

# Introduction to In-Medium Similarity Renormalization Group Methods

Text

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SKB

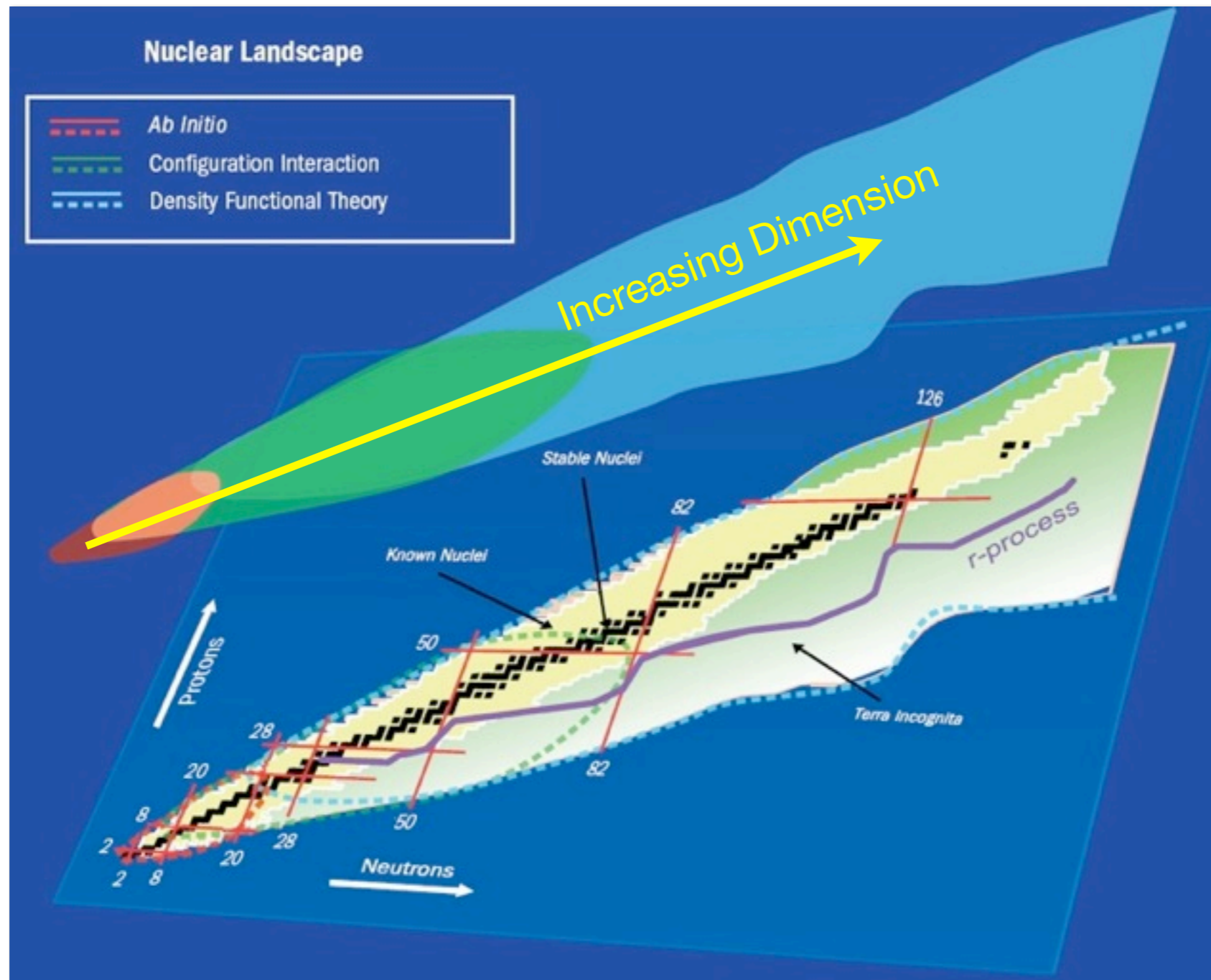
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**Titus Morris**

**N. Parzuchowski**



# The nuclear many-body landscape



Calculate the properties of thousands of **strongly-interacting** nuclei from underlying forces

# Challenges

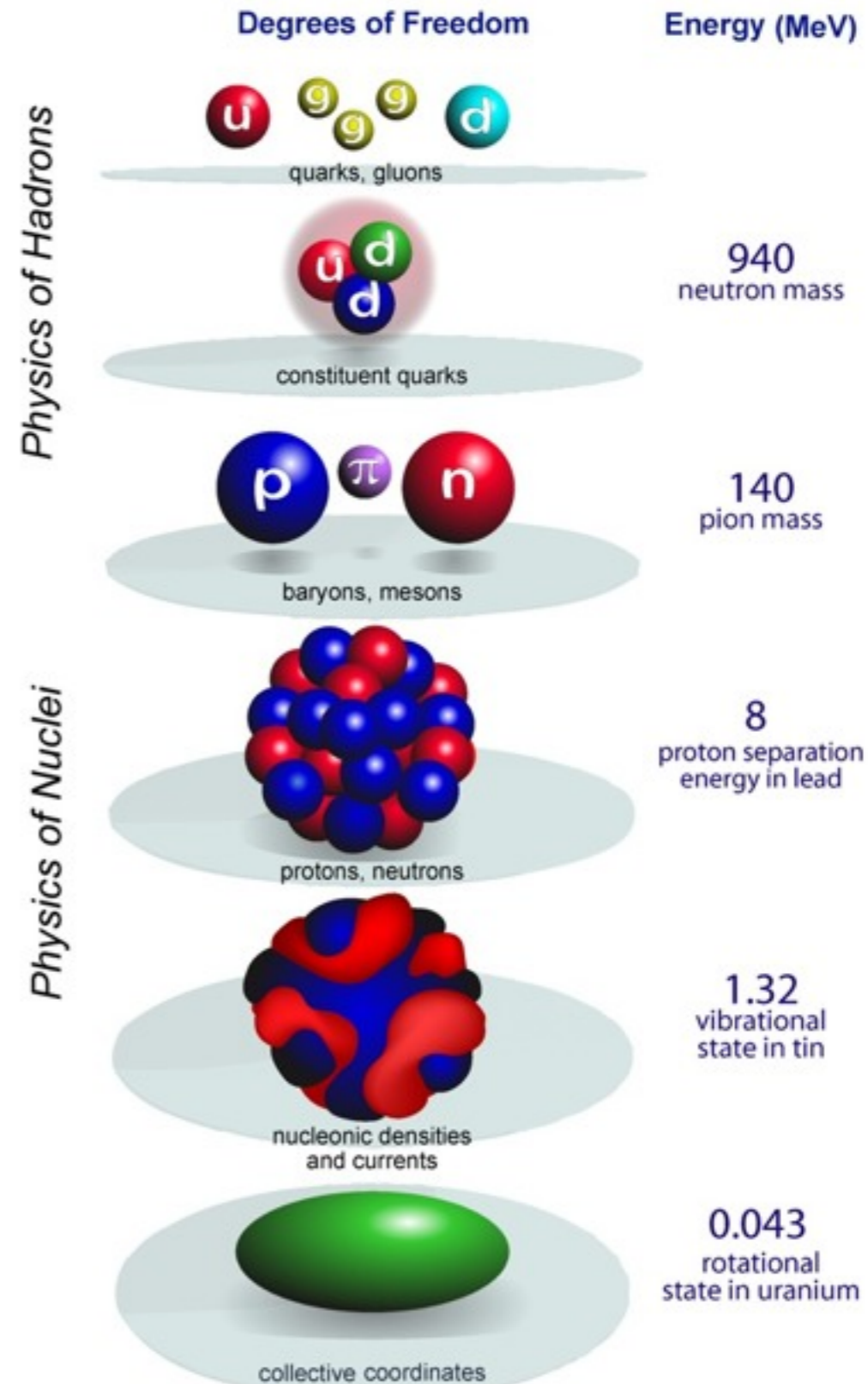


- **Multi-scale**

$$V(\Lambda) = V_{2N}(\Lambda) + V_{3N}(\Lambda) + \dots$$

- Effective theories at each scale connected by renormalization group

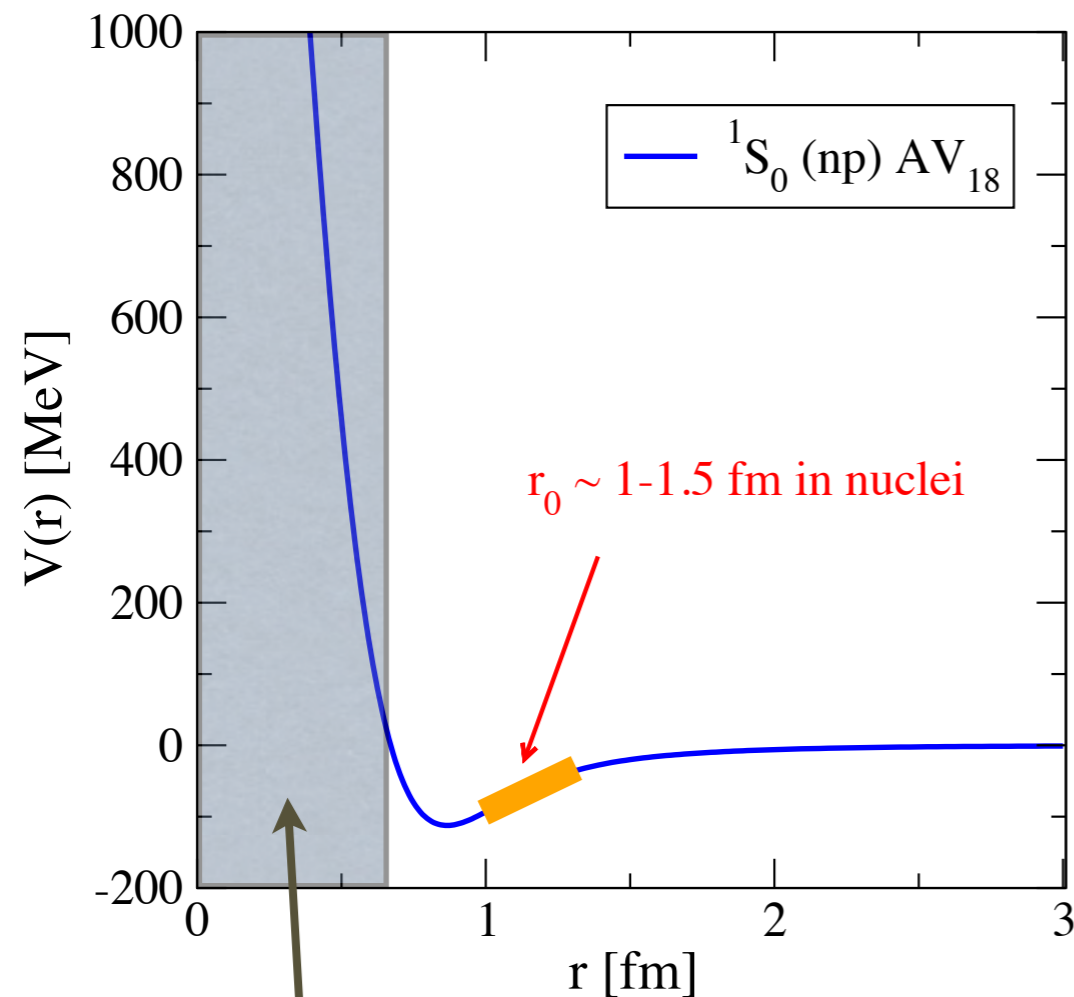
Use RG to pick a convenient  $\Lambda$  “resolution scale”



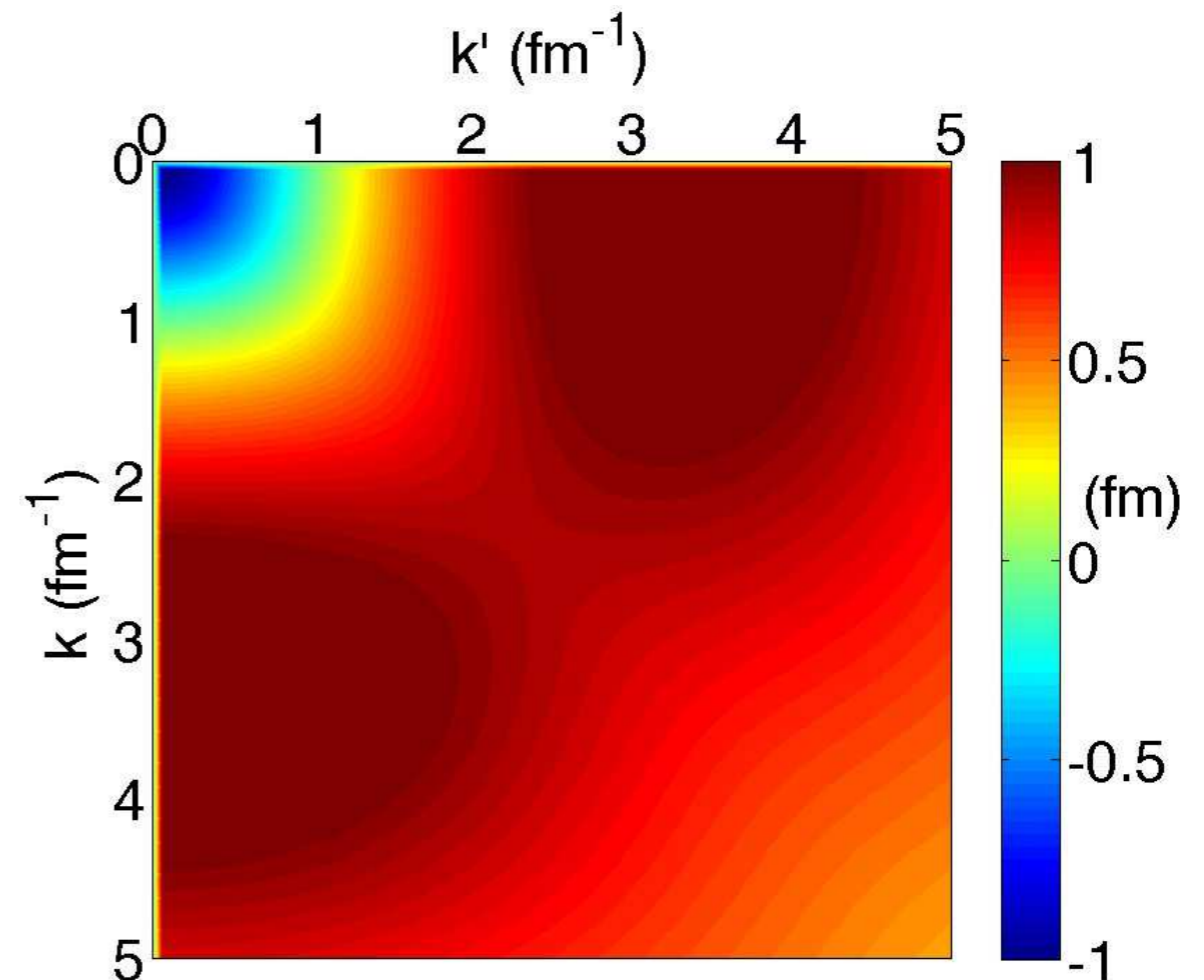
# Challenges



- Multi-scale
- **strong interactions**



“hard-core” of  $V(r)$  =>  
strong offdiagonal  $V(k, k')$



$$V_{l=0}(k, k') = \int d^3r j_0(kr) V(r) j_0(k'r')$$

# Challenges

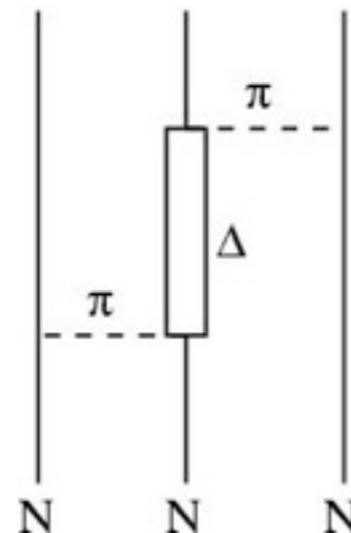
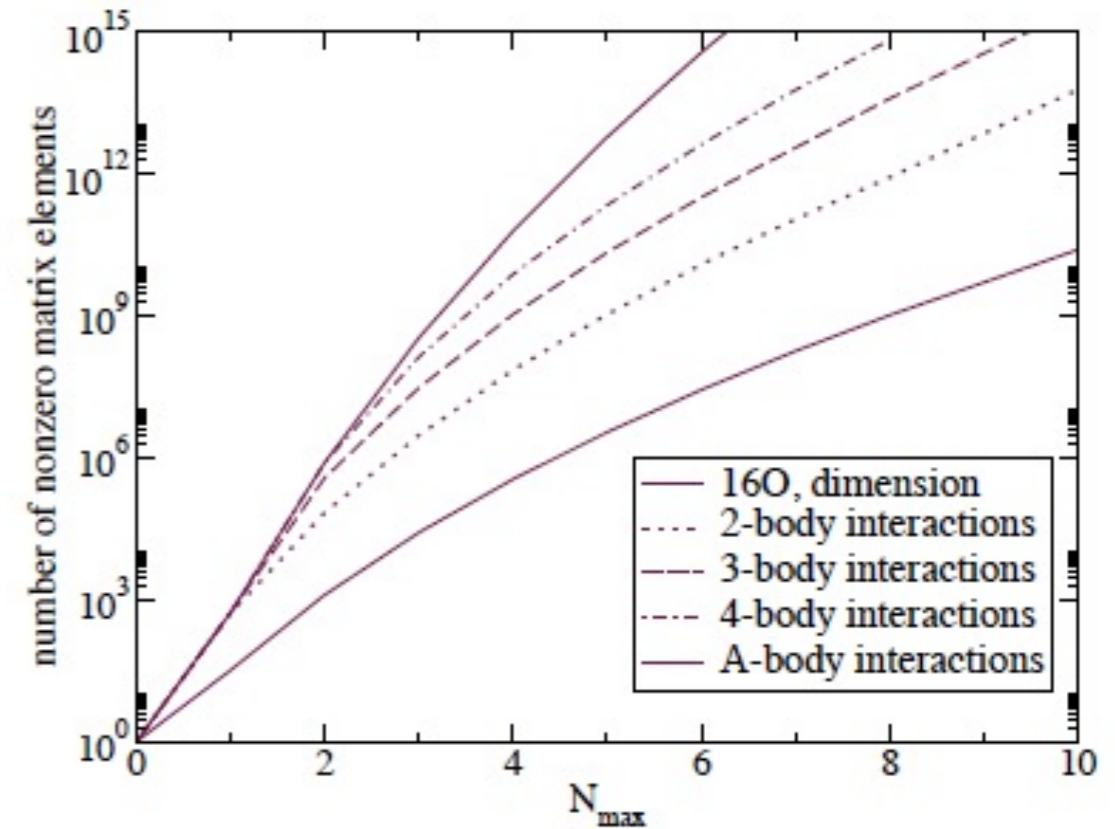
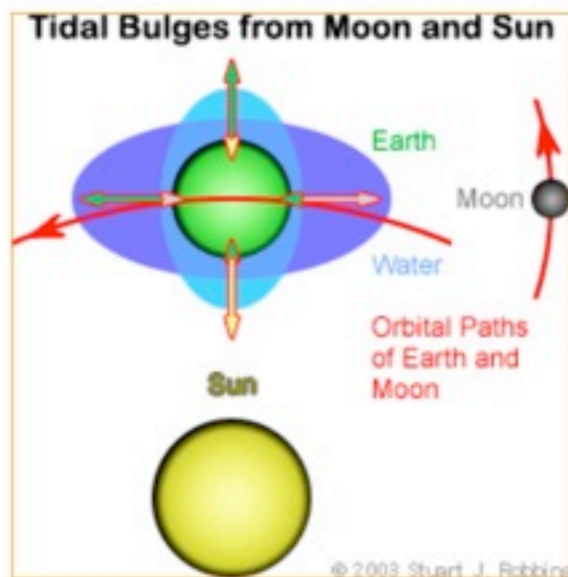


- Multi-scale
- strong interactions
- 3-body forces

## Three-body force

From Wikipedia, the free encyclopedia

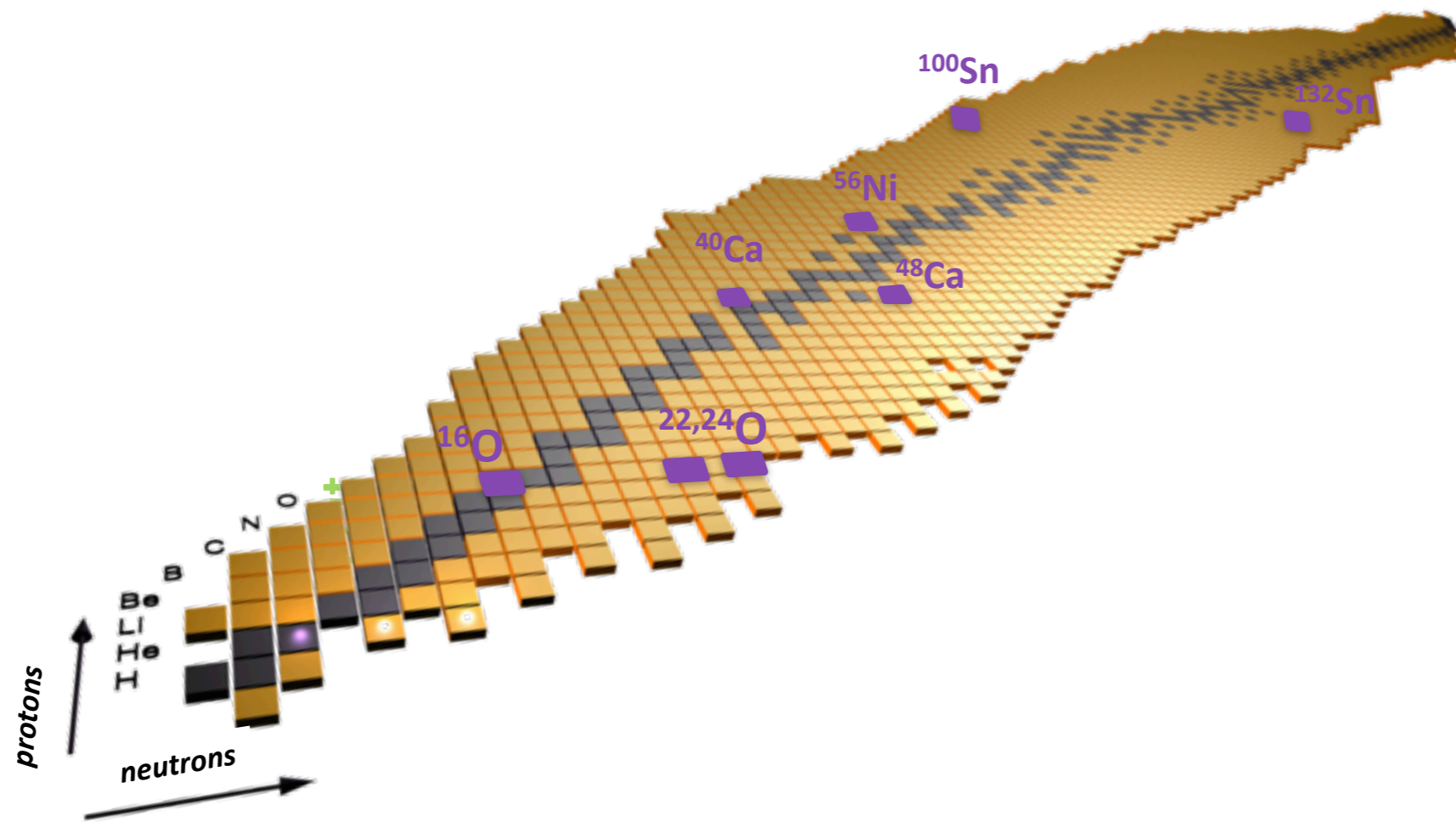
A **three-body force** is a force that does not exist in a system of two objects but appears in a three-body system. In general, if the behaviour of a system of more than two objects cannot be described by the two-body interactions between all possible pairs, as a first approximation, the deviation is mainly due to a three-body force.



Polarization effect a-la  
Axilrod-Teller

# Challenges

- Multi-scale
- strong interactions
- 3-body forces
- **Most are open-shell**



# In-Medium SRG for Closed-Shell Systems

H. Hergert, S. K. Bogner, S. Binder, A. Calci, J. Langhammer, R. Roth, and A. Schwenk, Phys. Rev. C **87**, 034307 (2013)

K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. Lett. **106**, 222502 (2011)

S.K. Bogner, R. Furnstahl, and A. Schwenk, Prog. Part. Nucl. Phys. **65** (2010), 94

S. Reimann, S.K. Bogner and M. Hjorth-Jensen, in preparation

# Similarity Renormalization Group



The SRG Tower of Babel

- 1) Hamiltonian Flow
- 2) Continuous Unitary Transformations (CUTs)
- 3) Numerical Canonical Diagonalization
- 4) Isospectral Flow
- 5) ...



# Similarity Renormalization Group



## Basic Concept

**continuous unitary transformation** to drive Hamiltonian to band- or block diagonal form (Glazek and Wilson, Wegner)

- evolved Hamiltonian

$$H(s) = U(s)HU^\dagger(s) \equiv H_d(s) + H_{od}(s)$$

s = continuous flow parameter

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- flow equation:

$$\frac{d}{ds}H(s) = [\eta(s), H(s)], \quad \eta(s) = \frac{dU(s)}{ds}U^\dagger(s) = -\eta^\dagger(s)$$

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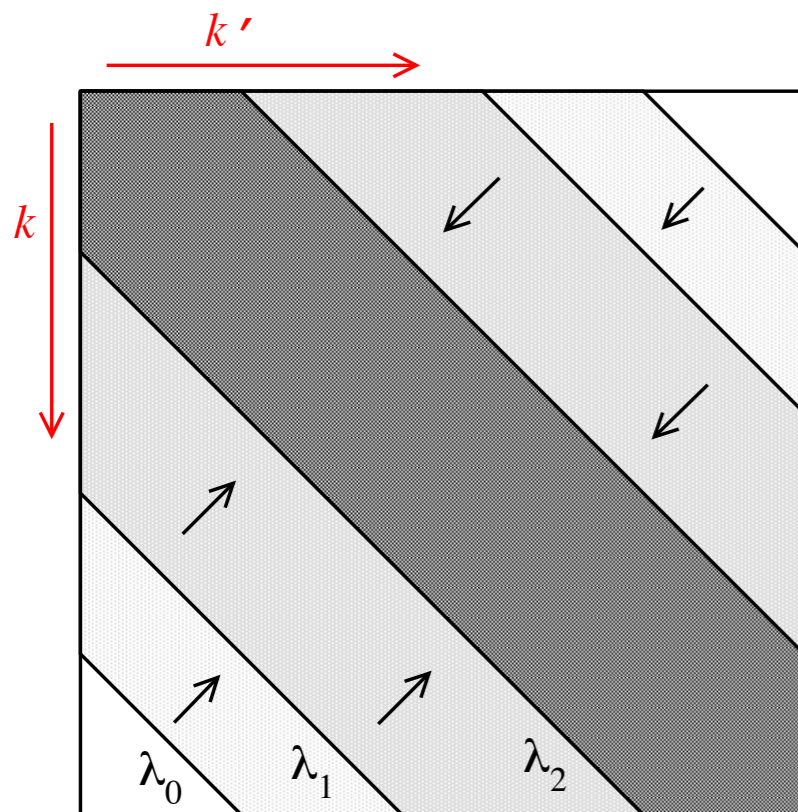
- choose  $\eta(s)$  to drive  $H(s)$  to desired form

**E.g.**  $\eta(s) = [H_d(s), H_{od}(s)] \Rightarrow \lim_{s \rightarrow \infty} H_{od}(s) = 0$

# Similarity Renormalization Group



Original Motivation: Decouple low- high-momentum modes to “soften” nuclear interactions (**Free-space SRG**)



$$\eta(s) = [T, H(s)]$$

Drives H towards diagonal in k-space

$$\lambda \equiv s^{-1/4}$$

like a floating UV cutoff

# Similarity Renormalization Group



Original Motivation: Decouple low- high-momentum modes to “soften” nuclear interactions (**Free-space SRG**)

## Exercise:

Estimate how the size of the s.p. basis scales with  $\Lambda$ . Given this, estimate the size of the Hamiltonian matrix for  $^{16}\text{O}$ .

