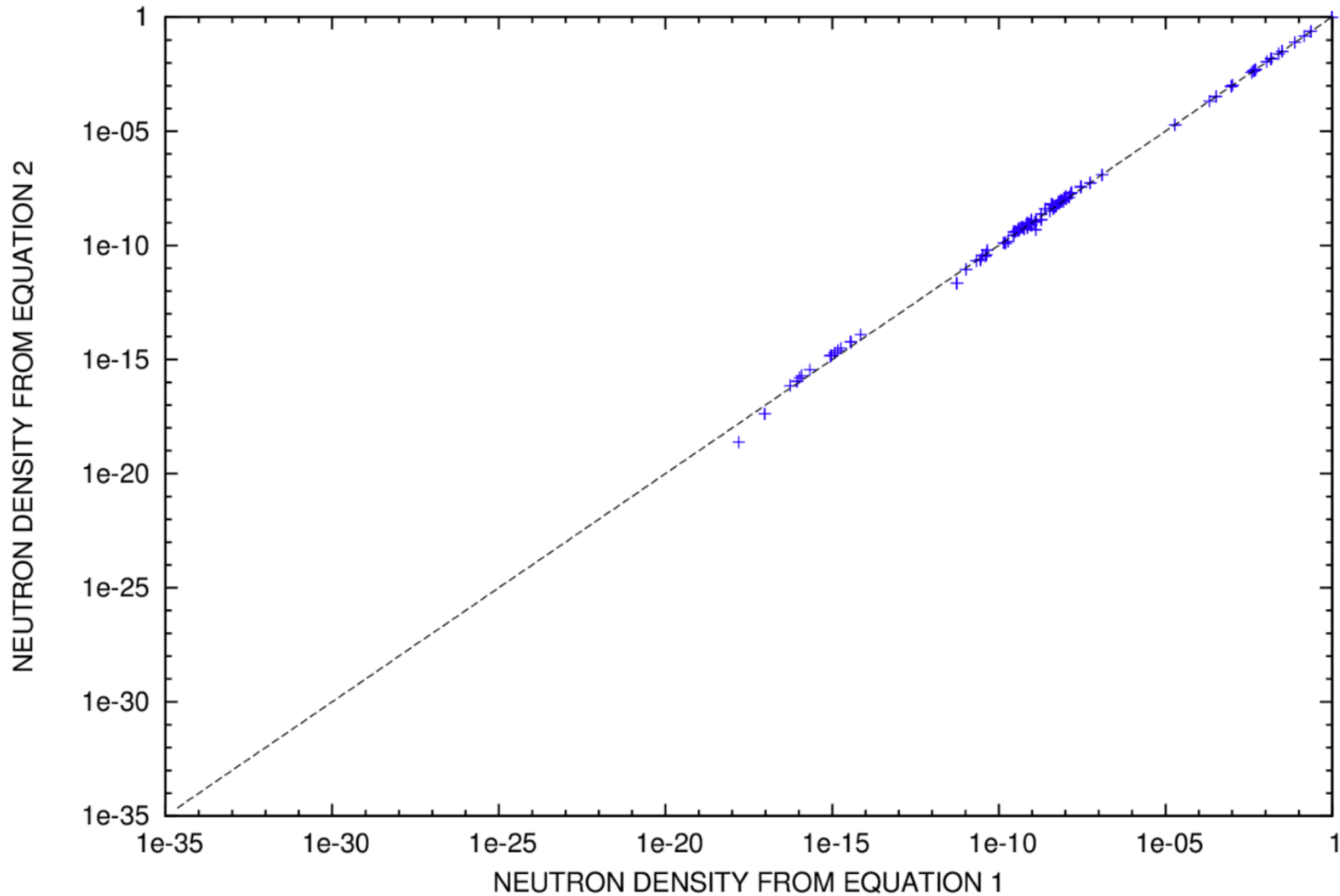
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 20

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



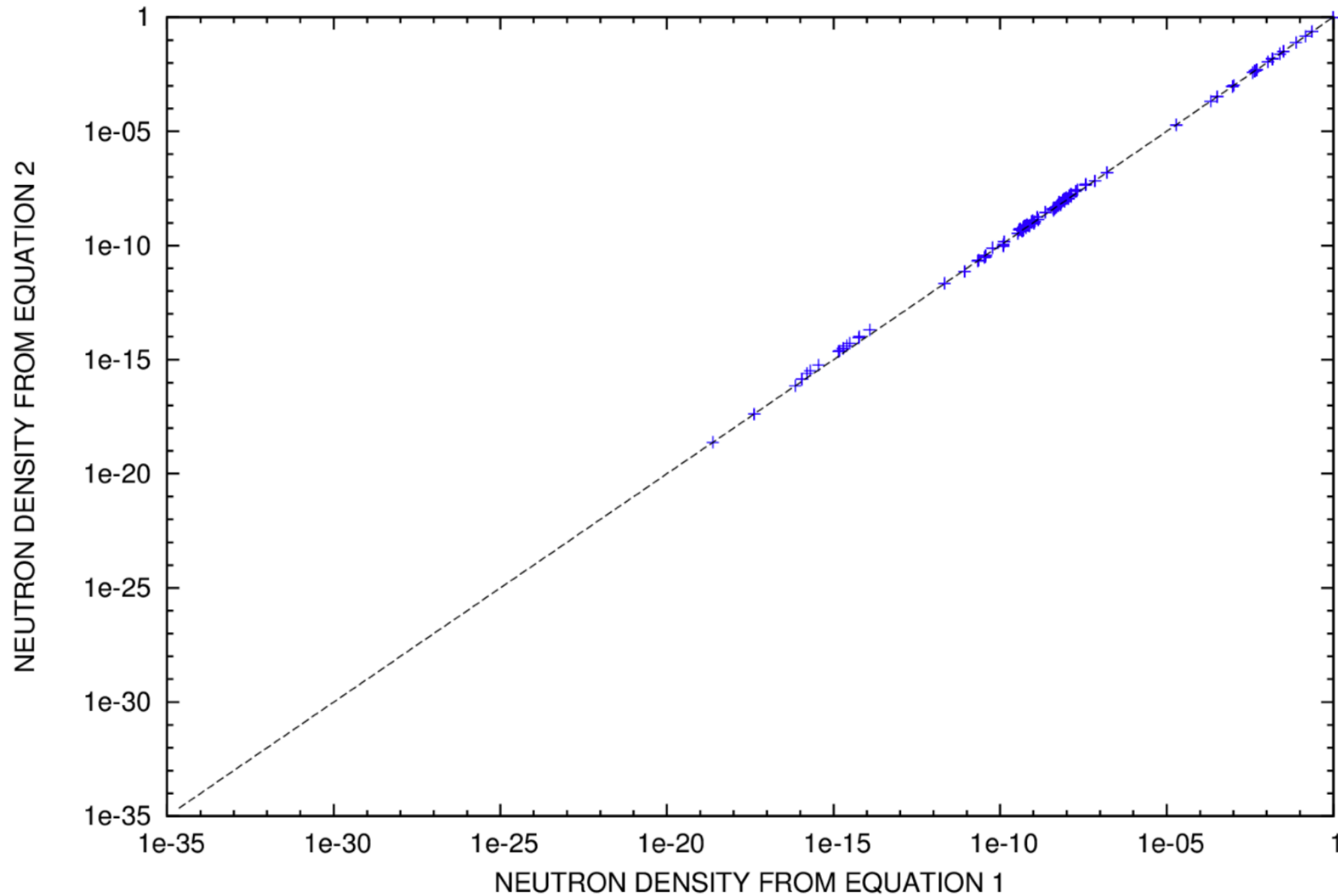
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

