(Ab initio) Theoretical description of nuclear observables accessible via laser spectroscopy



ESNT workshop, CEA/Saclay, France, October 7th - 11th 2019



Contents

Introduction of the nuclear Ab initio nuclear quantum many-body problem

- \circ Definition and recent progress
- Examples of recent applications
- \circ Some challenges and on-going developments

• Ab initio nuclear many-body problem and observables accessible via laser spectroscopy

- Direct observables and indirect observables
- Operators in chiral effective field theory
- Applications in s and p shell nuclei
- Applications in sd-pf shell nuclei

Occursion

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Occursion

Huge diversity of nuclear phenomena



Most basic quantum nuclear structure feature: magic numbers



Ab initio (i.e. In medias res) quantum many-body problem

Ab initio ("from scratch") scheme = A-body Schrödinger Equation (SE)

$$H|\Psi_k^{\rm A}\rangle = E_k^{\rm A}|\Psi_k^{\rm A}\rangle$$

A-body Hamiltonian

 $H = T + V^{2N} + V^{3N} + V^{4N} + \dots + V^{AN}$

A-body wave-function

5 variables x A nucleons

Definition

- A structure-less nucleons as d.o.f
- All nucleons active in complete Hilbert space
- Elementary interactions between them
- Solve A-body Schroedinger equation (SE)
- Thorough estimate of error

Hamiltonian&operators

Do we know the form of V^{2N}, V^{3N} etc **Do we know how to derive them from QCD?** Why would there be forces beyond pairwise? **Is there a consistent form of other operators?**



More effective approaches needed?

First ab initio calculations

Schiavilla,...] □ 1990's: Green function Monte Carlo approach [Carlson, Pieper, Wiringa, Schiavilla,...]

- MC techniques to sample many-body wave function in coordinate, isospin and spin space
- Section Section Section Section 2000's: No-core shell model approach [Vary, Barrett, Navratil, Ormand...]
 - Diagonalisation of the Hamiltonian in a finite-dimensional space (but with no core!)



Nuclei simulated from "scratch"! Closed the gap between elementary inter-nucleon interactions and properties of nuclei

[Pieper & Wiringa 2001]

- Computational effort increases exponentially/factorially with nucleon number
- X Necessity of treating three-nucleon forces makes it more severe
 - Approach limited to light nuclei (~A≤12)





Approximate methods for closed-shells Approximate methods for open-shells ○ Since 2000's • Since 2010's • MBPT, DSCGF, CC, IMSRG • BMBPT, GSCGF, BCC, MR-IMSRG, MCPT Polynomial scaling Polynomial scaling $H|\Psi_n^A\rangle = \mathbf{E}_n^A|\Psi_n^A\rangle$ 50 40 30 N 20• "Exact" methods • Since 1980's 2013 10 • Monte Carlo, CI, ... • Exponential scaling 30 80 10 20 40 50 7090 60



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Chiral EFT hamiltonians

● N3LO (~2010)

[Entern & Machleidt 2003, Navrátil 2007, Roth et al. 2012]

- First generation of ChEFT interactions (N³LO 2 6 C). LO 3N)
- Follows traditional ab initio strategy (fit be been sector on X-body data)
- Successful in light nuclei, but strong overbinding and too small radii for heavier systems

NNLO_{sat} (2015)

[Ekström et al. 2015]

- Development prompted by inability to reproduce radii beyond light nuclei
- Data from not-so-light nuclei (A=14-25) included in fit + Non-local 3NF regulator
- Good BE and radii in mid-mass but two- and few-few-body systems slightly deteriorated

● N3LO_{In1} (2018)

- Back to standard ab initio strategy but with important for a standard ab initio strategy but with important for a strategy but wi
- Correct description of two- and few-body Construction
- BE and radii of mid-mass systems much improved compared to N3LO

[Entem & Machleidt 2003, Navrátil 2018]

Oxygen binding energies

Oxygen chain: importance of three-body forces and benchmark case for ab initio calculations

N3LO (~2010)