## Hints:

- 1) The basis must be sufficiently extended in **space** to capture the size of the nucleus ( $R \sim 1.2A^{1/3}$  fm).
- 2) The basis must be sufficiently extended in **momentum** to capture the size of the cutoff  $\Lambda$  in the Hamiltonian.
- 3) Use a phase space argument to get # of sp states

# Similarity Renormalization Group



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Exercise:

Estimate how the size of the s.p. basis scales with  $\Lambda$ . Given this, estimate the size of the Hamiltonian matrix for  $^{16}\text{O}$ .

Answer: # of s.p. states  $D \sim \Lambda^3 A$

$$\begin{aligned}\text{Dim}(H) &= \# \text{ of } A\text{-body Slater determinants} \\ &= D! / (D-A)! / A!\end{aligned}$$

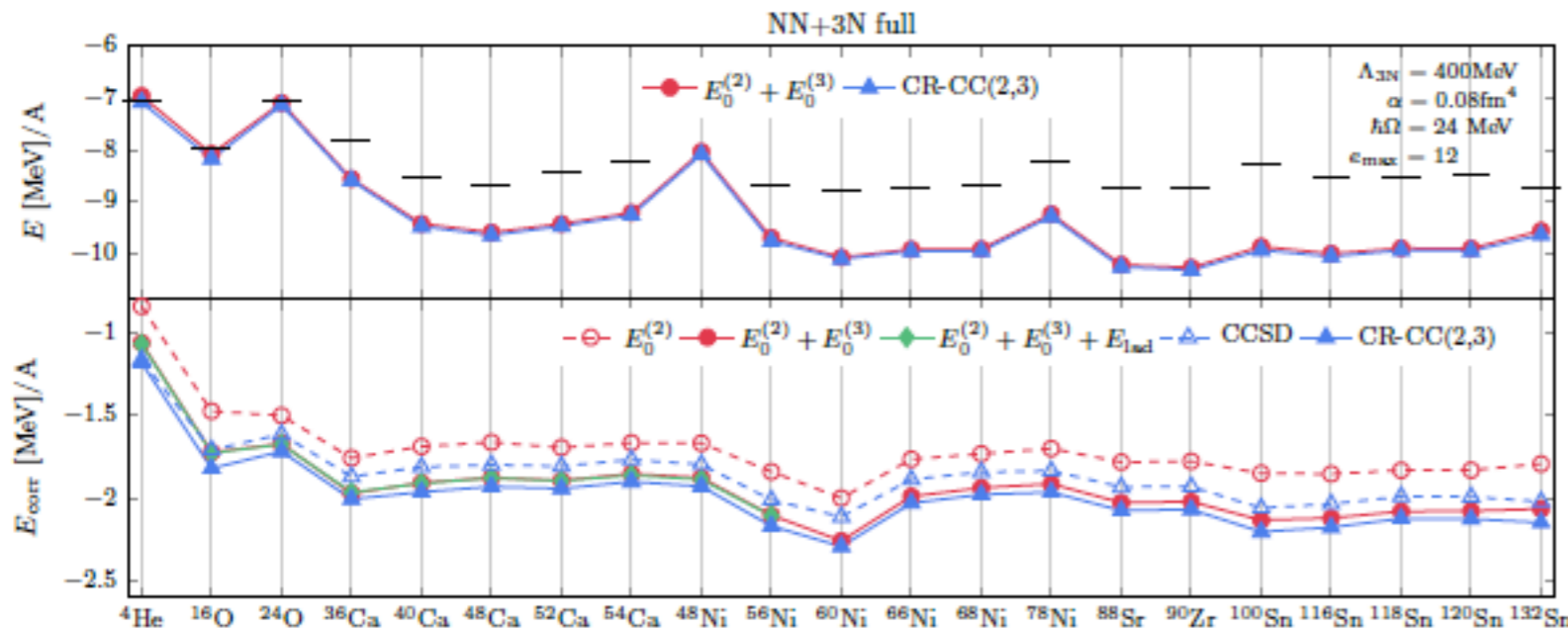
e.g., for  $\Lambda = 4.0 \text{ fm}^{-1}$   $\text{Dim}(H) \sim 10^{14}$

Strong incentive to lower  $\Lambda$ !

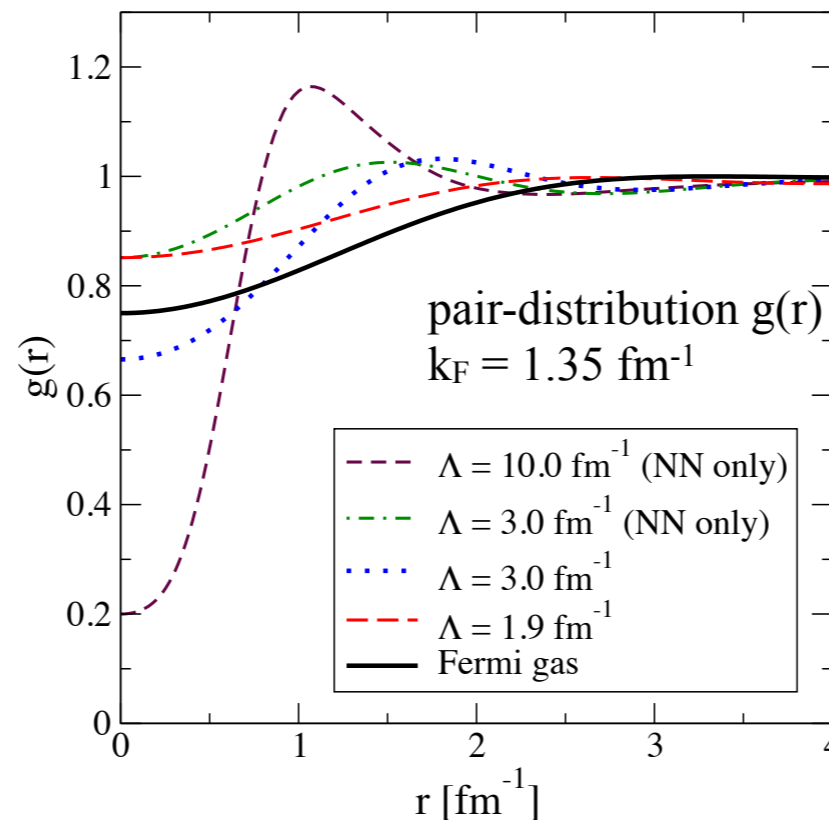
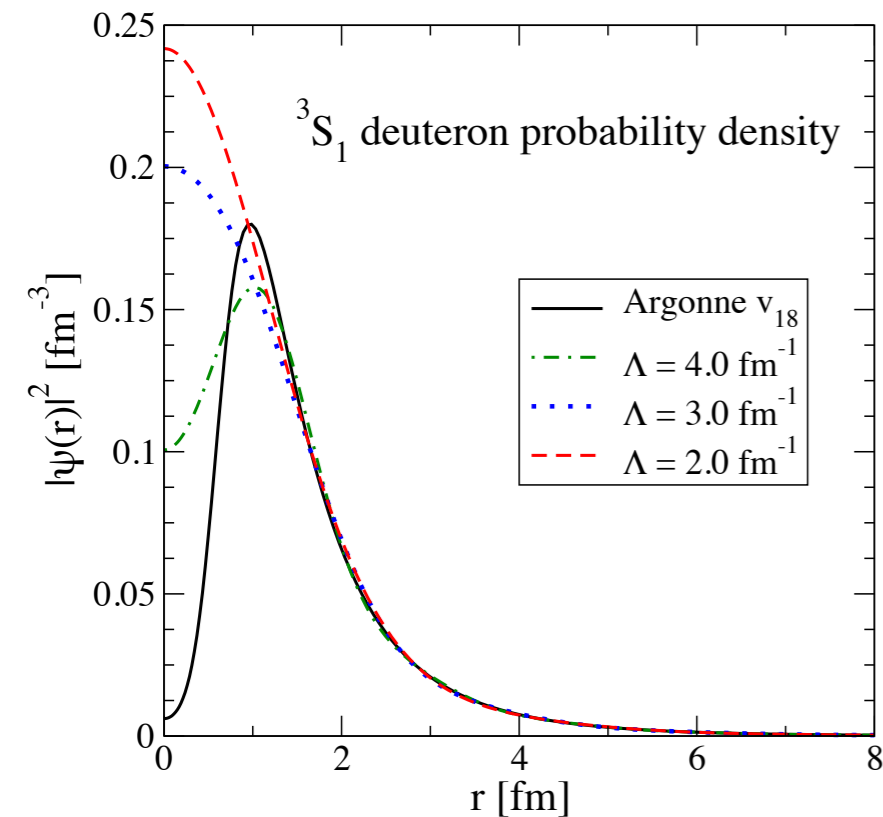
# Simplifications with SRG interactions



A. Tichai et al.



Good agreement of MBPT(3) and CR-CC(2,3) with SRG-softened interactions



Weaker correlations, faster convergence, etc.

# Price Paid: Induced Interactions



- SRG is a **unitary transformation** in **A-body space**



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- up to **A-body interactions** are **induced** during the flow

$$\frac{dH}{d\lambda} = \left[ \left[ \sum a^\dagger a, \underbrace{\sum a^\dagger a^\dagger aa}_{2\text{-body}} \right], \underbrace{\sum a^\dagger a^\dagger aa}_{2\text{-body}} \right] + \dots = \sum \underbrace{a^\dagger a^\dagger a^\dagger aaa}_{3\text{-body}} + \dots$$

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- state-of-the-art: evolve in three-body space, truncate induced four- and higher many-body forces  
(Jurgenson, Furnstahl, Navratil, PRL 103, 082501; Hebeler, PRC 85, 021002; Roth et al., PRL 109, 052501)

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**Need to go (at least) to 3-body level to get  $\lambda$  independent results, in some cases even this is not enough** (Roth et al., PRL 109, 052501)

# Free space versus in-medium evolution



**Free space SRG:**  $V(\lambda)_{2N}$  fixed in  $2N$  system  
 $V(\lambda)_{3N}$  fixed in  $3N$  system



$V(\lambda)_{aN}$  fixed in  $aN$  system

Use  $T + V(\lambda)_{2N} + V(\lambda)_{3N} + \dots + V(\lambda)_{aN}$  in  $A$ -body system

**In-medium SRG:**  
evolution done at directly in  $A$ -body system.

Different mass regions  $\Rightarrow$  different SRG evolutions

inconvenience outweighed (?) by simplifications allowed by normal-ordering

# Normal Ordering



- **second quantization:**  $A_{I_1 \dots I_N}^{k_1 \dots k_N} = a_{k_1}^\dagger \dots a_{k_N}^\dagger a_{I_N} \dots a_{I_1}$
- particle- and hole density matrices:

$$\lambda_I^k = \langle \Phi | A_I^k | \Phi \rangle \longrightarrow n_k \delta_I^k, \quad n_k \in \{0, 1\}$$

$$\xi_I^k = \lambda_I^k - \delta_I^k \longrightarrow -\bar{n}_k \delta_I^k \equiv -(1 - n_k) \delta_I^k$$

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- define **normal-ordered operators** recursively:

$$\begin{aligned} A_{I_1 \dots I_N}^{k_1 \dots k_N} = & : A_{I_1 \dots I_N}^{k_1 \dots k_N} : + \lambda_{I_1}^{k_1} : A_{I_2 \dots I_N}^{k_2 \dots k_N} : + \text{singles} \\ & + \left( \lambda_{I_1}^{k_1} \lambda_{I_2}^{k_2} - \lambda_{I_2}^{k_1} \lambda_{I_1}^{k_2} \right) : A_{I_3 \dots I_N}^{k_3 \dots k_N} : + \text{doubles} + \dots \end{aligned}$$

- **algebra is simplified** significantly because

$$\langle \Phi | : A_{I_1 \dots I_N}^{k_1 \dots k_N} : | \Phi \rangle = 0$$

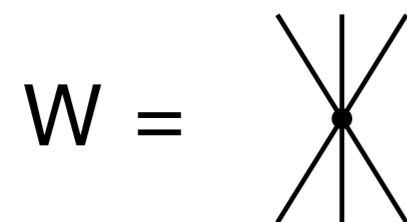
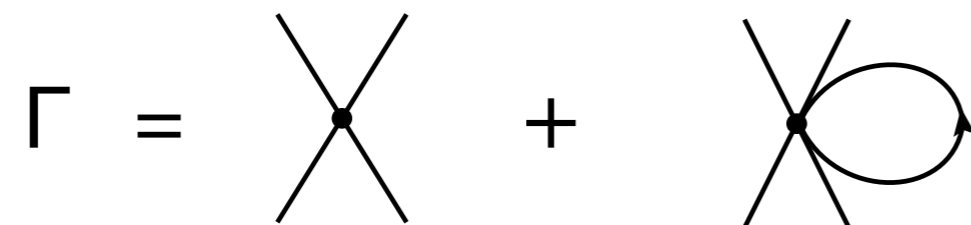
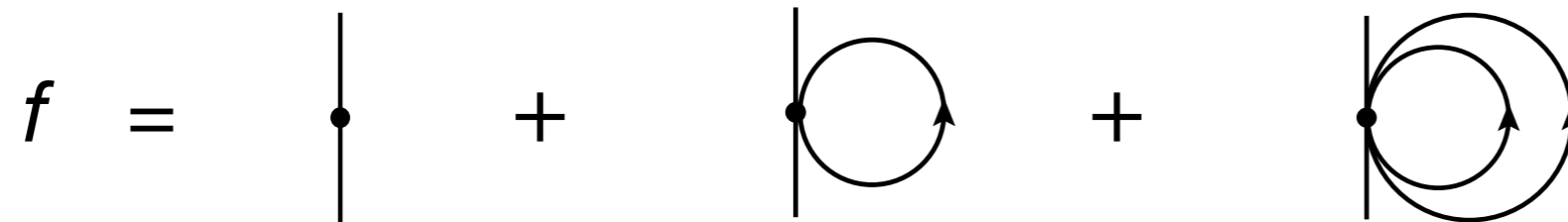
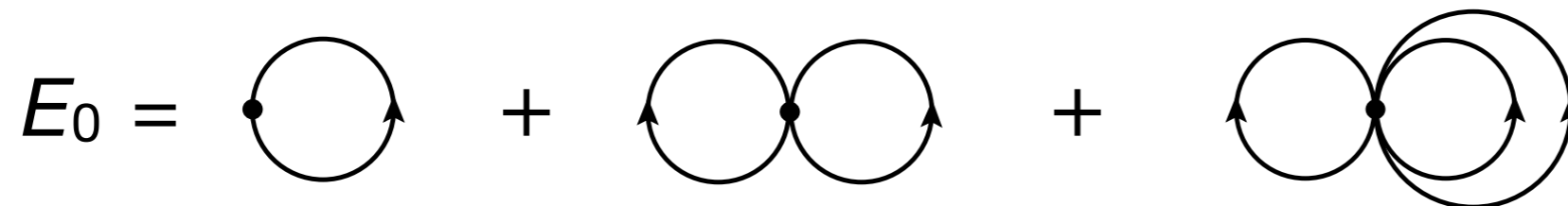
- **Wick's theorem** gives simplified expansions (**fewer terms!**) for products of normal-ordered operators

# Normal-Ordered Hamiltonian



## Normal-Ordered Hamiltonian

$$H = E_0 + \sum_{kl} f_l^k : A_l^k : + \frac{1}{4} \sum_{klmn} \Gamma_{mn}^{kl} : A_{mn}^{kl} : + \frac{1}{36} \sum_{ijklmn} W_{lmn}^{ijk} : A_{lmn}^{ijk} :$$



Normal ordering w.r.t. Hartree-Fock solution  
for **complete** NN(+3N) Hamiltonian!

# Normal-Ordered Hamiltonian



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$$E_0 = \text{[diagram: circle with dot]} + \text{[diagram: two circles with dots]} + \text{[diagram: two circles with dots and internal loops]}$$

$$f = \text{[diagram: vertical line with dot]} + \text{[diagram: vertical line with dot and circle]} + \text{[diagram: vertical line with dot and two circles]}$$

$$\Gamma = \text{[diagram: X shape]} + \text{[diagram: X shape with circle]}$$

$$W = \text{[diagram: six lines meeting at a point]}$$

two-body formalism with in-medium contributions from three-body interactions

Normal ordering w.r.t. Hartree-Fock solution for **complete** NN(+3N) Hamiltonian!

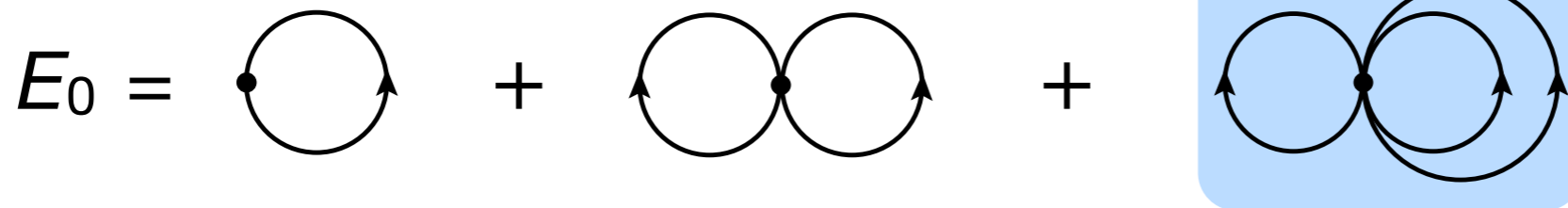


# Normal-Ordered Hamiltonian

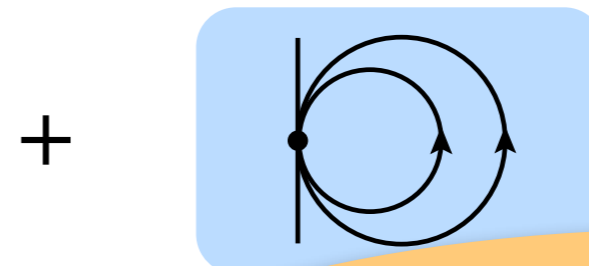


## Normal-Ordered Hamiltonian

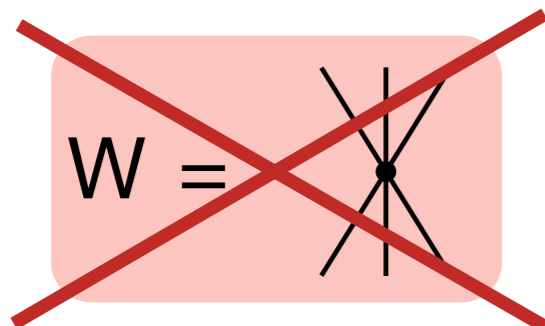
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IM-SRG(2): Truncate  $H(s)$ ,  $\eta(s)$  to **normal ordered** 2-body terms

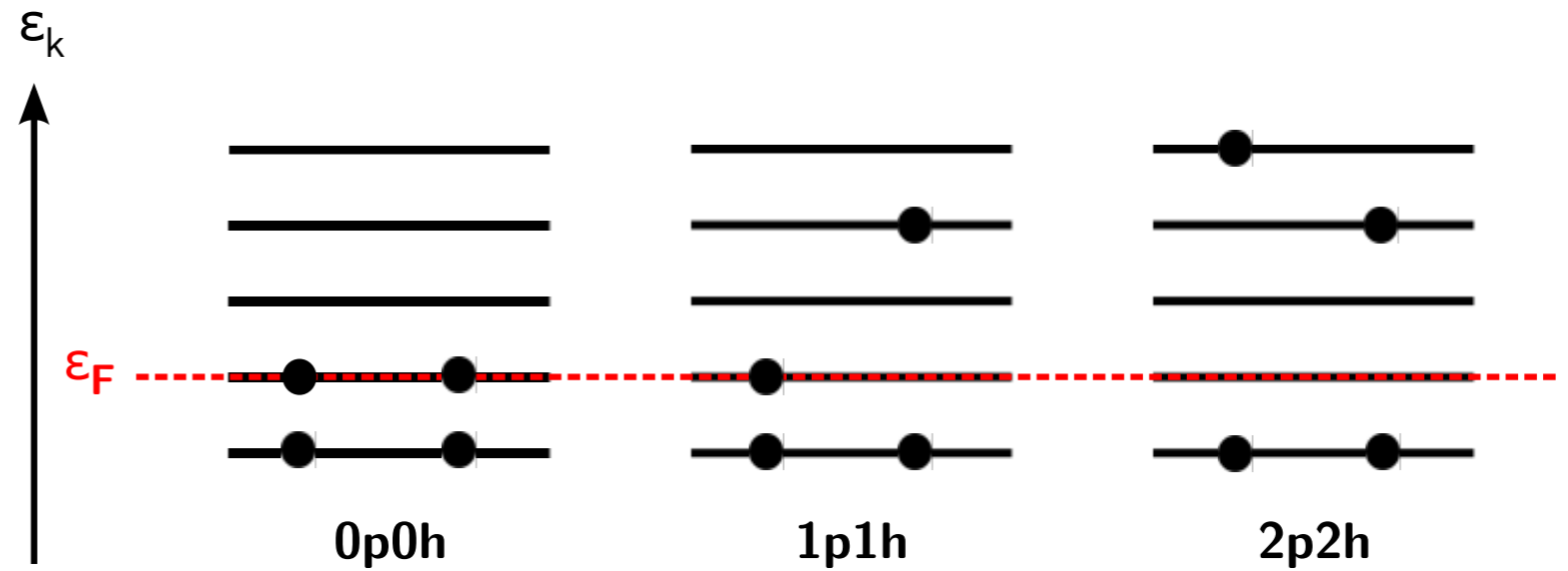
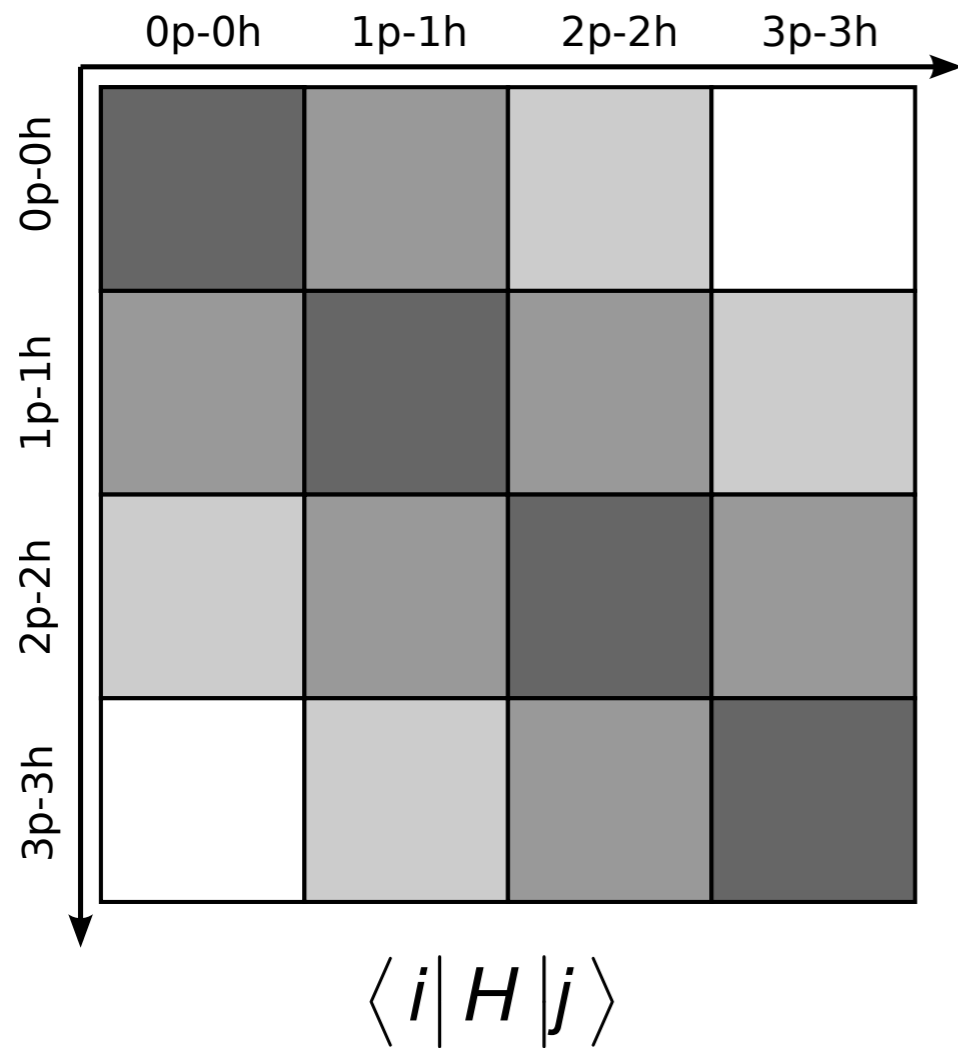