**$^{20}\text{Ne}$**

Iteration 21

COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



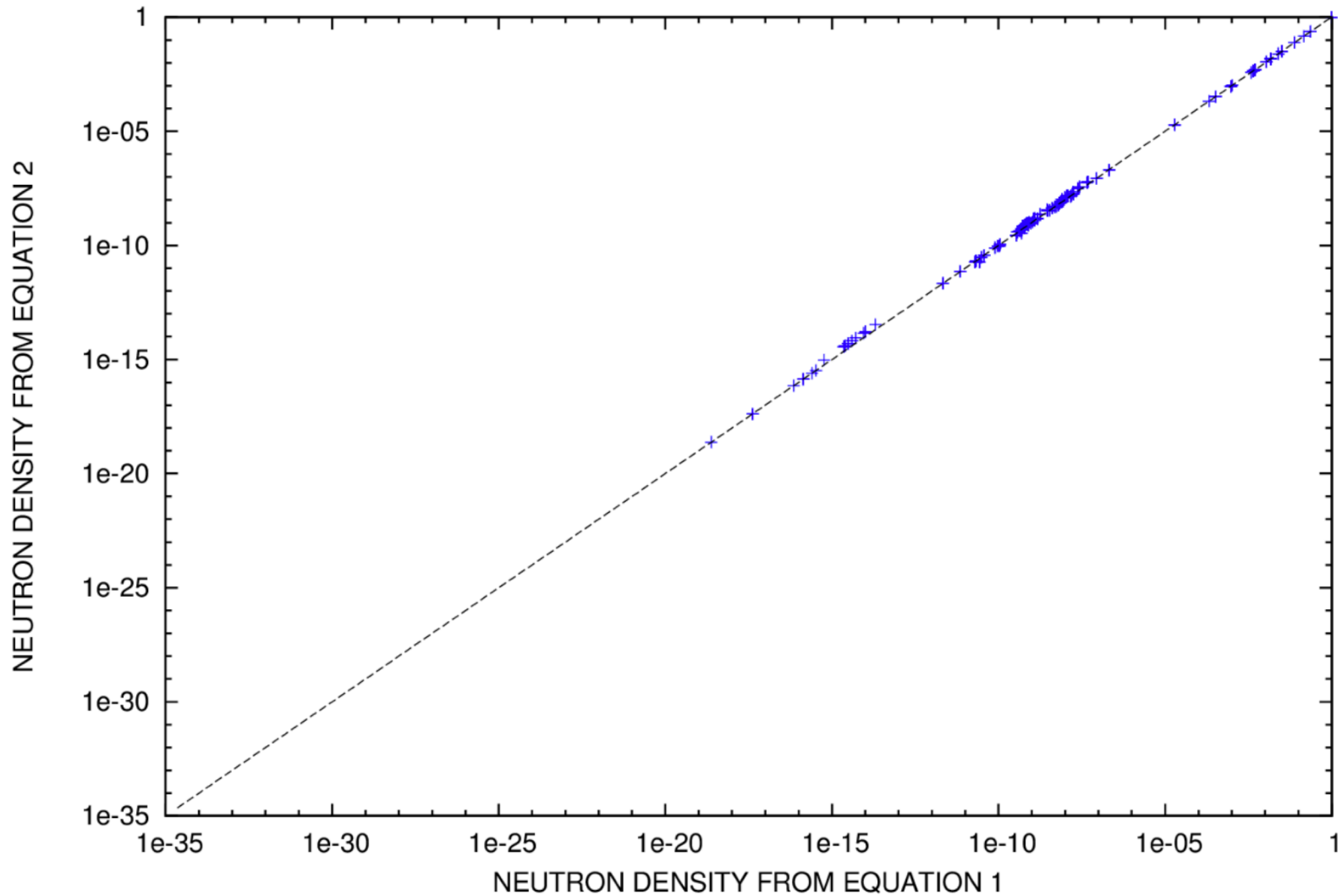
# Application to *sd*-shell nuclei

\* **Convergence of the one-body density matrix (neutrons):**

$^{20}\text{Ne}$

Iteration 22

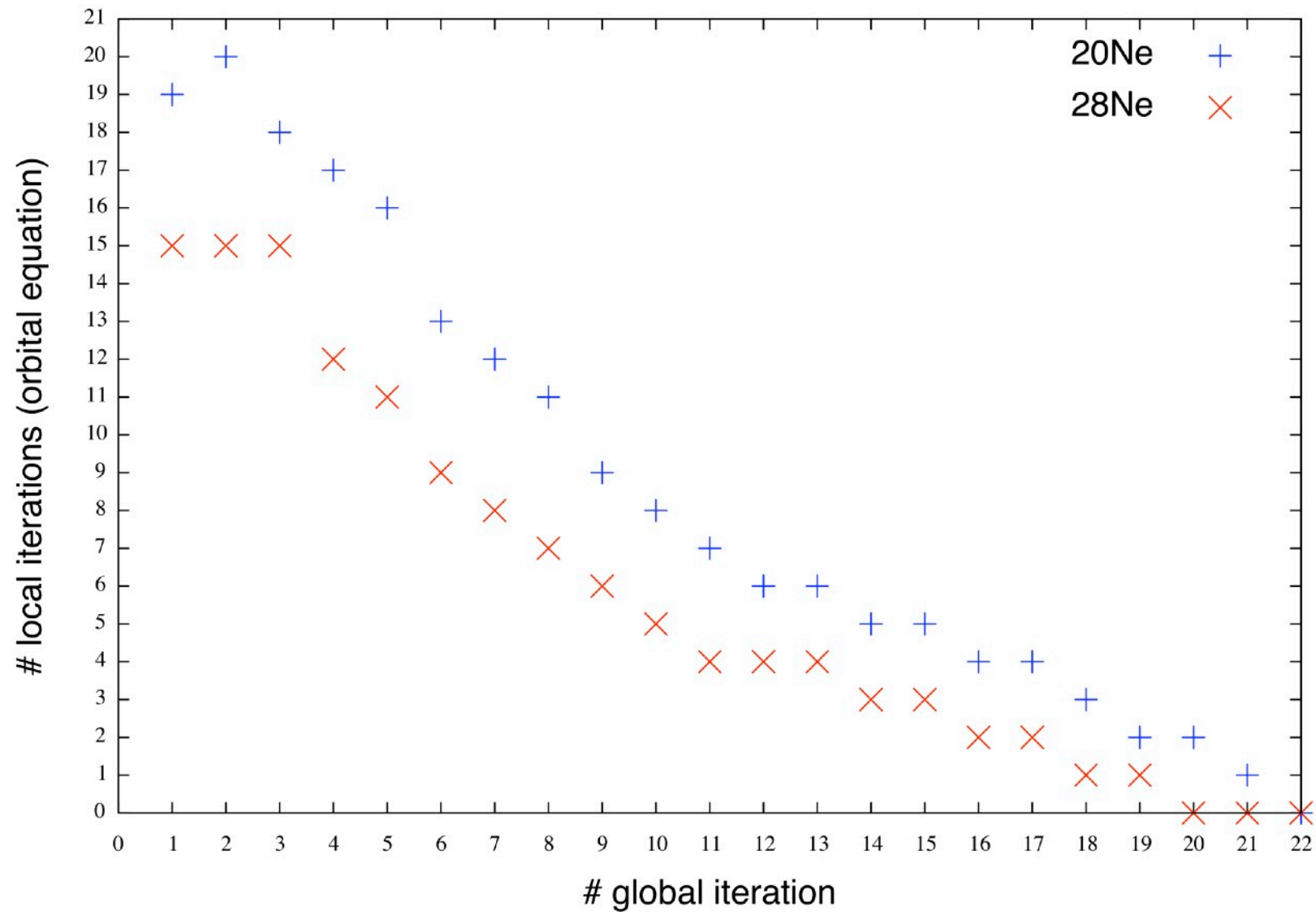
COMPARISON OF THE NEUTRON DENSITY FROM EQ. 1 AND 2



# Application to *sd*-shell nuclei with the Gogny force

## \* Convergence process:

### Global vs local iterations



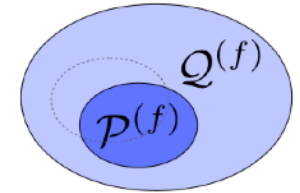
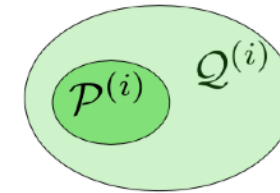
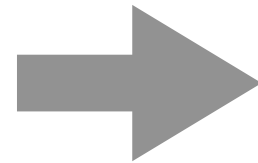
# global iteration	# of iterations of the orbital equation	
	<sup>20</sup> Ne	<sup>28</sup> Ne
1	19	15
2	20	15
3	18	15
4	17	12
5	16	11
6	13	9
7	12	8
8	11	7
9	9	6
10	8	5
11	7	4
12	6	4
13	6	4
14	5	3
15	5	3
16	4	2
17	4	2
18	3	1
19	2	1
20	2	1
21	1	
22	1	



# Application to *sd*-shell nuclei with the Gogny force

## \* Effect on the many-body wave function:

Orbital transformation:  $b_i^\dagger = e^{i\hat{T}} a_i^\dagger e^{-i\hat{T}}$



$$|\Psi^{(f)}\rangle = \sum_{\alpha \in \mathcal{P}^{(f)}} A_{\alpha}^{(f)} |\phi_{\alpha}^{(f)}\rangle$$

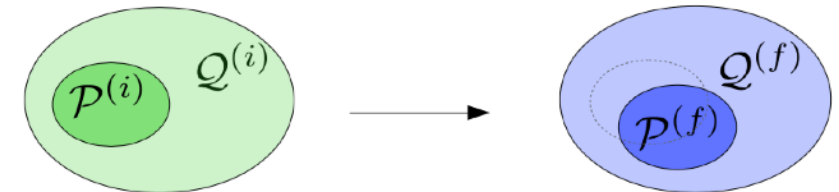
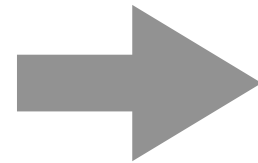
$$= \sum_{\beta \in \mathcal{P}^{(i)}} A_{\beta}^{(i)} |\phi_{\beta}^{(i)}\rangle + \sum_{\beta \in \mathcal{Q}^{(i)}} A_{\beta}^{(i)} |\phi_{\beta}^{(i)}\rangle$$
 How big?

nucleus	1 <sup>st</sup> equation only		1 <sup>st</sup> +2 <sup>nd</sup> equations Starting from HF orbitals	
	Weight of P <sup>(i)</sup>	Weight of Q <sup>(i)</sup>	Weight of P <sup>(i)</sup>	Weight of Q <sup>(i)</sup>
<sup>20</sup> Ne	100%	0%	98%	2%
<sup>24</sup> Mg	100%	0%	97%	3%
<sup>28</sup> Si	100%	0%	95%	4%
<sup>32</sup> S	100%	0%	93%	7%
<sup>28</sup> Ne	100%	0%	85%	15%

# Application to *sd*-shell nuclei with the Gogny force

## \* Effect on the many-body wave function:

Orbital transformation:  $b_i^\dagger = e^{i\hat{T}} a_i^\dagger e^{-i\hat{T}}$



$$|\Psi^{(f)}\rangle = \sum_{\alpha \in \mathcal{P}^{(f)}} A_{\alpha}^{(f)} |\phi_{\alpha}^{(f)}\rangle$$

$$= \sum_{\beta \in \mathcal{P}^{(i)}} A_{\beta}^{(i)} |\phi_{\beta}^{(i)}\rangle + \sum_{\beta \in \mathcal{Q}^{(i)}} A_{\beta}^{(i)} |\phi_{\beta}^{(i)}\rangle$$
 How big?

nucleus	1 <sup>st</sup> equation only		1 <sup>st</sup> +2 <sup>nd</sup> equations Starting from HF orbitals		1 <sup>st</sup> +2 <sup>nd</sup> equations Starting from HO orbitals	
	Weight of P <sup>(i)</sup>	Weight of Q <sup>(i)</sup>	Weight of P <sup>(i)</sup>	Weight of Q <sup>(i)</sup>	Weight of P <sup>(i)</sup>	Weight of Q <sup>(i)</sup>
<sup>20</sup> Ne	100%	0%	98%	2%	66%	34%
<sup>24</sup> Mg	100%	0%	97%	3%	61%	39%
<sup>28</sup> Si	100%	0%	95%	4%	55%	45%
<sup>32</sup> S	100%	0%	93%	7%	61%	39%
<sup>28</sup> Ne	100%	0%	85%	15%	78%	22%

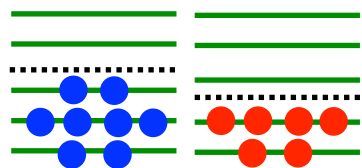
*The weight of the initial Q space increases when starting further from the final solution*

# Application to *sd*-shell nuclei with the Gogny force

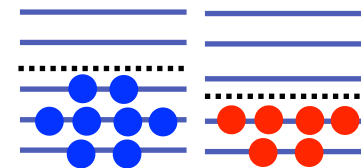
\* **Effect on the many-body wave function:** Orbital transformation:  $b_i^\dagger = e^{i\hat{T}} a_i^\dagger e^{-i\hat{T}}$

Pure Hartree-Fock component in correlated ground state		
nucleus	1 <sup>st</sup> equation only	1 <sup>st</sup> + 2 <sup>nd</sup> equations
<sup>26</sup> Ne	71%	62%
<sup>28</sup> Si	60%	24%
<sup>32</sup> S	58%	39%
<sup>34</sup> S	39%	17%

New reference-state componentd state	
1 <sup>st</sup> + 2 <sup>nd</sup> equations	
69%	
26%	
47%	
18%	



➔ Pure HF component decreases:  
self-consistent procedure appears  
to fragment the wave function