- ✓ Neighbouring F & N chains
- ✓ Results are nicely consistent
- ✓ Interactions seem to work very satisfactorily
- ✓ Different methods yield consistent results
- ✓ 3N interaction mandatory
- ✓ Correct trend and drip-line location at N=16

Sources of uncertainty



• Model space truncation typically up to 1%

Many-body truncation typically 2-3%



O Difference with data up to 10-15% in Ca-Ni region with N3LO



Largest uncertainty from input Hamiltonian

Improved Hamiltonians needed

Charge radii in medium-mass nuclei



Newly developed Hamiltonians improves the situation



Charge radii in medium-mass nuclei



Charge radii in medium-mass nuclei



Output Charge radii provide stringent tests of nuclear interactions via ab initio calculations of mid-mass chains

Ab initio emergence of N=20 and N=28 magic numbers



Spectra of Fluorine isotopes

• Excitation spectra of (neutron-rich) ^{19,23,25,26}F from *ab initio* sd shell model

N3LO (~2010)

 \circ Hybrid method = ab initio shell model (core ¹⁶O and valence space H from IMSRG)



✓ Very satisfactory account of experimental data

 $\checkmark\,$ 3N interaction mandatory for correct density of states and ordering

✓ As good as best sd shell empirical USDB interaction (i.e. traditional shell model)

Confrontation with spectroscopic data in sd nuclei can now be based on ab initio scheme!

[Stroberg et al. 2016]

Spectra of K isotopes



Potential bubble nucleus ³⁴Si

• Conjectured central depletion in $\rho_{ch}(r)$: best candidate is ³⁴Si [Todd-Rutel *et al.* 2004, Khan *et al.* 2008, ...]

• Excellent agreement with experimental charge distribution of ³⁶S [Rychel *et al.* 1983]

• Charge density of ³⁴Si is predicted to display a marked depletion in the center

Charge form factor

• Central depletion reflects in larger $|F(\theta)|^2$ for angles 60°< θ <90° and shifted 2nd minimum by 20°

- Visible in future electron scattering experiments if enough luminosity (10²⁹ cm⁻²s⁻¹ for 2nd minimun)
- Correlation between F_{ch} and $\langle r^2 \rangle_{ch}^{1/2} ({}^{36}S) \langle r^2 \rangle_{ch}^{1/2} ({}^{34}Si)$ identified

■Measurement of $\delta < r^2 >_{ch}^{1/2}$ (^ASi) from high-resolution laser spectroscopy@NSCL (R. Garcia-Ruiz)

Addition and removal nucleon spectra

• Conjectured correlation between bubble and splitting between low J spin-orbit partners

Good agreement for one-neutron addition to ³⁵Si and ³⁷Si (1/2⁻ state in ³⁵Si needs continuum)
 Much less good for one-proton removal; ³³Al on the edge of island of inversion: challenging!

• Correct reduction of splitting E_{1/2}⁻ - E_{3/2}⁻ from ³⁷S to ³⁵Si

Such a sudden reduction of 50% is unique Any correlation with the bubble? Yes!

$E_{1/2^{-}} - E_{3/2^{-}}$	^{37}S	35 Si	$^{37}S \rightarrow ^{35}Si$
SCGF	2.18	1.16	-1.02 (-47%)
(d,p)	1.99	0.91	-1.08 (-54%)

Electromagnetic response

Photodisintegration cross section of ⁴⁰Ca

25

30

 \mathbf{E}_X [MeV]

35

20

10

15

DysADC3 RPA

 40 Ca

NNLO_{sat}

50 55

45

40

••• Ahrens (1975)

 N_{max} =13, $\hbar\omega$ =20 MeV

[Raimoni and Barbieri 2019]

110

100

90

80

70

60

50

40

30

20

10

0

5

 $\sigma(\mathbf{E}_X)$ [mb]

SCGF

Dipole response function

$$R(E) \equiv \sum_k |\langle \Psi_k | Q_{1m}^{T=1} | \Psi_0 \rangle | \delta(E_k - E_0 - E)$$