two-body formalism with in-medium contributions from three-body interactions

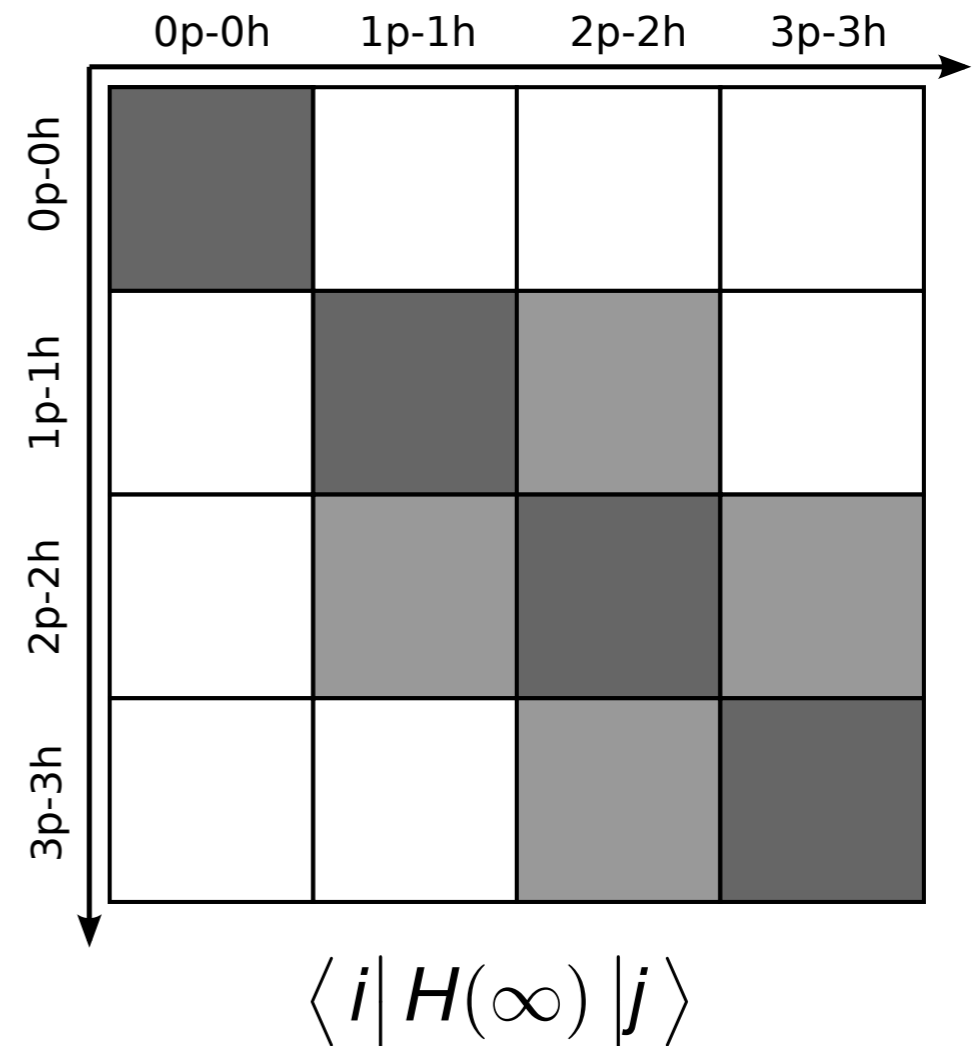
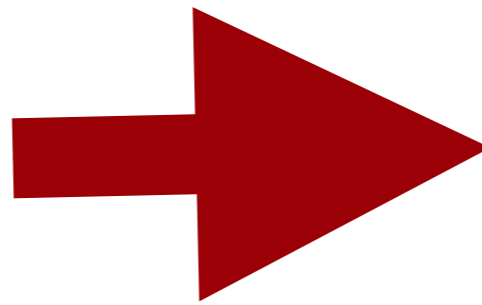
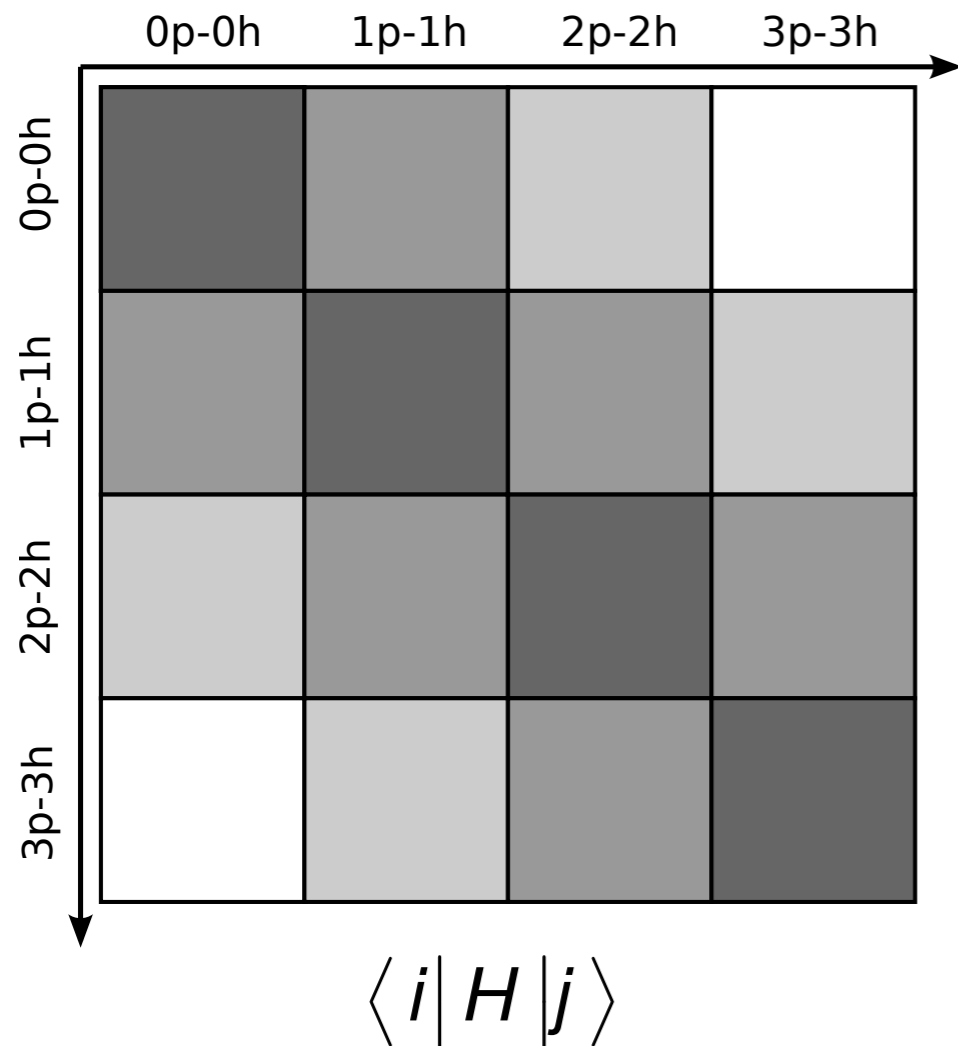


Normal ordering w.r.t. Hartree-Fock solution for **complete** NN(+3N) Hamiltonian!

# Decoupling in A-Body Space



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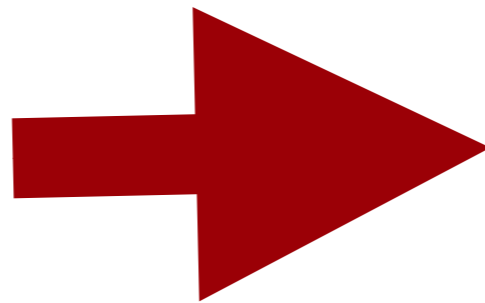
**aim:** decouple reference state (0p-0h) from excitations

$$H_{od} = \left\{ f_h^p, f_p^h, \Gamma_{hh'}^{pp'}, \Gamma_{pp'}^{hh'} \right\}$$

# Decoupling in A-Body Space

	0p-0h	1p-1h	2p-2h	3p-3h
0p-0h				
1p-1h				
2p-2h				
3p-3h				

$$\langle i | H | j \rangle$$

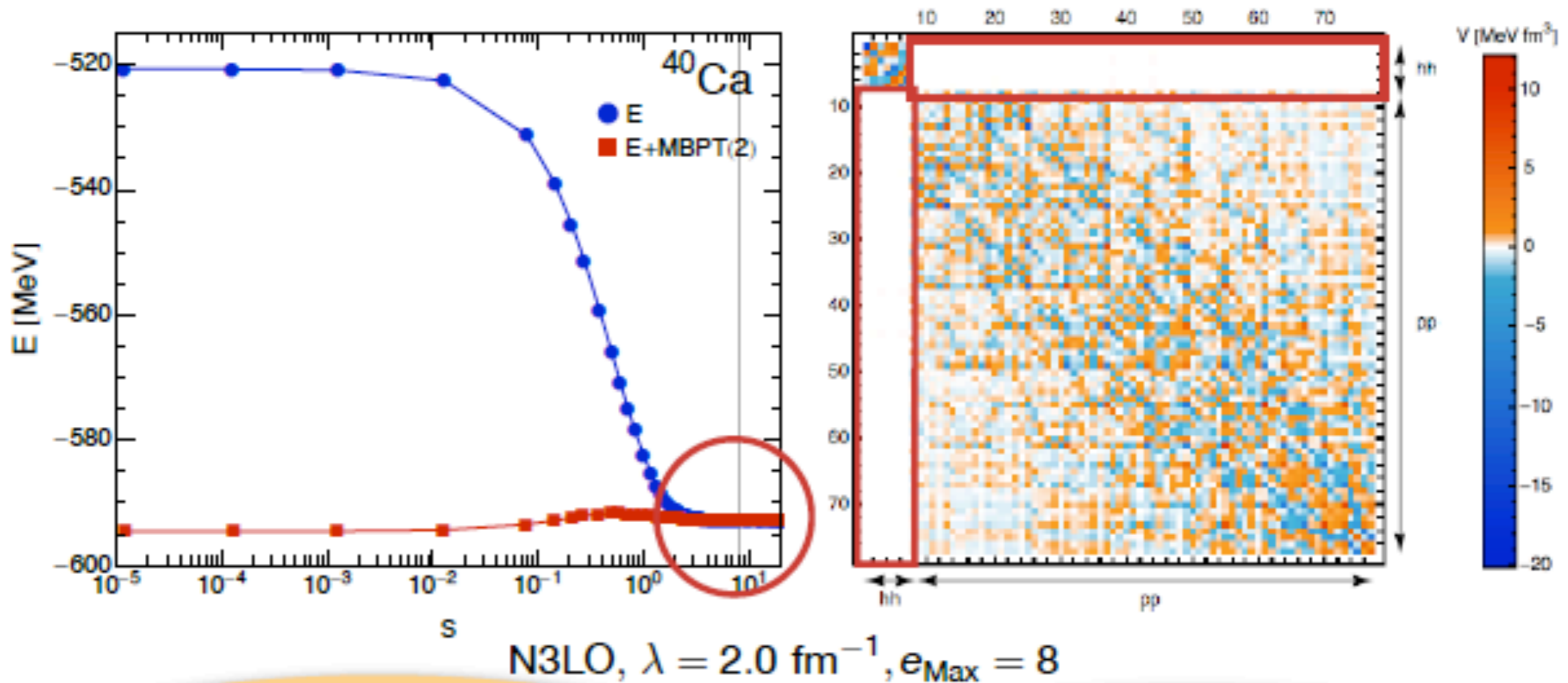


	0p-0h	1p-1h	2p-2h	3p-3h
0p-0h				
1p-1h				
2p-2h				
3p-3h				

$$\langle i | H(\infty) | j \rangle$$

$$E_{gs} = \langle 0p0h | H(\infty) | 0p0h \rangle$$

# Decoupling in A-Body Space



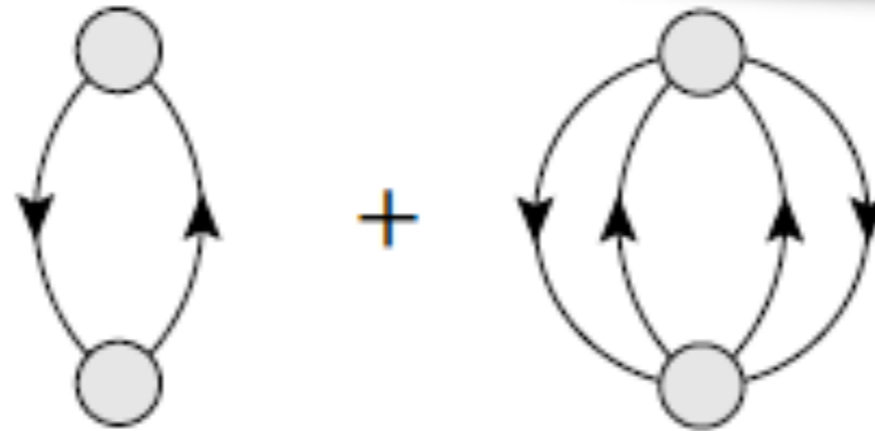
non-perturbative  
resummation of MBPT series  
(correlations)

off-diagonal couplings  
are rapidly driven to zero

# In-medium SRG flow equations

0-body Flow

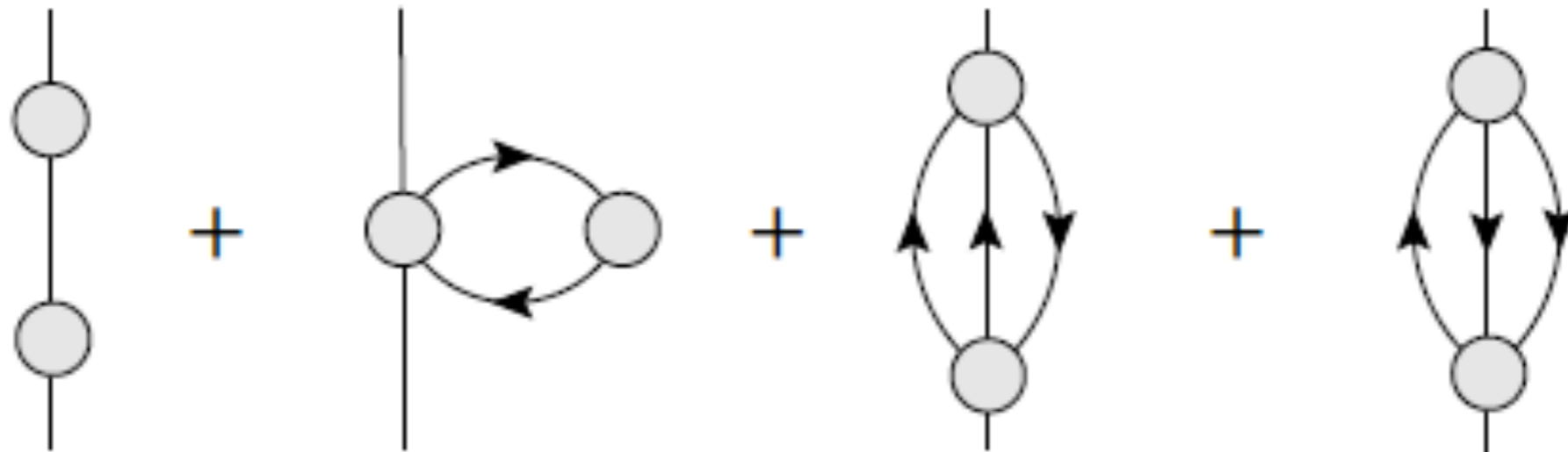
$$\frac{dE}{ds} =$$



~ 2nd order MBPT for  $H(s)$

1-body Flow

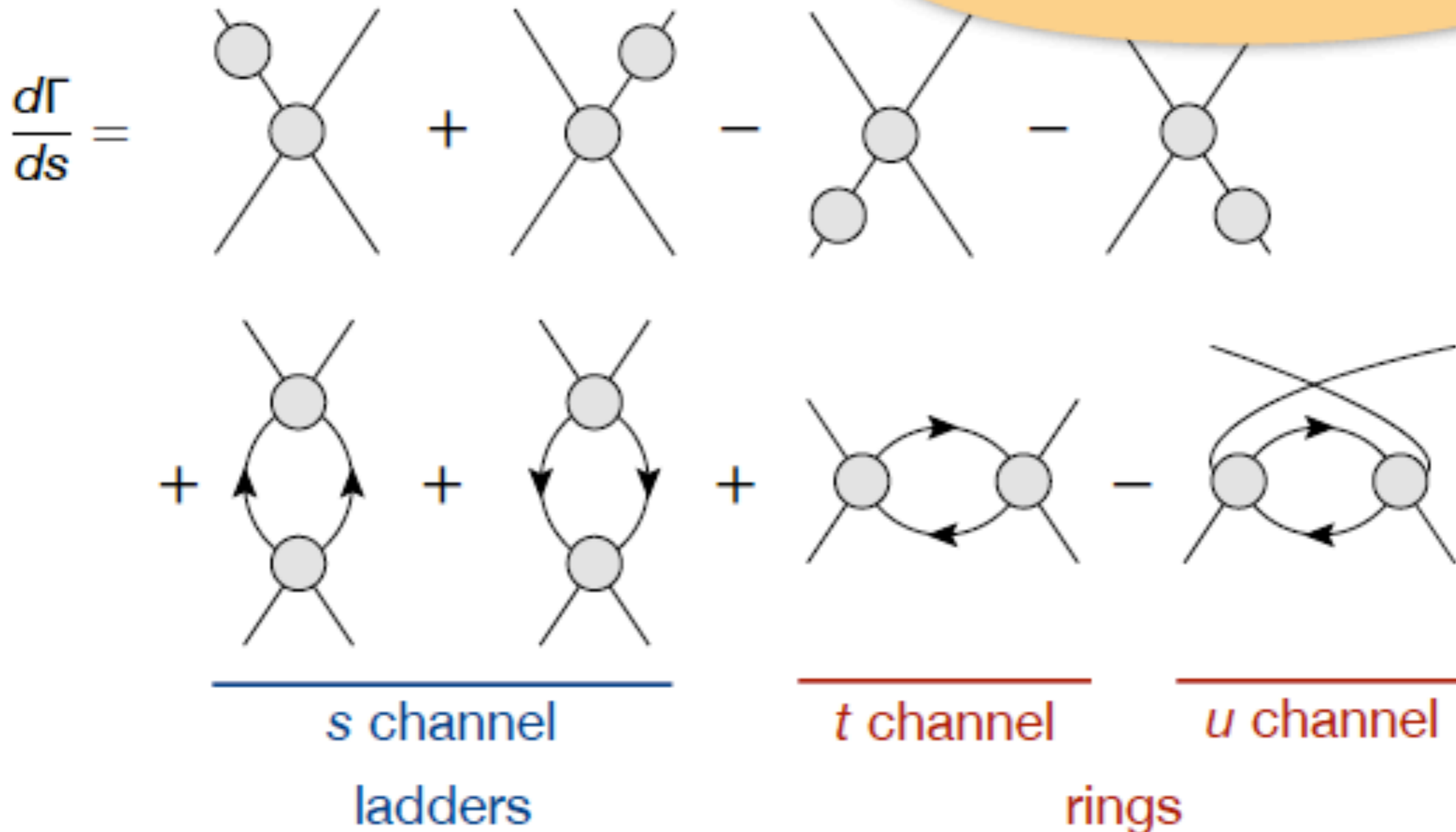
$$\frac{df}{ds} =$$



# In-medium SRG flow equations

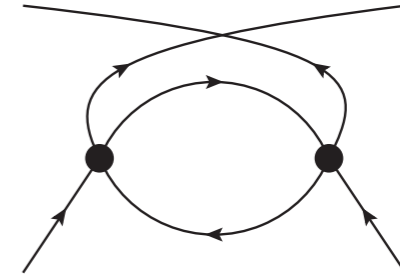
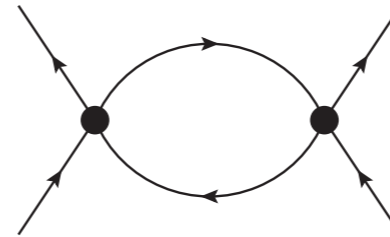
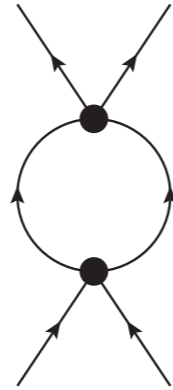
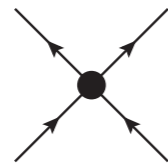
## 2-body Flow

$O(N^6)$  scaling  
(before particle/hole distinction)



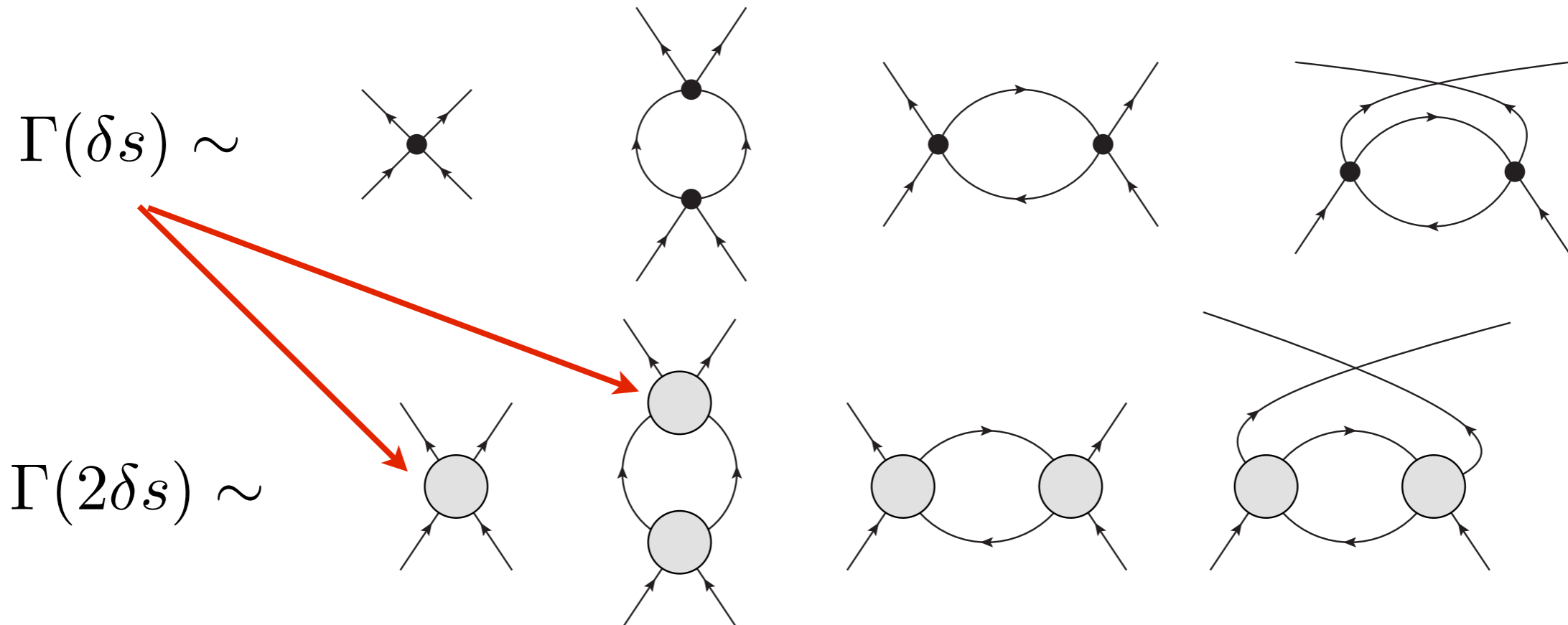
# Non-perturbative summation

$$\Gamma(\delta s) \sim$$





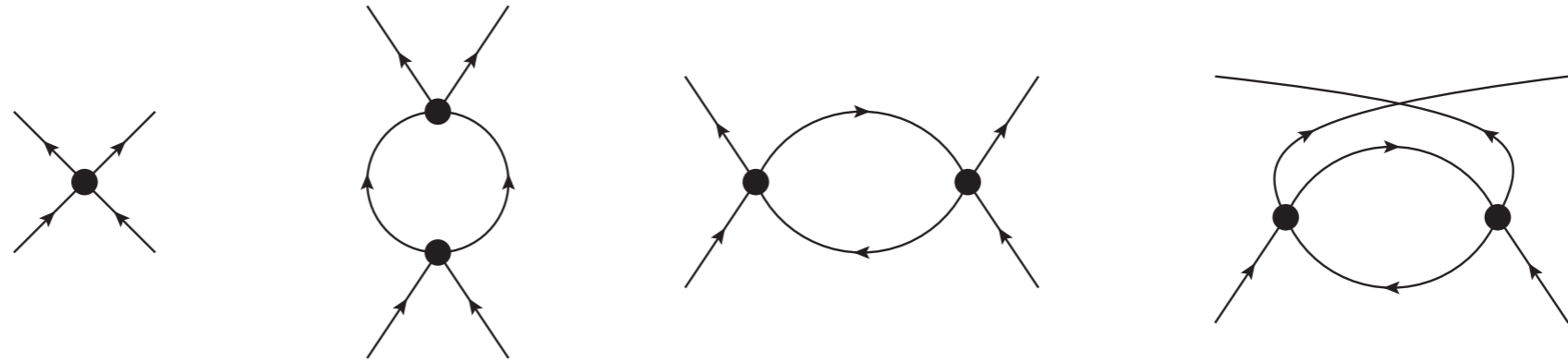
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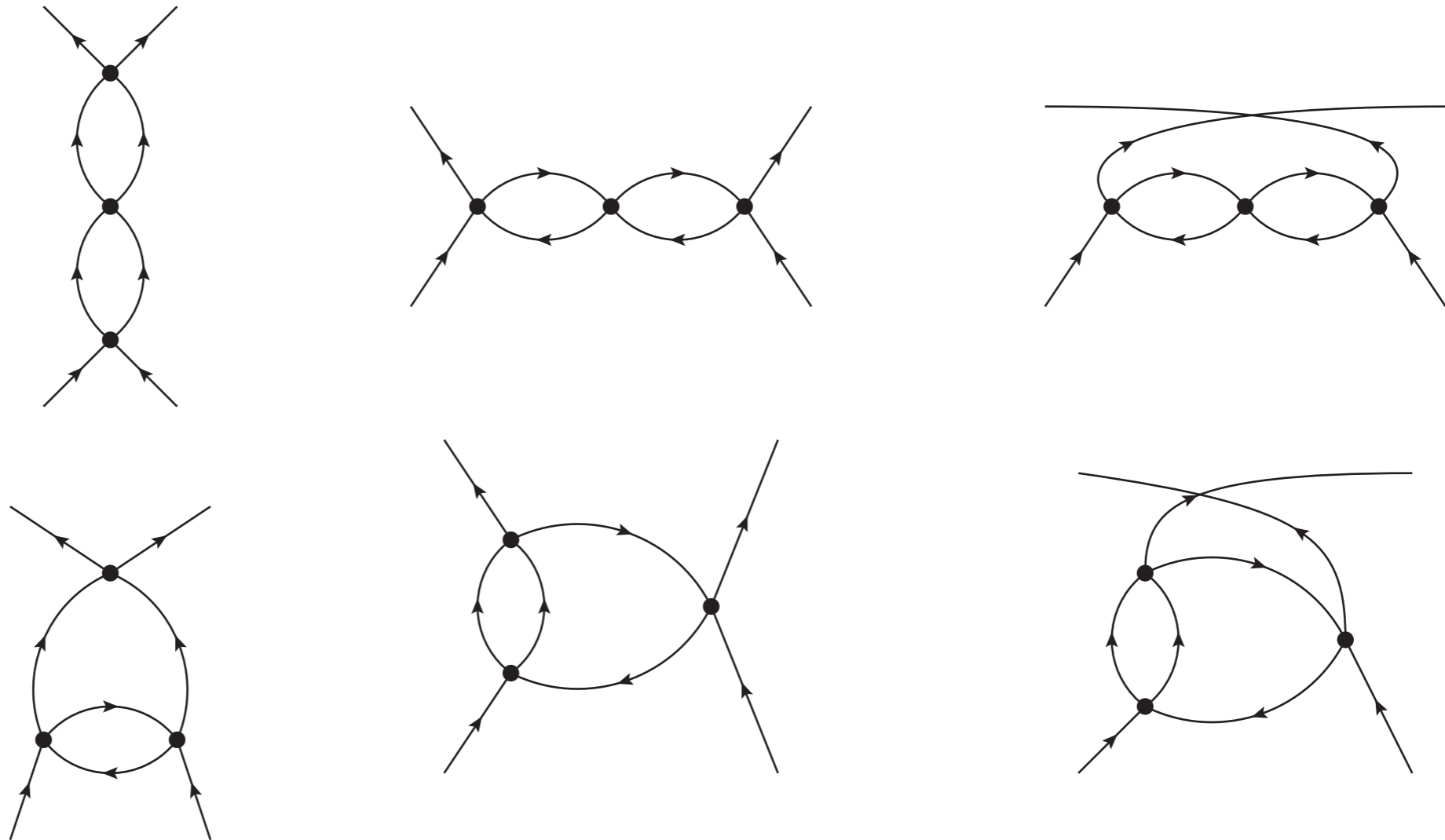
# Non-perturbative summation