*Reference state built  
on optimized orbitals*

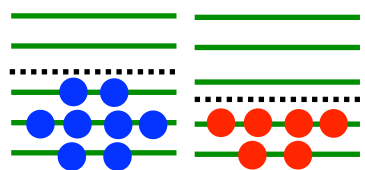
➔ "better" than HF state

# Application to *sd*-shell nuclei with the Gogny force

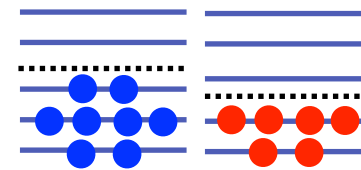
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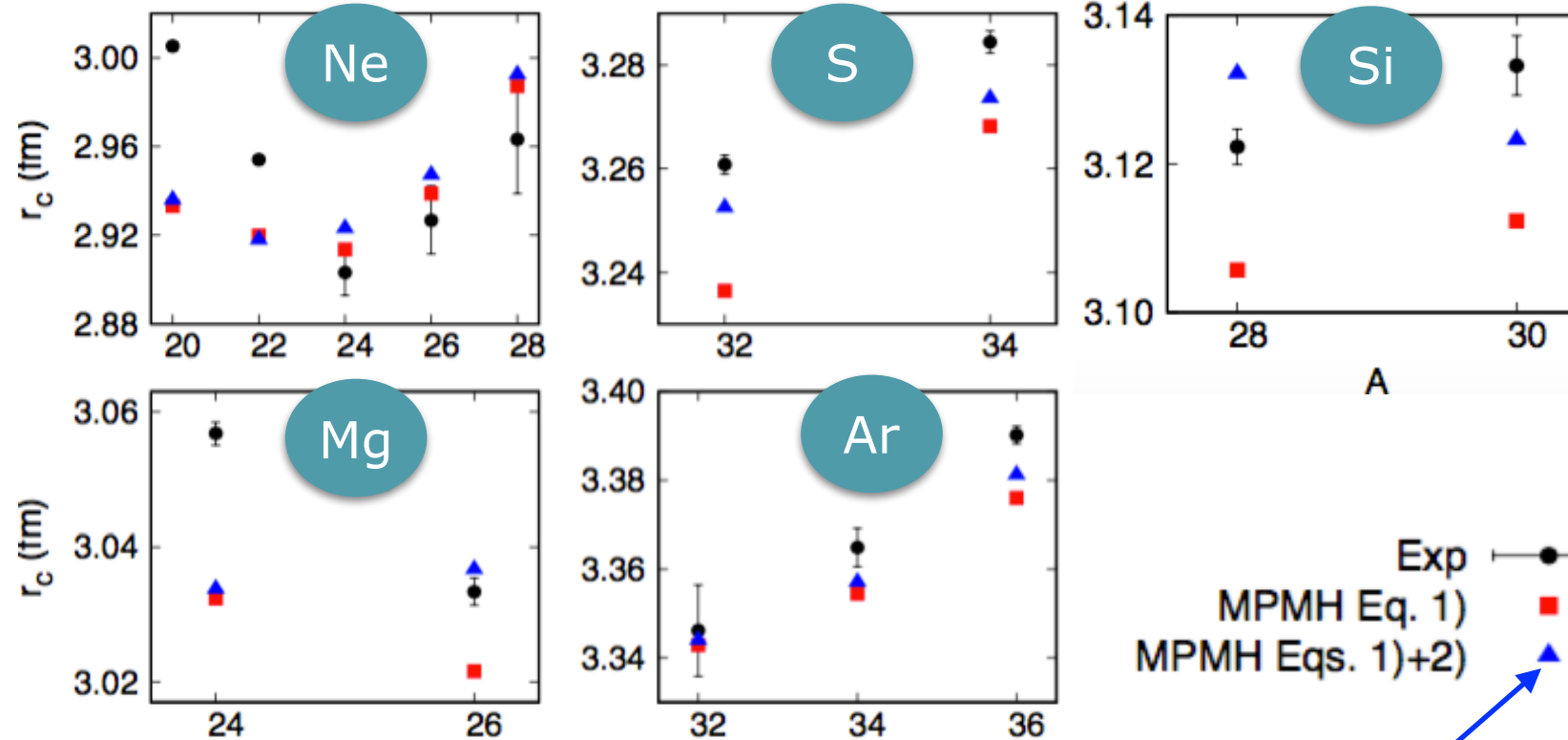
\* **Correlation energies:**  $E_{corr} = E(\Psi) - E(\Phi_{HF}^{(0)})$

Correlation energy $E_{corr}$ (MeV)			
nucleus	1 <sup>st</sup> equation only	1 <sup>st</sup> + 2 <sup>nd</sup> equations	$\Delta E_{corr}$
<sup>28</sup> Ne	1.17	1.59	<b>0.42</b>
<sup>26</sup> Ne	7.32	8.46	<b>1.14</b>
<sup>24</sup> Ne	5.75	6.98	<b>1.23</b>
<sup>22</sup> Ne	10.48	12.12	<b>1.64</b>
<sup>20</sup> Ne	10.93	13.30	<b>2.37</b>

Correlation energy $E_{corr}$ (MeV)			
nucleus	1 <sup>st</sup> equation only	1 <sup>st</sup> + 2 <sup>nd</sup> equations	$\Delta E_{corr}$
<sup>28</sup> S	8.05	10.05	<b>2.00</b>
<sup>30</sup> S	0.59	2.06	<b>1.47</b>
<sup>32</sup> S	2.82	5.22	<b>2.40</b>
<sup>34</sup> S	4.27	5.62	<b>1.35</b>

# Application to *sd*-shell nuclei with the Gogny force

## ◆ Charge radii:

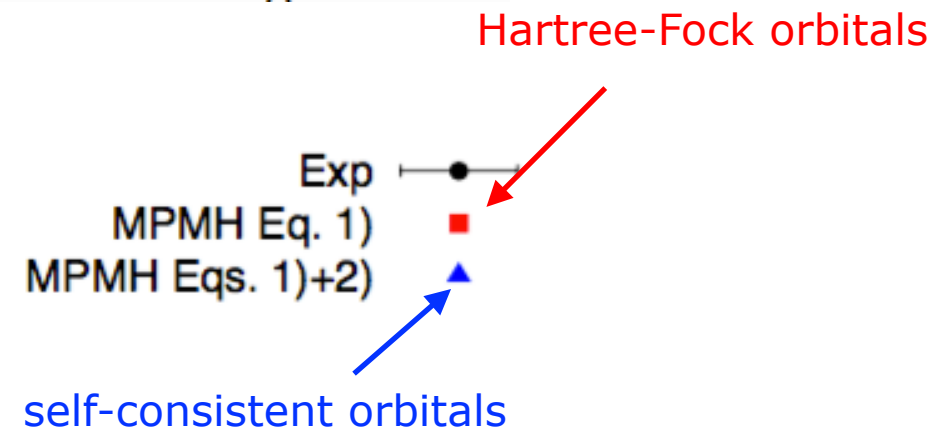


► Average difference:

$$\langle \Delta r_c \rangle = 0.021 \text{ fm} \rightarrow 0.018 \text{ fm}$$

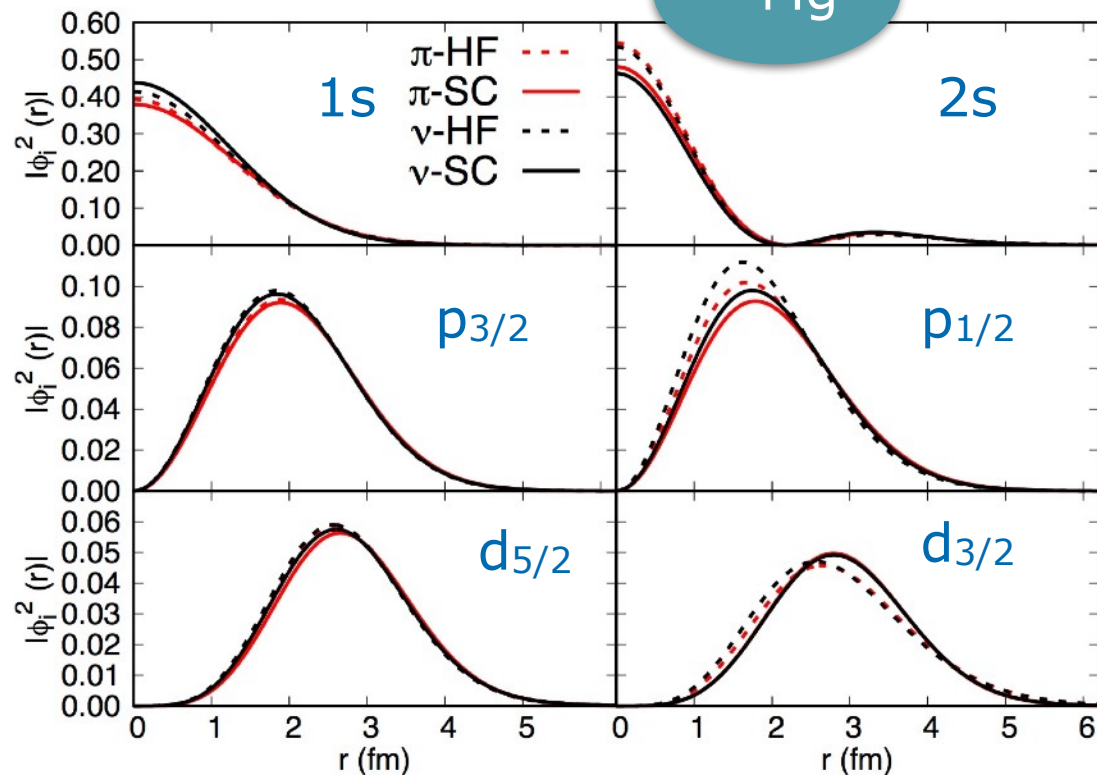
► Standard deviation:

$$\sigma(\Delta r_c) = 0.017 \text{ fm} \rightarrow 0.018 \text{ fm}$$



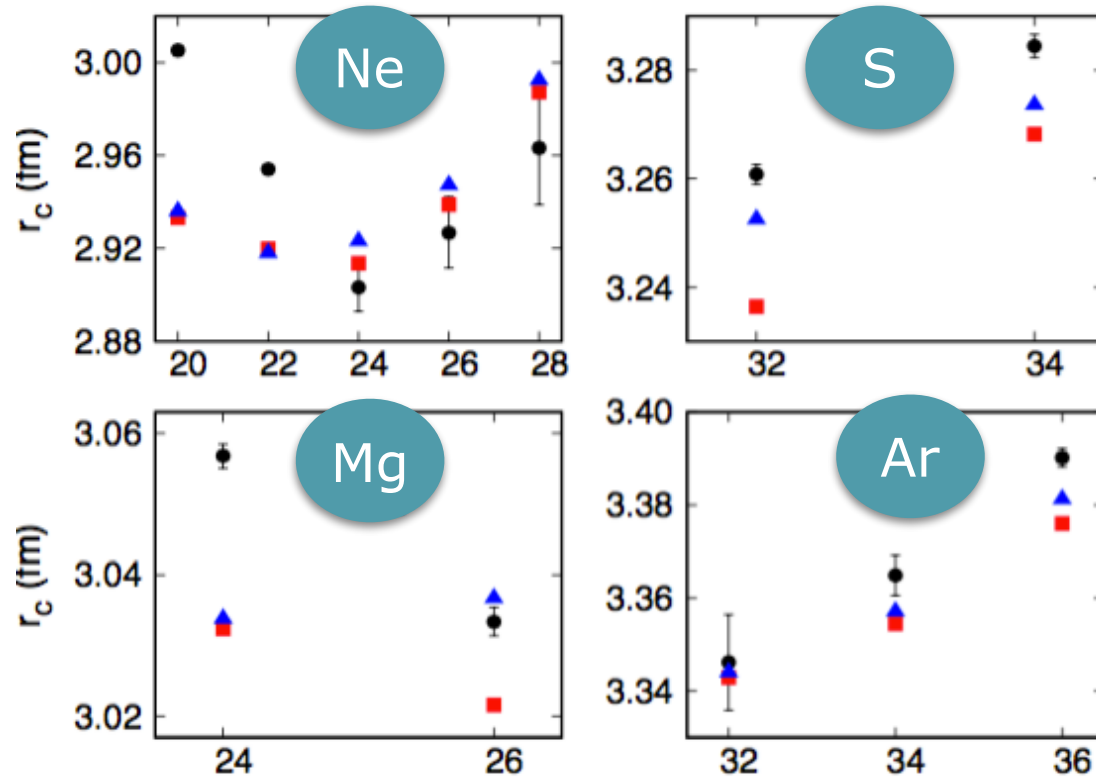
## ◆ Radial orbitals:

$^{26}\text{Mg}$



# Application to *sd*-shell nuclei with the Gogny force

## ◆ Charge radii:

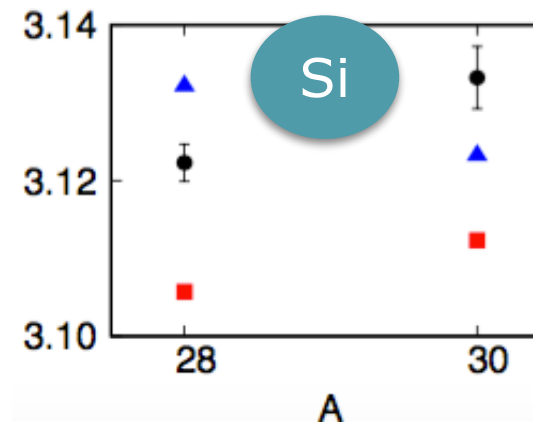


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A

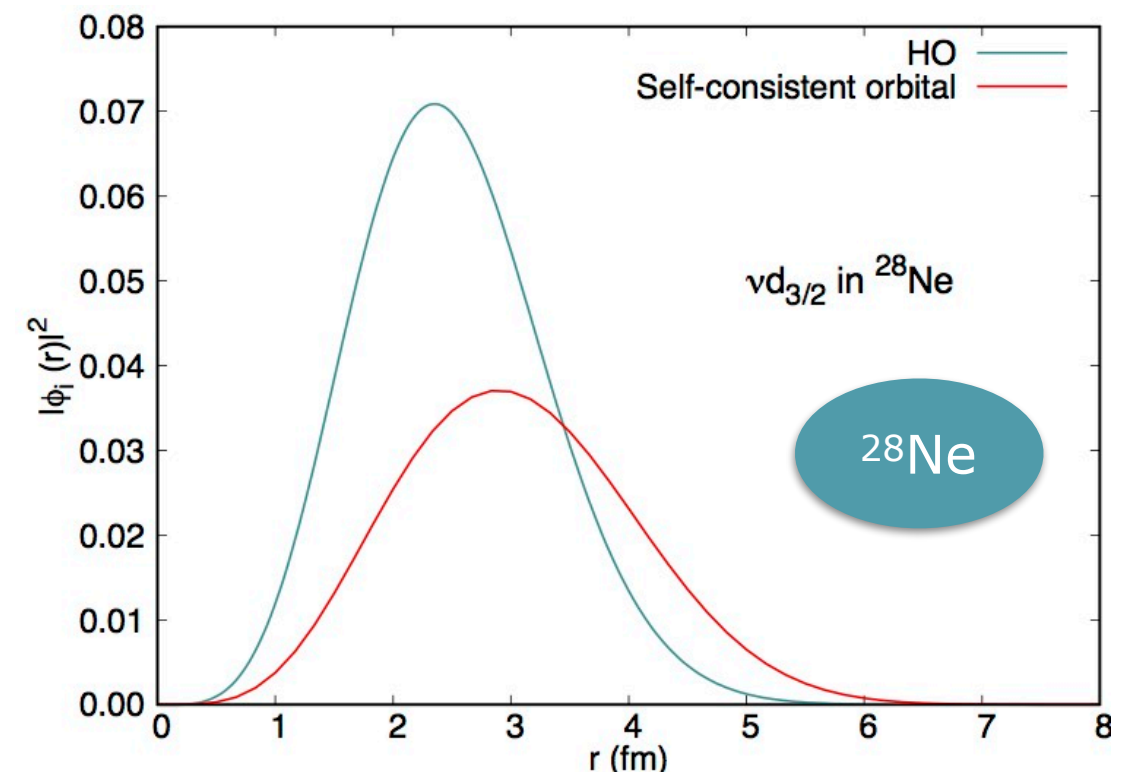
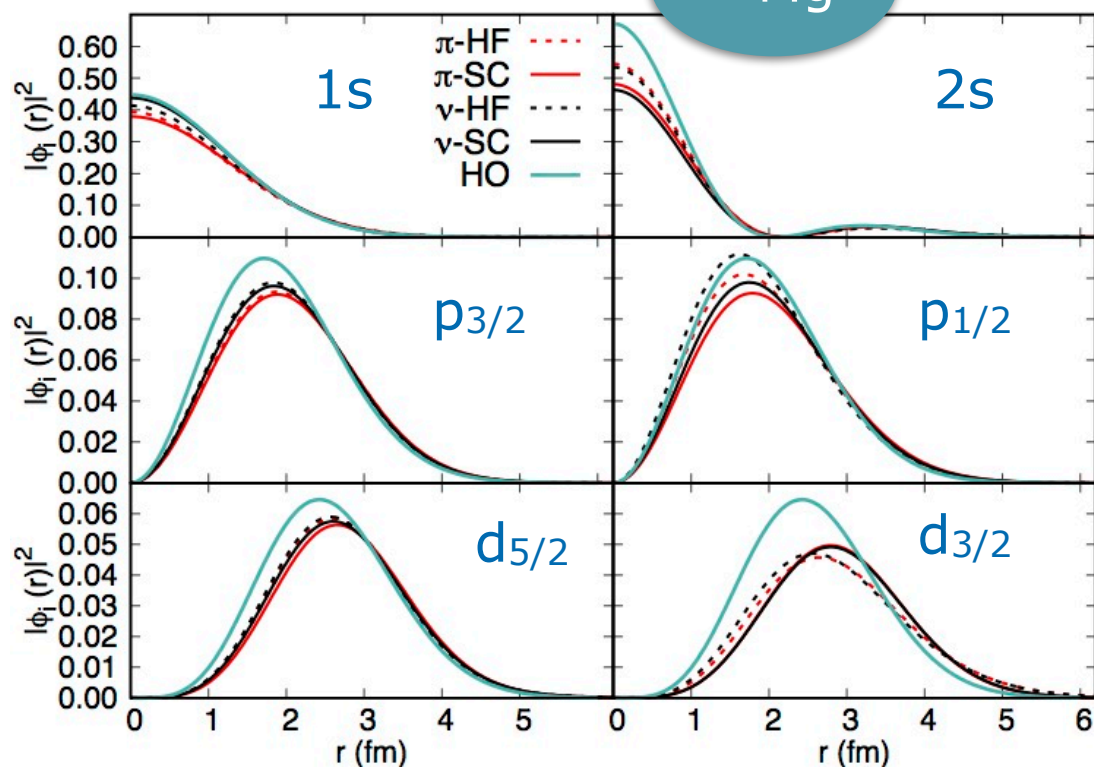
Hartree-Fock orbitals

Exp  
MPMH Eq. 1)  
MPMH Eqs. 1)+2)

self-consistent orbitals

## ◆ Radial orbitals:

$^{26}\text{Mg}$

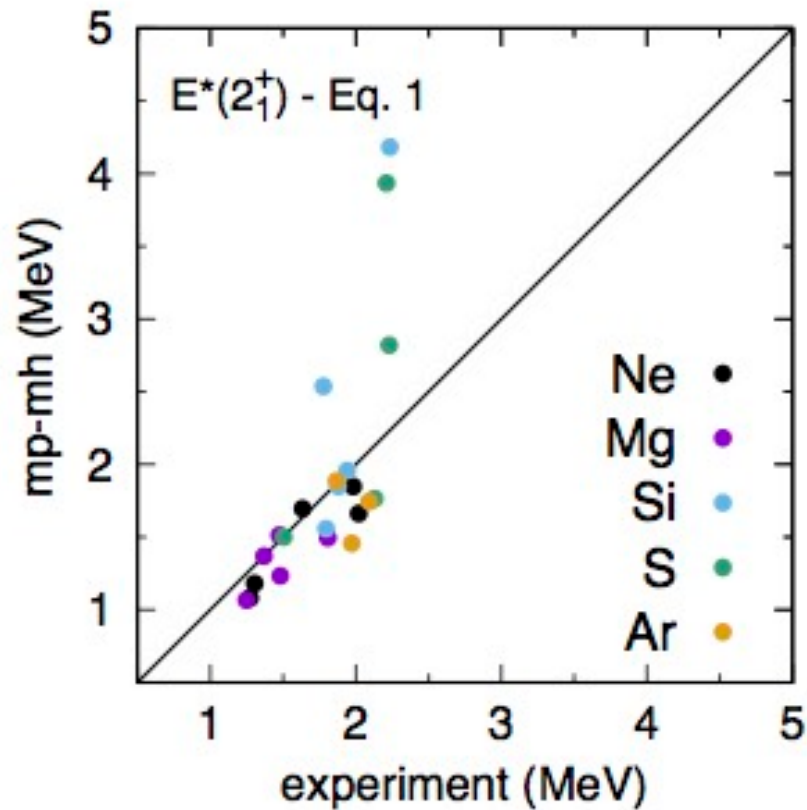




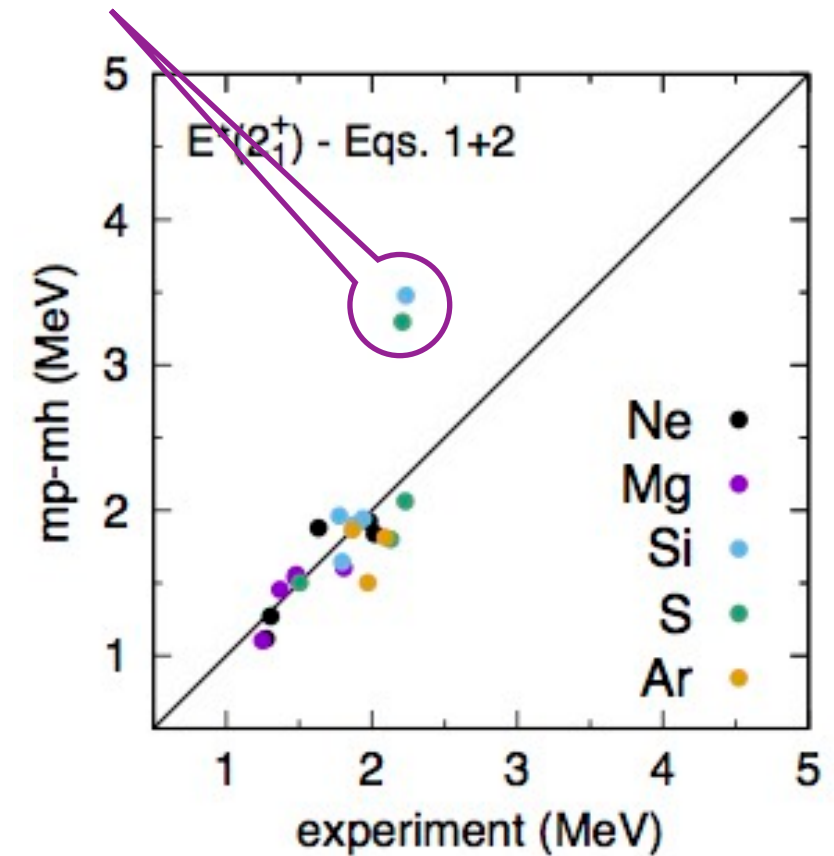
# Application to *sd*-shell nuclei with the Gogny force

## ✦ Excitation energies:

$^{30}\text{S}$  and  $^{30}\text{Si}$ :  
 T=0 component of the Gogny force  
 (lack of tensor term, *Pillet et al. PRC 85, 044315 (2012)*)



→  
*Orbital optimization*



All  $\left\{ \begin{array}{l} \langle \Delta E^* \rangle = 373 \text{ keV} \\ \sigma(\Delta E^*) = 517 \text{ keV} \end{array} \right.$