Electric dipole operator

$$Q_{1m}^{T=1} \equiv \frac{N}{N+Z} \sum_{p=1}^{Z} r_p Y_{1m}(\theta_p, \phi_p) - \frac{Z}{N+Z} \sum_{n=1}^{N} r_n Y_{1m}(\theta_n, \phi_n)$$

Giant and pygmy resonances accessible up to ^ANi Many-body correlations crucial for quantitative description

• Correlation between
$$\sqrt{\langle r_p^2 \rangle}$$
, $\sqrt{\langle r_n^2 \rangle}$ and α_D
Electric dipole polarizability
 $\alpha_D \equiv 2\alpha \int dE \frac{R(E)}{E}$
 $\sqrt{\langle r_{ch}^2 \rangle \Longrightarrow \alpha_D}$
 $\alpha_D \equiv \alpha_D$
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Occursion

Nuclear structure features addressed ab initio

Some challenges for ab initio theory

Enlarged portefolio of observables More accurate descriptions • Next order in expansion, e.g. full T3, pert. T4 Low-lying E* in open-shell beyond sd • Next order in H, e.g. full 3NF and approx 4NF Moments in open-shell beyond sd Giant resonances Improved Hamiltonians $H|\Psi_n^A\rangle = \mathbf{E}_n^A|\Psi_n^A\rangle$ • Higher order, different fits \circ Different PW, Δ -full EFT 40 Our Content of Cont 30 Statistical and systematic from H • Systematic from basis size, truncation order 20• Larger set of nuclei 10 Doubly open-shell beyond sd shell Beyond A~100 Novel/generalized many-body formalisms Improved nuclear Hamiltonians Data processing methods from applied mathematics

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So what about observables from laser spectrocopy?

• Charge radii via isotopic shifts

- Tremendously useful to tune bulk properties of nuclear interactions
- Now systematically computed for even-even closed and (singly) open-shell nuclei
- \circ Entertain interesting correlations with other observables, e.g. α_D , F_{ch} ...

• Nuclear spins via atomic hyperfine structure

- Basic check of nuclear structure evolution
- Require the computation of odd-even or odd-odd ground-states/isomeric states
- Systematic comparison with available data could be useful

• Ground-state electromagnetic moments via atomic hyperfine structure

- Detailed probe of nuclear structure evolution (« shell structure » and « shell occupancies »)
- Require the computation of odd-even or odd-odd ground-states
- Require the computation of non-trivial operators

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Effective field theory

Hamiltonian in chiral effective field theory

• Goal of **many**-body methods: apply to AN systems with **A>>3** (and propagate the theoretical error!)

Consistent operators in chiral effective field theory

✓ Nuclear electromagnetic charge/current operators (= time/vector part of four-vector current j^µ)

$$\rho(\vec{q}) = \sum_{i} \rho_{i}(\vec{q}) + \sum_{i < j} \rho_{ij}(\vec{q}) + \sum_{i < j < k} \rho_{ijk}(\vec{q}) + \dots \quad \text{(o) One-body (i.e. standard) operator}$$

$$\vec{j}(\vec{q}) = \sum_{i} \vec{j}_{i}(\vec{q}) + \sum_{i < j} \vec{j}_{ij}(\vec{q}) + \sum_{i < j < k} \vec{j}_{ijk}(\vec{q}) + \dots \quad \text{(o) Two-body meson-exchange currents (MECs)}$$

$$\vec{j}(\vec{q}) = \sum_{i} \vec{j}_{i}(\vec{q}) + \sum_{i < j < k} \vec{j}_{ijk}(\vec{q}) + \dots \quad \text{(o) Three-body meson-exchange currents}$$

$$\vec{q} = \text{momentum of external photon field}$$

• Operators are built from EFT expansion by coupling nuclear current to external e.m. fields

Consistent nuclear e.m. operators and nuclear forces

- Satisfy the continuity equation $\vec{q} \cdot \vec{j}(\vec{q}) = [H, \rho(\vec{q})]$ following from gauge invariance
- Derived via two different version of time-ordered perturbation theory
 - Standard time-ordered perturbation theory / Jlab-Pisa group [Pastore et al. 2008, 2009, 2011, 2013]
 - Method of unitary transformation / Bochum-Bonn group [Kolling et al. 2009, 2011]

