

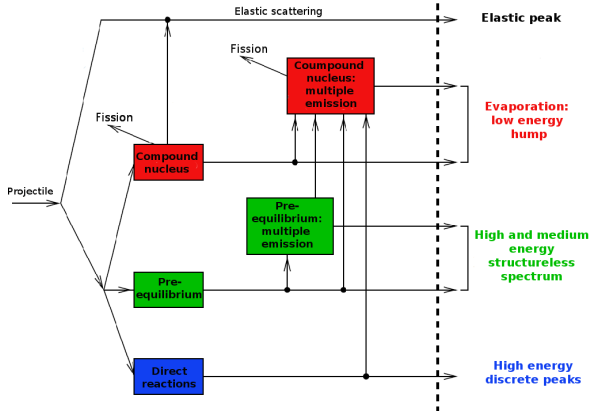
Microscopic models for pre-equilibrium emission and (n,xn γ) cross sections

Experimental and theoretical problems around actinides for future reactors
March 17th-19th 2014, ESNT, CEA-Saclay.

E. Bauge, J.-P. Delaroche, **M. Dupuis**, S. Hilaire, S. Péru, P. Romain,
CEA, DAM, DIF, France.
T. Kawano, LANL, New Mexico, USA.
J. Raynal, CEA Saclay, France.

Nuclear reactions modeling

Reaction mechanisms



Emission spectrum

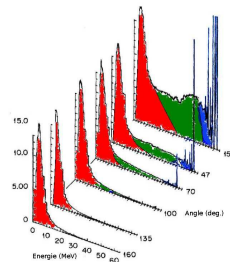
Elastic peak

Evaporation:
low energy
hump

High and medium
energy
structureless
spectrum

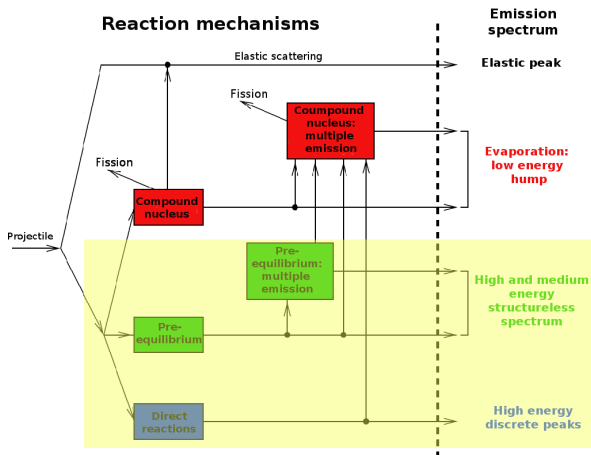
High energy
discrete peaks

62 MeV ^{56}Fe (p,xp)
Double differential cross sections



Nuclear reactions modeling

Reaction mechanisms



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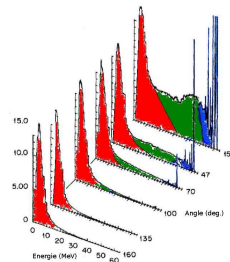
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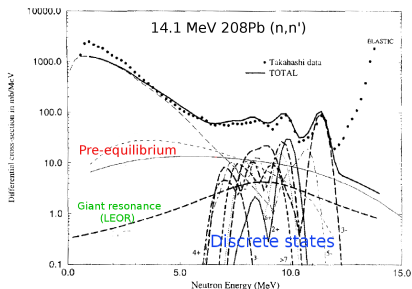
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62 MeV ^{56}Fe (p,xp)
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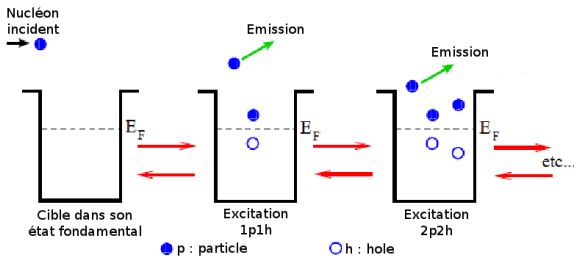
Direct inelastic scattering modeling : phenomenological approach



P. Demetriou et al., Nucl. Phys. A 596, 67 (1996)

- High energy emission :
 - Low energy discrete states excitations : cross sections determined from available measurements (deformation lengths, collective model).
 - Giant resonances : cross sections calculation based on the knowledge of response functions in the continuum which are usually extracted from (e,e'), (h,h'), (h,h'f) measurements or from systematics.
 - Pre-equilibrium emission.
- Depending on the nucleus and the incident energy, the experimental information is not complete and sometimes very scarce: **collective response functions for $L > 3$ and in deformed targets such as actinides.**