$$\Gamma(\delta s) \sim$$



$$\Gamma(2\delta s) \sim$$



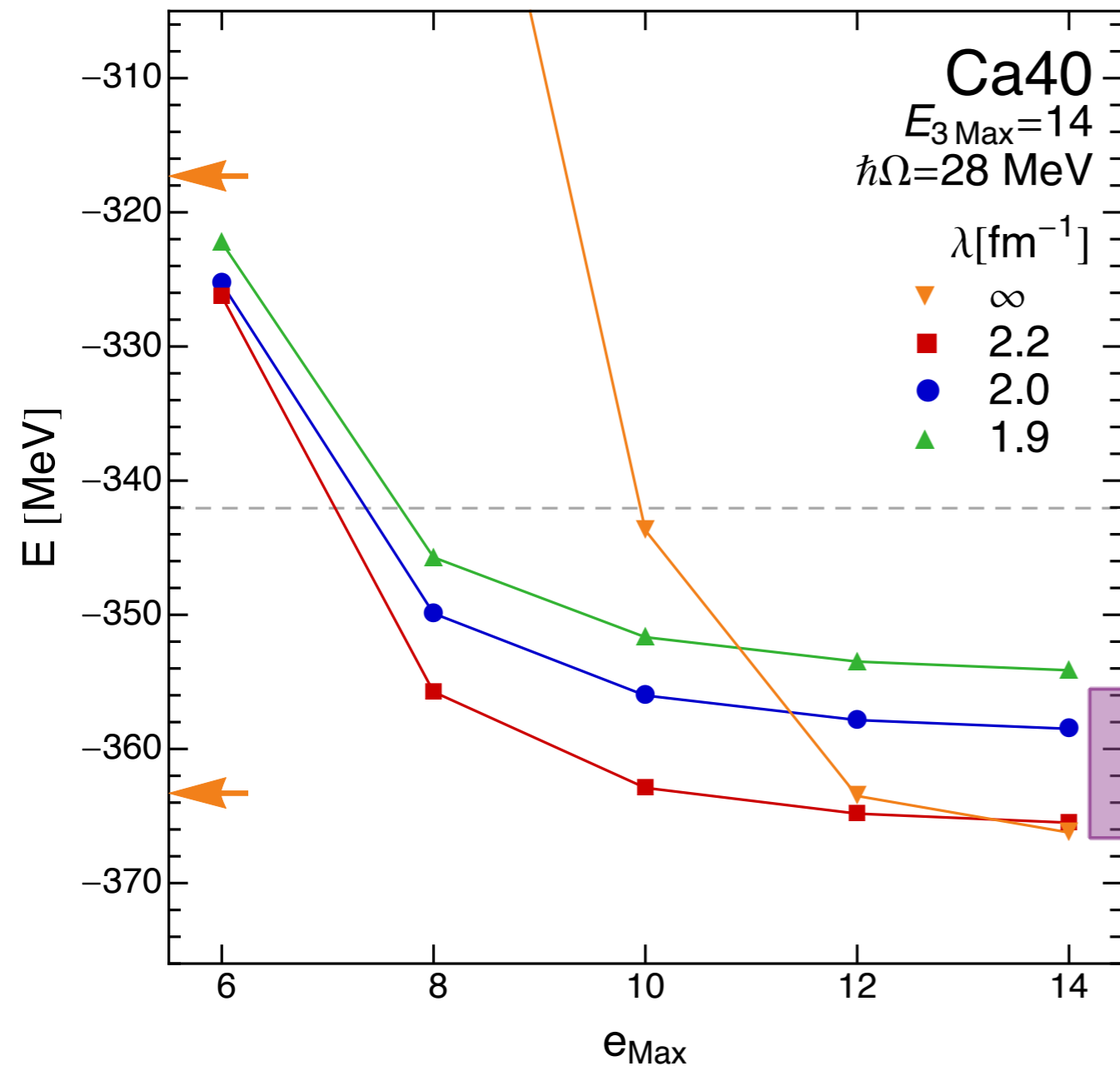
+ many more ...

# Results: Closed-Shell Nuclei



H. Hergert et al., Phys. Rev. C **87**, 034307 (2013)

**NN + 3N-ind.**



Bare N3LO(500) NN-only



Free-space SRG (NN + 3N-induced)



Normal-order in HF basis



IM-SRG(2) calculation

← CCSD/ $\Lambda$ -CCSD(T),  $\lambda = \infty$ , G. Hagen et al., PRL 109, 032502 (2012)

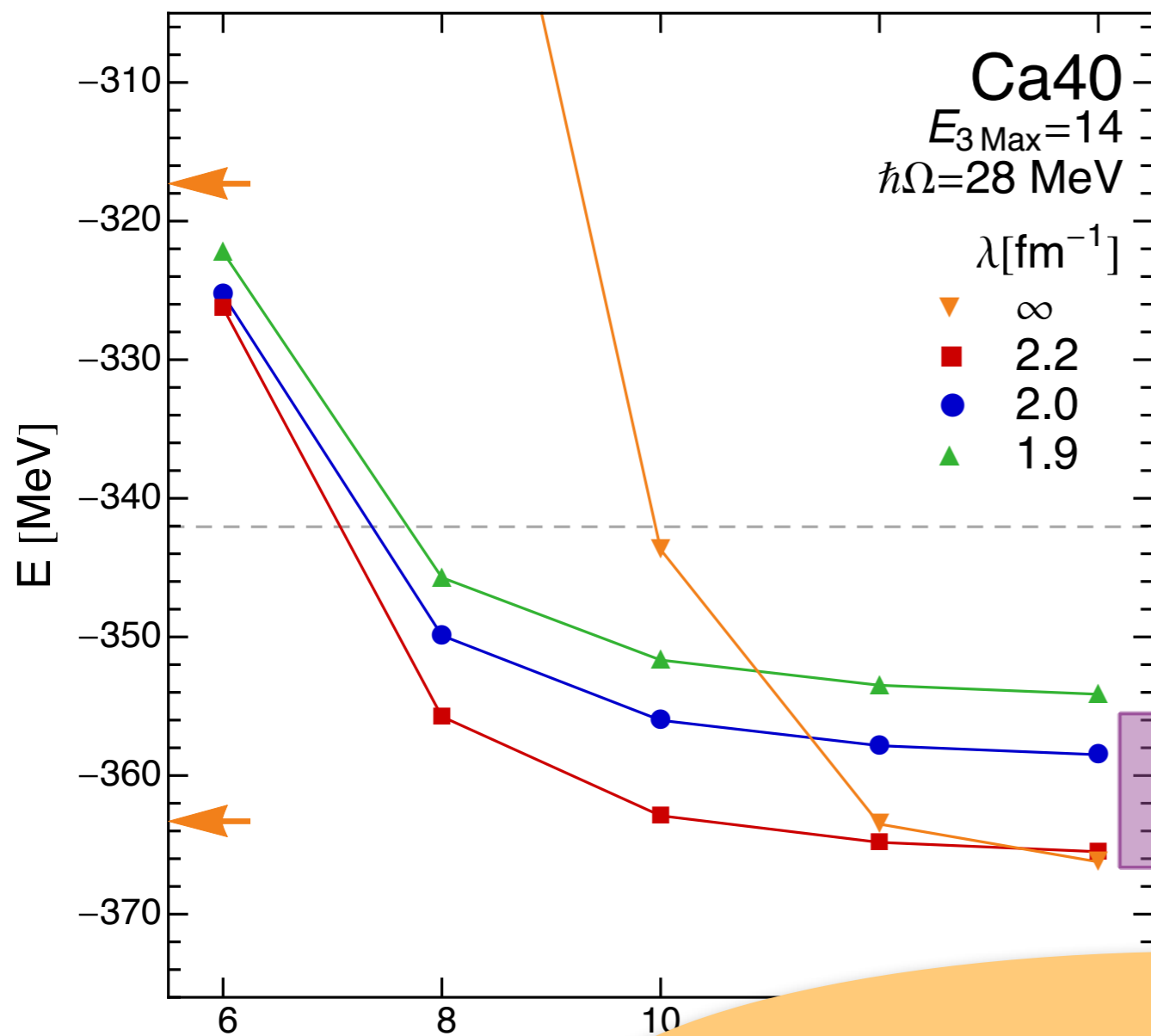
■  $\Lambda$ -CCSD(T),  $\lambda = 1.9 - 2.2$  fm $^{-1}$ , S.Binder et al., arXiv:1211.4748 [nucl-th] & PRL 109, 052501 (2012)

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H. Hergert et al., Phys. Rev. C **87**, 034307 (2013)

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IM-SRG(2) calculation

IM-SRG(2) typically tracks  
 $\Lambda$ -CCSD(T) results

- ← CCSD/ $\Lambda$ -CCSD(T)
- ▭  $\Lambda$ -CCSD(T),  $\lambda = 1.9 - 2.2$  fm<sup>-1</sup>

[nucl-th] & PRL 109, 052501 (2012)

# Freedom of Choice for Generators



- Wegner

$$\eta' = [H^d, H^{od}]$$

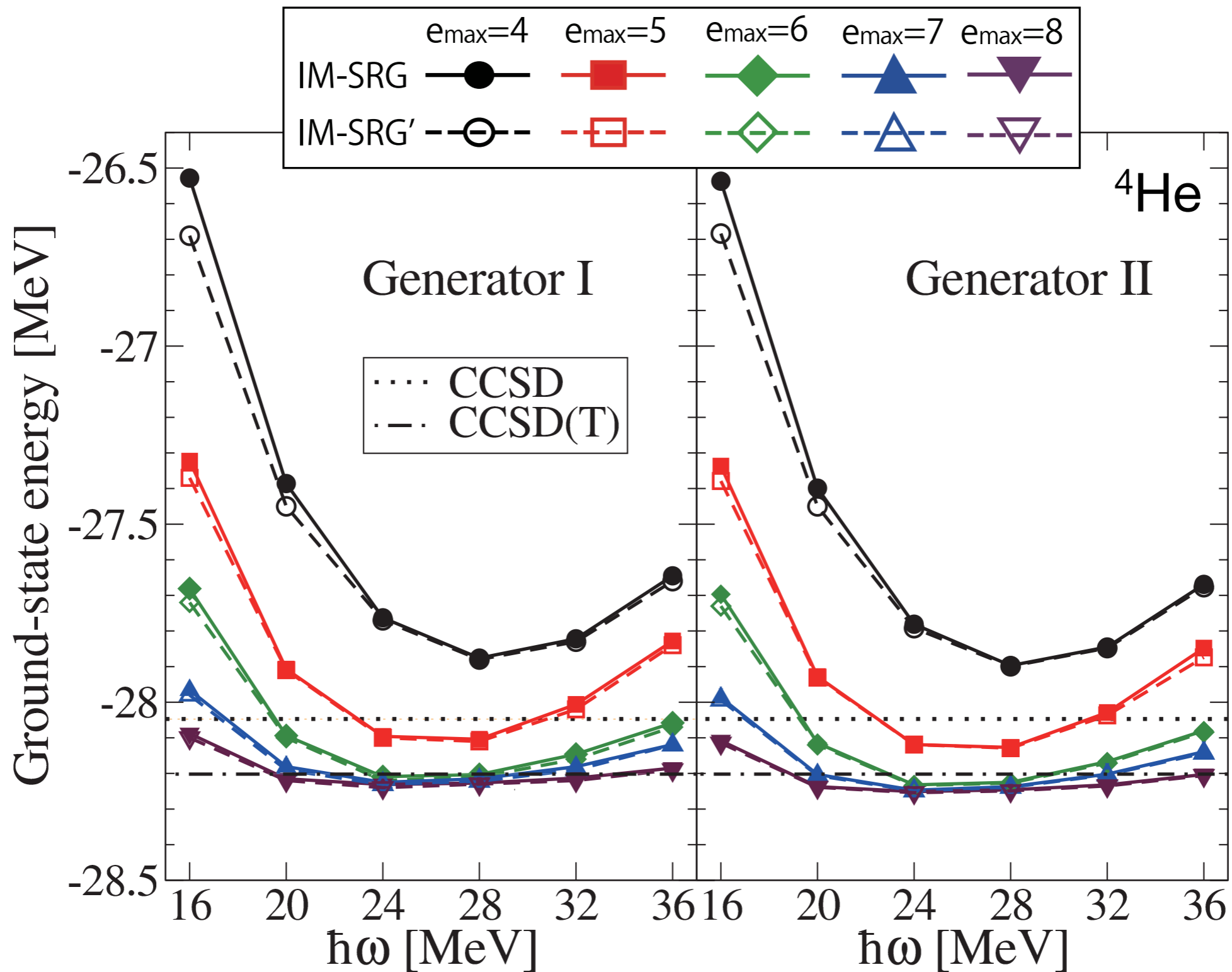
- White (J. Chem. Phys. 117, 7472)

$$\eta'' = \sum_{ph} \frac{f_h^p}{E_p - E_h} : A_h^p : + \sum_{pp'hh'} \frac{\Gamma_{hh'}^{pp'}}{E_{pp'} - E_{hh'}} : A_{hh'}^{pp'} : + \text{H.c.}$$

$E_p - E_h, E_{pp'} - E_{hh'} :$  approx. 1p1h, 2p2h excitation energies

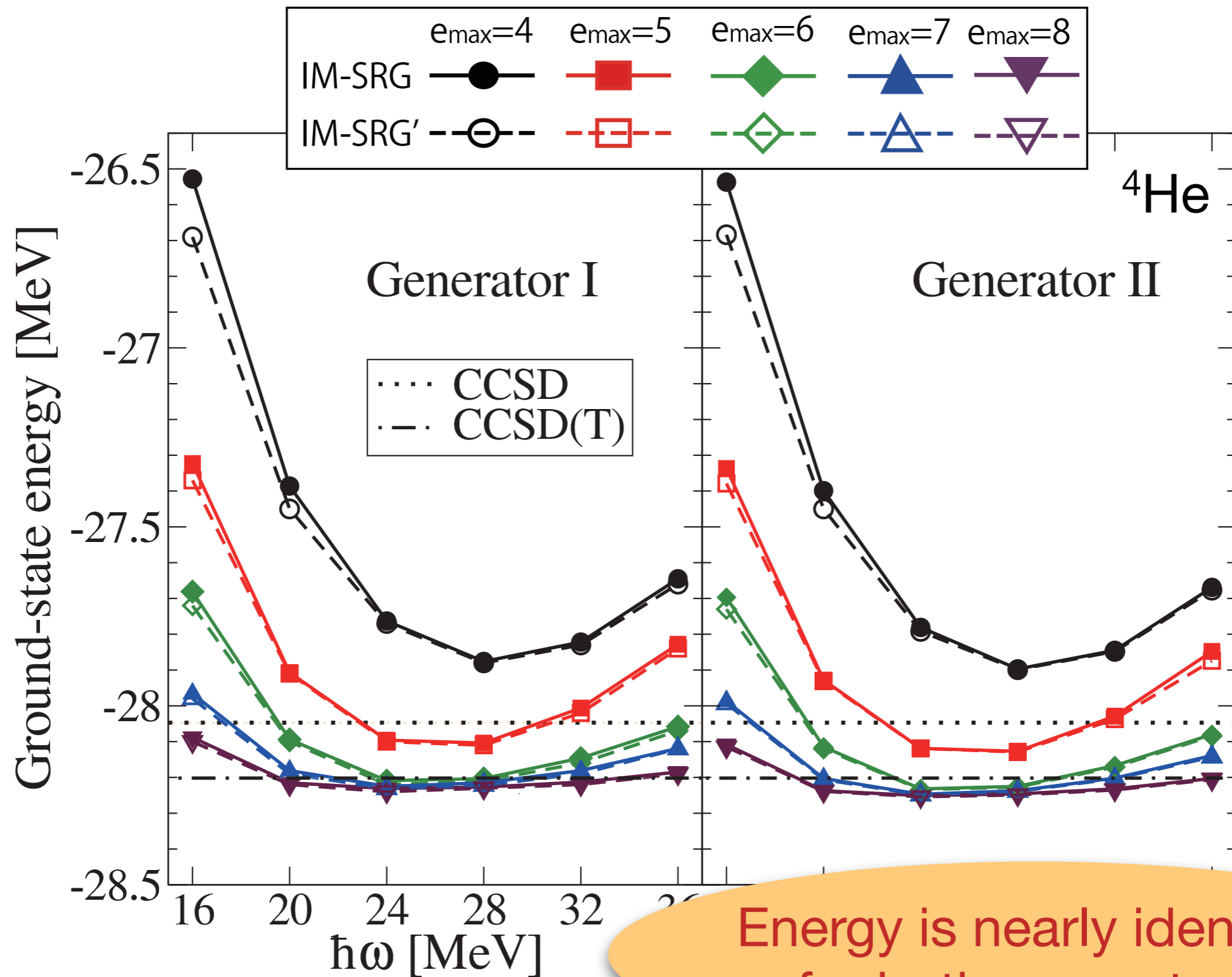
- g.s. energies ( $s \rightarrow \infty$ ) for **both generators agree** within a few keV (**measure of truncation error**)

# Generator Dependence



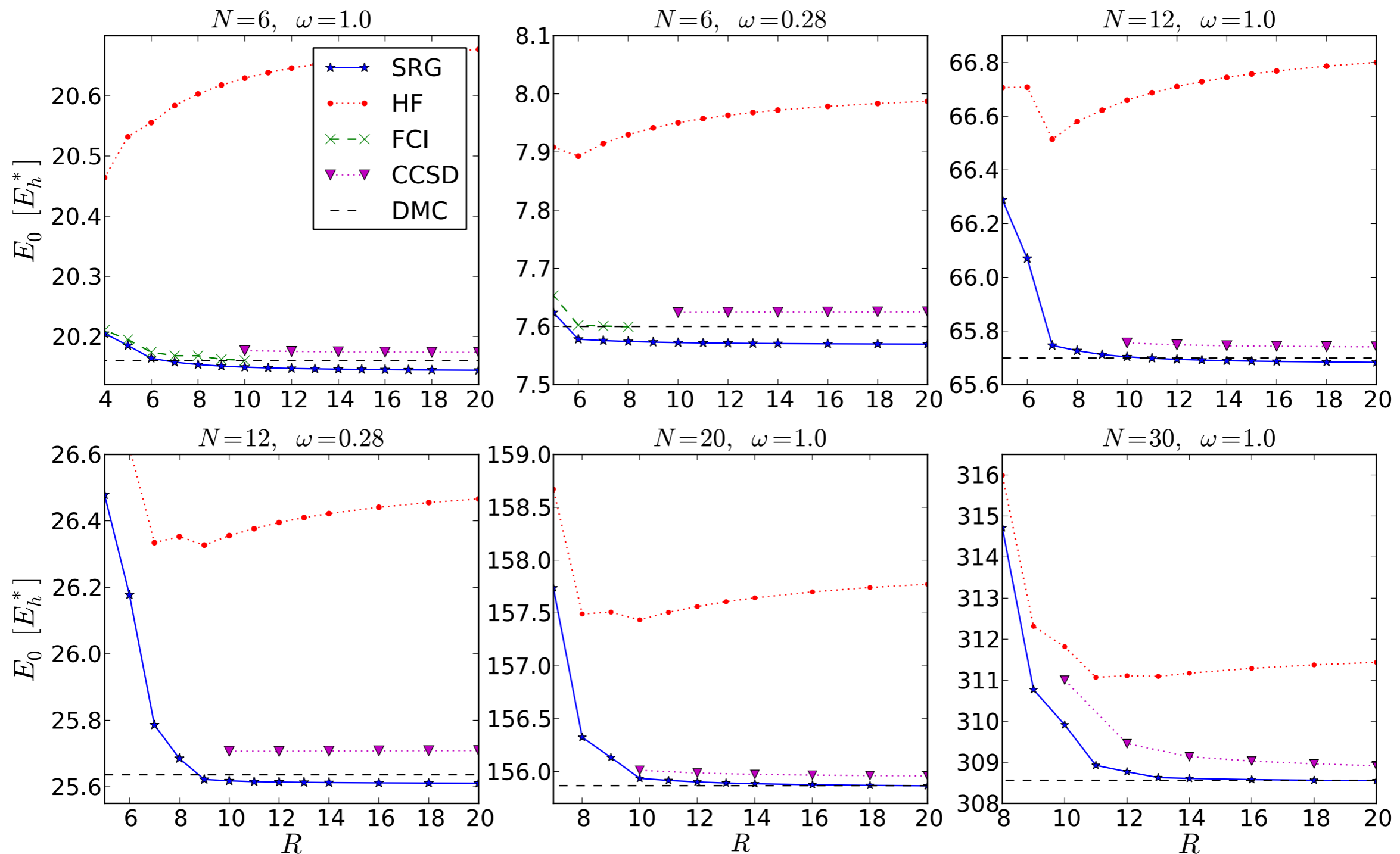
[K. Tsukiyama, S. K. Bogner & A. Schwenk, Phys. Rev. Lett. 106 (2011), 222502]

# Generator Dependence



Energy is nearly identical for both generators!

# Non-nuclear application: Quantum Dots



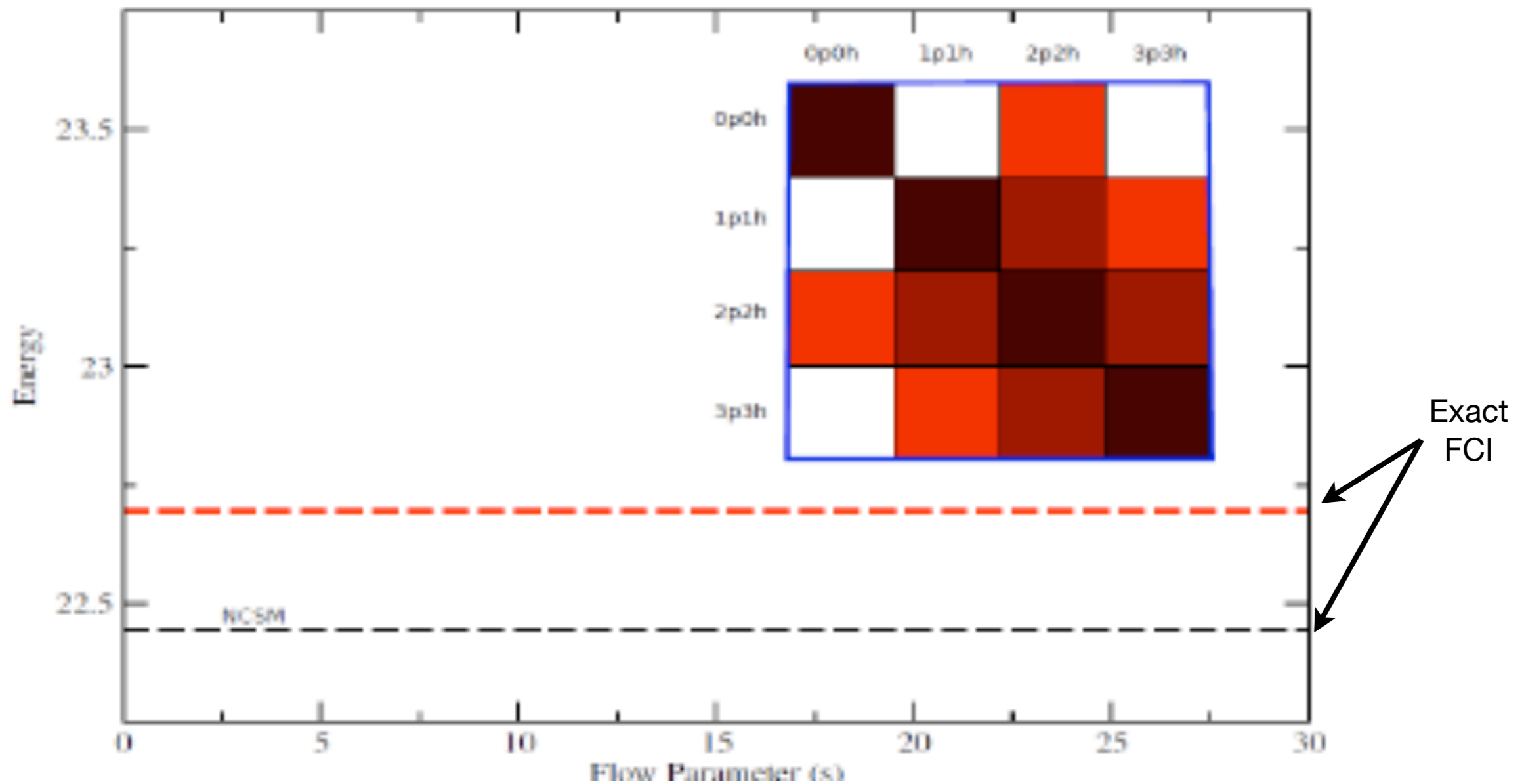
Curiosity: higher accuracy than CCSD (both  $n^6$  methods)



# Extension to excited states



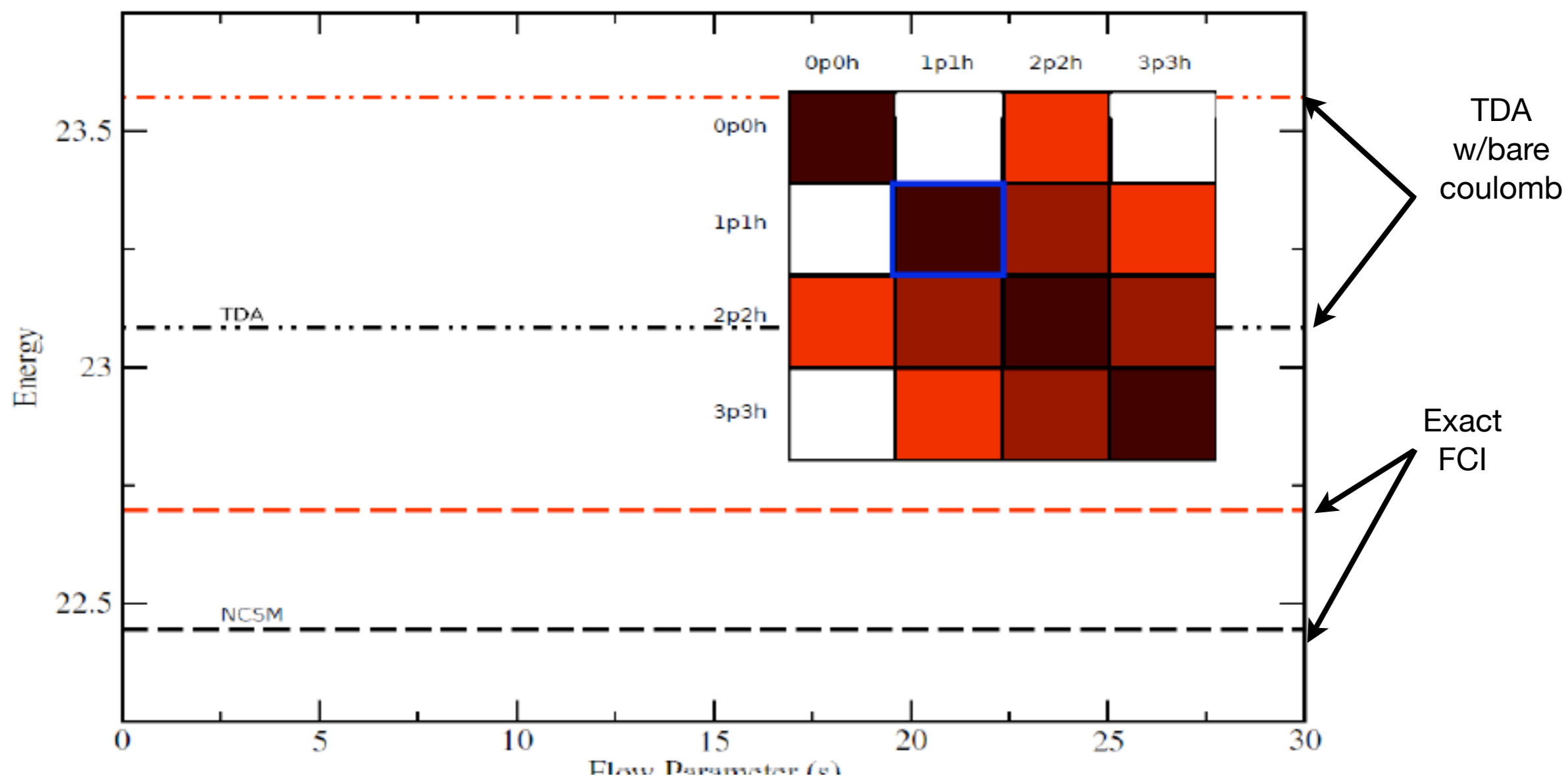
## $Ml=0$ $M_s=0$ excited states in 2d Quantum Dots