Proper renormalization achieved in this case

Electromagnetic current operator

Electromagnetic charge operator

Relation to observables from laser spectroscopy

Longitudinal and transverse form factors for elastic and inelastic scattering

$$\begin{split} F_{L}^{2}(q) &= \frac{1}{2J_{i}+1} \sum_{J=0}^{\infty} |\langle \Psi_{f}^{J_{f}} \overline{T_{J}^{C}(q)} \Psi_{i}^{J_{i}} \rangle|^{2} \\ F_{T}^{2}(q) &= \frac{1}{2J_{i}+1} \sum_{J=0}^{\infty} |\langle \Psi_{f}^{J_{f}} \overline{T_{J}^{M}(q)} | \Psi_{i}^{J_{i}} \rangle|^{2} + |\langle \Psi_{f}^{J_{f}} \overline{T_{J}^{E}(q)} \Psi_{i}^{J_{i}} \rangle|^{2} \\ \end{split}$$

$$\begin{aligned} \mathsf{T}^{\mathsf{L}}_{\mathsf{J}} &= \frac{1}{2J_{i}+1} \sum_{J=0}^{\infty} |\langle \Psi_{f}^{J_{f}} \overline{T_{J}^{M}(q)} | \Psi_{i}^{J_{i}} \rangle|^{2} + |\langle \Psi_{f}^{J_{f}} \overline{T_{J}^{E}(q)} \Psi_{i}^{J_{i}} \rangle|^{2} \\ \end{aligned}$$

- Connection to static moments
- Form of standard one-body, i.e. LO(IA), operators
 - \circ Static electric quadrupole operator

$$Q^{\text{IA}} = e \sum_{i} e_{i}(0) r_{i}^{2} Y_{20}(\theta_{i}, \phi_{i})$$

 \circ Static magnetic dipole operator

$$\mu^{\text{IA}} = \sum_{i} e_i(0) \vec{L}_i + \mu_i(0) \vec{\sigma}_i$$

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Magnetic dipole moment in s and p shell nuclei

- Decomposition of one-body IA
 - Proton's convection small vs spin magnetization
 - \circ Driven by valence nucleon in odd-even
 - Driven by n-p or 3He-p cluster in odd-odd

Elastic form factors in s and p shell nuclei

● Elastic charge (longitudinal) and magnetic (transverse) form factors from ²H to ¹²C

- Ex: Quadrupole electric form factor in ²H
 Hybrid and (semi-consistent) χ-EFT calculations
 Charge operator at LO (IA) and N³LO
 - \circ Band from 500 MeV < Λ < 600 MeV

Results

- \circ G_Q(0) = M²_d Q_d (here in fit of NN)
- \circ LO(IA) sufficient up to q~3 fm-1
- \circ Nucleonic form factors mandatory beyond 1.5 $fm^{\text{-1}}$
- \circ Excellent result up to q ~ 4 fm⁻¹ in all cases
- \circ $\chi\text{-EFT}$ with N³LO MEC excellent up to q ~ 8 fm^-1

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Moments in Ca isotopes

• Empirical/ab initio (IMSRG) shell-model calculations of magnetic dipole/electric quadrupole moments

- ^{47,49,51}Ca via high-resolution collinear laser spectroscopy COLLAPS @ ISOLDE [Garcia Ruiz et al. 2015]
- ³⁷Ca via collinear laser spectroscopy BECOLA @ NSCL [Klose et al. 2019]

Operators

- \circ Pure one-body \leftrightarrow No explicit MEC
- Bare spin and orbital g factors for magnetic moment
- \circ Effective charges: $e_n = 0.5e$ and $e_p = 1.5e$

Magnetic moment

- ⁴⁰Ca core broken in ^{41,43,45}Ca
- Good reproduction from ab initio in ^{47,49,51}Ca ★★★
- Significant breaking of N=32 magic number

Quadrupole moment

- Excellent agreement for ab initio in all isotopes
- \circ No apparent need of orbital-dependent e_n and/or e_p