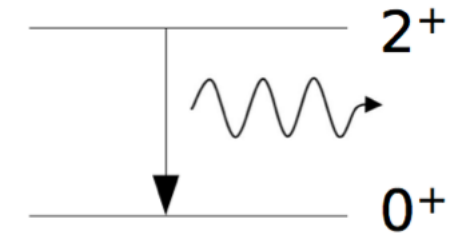
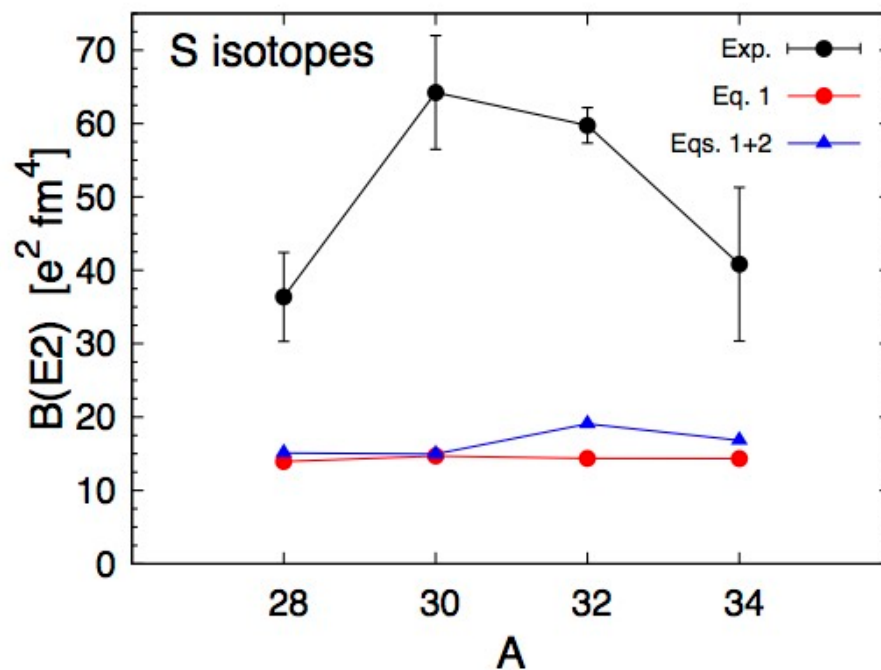
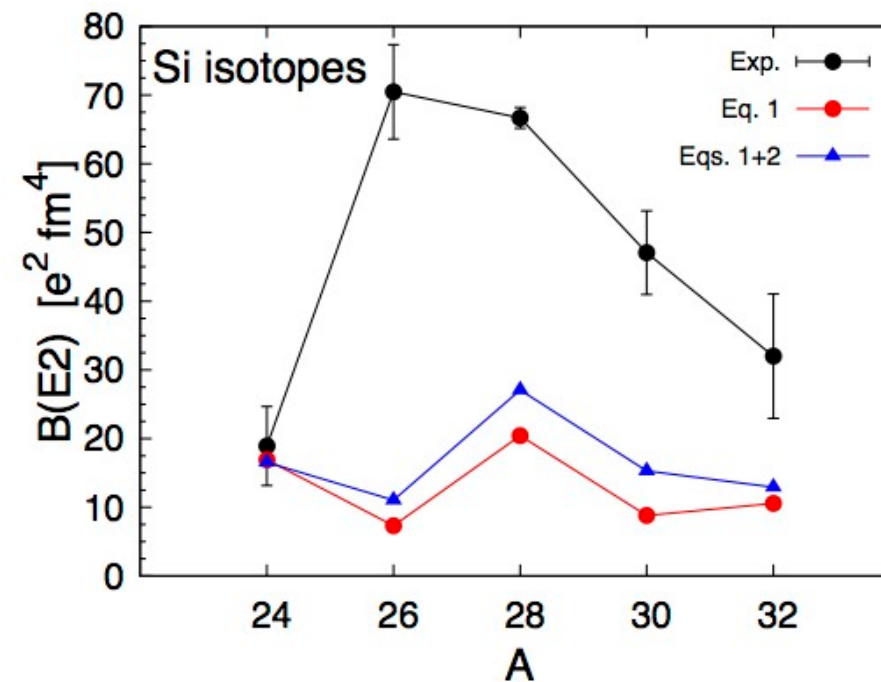
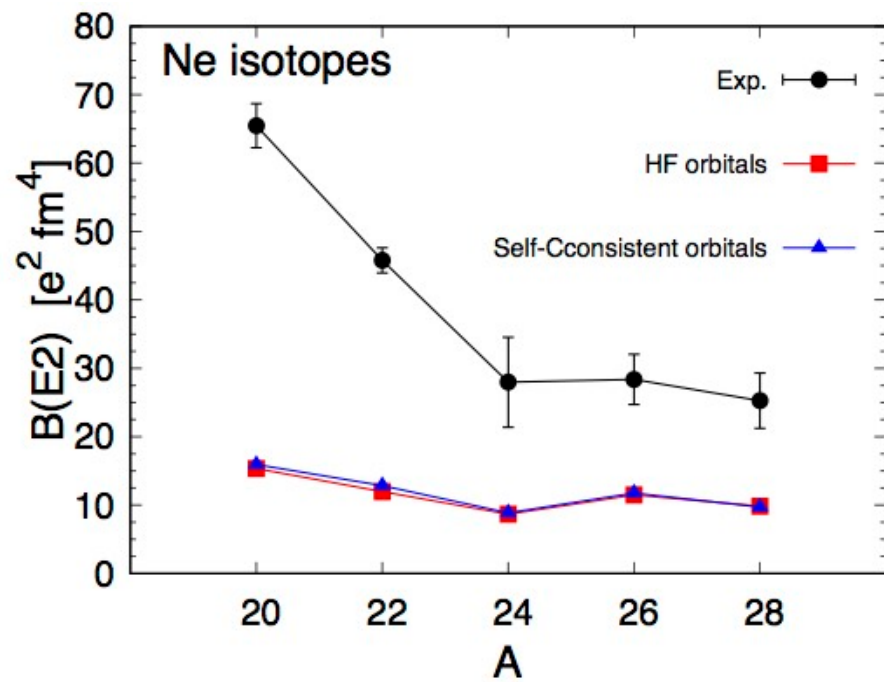
$^{30}\text{S}$  &  $^{30}\text{Si}$  excluded  $\left\{ \begin{array}{l} \langle \Delta E^* \rangle = 226 \text{ keV} \\ \sigma(\Delta E^*) = 214 \text{ keV} \end{array} \right.$

All  $\left\{ \begin{array}{l} \langle \Delta E^* \rangle = 235 \text{ keV} \\ \sigma(\Delta E^*) = 323 \text{ keV} \end{array} \right.$

$^{30}\text{S}$  &  $^{30}\text{Si}$  excluded  $\left\{ \begin{array}{l} \langle \Delta E^* \rangle = 142 \text{ keV} \\ \sigma(\Delta E^*) = 122 \text{ keV} \end{array} \right.$

# Application to *sd*-shell nuclei with the Gogny force

## ◆ Transition probabilities $B(E2)$



- ▶ Trends overall well reproduced
- ▶ But clear lack of collectivity due to the restricted valence space
- ▶ Positive but small effect from the optimization of orbitals (factor 1.7 in  $^{30}\text{Si}$ , 1.3 in  $^{28}\text{Si}$  &  $^{32}\text{S}$ )

**No effective charges**

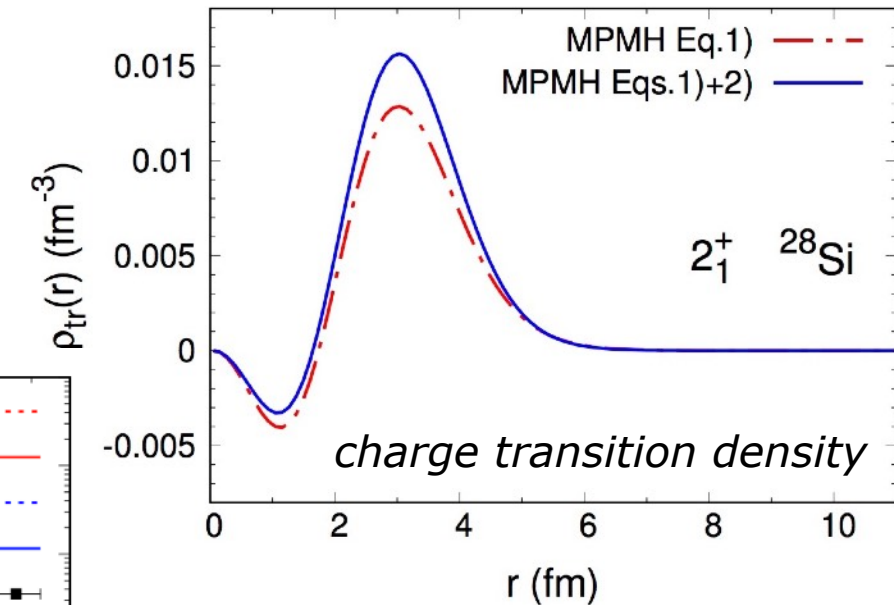


# Application to sd-shell nuclei with the Gogny force

## → Electron inelastic scattering on discrete states

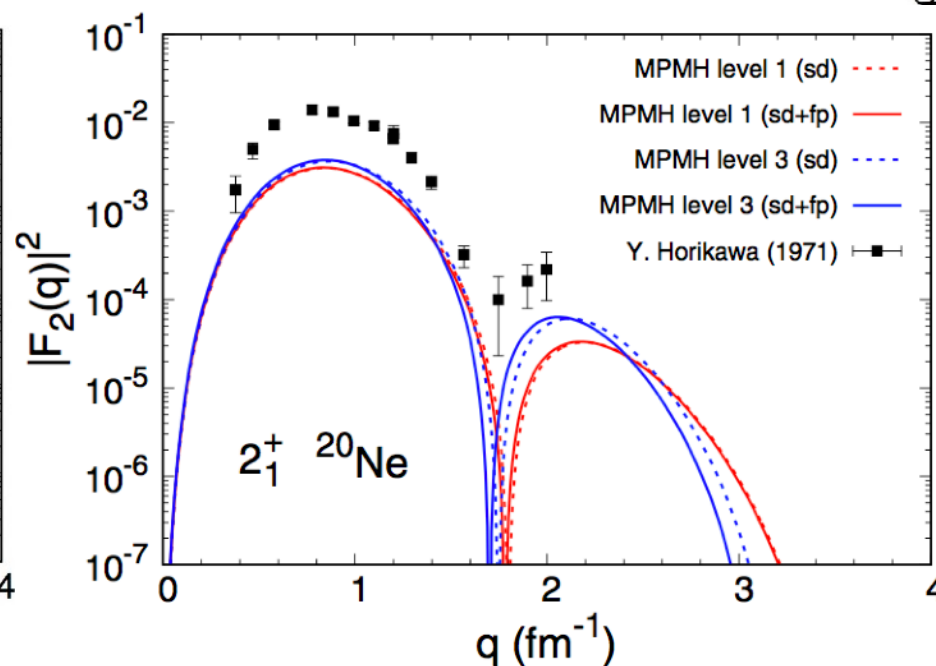
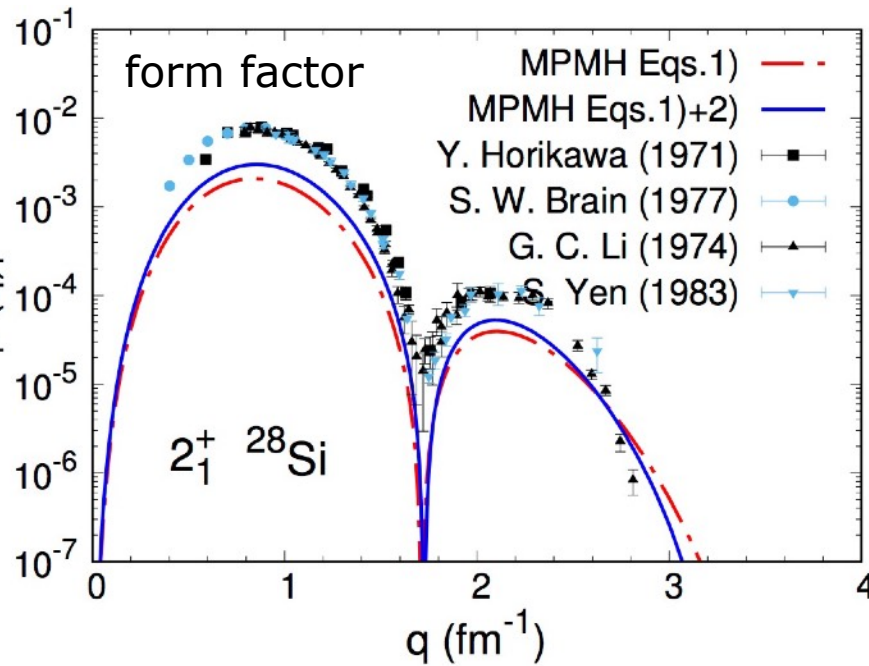
Form factor: 
$$F_\lambda(q) = \frac{\sqrt{4\pi}}{Z} \sqrt{\frac{2J_f + 1}{2J_i + 1}} \int_0^\infty r^2 dr j_\lambda(qr) \rho_{tr}(r)$$

From MPMH:



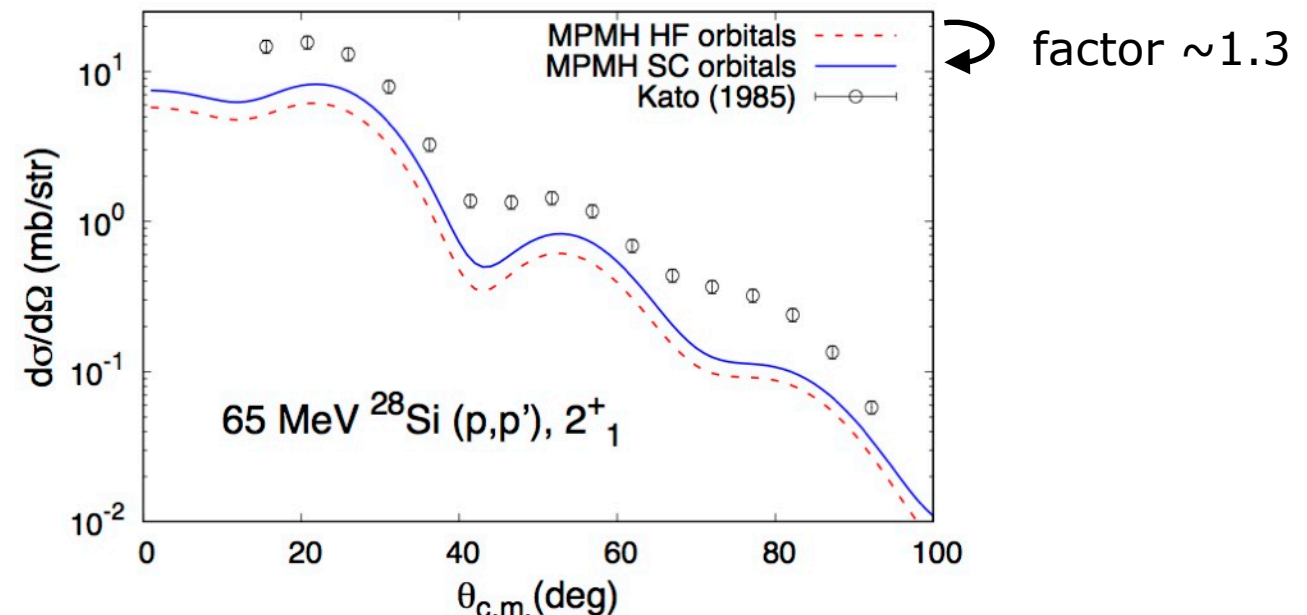
### With optimized states:

- Small increase of the magnitude
- Improvement of the trend at high q



## → Proton inelastic scattering on discrete states

In the framework of the DWBA,  
with optical and transition potentials  
calculated using transition densities from MPMH



# Conclusion from the study with Gogny

- \* First implementation of the fully self-consistent multiparticle-multihole configuration mixing method
  - ◆ Construction of a general mean-field and orbitals consistent with the correlation of the system, complete convergence reached.
  - ◆ Effect of orbital optimization always positive.  
With single valence shell: large impact on the ground-state wave function, but small effect on the transition probabilities...
    - ➔ solve orbital equation for each state
    - ➔ try truncation schemes involving larger single-particle spaces  
(excitation order, excitation energy, symmetry-constrained combinations etc.)

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\* But:

◆ The D1S Gogny interaction is in principle not adapted (double counting of correlations...), and

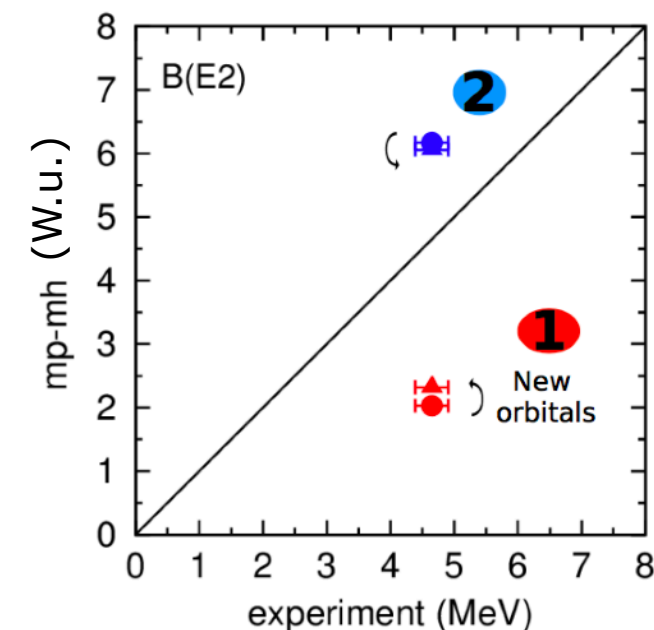
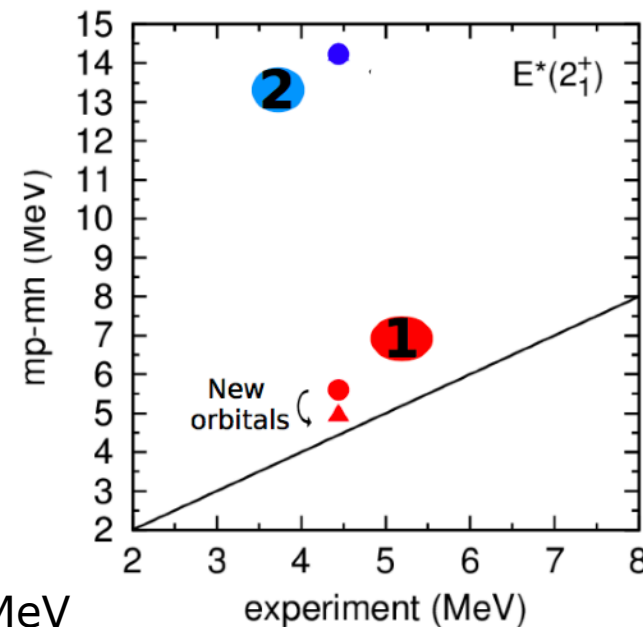
◆ can lead to divergent behaviors when enlarging the valence space due to the zero-range spin-orbit and  $\rho$ -dependent terms. See e.g. [study of  \$^{12}\text{C}\$](#) :

**1**  $0\hbar\omega$  space

collectivity **X**, excitation energies **✓**, overbinding  $\sim 6$  MeV

**2**  $N\hbar\omega$  space

collectivity **✓**, excitation energies **X**, overbinding  $\sim 60$  MeV!



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◆ Effect of orbital optimization always positive.

With single valence shell: large impact on the ground-state wave function, but small effect on the transition probabilities...

→ solve or

→ try trunc  
(excitatio



**Need a better suited interaction**

▶ fully finite-range, better constrained Gogny interaction with tensor (see Nathalie Pillet's talk)

or

▶ interaction derived from chiral EFT (here)

\* But:

◆ The D1S Gogny interaction is not well adapted (double magic numbers)

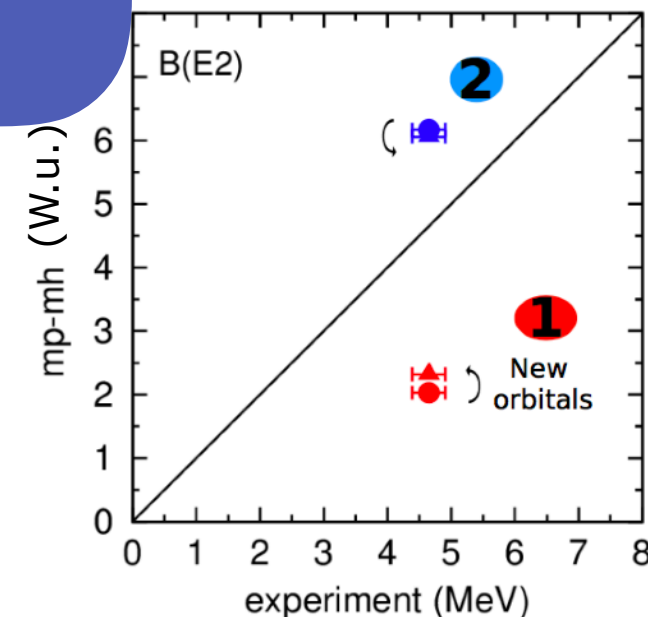
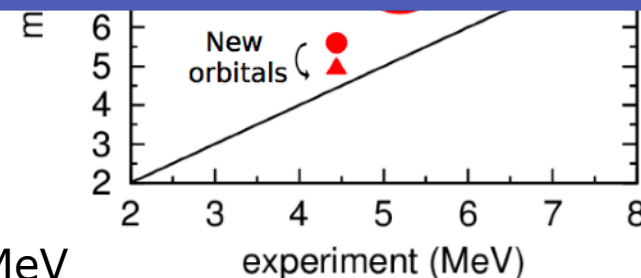
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collectivity **✓**, excitation energies **X**, overbinding  $\sim 60$  MeV!



# Outline

- ◆ Formalism of the MPMH method
  - *role and interpretation of the orbital optimization*
- ◆ Applications with the Gogny D1S interaction
  - ◆ Numerical algorithm
    - *doubly iterative convergence process*
  - ◆ Description of even-even sd-shell nuclei
    - *Effect of the orbital optimization on ground and excited states properties: Charge radii, excitation energies, transition probabilities, inelastic electron and proton scattering...*
- ◆ *Towards an "ab-initio" theory*
  - *implementation of a chiral interaction: preliminaries*

# *Application of the MPMH method with a chiral interaction*

- ◆ In MPMH, have to do the CI diagonalization and calculation of the mean field at each iteration
  - use matrix elements (e.g. in HO basis) as only input would be very inefficient
  - need potential in coordinate space and ideally Gaussians

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- ◆ Ingo Tews and collaborators have developed **local** chiral interactions with **Gaussian regulators** that can be written in coordinate space

See e.g. A. Gezerlis, I. Tews, E. Epelbaum et al., *Phys. Rev. C* 90, 054323 (2014)

At each order:  
 contact terms  
 +  
 long-range pion-exchange terms

## Chiral expansion:

	NN	3N	4N
LO $O\left(\frac{Q^0}{\Lambda^0}\right)$ 2 LECs			
NLO $O\left(\frac{Q^2}{\Lambda^2}\right)$ 7 LECs			
N <sup>2</sup> LO $O\left(\frac{Q^3}{\Lambda^3}\right)$ 2 LECs			
N <sup>3</sup> LO $O\left(\frac{Q^4}{\Lambda^4}\right)$ 15 LECs			



# Application of the MPMH method with a chiral interaction




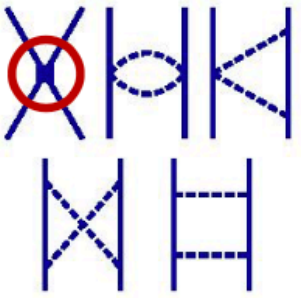


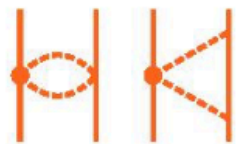
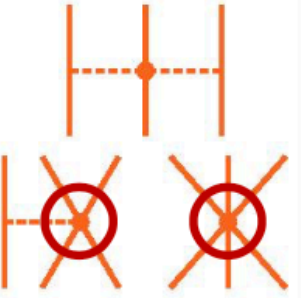


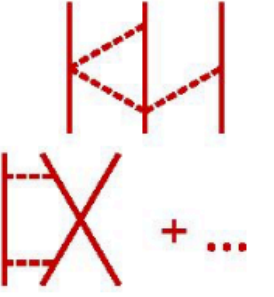

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## Chiral expansion:

	NN	3N	4N
LO $O\left(\frac{Q^0}{\Lambda^0}\right)$ 2 LECs			
<b>1st step: leading order</b>			
NLO $O\left(\frac{Q^2}{\Lambda^2}\right)$ 7 LECs			
N <sup>2</sup> LO $O\left(\frac{Q^3}{\Lambda^3}\right)$ 2 LECs			
N <sup>3</sup> LO $O\left(\frac{Q^4}{\Lambda^4}\right)$ 15 LECs			