# Extension to excited states



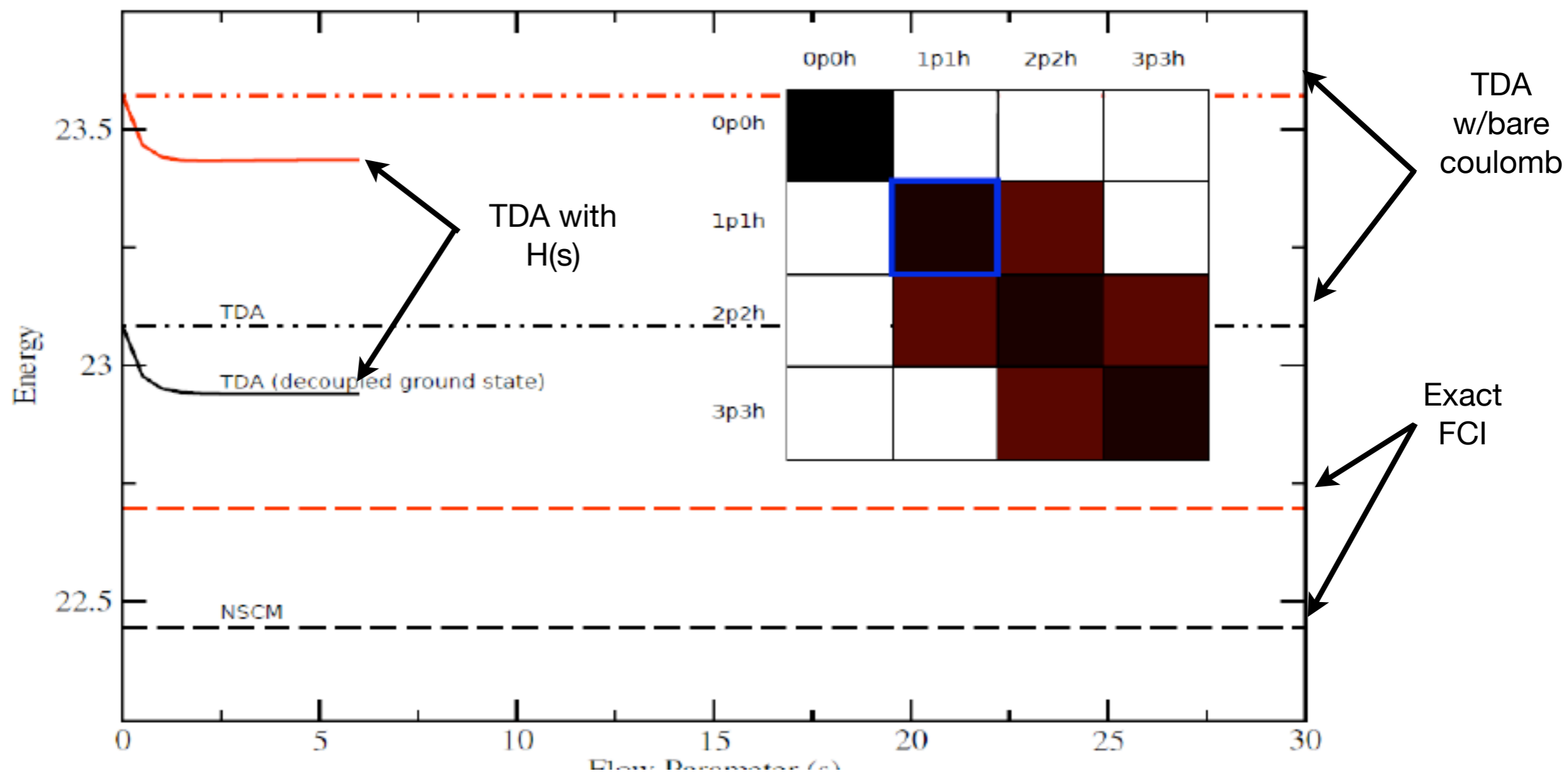
## $M_l=0$ $M_s=0$ excited states in 2d Quantum Dots



# Extension to excited states



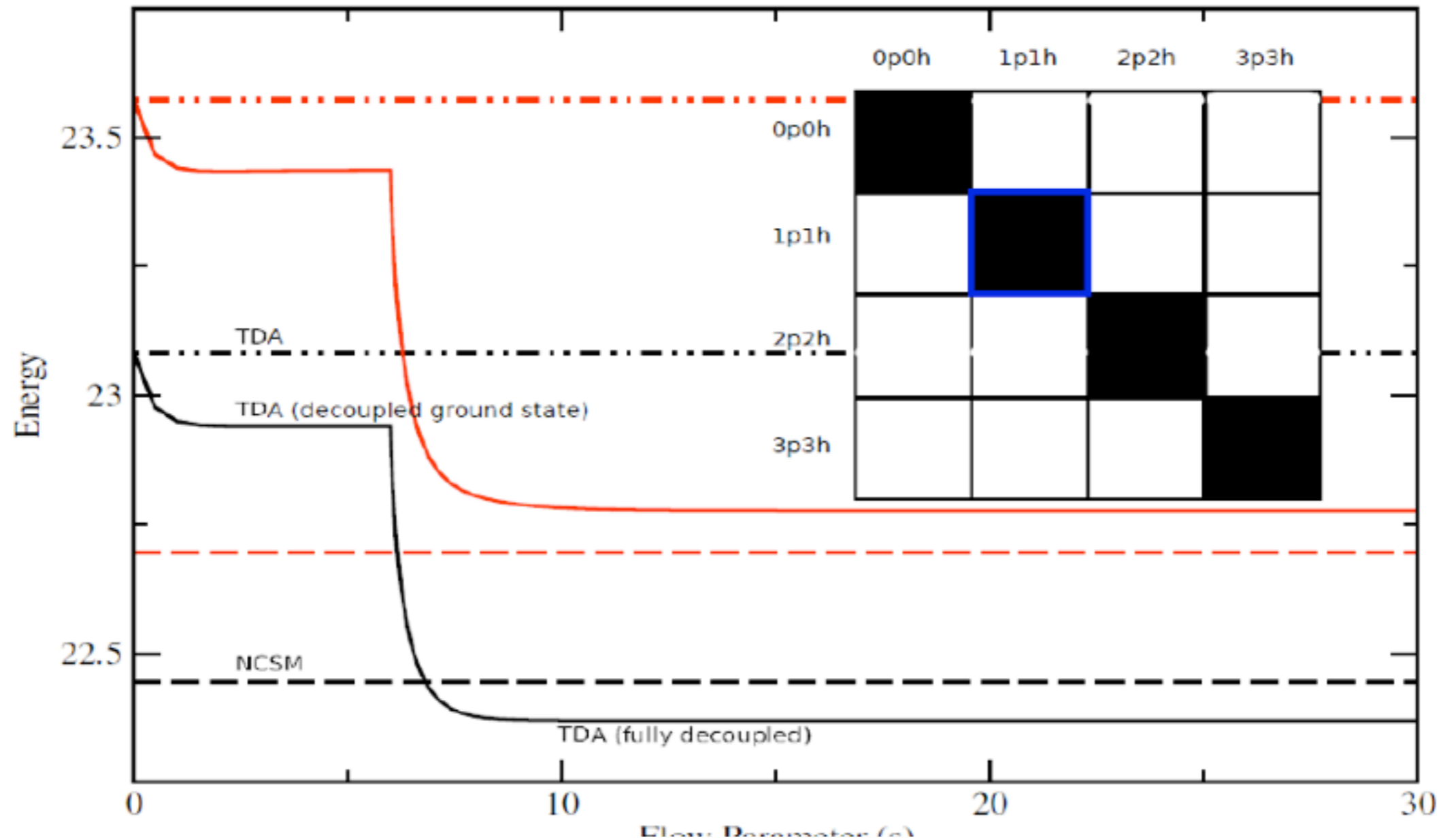
## $M_I=0$ $M_S=0$ excited states in 2d Quantum Dots



# Extension to excited states



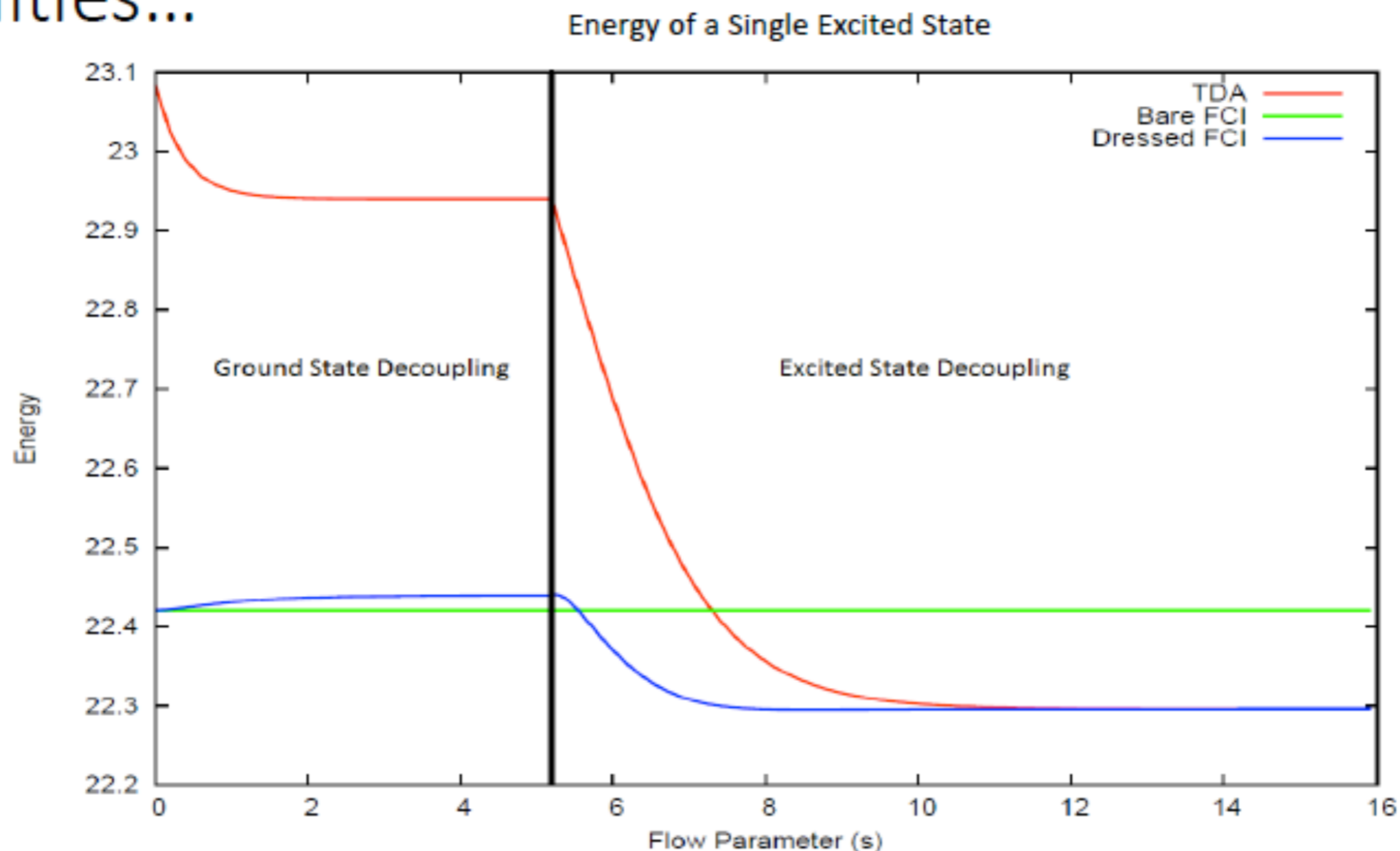
## $M_l=0$ $M_s=0$ excited states in 2d Quantum Dots



# Extension to excited states



## Difficulties...

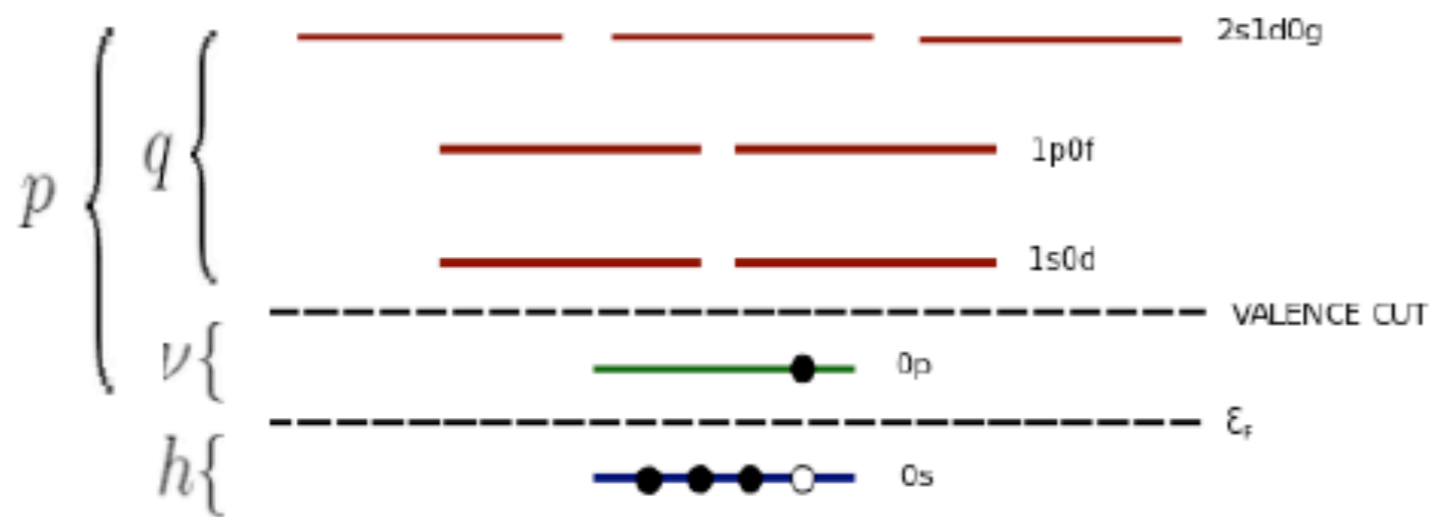


Over-rotation of H(s) in excited state decoupling?

# Extension to excited states



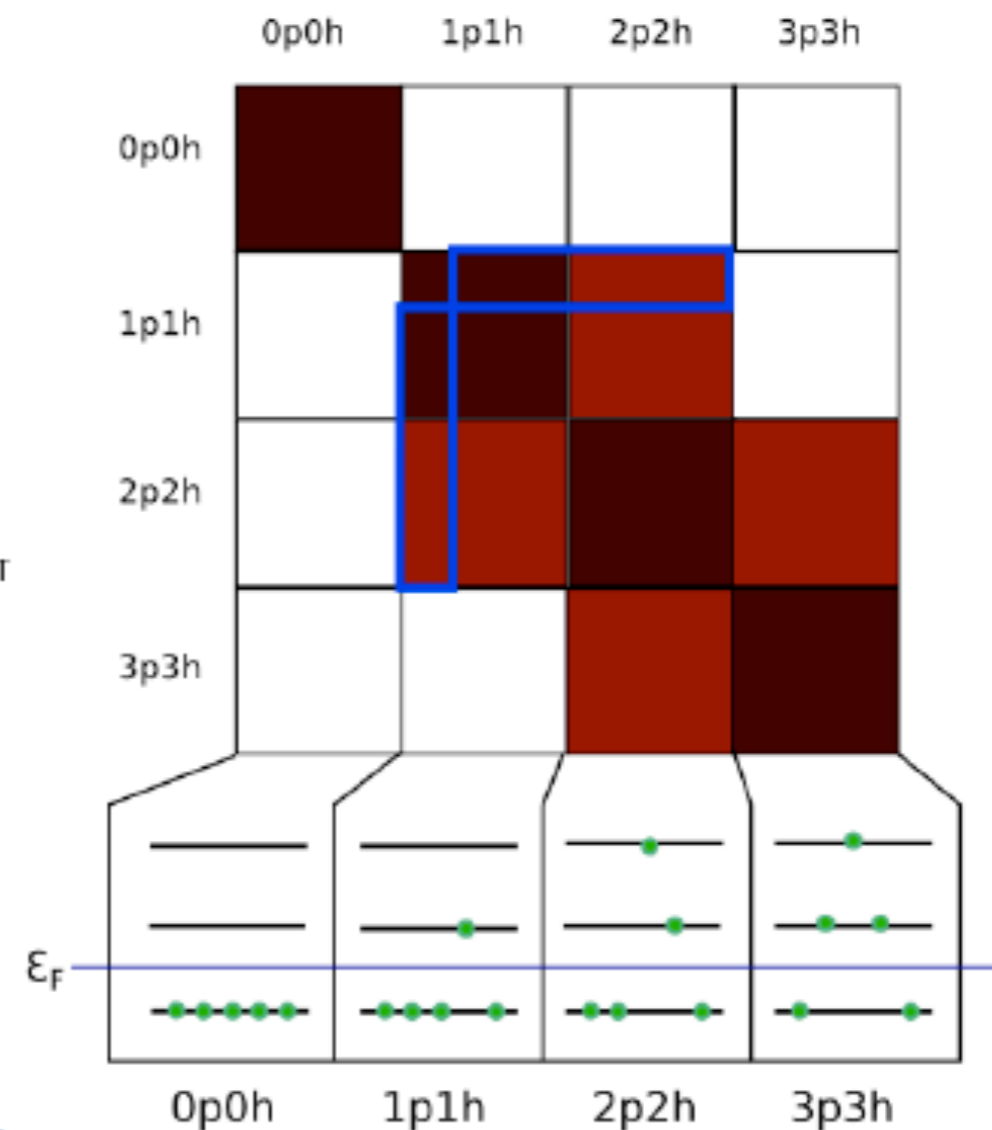
## Improved TDA calculation



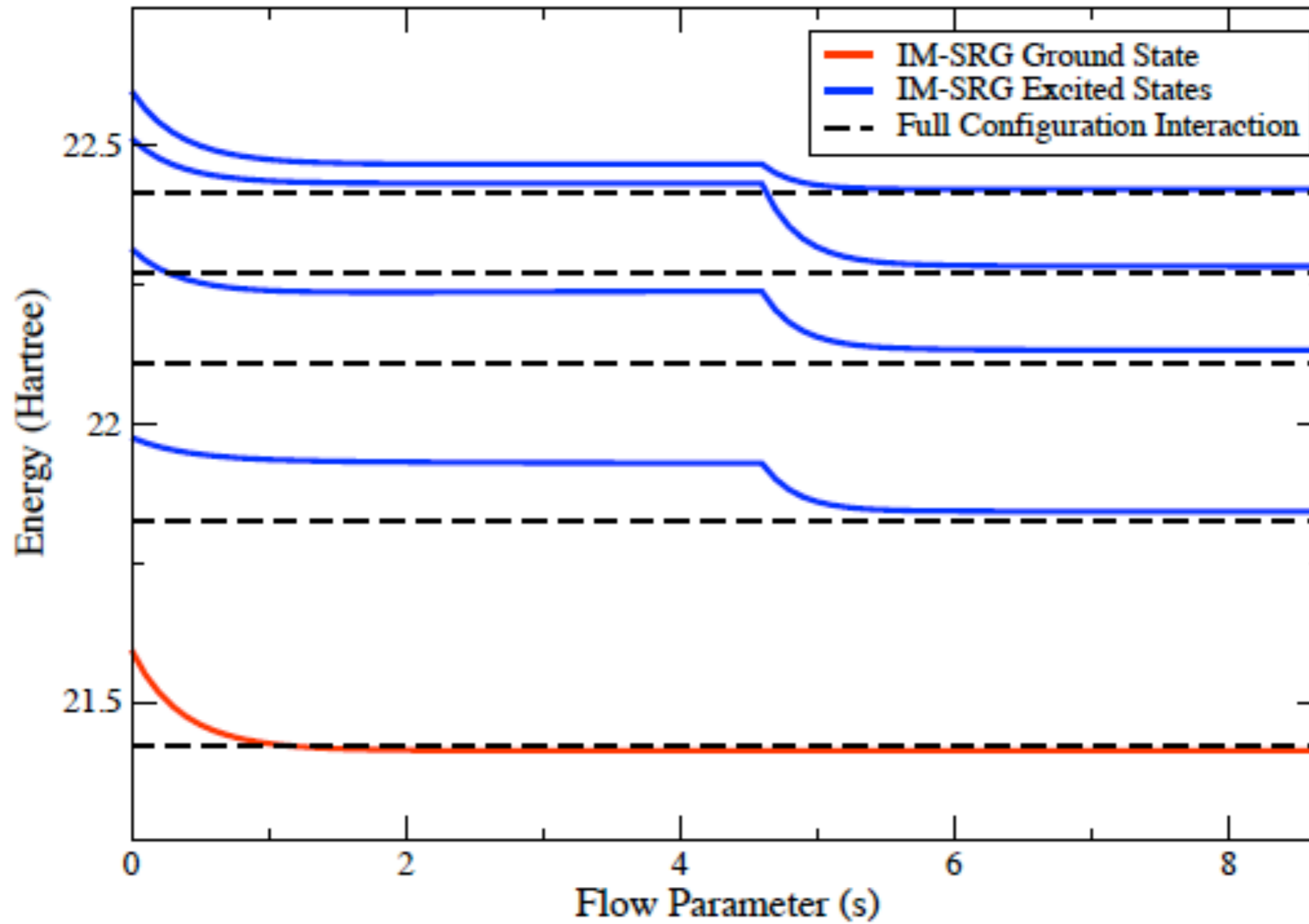
Smaller definition of off-diagonal leads to stable convergence

$$H^{od} : \{f_{q\nu}, \Gamma_{qh'\nu h}, \Gamma_{pp'\nu h}, \Gamma_{hph'h''}\}$$

A more minimal definition can be constructed using conserved quantities



# Extension to excited states



# IM-SRG for Open-Shell Systems

K. Tsukiyama, SKB and A. Schwenk, Phys. Rev. C **85**, 061304(R) (2012)

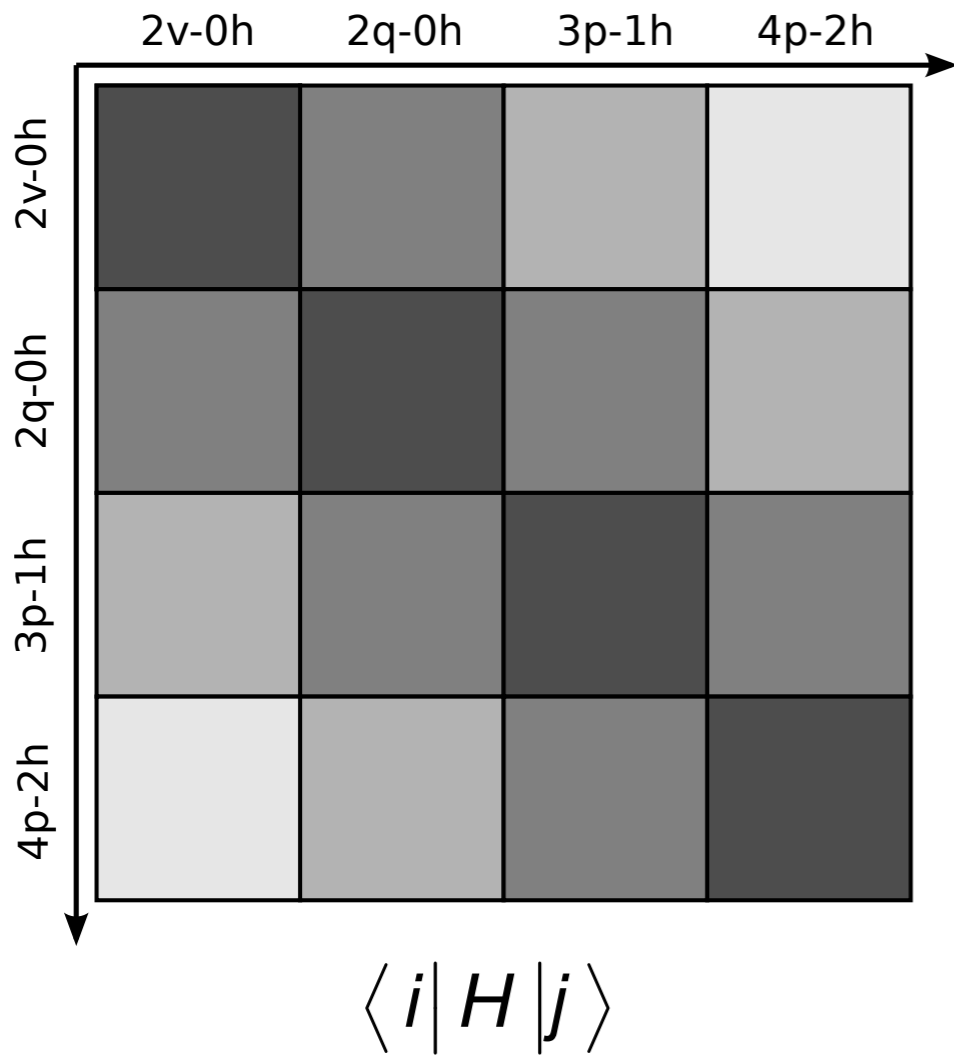
SKB, H. Hergert, J. D. Holt, A. Schwenk, S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett. **113**, 142501 (2014)

H.Hergert, S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett **110**, 242501 (2013)



- Two possibilities
  1. Use IM-SRG to derive **effective hamiltonian/operators** for valence shell model calculations [K. Tsukiyama, SKB, A. Schwenk PRC 85, 061304 (2012)]
  2. Solve open-shell directly with IM-SRG with **suitable open-shell reference state** (Multireference)
    - Number-projected HFB state  
**H.Hergert**, S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett **110**, 242501 (2013)