Next: MEC and consistently-transformed operators to valence space

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Conclusions

• Enormous progress of ab initio calculations in the last 10 years

- Much larger phenomenology can be put in connection with elementary nuclear forces
- Nuclear forces themselves are explicitly rooted in QCD
- \circ Comparison to basic experimental observables can be made to day up to A \approx 80

Much further progress to be made

- Observables: electromagnatic moments and transitions, electroweak operators
- Nuclear interactions put to the test in mid-mass nuclei = current main bottleneck for progress
- Formal & numerical challenges to go to heavier nuclei/better accuracy/doubly open-shell nuclei
- Compute features of reactions (already some) and develop ab initio dynamics
- Evaluation and propagation of systematic errors of H

Collaborators on ab initio many-body calculations

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Back up slides

Hamiltonian

Nuclear Hamiltonian

Particle number

$$H = \frac{1}{(1!)^2} \sum_{pq} t_{pq} c_p^{\dagger} c_q$$

+ $\frac{1}{(2!)^2} \sum_{pqrs} \overline{v}_{pqrs} c_p^{\dagger} c_q^{\dagger} c_s c_r$
+ $\frac{1}{(3!)^2} \sum_{pqrstu} \overline{w}_{pqrstu} c_p^{\dagger} c_q^{\dagger} c_r^{\dagger} c_u c_t c_s$

$$A \equiv \sum_{p} c_{p}^{\dagger} c_{p}$$

Genuine 3N interaction / six-legs vertex

Controls the average particle number in the system

Problematic to handle 3N interactions in mid-mass nuclei

Single-reference expansion many-body methods

Nuclear Hamiltonian

 $H = T + V^{2N} + W^{3N}$

Symmetry group U(1) dealt with today [H, S] = 0 where $S \equiv A, J^2, J_z \dots$

Mean-field reference state

 $[H_0, S] = 0$ $H = H_0 + H_1$ such that $[H_1, S] = 0$ $H_0 |\Phi_0^S\rangle = \mathcal{E}_0^S |\Phi_0^S\rangle$ Exactly solvable. **Open-shell Closed-shell** Non-degenerate No**Dedregnemate**te In proper statiting oppoint Good starting point

A-body eigenvalue problem

 $H|\Psi_0^{\rm S}\rangle = E_0^{\rm S}|\Psi_0^{\rm S}\rangle \quad \ {\rm N^A\ cost\ where\ N} = \dim\ {\cal H}_1$

Many-body expansion

Wave operator Reference state

Accounts for « weak/dynamical » correlations
 Expand as a series (MBPT, CC...) + truncate = N^p cost

 $[H'_{0}, S] \neq 0$ $[H'_{1}, S] \neq 0$ $H = H'_{0} + H'_{1}$ $|\Psi^{S}_{0}\rangle = U(\infty)|\Phi_{0}\rangle$ More general reference state Accounts for "strong/non-dynamical" correlations $Expand (BMBPT, BCC...) + truncate = N^{p} cost$

- 1) Truncated series breaks symmetry
- 2) Exact symmetry must eventually be restored

Slater determinant reference state and normal ordering

Six-index tensor

NO2B approximation Too expensive to handle 1-3% error in closed shell [R. Roth et al., PRL 109 (2012) 052501] **Effective 2-body operators** Captures essential of 3-body Many-body method with 2-body

Bogoliubov reference state and normal ordering

Bogoliubov reference state

Breaks U(1) symmetry

$$\beta_{k} = \sum_{p} U_{pk}^{*} c_{p} + V_{pk}^{*} c_{p}^{\dagger} \qquad |\Phi\rangle \equiv C \prod_{k} \beta_{k} |0\rangle \qquad A |\Phi\rangle \neq A |\Phi\rangle$$
$$\beta_{k}^{\dagger} = \sum_{p} U_{pk} c_{p}^{\dagger} + V_{pk} c_{p} \qquad \beta_{k} |\Phi\rangle = 0 \quad \forall k \qquad \text{Vacuum state} \\ \text{Reduces to SD in } \mathcal{H}_{A} \text{ for closed-shell}$$