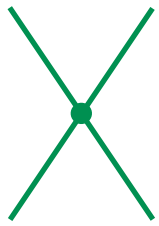


# Application of the MPMH method with a chiral interaction

★ Chiral interaction at leading order with Gaussian regulators:

cut-off  $R_0 = 1$  fm

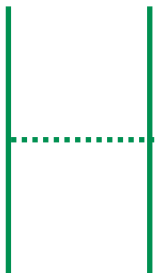
◆ contact term:



$$V_{contact}^{LO}(r) = (C_S + C_T \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \times \underbrace{\left( \alpha e^{-(r/R_0)^2} \right)}_{\text{regulator}}$$

→ purely gaussian

◆ long-range one-pion exchange:



$$V_{OPE}^{LO}(r) = \left( W_S^{(0)}(r) \vec{\tau}_1 \cdot \vec{\tau}_2 \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + W_T^{(0)}(r) \vec{\tau}_1 \cdot \vec{\tau}_2 S_{12} \right) \times \underbrace{\left( 1 - e^{-(r/R_0)^2} \right)^2}_{\text{regulator}}$$

central spin-isospin term:

$$W_S^{(0)}(r) = \frac{M_\pi^3}{12\pi} \left( \frac{g_A}{2F_\pi} \right)^2 \frac{e^{-M_\pi r}}{M_\pi r}$$

tensor isospin term:

$$W_T^{(0)}(r) = \frac{M_\pi^3}{12\pi} \left( \frac{g_A}{2F_\pi} \right)^2 \frac{e^{-M_\pi r}}{M_\pi r} \left( 1 + \frac{3}{M_\pi r} + \frac{3}{(M_\pi r)^2} \right)$$

→ Yukawa or Yukawa-like x Gaussians

# Application of the MPMH method with a chiral interaction

★ Strategy: fit the regularized Yukawa or Yukawa-like functions to a sum of Gaussians

$$W_{S,reg}^{(0)}(r) \propto \frac{e^{-M_\pi r}}{r} \times (1 - e^{-(r/R_0)^2})^2 \simeq \sum_i a_i^S e^{-(r/b_i^S)^2}$$

$$W_{T,reg}^{(0)}(r) \propto \frac{e^{-M_\pi r}}{r} \left( 1 + \frac{3}{M_\pi r} + \frac{3}{(M_\pi r)^2} \right) \times (1 - e^{-(r/R_0)^2})^2 \simeq \sum_i a_i^T e^{-(r/b_i^T)^2}$$

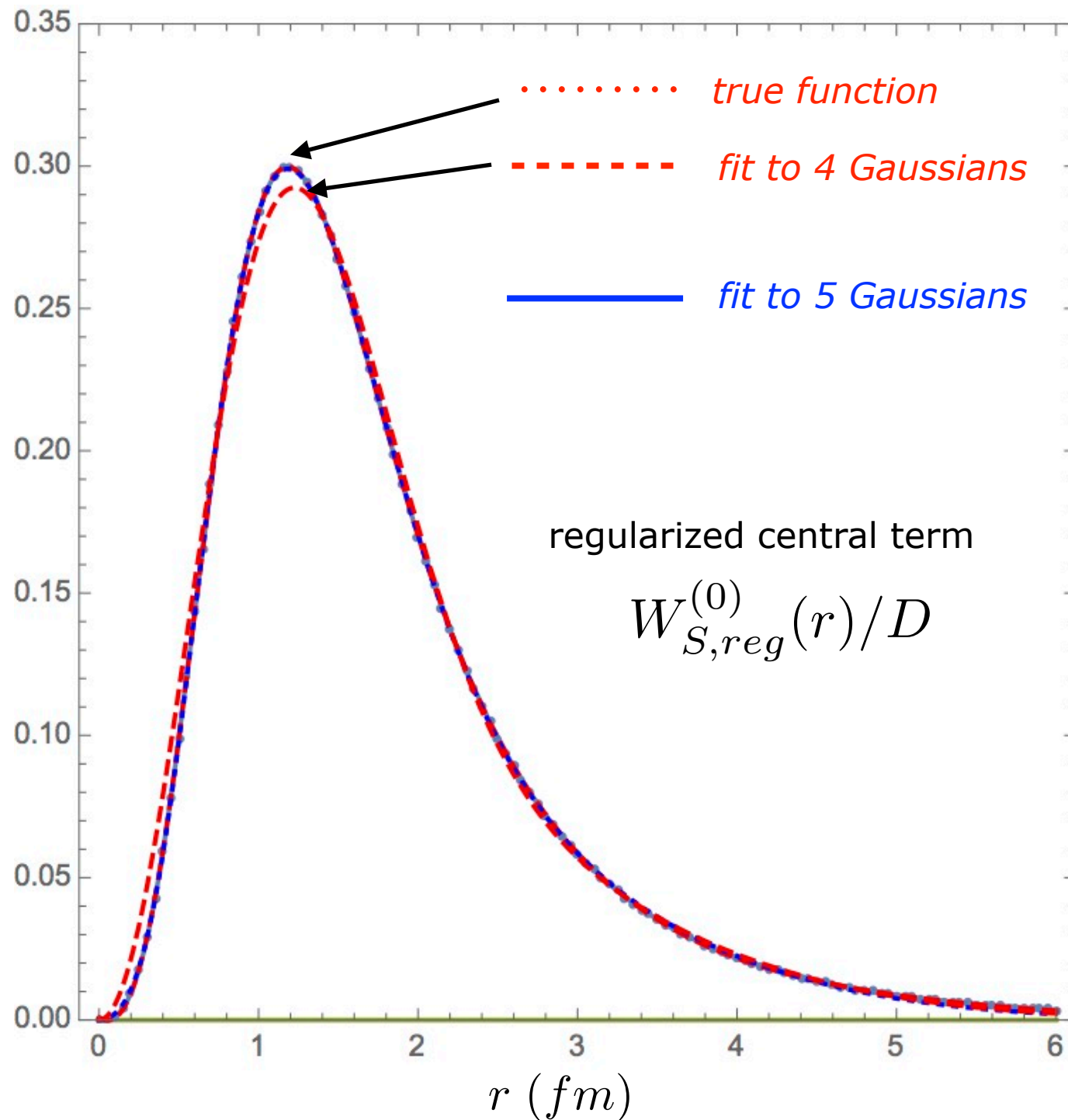
to use the machinery already developed in the original code for the Gogny interaction

*Note:*

such fits of Yukawa to Gaussians already applied in *J. Dobaczewski & J. Engel, Phys. Rev. Lett. 94, 232502 (2005)*,  
or more recently in e.g. *R. Navarro Perez et al. PRC 97, 054304 (2018)*.

# Application of the MPMH method with a chiral interaction

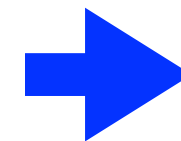
→ **Central term:**



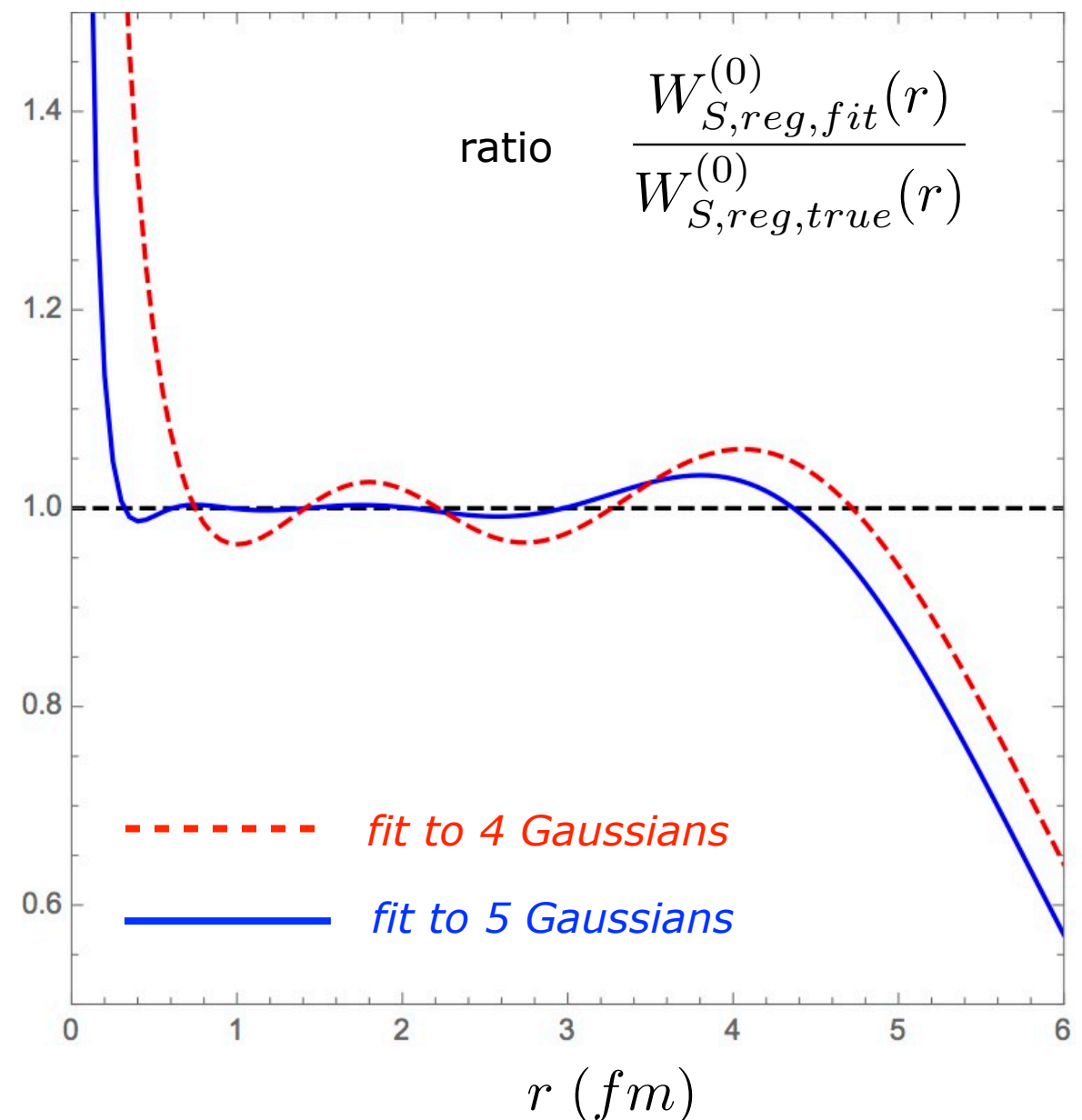
Courtesy of I. Tews

Very preliminary!