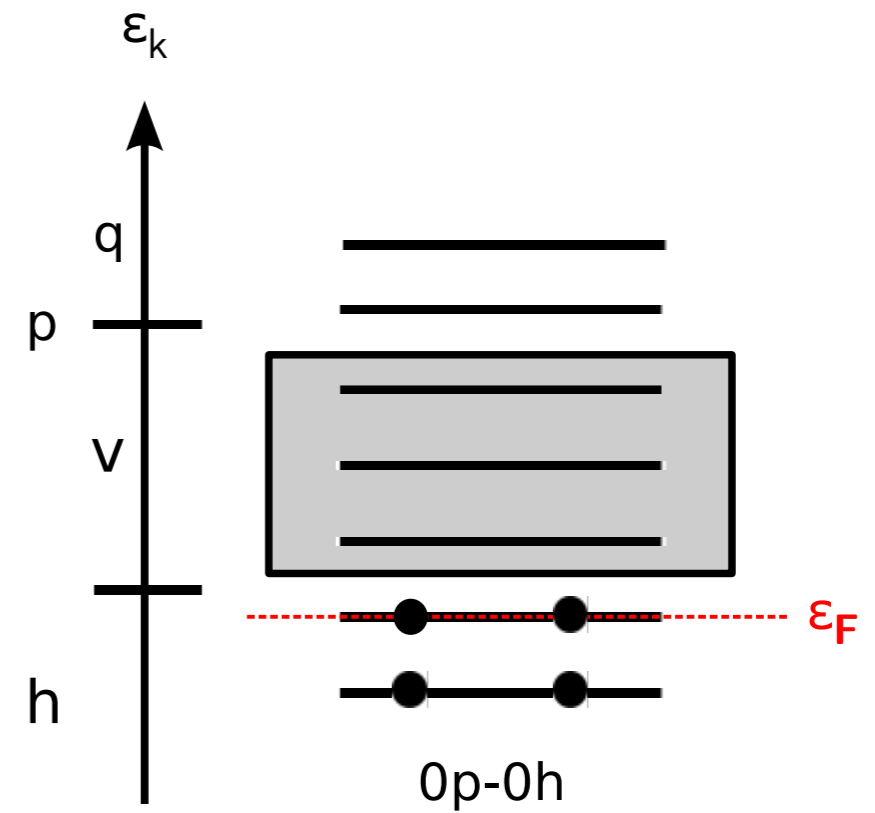
# Valence Shell Model Decoupling



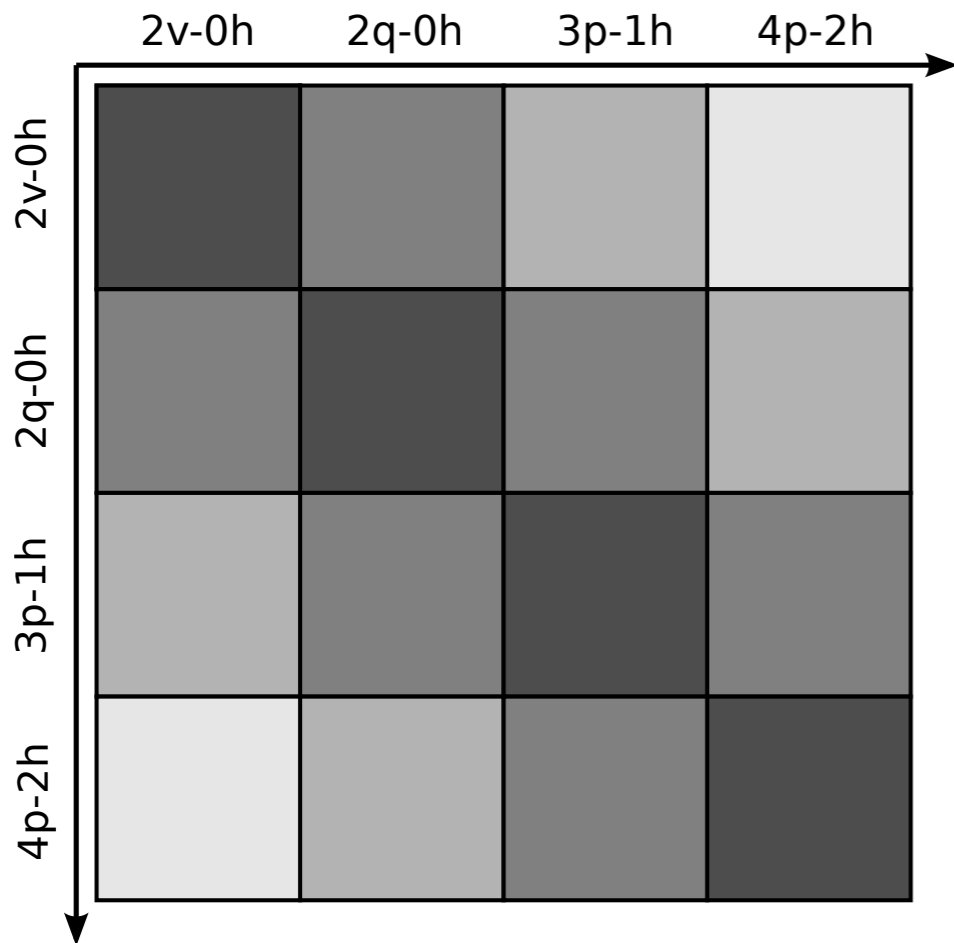
non-valence  
particle states

valence  
particle states

hole states  
(core)



# Valence Shell Model Decoupling



$$\langle i | H | j \rangle$$

Solve SM problem

$$PH_{eff}P|\Psi\rangle = (E - E_c)P|\psi\rangle$$

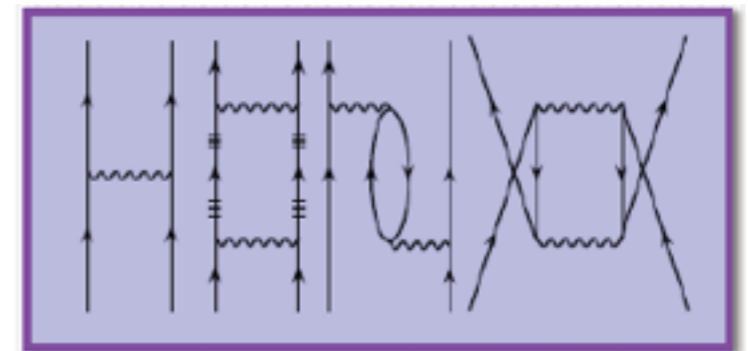
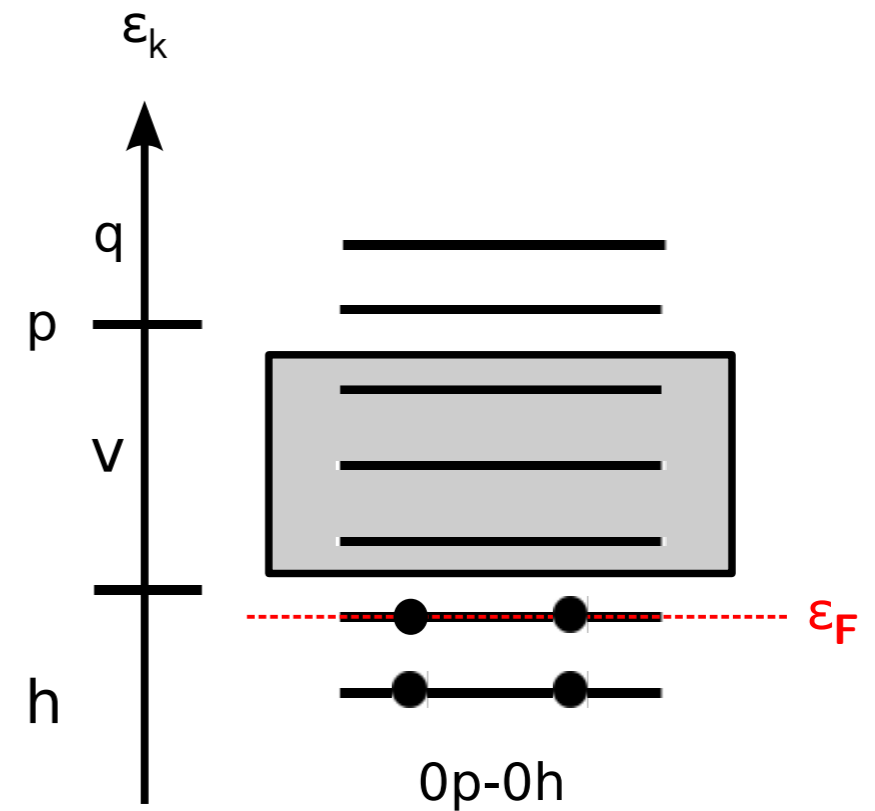
Previously,  $H_{eff}$  from MBPT

Can we use the IM-SRG to do this?

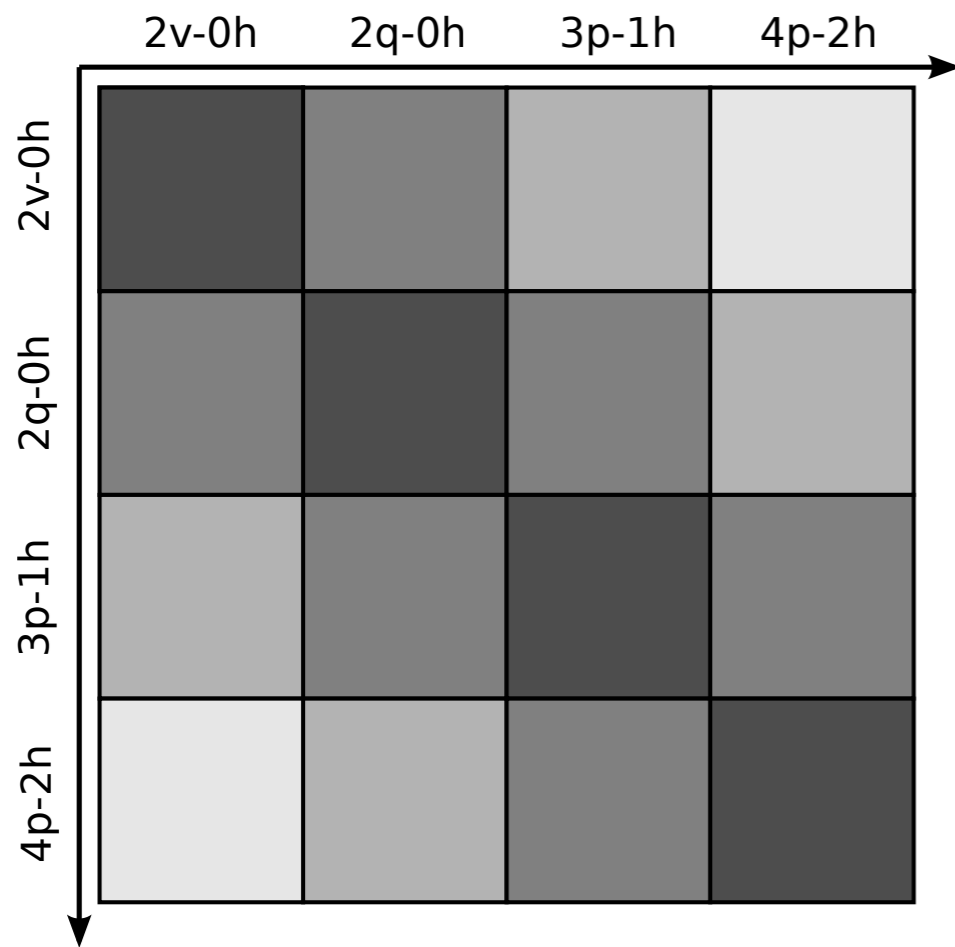
non-valence particle states

valence particle states

hole states (core)

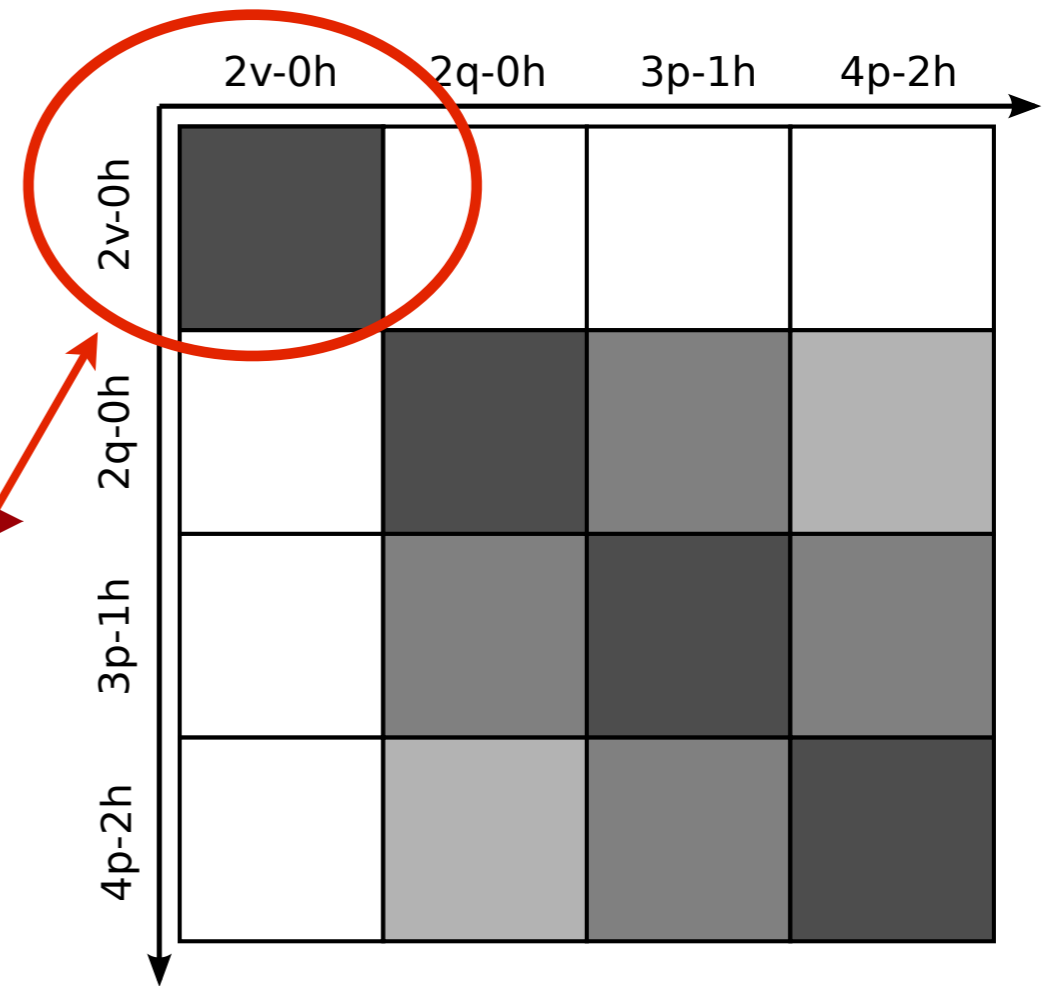


# Valence Shell Model Decoupling



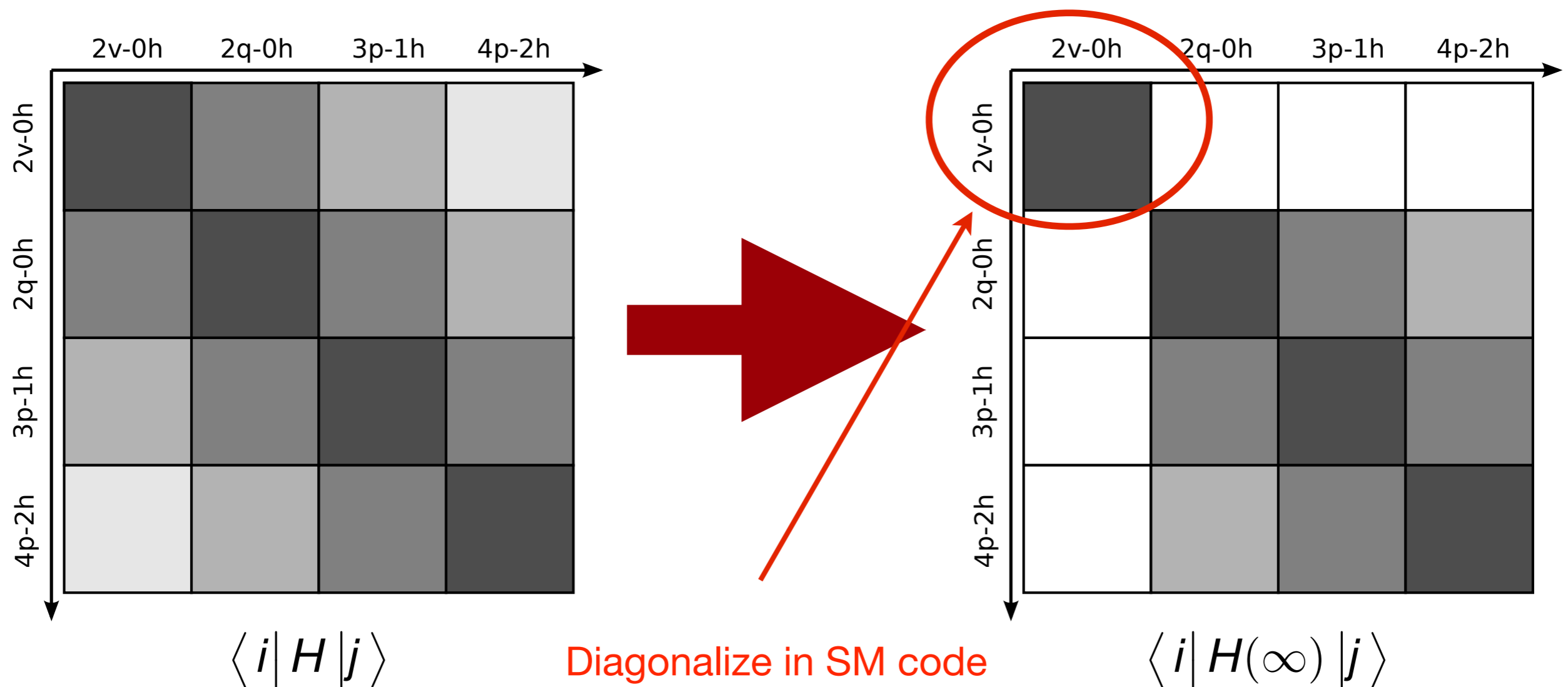
$$\langle i | H | j \rangle$$

Diagonalize in SM code



$$\langle i | H(\infty) | j \rangle$$

# Valence Shell Model Decoupling



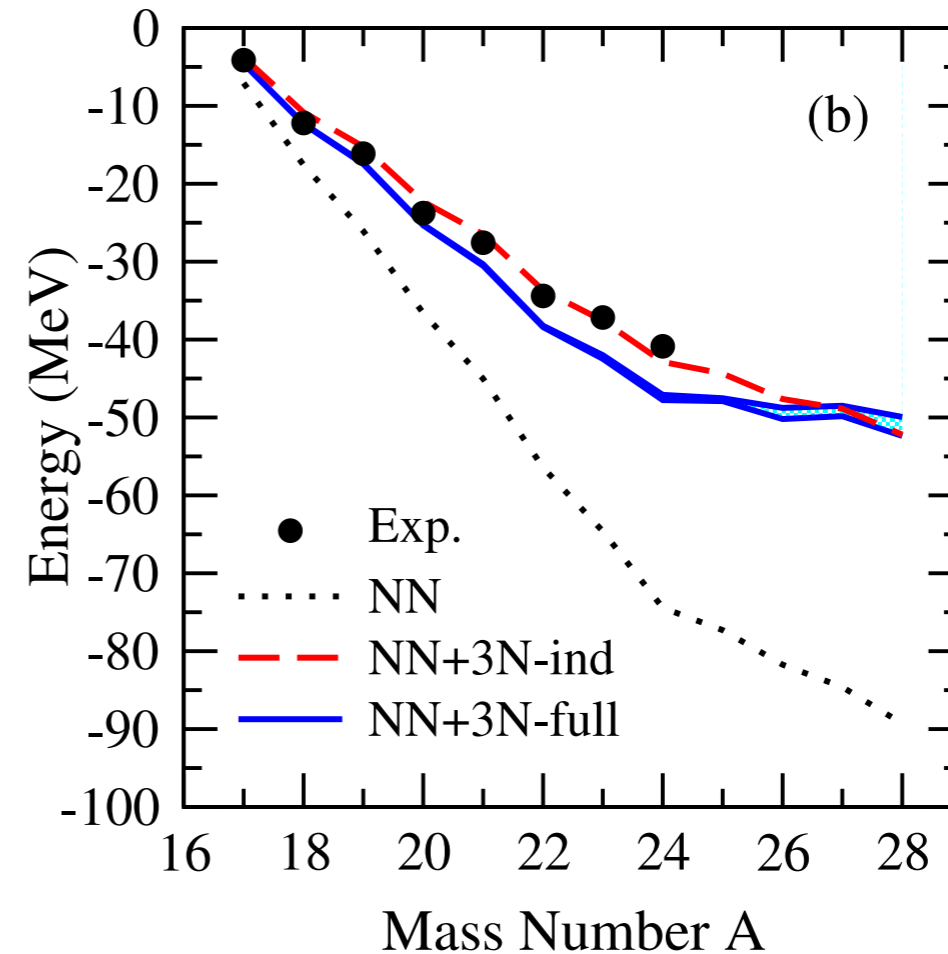
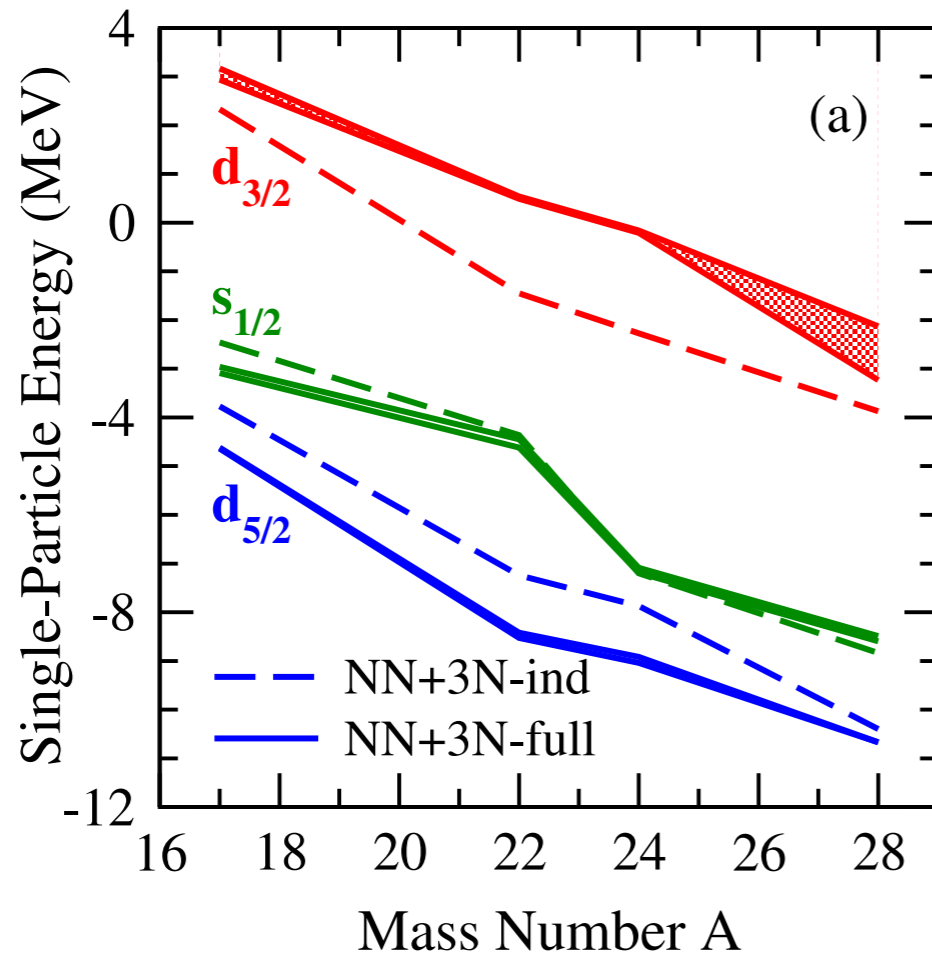
- use White-type generator with off-diagonal Hamiltonian

$$\{H^{od}\} = \{f_{h'}^h, f_{p'}^p, f_h^p, f_v^q, \Gamma_{hh'}^{pp'}, \Gamma_{hv}^{pp'}, \Gamma_{vv'}^{pq}\} \& \text{H.c.}$$

# Oxygen isotopes from NN + NNN



SKB et al., arXiv:1402.1407



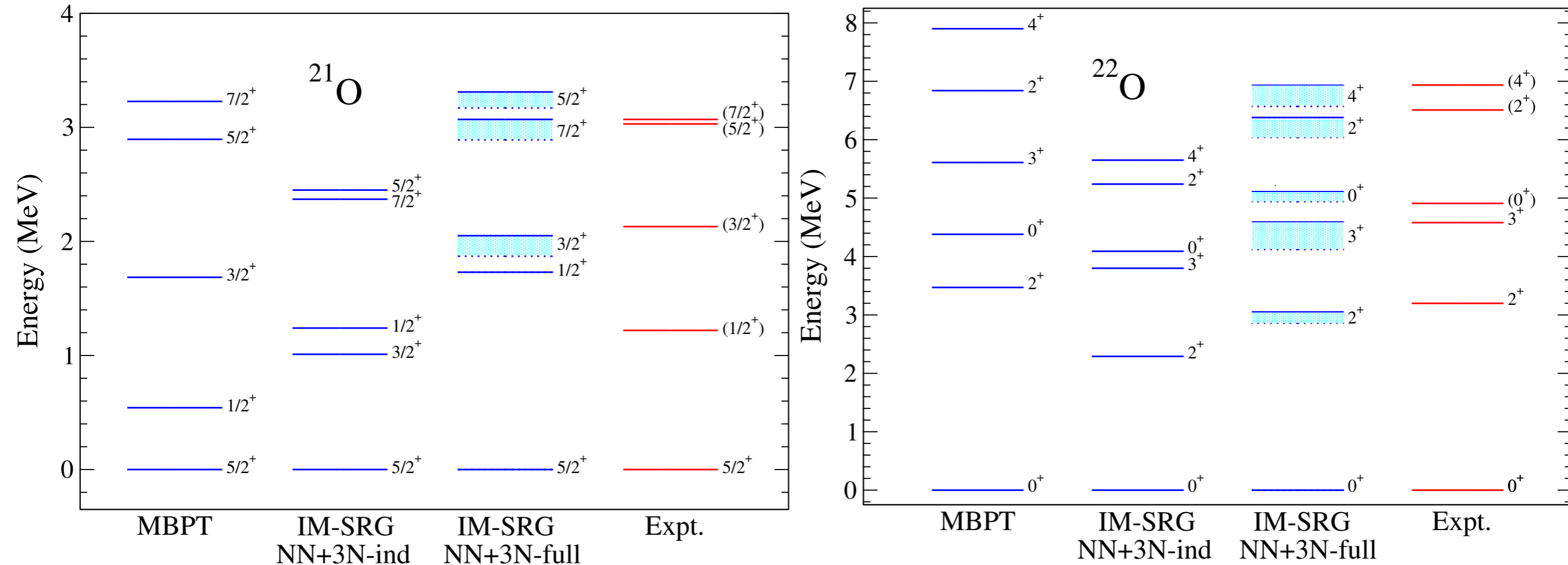
NN + 3N-ind = N3LO(500) NN, SRG-evolved to  $\lambda$ , omits induced 4N