Normal ordering via Wick's theorem in quasi-particle basis

$$H \equiv \sum_{n=0}^{3} \sum_{i+j=2n} \frac{1}{i!j!} \sum_{l_1...l_{i+j}} H_{l_1...l_{i+j}}^{ij} \beta_{k_1}^{\dagger} \dots \beta_{k_i}^{\dagger} \beta_{k_{i+j}} \dots \beta_{k_{i+1}}$$

$$H^{ij} \text{ matrix elements function of}$$

$$t_{pq} \ \overline{v}_{pqrs} \ \overline{w}_{pqrstu} \ U_{pk} \ V_{pk}$$

$$\equiv H^{00} + [H^{20} + H^{11} + H^{02}] + [H^{40} + H^{31} + H^{22} + H^{13} + H^{04}] + \sum_{i+j=6} H^{ij}$$

$$\equiv \sum_{n=0}^{2} H^{[2n]} + H^{[6]} \quad 6\text{-qp operators}$$
Similarly for A and Ω
Six-index tensors
Too expensive to handle
NO2B approximation
1-3% error in closed shell
[Roth *et al.*, PRL 109 (2012) 052501]

H^{ij} matrix elements function of
$$t_{pq} \ \overline{v}_{pqrs} \ \overline{w}_{pqrstu} \ U_{pk} \ V_{pk}$$

Electron scattering off nuclei

- Electrons constitute an optimal probe to study atomic nuclei
 - \circ Point-like \rightarrow excellent spatial resolution
 - \circ EM weak and theoretically well constrained
- Accélérateur Linéaire @ Saclay (ALS)
 - Electron accelerator (1969-1990)
 - \circ Refined data on tens of stable nuclei

Electron scattering off unstable nuclei?

- \circ Challenge for the future
- First physics experiments in 2017 with SCRIT @ RIKEN

Guidance for improved nuclear many-body Hamiltonians

Nuclear lattice calculations of 86 even-even nuclei up to A=48 and pure neutron matter [Lu et al. 2018]

¤ Leading-order pion-less EFT SU(4)-invariant with 2N and 3N interactions

Effective range r_0 averaged over 1S_0 and 3S_1 S-wave scattering length a_0 averaged over 1S_0 and 3S_1 B(3H) + set of mid-mass nuclei

N=Z	В	Exp.	$R_{\rm ch}$	Exp.	Cou.
³ H	8.48(2)	8.48	1.90(1)	1.76	0.0
³ He	7.75(2)	7.72	1.99(1)	1.97	0.73(1)
⁴ He	28.89(1)	28.3	1.72(1)	1.68	0.80(1)
16 O	121.9(1)	127.6	2.74(1)	2.70	13.9(1)
²⁰ Ne	161.6(1)	160.6	2.95(1)	3.01	20.2(1)
^{24}Mg	193.5(2)	198.3	3.13(1)	3.06	28.0(1)
²⁸ Si	235.8(4)	236.5	3.26(1)	3.12	37.1(2)
⁴⁰ Ca	346.8(6)	342.1	3.42(1)	3.48	71.7(4)

Error < 4.5% on BE in ¹⁶O and < 8.0% on R_c in ³H

SU(4)-invariant LO very satisfactory for large A
 Satisfatory pure neutron matter + volume/surface energy coefficients
 Corrections from spin&isospin dependent terms

Coulomb effect beneficial

Novel many-body formalisms

● No real free lunch but still look for best compromise ✓ Versatility (nuclei and/or states/observables)

- ✓ Accuracy
- ✓ CPU cost

[Duguet, Signoracci 2016]

Optimal many-body method for open-shell nuclei: Bogoliubov many-body perturbation theory

→ Code for automated generation of many-body diagrams [Arthuis et al. 2018]

 \rightarrow 2-3% agreement of all methods with exact results (IT-NCSM)

Different truncation schemes yield consistent description of open-shell nuclei

BMBPT optimal to systematically test next generation of Chiral EFT nuclear Hamiltonians