$$D = \frac{M_\pi^3}{12\pi} \left( \frac{g_A}{2F_\pi} \right)^2$$



Choose 5 Gaussians

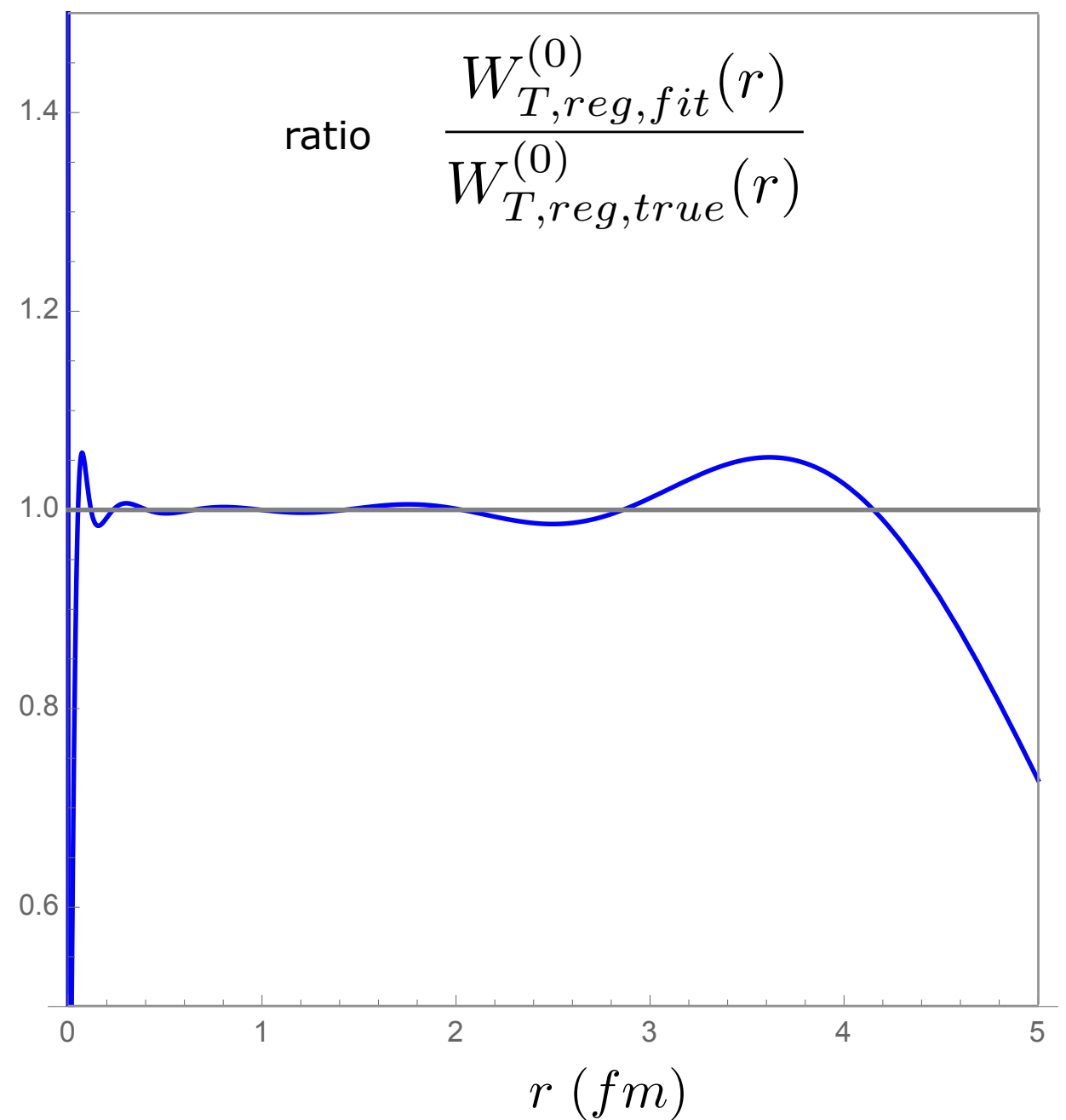
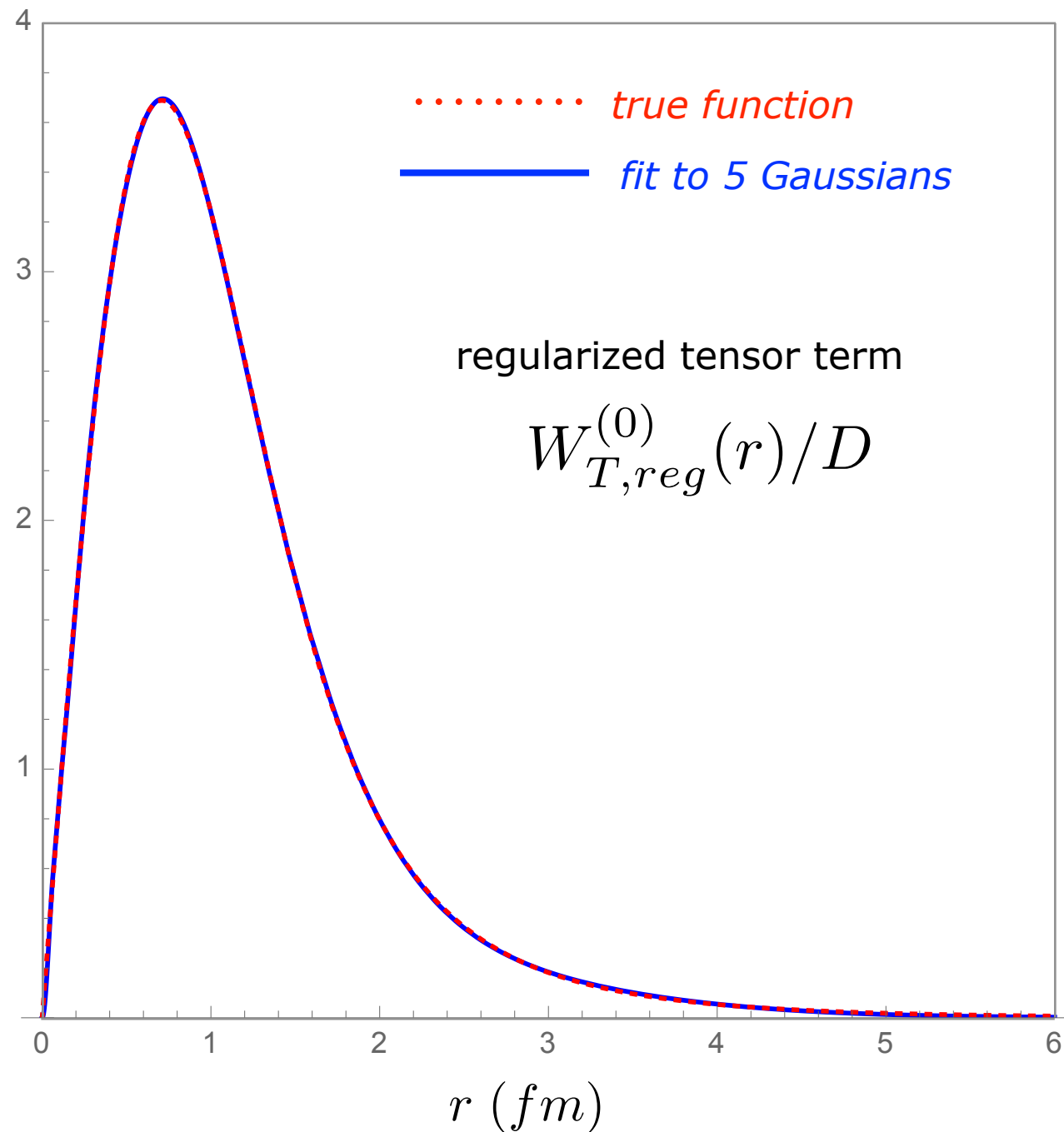


# Application of the MPMH method with a chiral interaction

→ **Tensor term:**

Very preliminary!

$$D = \frac{M_\pi^3}{12\pi} \left( \frac{g_A}{2F_\pi} \right)^2$$



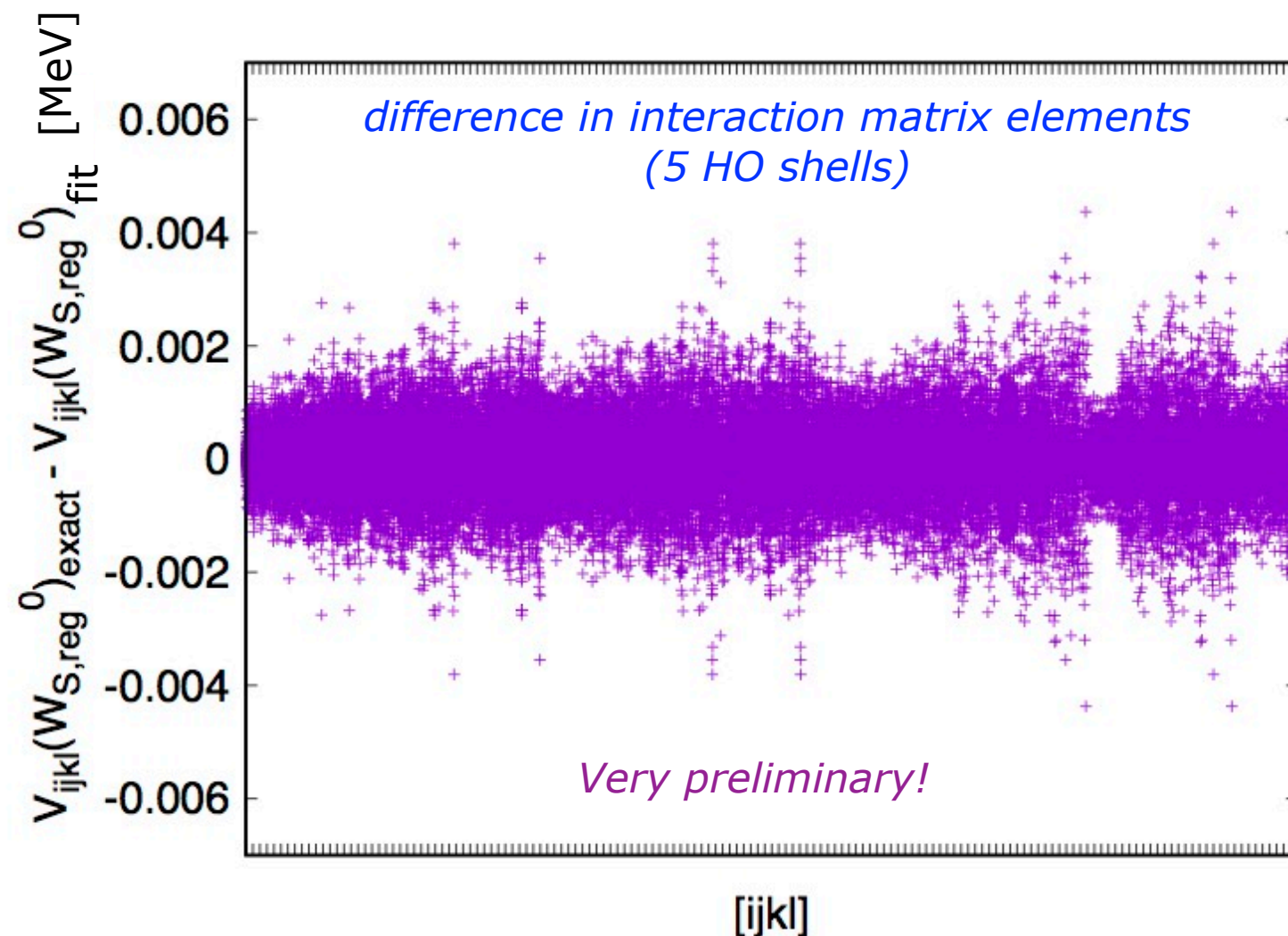
Courtesy of I. Tews

# Application of the MPMH method with a chiral interaction

→ **Test for the central term:**

Use the relation 
$$\frac{e^{-M_\pi r}}{r} = \frac{2}{\sqrt{\pi}} \int_0^\infty dX e^{-r^2 X^2 - M_\pi^2/4X^2} \quad (\text{exact})$$

to do the exact integration of the central term and check the accuracy of the Gaussian fit



\* Average difference:

$$\langle \Delta \tilde{V} \rangle = \frac{1}{N} \sum_{\{ijkl\}=1}^N |\tilde{V}_{ijkl}^{exact} - \tilde{V}_{ijkl}^{fit}|$$

$$= 2.10 \times 10^{-5} \text{ MeV}$$

\* standard deviation:

$$s = \sqrt{\langle \Delta \tilde{V}^2 \rangle - \langle \Delta \tilde{V} \rangle^2}$$

$$= 1.20 \times 10^{-4} \text{ MeV}$$

→ impact on observables to be investigated

# *Application of the MPMH method with a chiral interaction*

## **To do next:**

- ★ Finish the implementation of the tensor term
- ★ Implement the next orders: NLO, N<sup>2</sup>LO
  - finite range spin-orbit
  - three-body interaction
- ★ Check convergence of the results with respect to the cut-off and the size of the single-particle basis ...

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*Thank you!*

*This work is supported by  
INT US-DOE Grant DE-FG02-00ER41132 and JINA-CEE US-NSF Grant PHY-1430152*