NN + 3N-full = N3LO(500) NN + N2LO(400) 3N, SRG-evolved to  $\lambda$ , omits induced 4N

# Oxygen Spectra

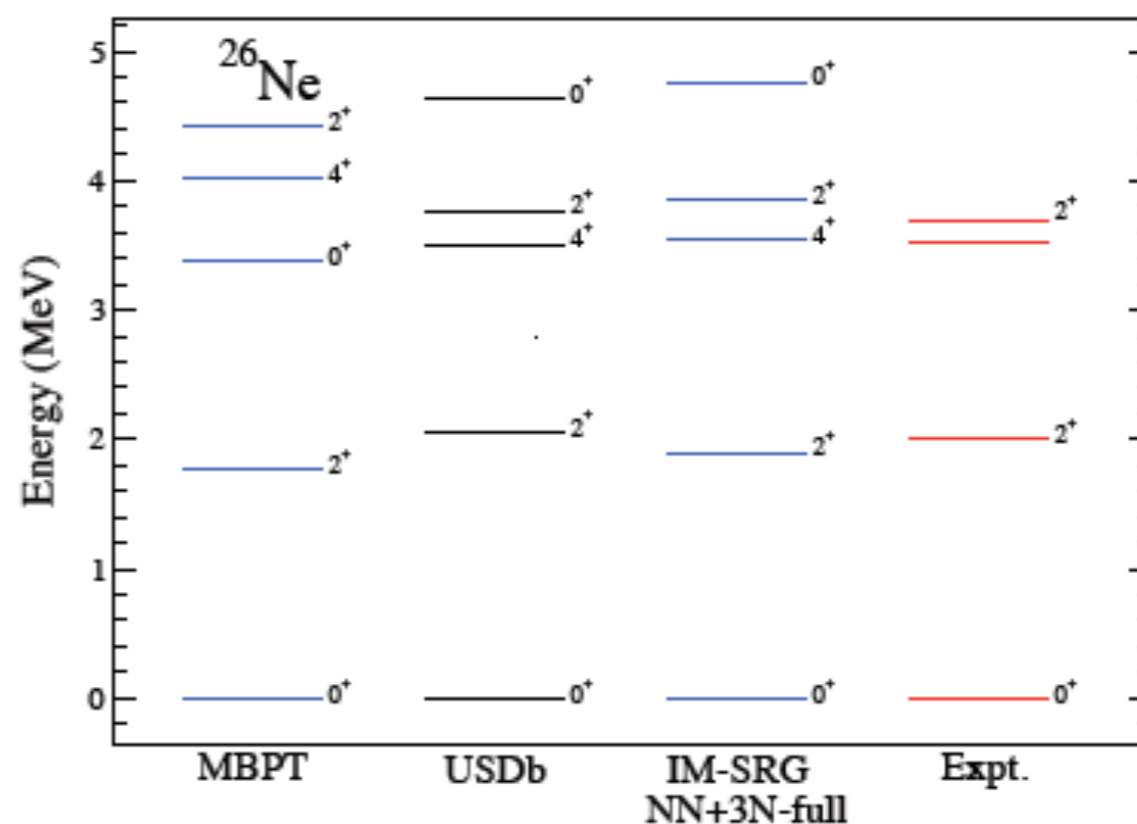
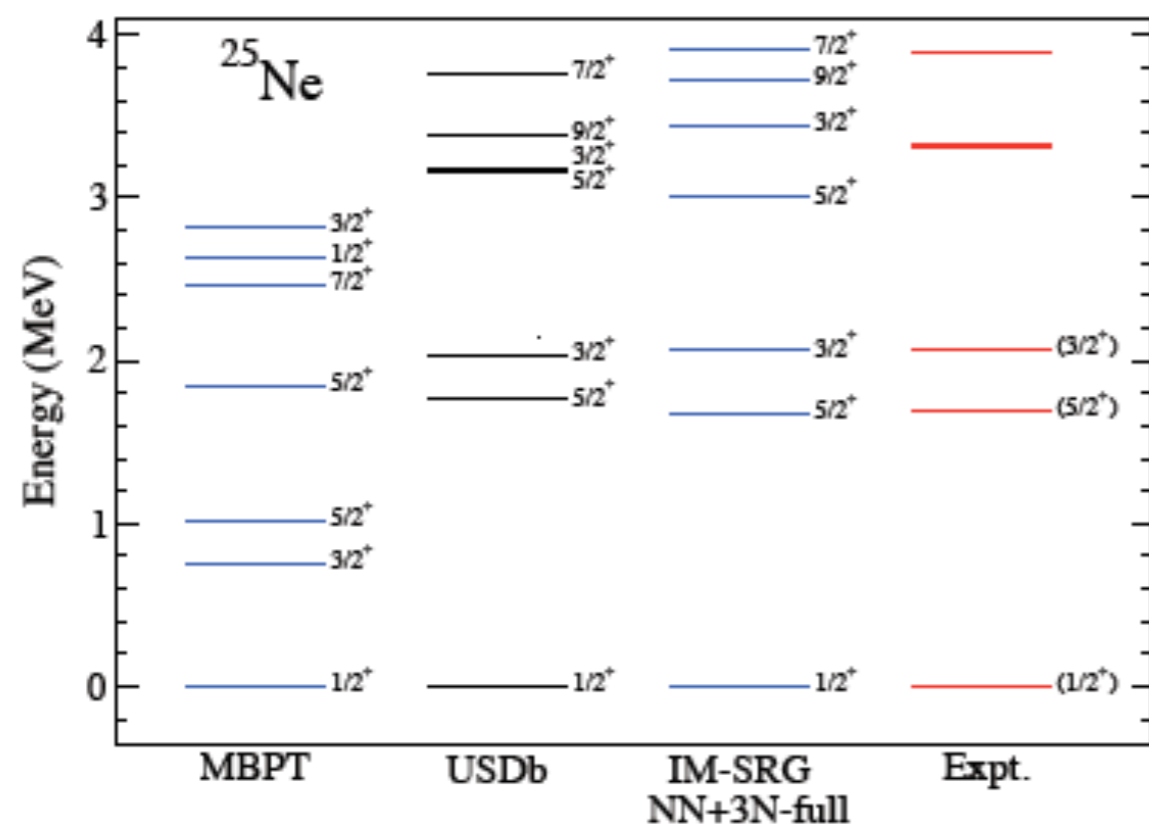
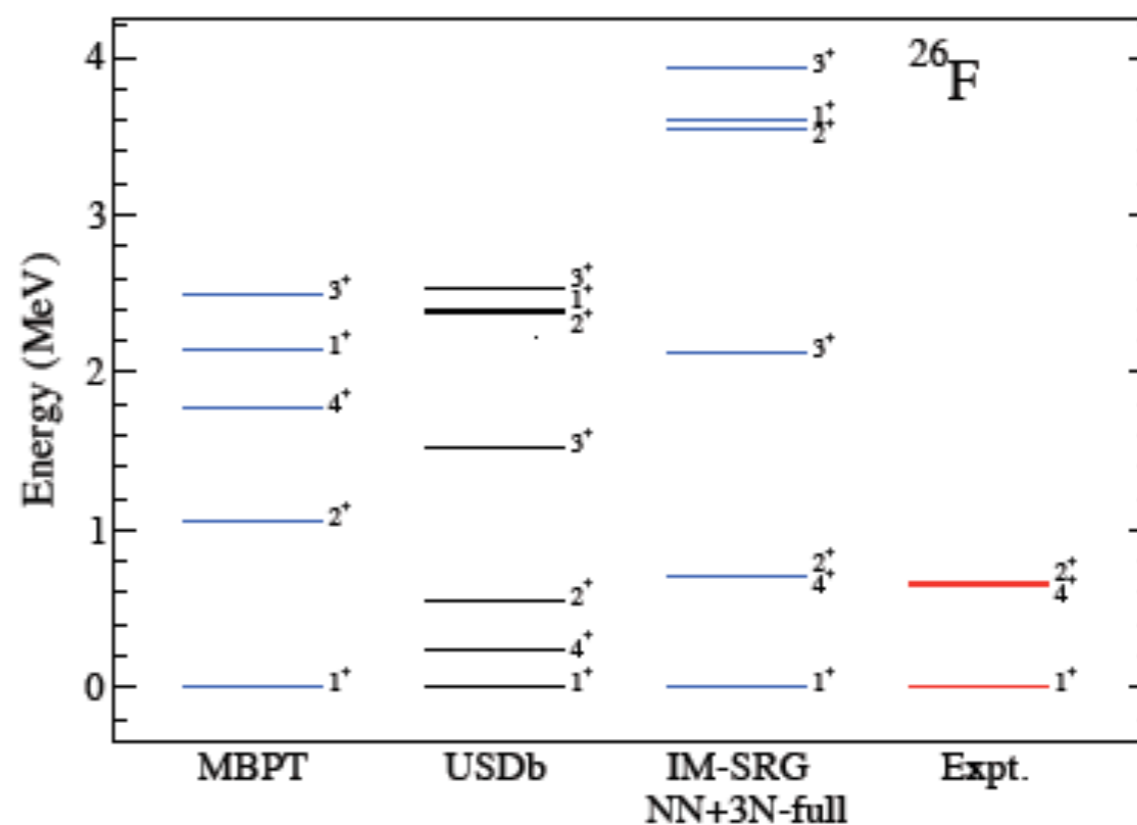
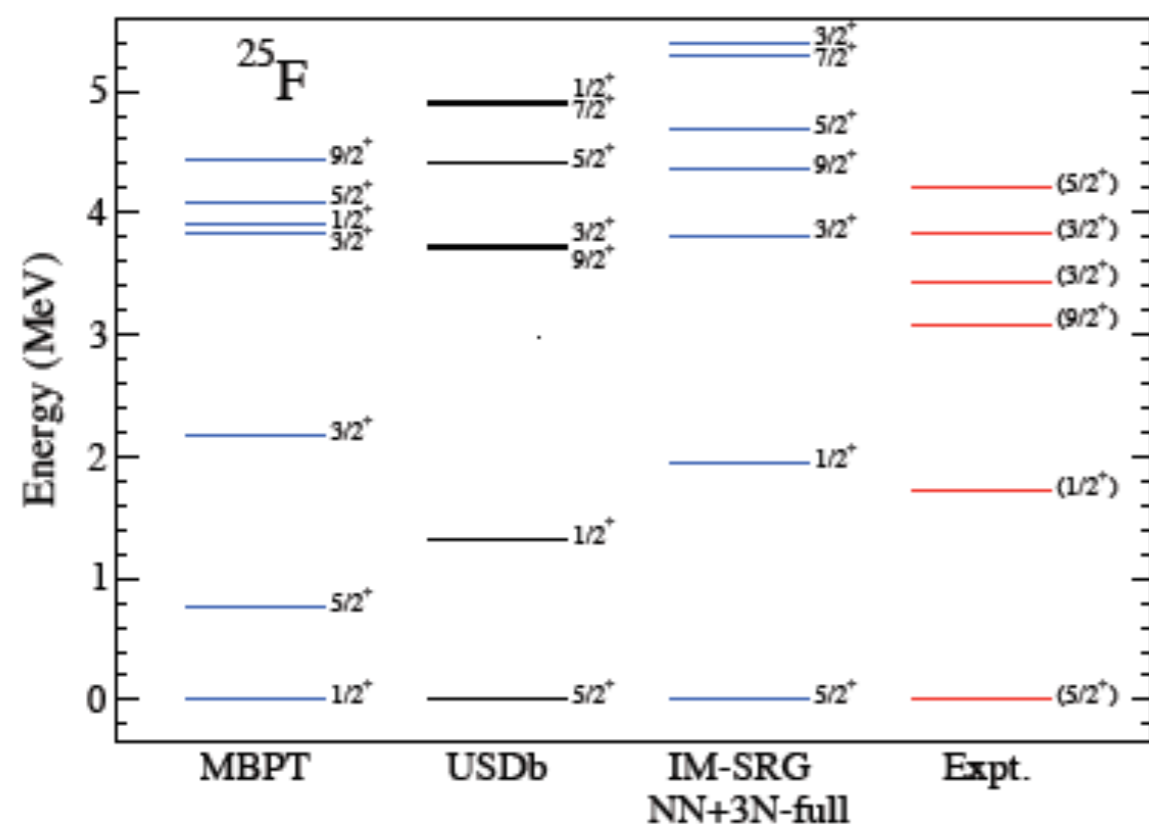


SKB et al., arXiv:1402.1407



- Importance of 3NF's
- No need for extended valence space (a-la MBPT)
- weak  $\hbar\omega$  dependence (20-24 MeV)

# Fluorine and Neon





# Multi-reference IM-SRG (Heiko's talk)



- IM-SRG Shell model approach gives easy access to spectra, odd-nuclei, intrinsic deformation, etc., but limited by cost of diagonalization
- Is it possible to use IM-SRG directly to solve for open-shell systems?

Yes! Provided we use a reference state that's appropriate for an open-shell nucleus.

HFB ground state is a **superposition** of states with **different particle number**:

$$|\Psi\rangle = \sum_{A=N, N\pm 2, \dots} c_A |\Psi_A\rangle, \quad |\Psi_N\rangle \equiv P_N |\Psi\rangle \equiv \frac{1}{2\pi} \int_0^{2\pi} d\phi e^{i\phi(\hat{N}-N)} |\Psi\rangle$$

# Multi-reference In-Medium SRG



- generalized normal ordering & Wick theorem for arbitrary reference state (Kutzelnigg & Mukherjee)

- **ref. state correlations** are encoded in **irreducible n-body density matrices**:

$$\rho_{mn}^{kl} = \lambda_{mn}^{kl} + \lambda_m^k \lambda_n^l - \lambda_n^k \lambda_m^l$$

$$\rho_{lmn}^{ijk} = \lambda_{lmn}^{ijk} + \lambda_l^i \lambda_{mn}^{jk} + \lambda_l^i \lambda_m^j \lambda_n^k + \text{permutations}$$

- additional terms in normal-ordered operators:

$$\begin{aligned} A_{l_1 \dots l_N}^{k_1 \dots k_N} = & : A_{l_1 \dots l_N}^{k_1 \dots k_N} : + \lambda_{l_1}^{k_1} : A_{l_2 \dots l_N}^{k_2 \dots k_N} : + \text{singles} \\ & + \left( \lambda_{l_1}^{k_1} \lambda_{l_2}^{k_2} - \lambda_{l_2}^{k_1} \lambda_{l_1}^{k_2} + \lambda_{l_1 l_2}^{k_1 k_2} \right) : A_{l_3 \dots l_N}^{k_3 \dots k_N} : + \text{doubles} + \dots \end{aligned}$$

- additional contractions, e.g.,

$$\begin{aligned} : A_{cd}^{ab} :: A_{mn}^{kl} : &= \lambda_{mn}^{ab} : A_{cd}^{kl} : \\ : A_{def}^{abc} :: A_{nop}^{klm} : &= -\lambda_{dop}^{abm} : A_{efn}^{ckl} : \end{aligned}$$

# Multi-Reference Flow Equations



0-body flow:

$$\begin{aligned} \frac{dE}{ds} = & \sum_{ab} (n_a - n_b) \left( \eta_b^a f_a^b - f_b^a \eta_a^b \right) + \frac{1}{4} \sum_{abcd} \left( \eta_{cd}^{ab} \Gamma_{ab}^{cd} - \Gamma_{cd}^{ab} \eta_{ab}^{cd} \right) n_a n_b \bar{n}_c \bar{n}_d \\ & + \frac{1}{4} \sum_{abcd} \left( \frac{d}{ds} \Gamma_{cd}^{ab} \right) \lambda_{cd}^{ab} + \frac{1}{4} \sum_{abcdklm} \left( \eta_{cd}^{ab} \Gamma_{am}^{kl} - \Gamma_{cd}^{ab} \eta_{am}^{kl} \right) \lambda_{cdm}^{bkl} \end{aligned}$$

1-body flow:

$$\begin{aligned} \frac{d}{ds} f_2^1 = & \sum_a \left( \eta_a^1 f_2^a - f_a^1 \eta_2^a \right) + \sum_{ab} \left( \eta_b^a \Gamma_{a2}^{b1} - f_b^a \eta_{a2}^{b1} \right) (n_a - n_b) \\ & + \frac{1}{2} \sum_{abcdef} \left( \eta_{bc}^{1a} \Gamma_{2a}^{bc} - \Gamma_{bc}^{1a} \eta_{2a}^{bc} \right) (n_a \bar{n}_b \bar{n}_c + \bar{n}_a n_b n_c) \\ & + \frac{1}{4} \sum_{abcde} \left( \eta_{bc}^{1a} \Gamma_{2a}^{de} - \Gamma_{bc}^{1a} \eta_{2a}^{de} \right) \lambda_{bc}^{de} + \sum_{abcde} \left( \eta_{bc}^{1a} \Gamma_{2d}^{be} - \Gamma_{bc}^{1a} \eta_{2d}^{be} \right) \lambda_{cd}^{ae} \\ & - \frac{1}{2} \sum_{abcde} \left( \eta_{2b}^{1a} \Gamma_{ae}^{cd} - \Gamma_{2b}^{1a} \eta_{ae}^{cd} \right) \lambda_{be}^{cd} + \frac{1}{2} \sum_{abcde} \left( \eta_{2b}^{1a} \Gamma_{de}^{bc} - \Gamma_{2b}^{1a} \eta_{de}^{bc} \right) \lambda_{de}^{ac} \end{aligned}$$

# Multi-Reference Flow Equations



## 0-body flow:

$$\begin{aligned} \frac{dE}{ds} = & \sum_{ab} (n_a - n_b) \left( \eta_b^a f_a^b - f_b^a \eta_a^b \right) + \frac{1}{4} \sum_{abcd} \left( \eta_{cd}^{ab} \Gamma_{ab}^{cd} - \Gamma_{cd}^{ab} \eta_{ab}^{cd} \right) n_a n_b \bar{n}_c \bar{n}_d \\ & + \frac{1}{4} \sum_{abcd} \left( \frac{d}{ds} \Gamma_{cd}^{ab} \right) \lambda_{cd}^{ab} + \frac{1}{4} \sum_{abcdklm} \left( \eta_{cd}^{ab} \Gamma_{am}^{kl} - \Gamma_{cd}^{ab} \eta_{am}^{kl} \right) \lambda_{cdm}^{bkl} \end{aligned}$$

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$$\begin{aligned} \frac{d}{ds} f_2^1 = & \sum_a \left( \eta_a^1 f_2^a - f_a^1 \eta_2^a \right) + \sum_{ab} \left( \eta_b^a \Gamma_{a2}^{b1} - f_b^a \eta_{a2}^{b1} \right) (n_a - n_b) \\ & + \frac{1}{2} \sum_{abcdef} \left( \eta_{bc}^{1a} \Gamma_{2a}^{bc} - \Gamma_{bc}^{1a} \eta_{2a}^{bc} \right) (n_a \bar{n}_b \bar{n}_c + \bar{n}_a n_b n_c) \\ & + \frac{1}{4} \sum_{abcde} \left( \eta_{bc}^{1a} \Gamma_{2a}^{de} - \Gamma_{bc}^{1a} \eta_{2a}^{de} \right) \lambda_{bc}^{de} + \sum_{abcde} \left( \eta_{bc}^{1a} \Gamma_{2d}^{be} - \Gamma_{bc}^{1a} \eta_{2d}^{be} \right) \lambda_{cd}^{ae} \\ & - \frac{1}{2} \sum_{abcde} \left( \eta_{2b}^{1a} \Gamma_{ae}^{cd} - \Gamma_{2b}^{1a} \eta_{ae}^{cd} \right) \lambda_{be}^{cd} + \frac{1}{2} \sum_{abcde} \left( \eta_{2b}^{1a} \Gamma_{de}^{bc} - \Gamma_{2b}^{1a} \eta_{de}^{bc} \right) \lambda_{de}^{ac} \end{aligned}$$

# Multi-Reference Flow Equations

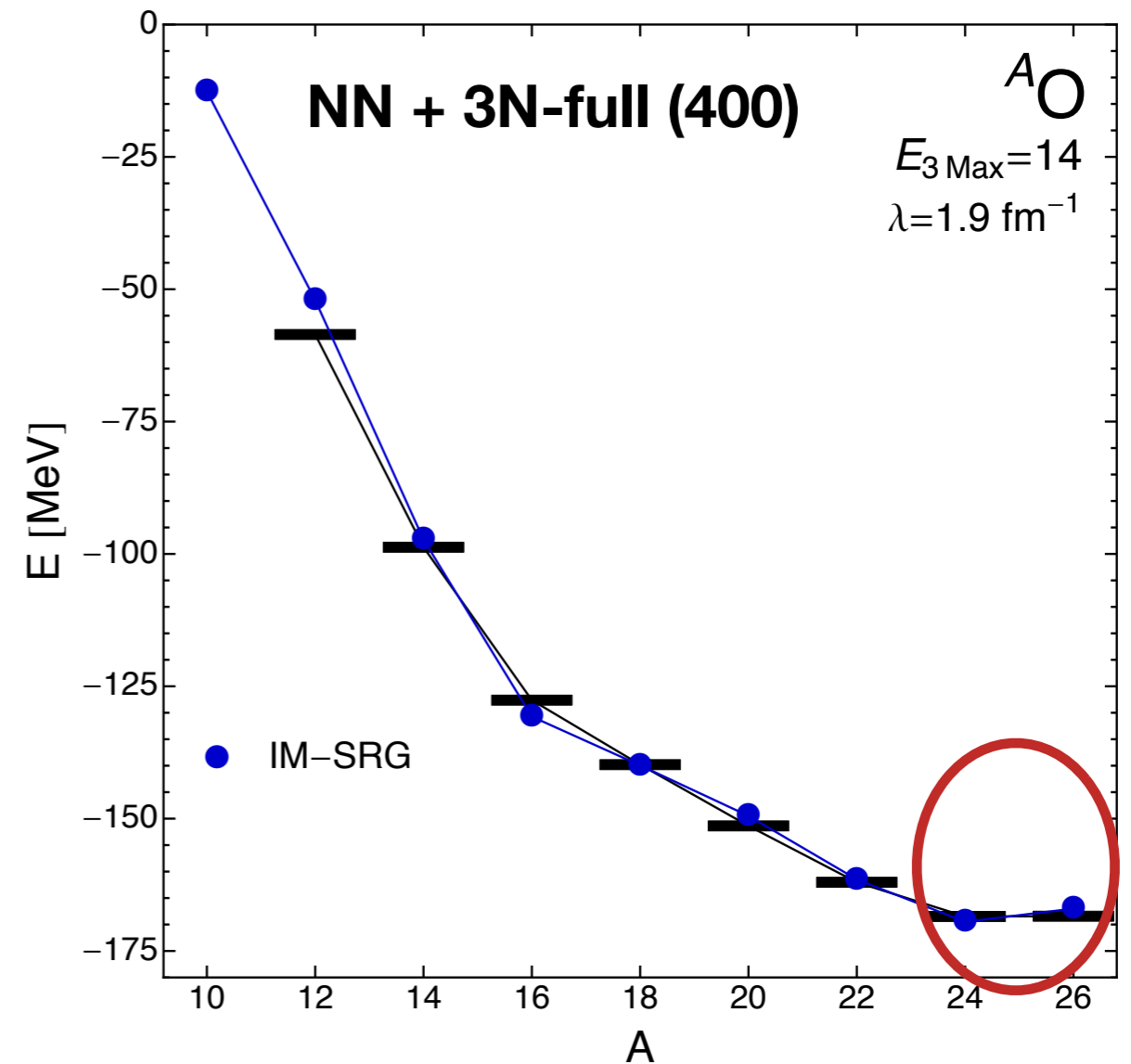
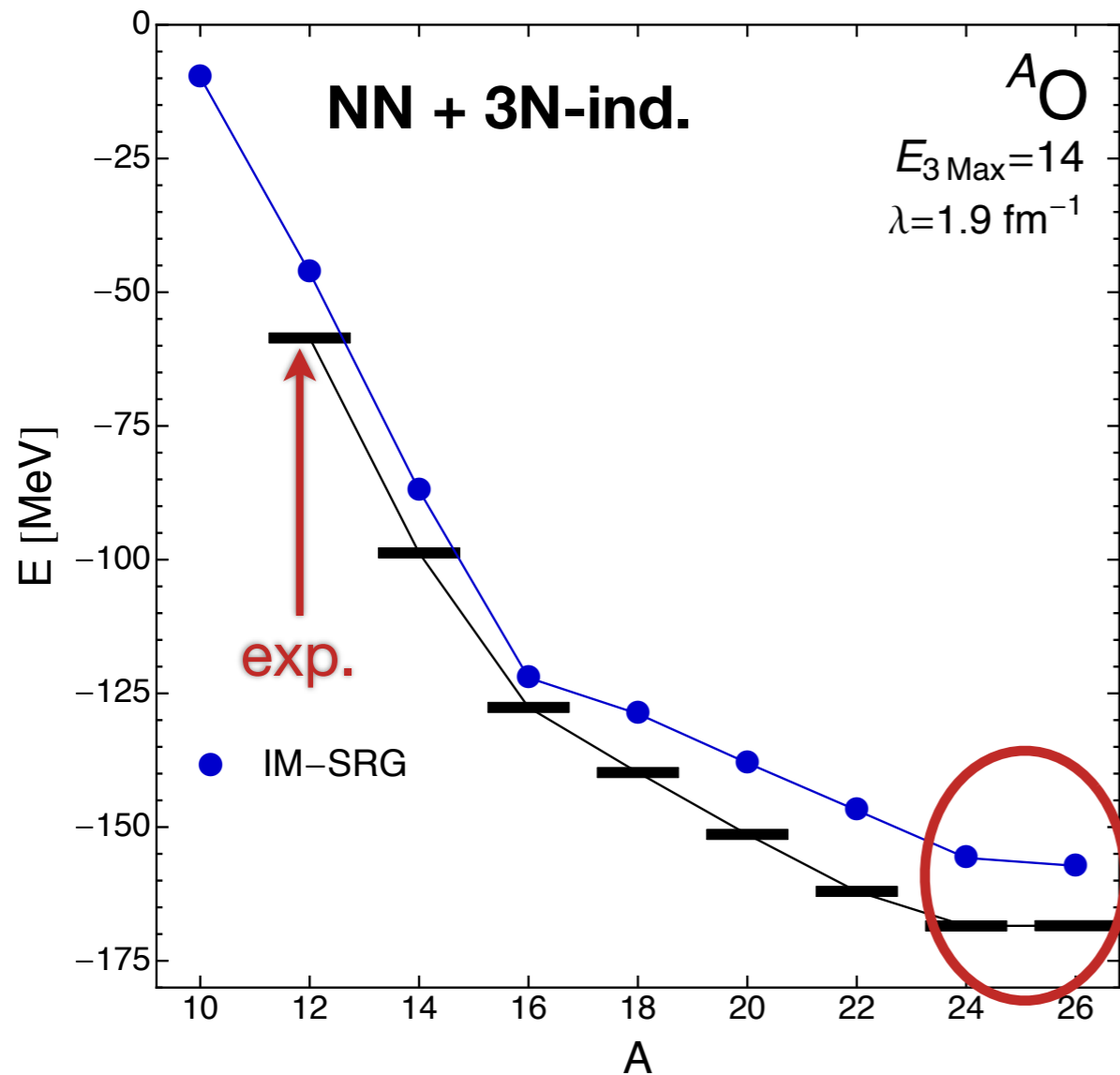


## 2-body flow:

$$\begin{aligned} \frac{d}{ds} \Gamma_{34}^{12} = & \sum_a \left( \eta_a^1 \Gamma_{34}^{a2} + \eta_a^2 \Gamma_{34}^{1a} - \eta_3^a \Gamma_{a4}^{12} - \eta_4^a \Gamma_{3a}^{12} - f_a^1 \eta_{34}^{a2} - f_a^2 \eta_{34}^{1a} + f_3^a \eta_{a4}^{12} + f_4^a \eta_{3a}^{12} \right) \\ & + \frac{1}{2} \sum_{ab} \left( \eta_{ab}^{12} \Gamma_{34}^{ab} - \Gamma_{ab}^{12} \eta_{34}^{ab} \right) (1 - n_a - n_b) \\ & + \sum_{ab} (n_a - n_b) \left( \left( \eta_{3b}^{1a} \Gamma_{4a}^{2b} - \Gamma_{3b}^{1a} \eta_{4a}^{2b} \right) - \left( \eta_{3b}^{2a} \Gamma_{4a}^{1b} - \Gamma_{3b}^{2a} \eta_{4a}^{1b} \right) \right) \end{aligned}$$

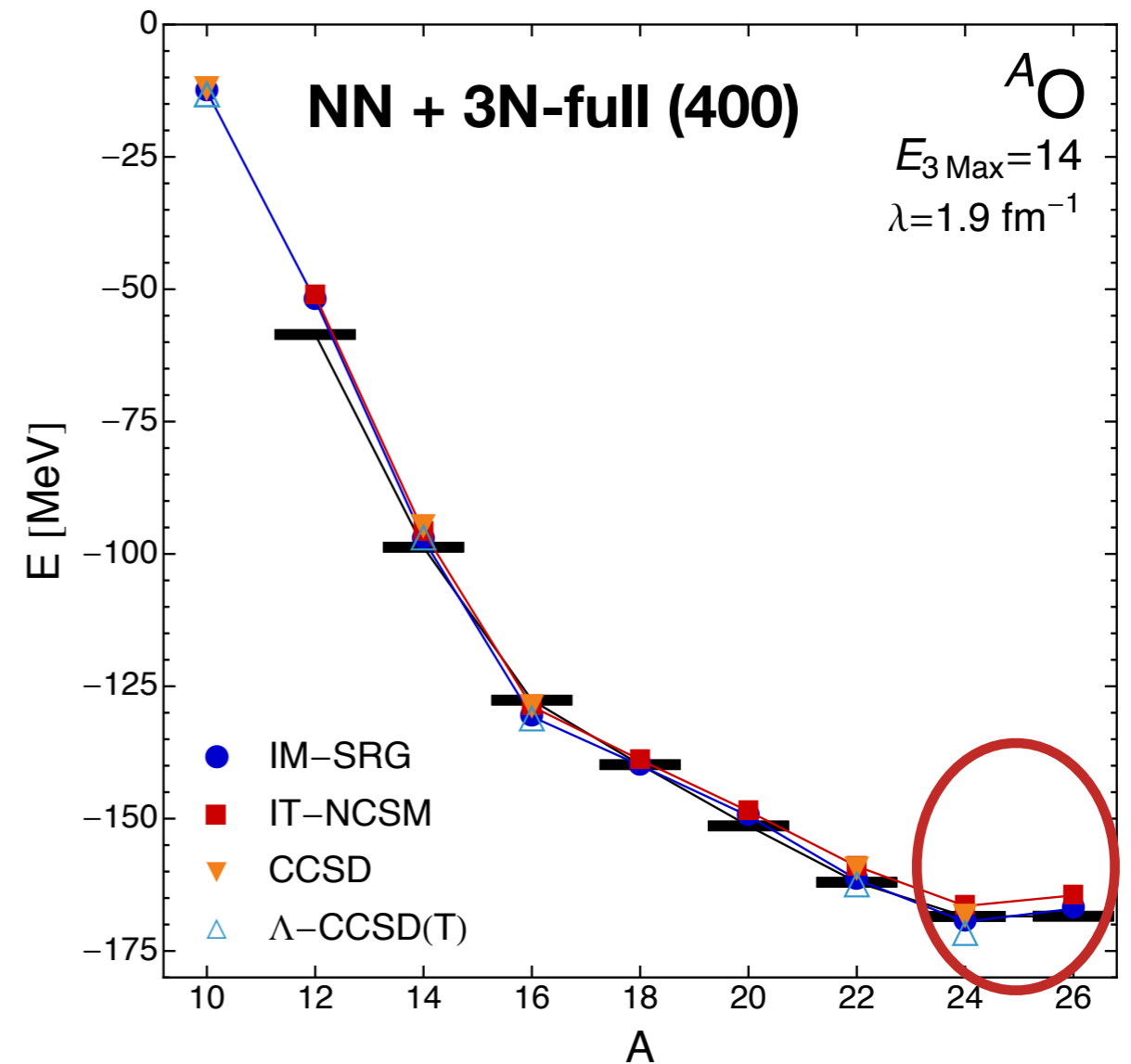
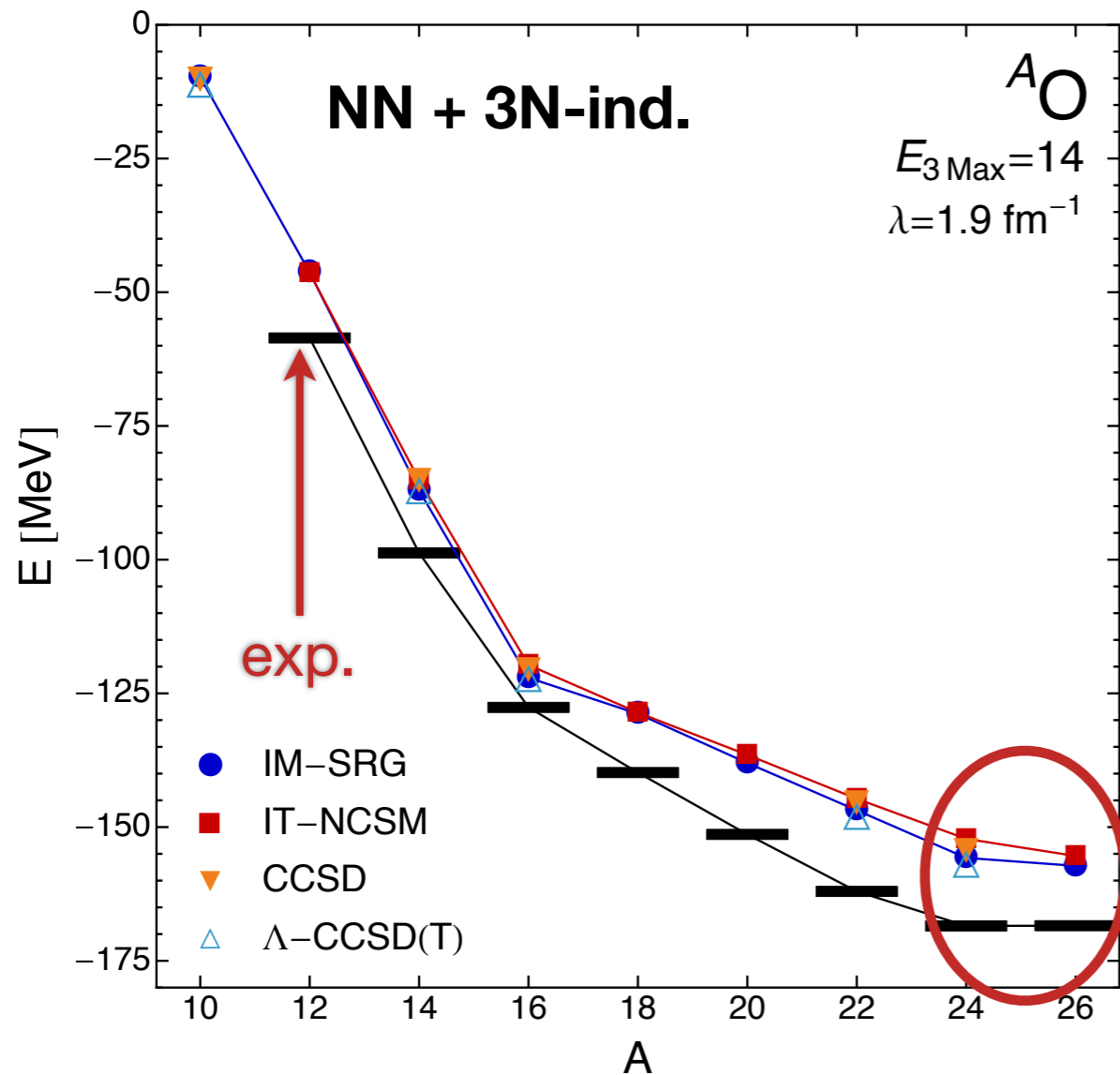
2-body flow  
unchanged

# Results: Oxygen Chain



- ref. state: **number-projected Hartree-Fock-Bogoliubov** vacuum
- results (mostly) insensitive to choice of generator for same  $H^{od}$

# Results: Oxygen Chain



- ref. state: **number-projected Hartree-Fock-Bogoliubov** vacuum
- results (mostly) insensitive to choice of generator for same  $H^{od}$
- **consistency between different many-body methods**

# Solving the IM-SRG equations via the Magnus Expansion

**Titus Morris, N. Parzuchowski** and SKB, in preparation



# Constructing the SRG unitary transformation directly?



$$\begin{aligned}\frac{dU_s}{ds} = \eta_s U_s \quad \Rightarrow \quad U_s &= \mathcal{S} \exp \left( \int_0^s \eta_{s'} ds' \right) \\ &= 1 + \int_0^s \eta_{s'} ds' + \int_0^s \eta_{s'} \int_0^{s'} \eta_{s''} ds' ds'' + \dots\end{aligned}$$

# Constructing the SRG unitary transformation directly?



$$\begin{aligned}\frac{dU_s}{ds} = \eta_s U_s &\quad \Rightarrow \quad U_s = \mathcal{S} \exp \left( \int_0^s \eta_{s'} ds' \right) \\ &= 1 + \int_0^s \eta_{s'} ds' + \int_0^s \eta_{s'} \int_0^{s'} \eta_{s''} ds' ds'' + \dots\end{aligned}$$

Impractical due to S-ordered exponential

Would need to store  $\eta_s$  at all  $s$  values

How to apply it to transform  $H$  (and other operators)?

# Constructing the SRG unitary transformation directly?



**Magnus Expansion** W. Magnus. *Comm. Pure and Appl. Math.*, VII:649–673, 1954.

$$U_s = \exp(\Omega_s)$$

$$\frac{d\Omega_s}{ds} = \eta_s + \frac{1}{2}[\Omega_s, \eta_s] + \frac{1}{12}[\Omega_s, [\Omega_s, \eta_s]] + \cdots \equiv \sum_{k=0}^{\infty} \frac{B_k}{k!} \text{ad}_{\Omega_s}^k(\eta_s)$$

$$\text{ad}_{\Omega}^k(\eta) = [\Omega, \text{ad}_{\Omega}^{k-1}(\eta)] \quad B_k = \text{Bernoulli numbers}$$

# Constructing the SRG unitary transformation directly?

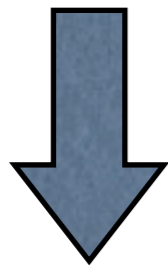


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$$U_s = \exp(\Omega_s)$$

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$$\text{ad}_{\Omega}^k(\eta) = [\Omega, \text{ad}_{\Omega}^{k-1}(\eta)] \quad B_k = \text{Bernoulli numbers}$$



$$H_s = \exp(\Omega_s) H \exp(-\Omega_s) = H + [\Omega_s, H] + \frac{1}{2} [\Omega_s, [\Omega_s, H]] + \dots$$

$$O_s = \exp(\Omega_s) O \exp(-\Omega_s) = O + [\Omega_s, O] + \frac{1}{2} [\Omega_s, [\Omega_s, O]] + \dots$$

# Magnus expansion implementation of IM-SRG(2)



$H_s, \eta_s, \Omega_s$  truncated to N-ordered 2-body terms

$$\frac{d\Omega_s}{ds} = \eta_s + \frac{1}{2} [\Omega_s, \eta_s]_{2B} + \frac{1}{12} [\Omega_s, [\Omega_s, \eta_s]_{2B}]_{2B} + \dots$$

$$H_s = H + [\Omega_s, H]_{2B} + \frac{1}{2} [\Omega_s, [\Omega_s, H]_{2B}]_{2B} + \dots$$

Truncate infinite Magnus and BCH commutator series numerically

# Magnus expansion implementation of IM-SRG(2)



$H_s, \eta_s, \Omega_s$  truncated to N-ordered 2-body terms

$$\frac{d\Omega_s}{ds} = \eta_s + \frac{1}{2} [\Omega_s, \eta_s]_{2B} + \frac{1}{12} [\Omega_s, [\Omega_s, \eta_s]_{2B}]_{2B} + \dots$$

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# Magnus expansion implementation of IM-SRG(2)



$H_s, \eta_s, \Omega_s$  truncated to N-ordered 2-body terms

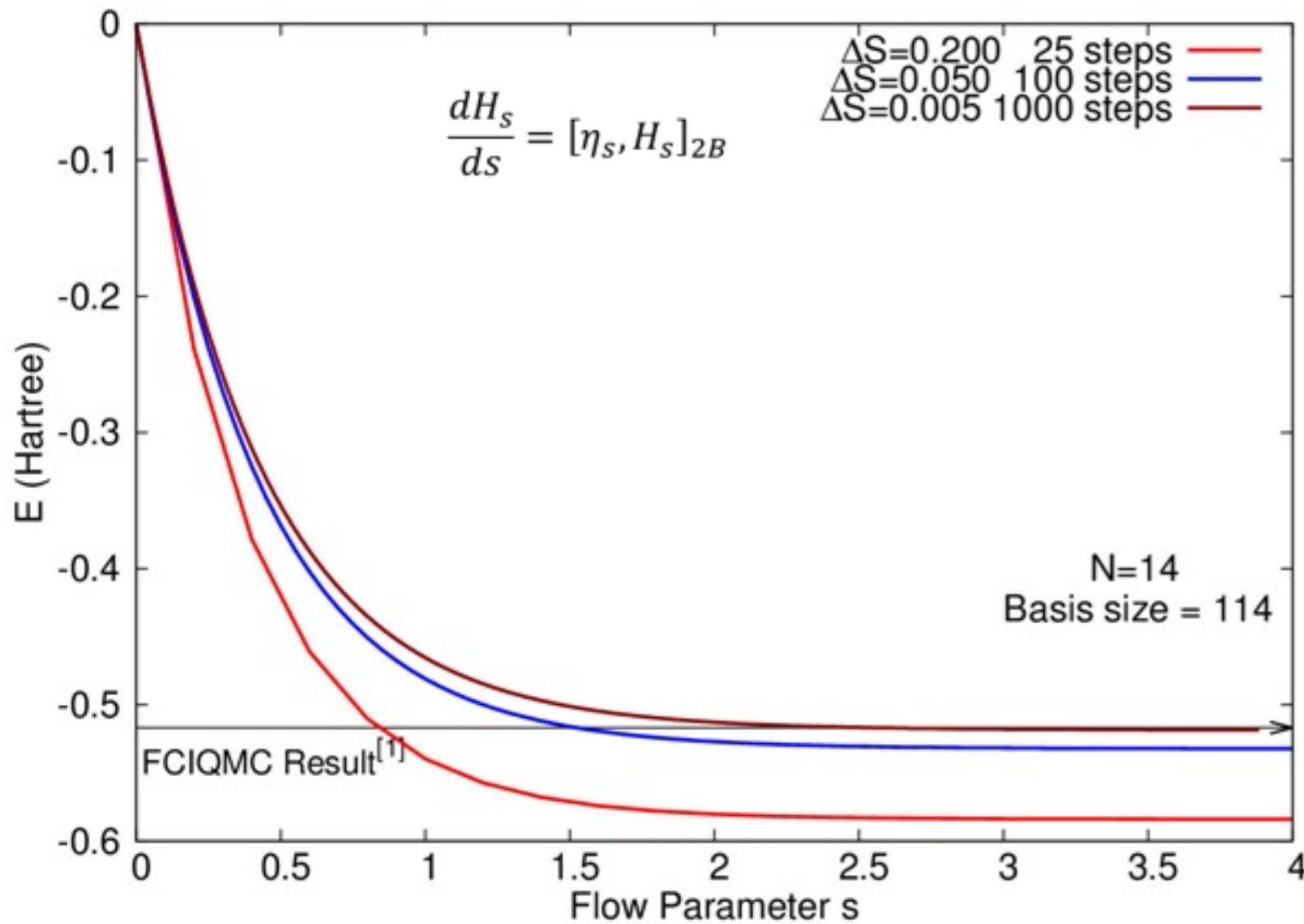
$$\frac{d\Omega_s}{ds} = \eta_s + \frac{1}{2} [\Omega_s, \eta_s]_{2B} + \frac{1}{12} [\Omega_s, [\Omega_s, \eta_s]_{2B}]_{2B} + \dots$$

$$H_s = H + [\Omega_s, H]_{2B} + \frac{1}{2} [\Omega_s, [\Omega_s, H]_{2B}]_{2B} + \dots$$

What makes this better than “usual” approach of solving  $dH/ds$ ?

- 1) Reduced stiffness, decreased sensitivity to time-step error
- 2) Transformed observables at little extra cost (memory)
- 3) Computationally-feasible approximations to IM-SRG(3)

# IM-SRG(2) evolution



3d electron gas (box w/PBCs)

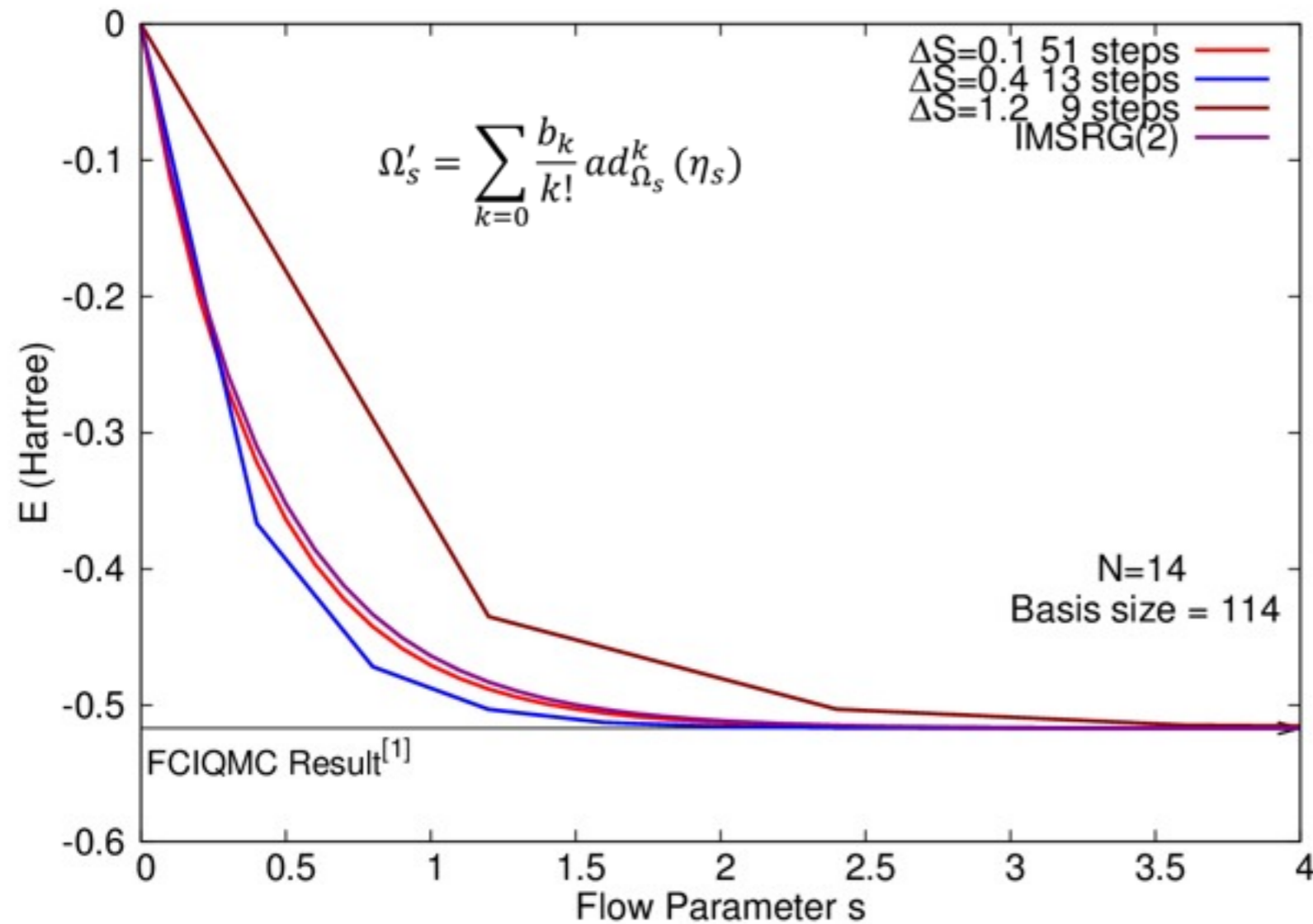
IM-SRG(2) equations solved by naive 1st-order Euler method

Need small step sizes to control error

In practice: higher-order adaptive ODE solver



# Magnus IM-SRG(2) evolution



3d electron gas (box w/PBCs)

**Magnus** IM-SRG(2) equations solved by naive 1st-order Euler method

Independent of step size!

Converges in 9 steps (vs ~ 1000)

# Why it works



It's ok to make a sloppy (e.g., 1st-order Euler) calculation of  $\Omega_s$

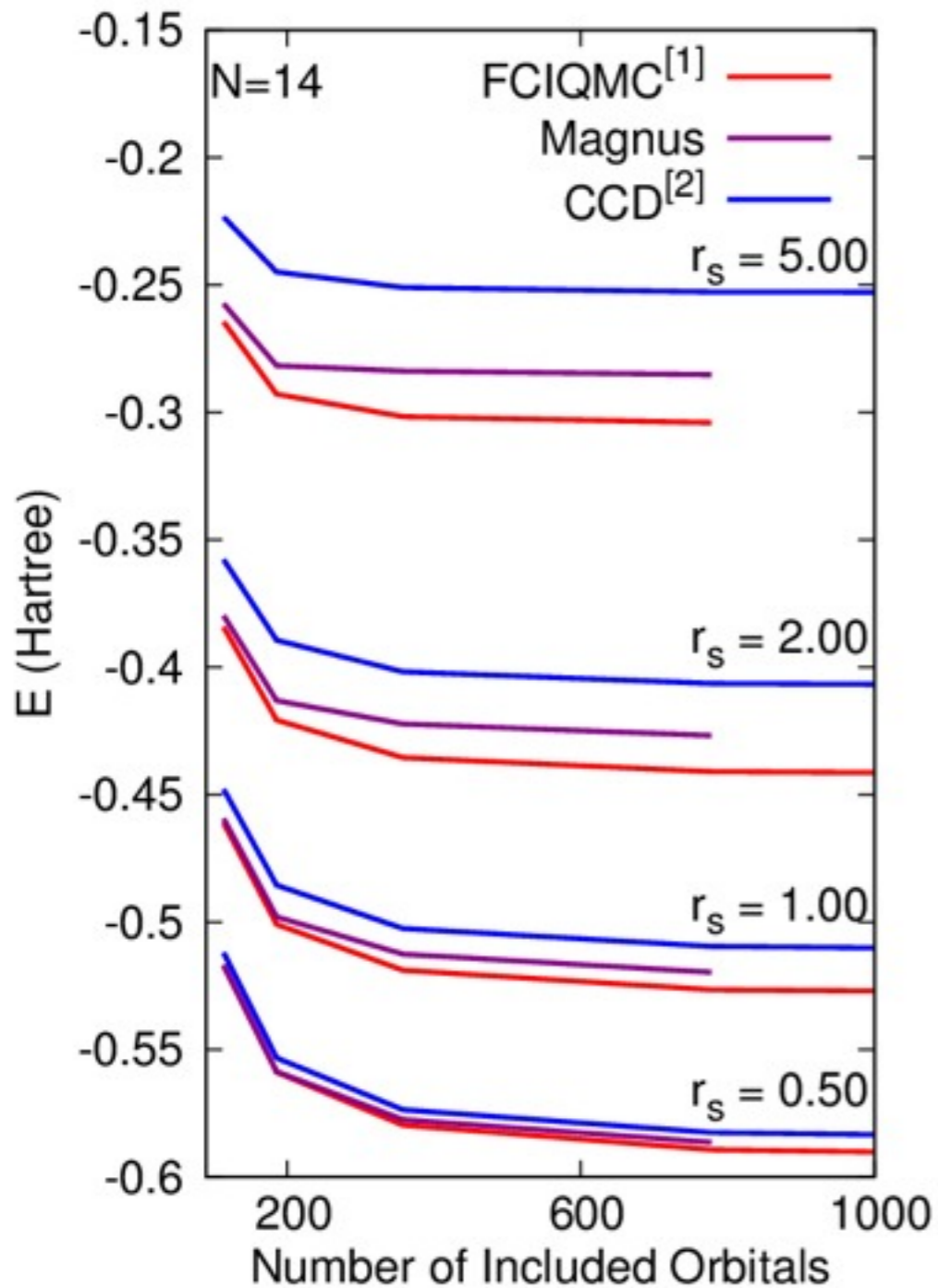
$$\Omega_s = \Omega_s^{true} + \Delta\Omega_s$$

$$H_s^{Euler} = \exp(\Omega_s) H \exp(-\Omega_s) \neq H_s^{true}$$

Nevertheless,  $H^{Euler}$  and  $H^{true}$  unitarily equivalent to each other (and to  $H$ )

Only requirement is that stepsize decreases strength of  $H^{OD}$

# Comparison to FCIQMC



Orbitals	IMSRG(2) CPUHR	Magnus(2) CPUHR
114	0.1	0.06
186	0.5	0.3
358	5.33	1.05
778	35.3	5.5

[1] Shepherd et al., J. Chem. Phys. 136, 244101 (2012)

[2] G. Baardsen, U. Oslo, Unpublished

# Observables



- IM-SRG is an efficient new Ab-initio framework suitable for closed- and open-shell medium-mass nuclei
  - ◆ scales like CCSD, tracks more closely to CCSD(T) in wide range of systems
  - ◆ new method for deriving shell model interactions from “first principles”
    - ◆ easy access to spectra, odd-A, intrinsic deformation,..
    - ◆ competitive with usdb interactions in Oxygen, Fluorine, Neon
    - ◆ extendable to operators (e.g., neutrinoless  $\beta\beta$ )
  - ◆ multi-reference IM-SRG using HFB reference state
    - ◆ cheaper alternative to SM for even-even groundstates
    - ◆ Oxygen, Calcium, Nickel chains using chiral NN + NNN
    - ◆ extension to excited states/odd-A on the horizon