Pre-equilibrium reaction mechanism



Doubly differential (n,n') or (p,p') cross sections:

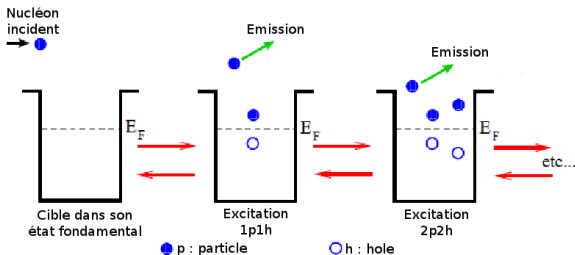
$$\frac{d\sigma(\mathbf{k}_i, \mathbf{k}_f)}{dE_k d\Omega_f} \sim \int dE \sum_N f(E_{k_i} - E_k - E_N) |T_{fi}|^2$$

Transition amplitude (Born Series) :

$$T_{fi} = \langle \chi_f^{(-)}(\mathbf{k}), N | V_{\text{eff}} + V_{\text{eff}} \frac{1}{E - H_0 + i\varepsilon} V_{\text{eff}} + V_{\text{eff}} \frac{1}{E - H_0 + i\varepsilon} V_{\text{eff}} \frac{1}{E - H_0 + i\varepsilon} V_{\text{eff}} + \dots | \chi_i^{(+)}(\mathbf{k}_i), 0 \rangle$$

$f(E_{k_i} - E_k - E_N)$: spreading functions (damping+escape widths).

Pre-equilibrium reaction mechanism

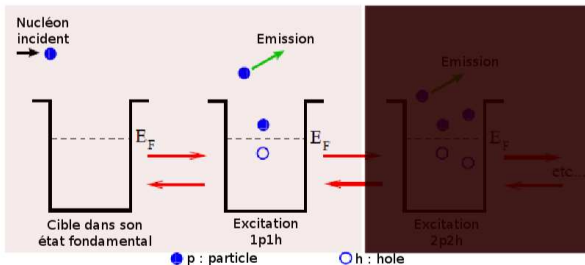


- Quantum models (FKK, TUL, NWY), semi-classical (Excitons, intra-nuclear cascade) ...
- Phenomenological ingredients : optical potentials, level densities.
- Adjustable parameters : residual interaction magnitude, coupling matrix elements.

→ Most all the time, all models are able to fit nucleon emission spectra when the right set of ingredients/parameters is selected.

Pre-equilibrium reaction mechanism

Energie incidente < 20 MeV



Quantum model: doubly differential cross section $(n,n')/(p,p')$ for first order pre-equilibrium emission ($E_{in} < 20$ MeV):

$$\frac{d\sigma(\mathbf{k}_i, \mathbf{k}_f)}{dE d\Omega_f} \sim \int dE \sum_N f(E_{k_i} - E_k - E_{phN}) \left| \langle \chi_f^{(+)}(\mathbf{k}), N | V_{eff} | \chi_i^{(-)}(\mathbf{k}_i), 0 \rangle \right|^2$$

- V_{eff} : adjustable parameters
- \sum_N : sum over target excitations $|N\rangle = |ph\rangle$

\Rightarrow : FKK model: incoherent particle-hole excitations $|N\rangle = |ph\rangle$.

\Rightarrow : TUL model: allows excitation of collective states (adjusted residual interactions to fit known states properties).

Formal description of direct reactions for elastic and inelastic scattering

- Coupled channels for elastic and few inelastic channels

$$(E_{k_i} - T_0 - U_{00}) |u_0\rangle = \sum_{n=1}^k U_{0n} |u_n\rangle$$

$$(E_{k_f} - T_0 - U_{nn}) |u_n\rangle = \sum_{n' \neq n} U_{nn'} |u_{n'}\rangle, \dots$$

- Main ingredient → one-body coupling potentials $U_{nn'} = \langle \psi_n' | V_{eff} | \psi_n \rangle$, calculated from :
 - target states wave functions $\{\psi_n\}$.
 - effective interaction V_{eff} (g-matrix, JLM).
- One-body potential :

$$\langle N' | V_{eff} | N \rangle = \sum_{\alpha\alpha' kk'} \langle \alpha', k' | V_{eff} | \widetilde{\alpha}, k \rangle \rho_{\alpha\alpha'}^{N \rightarrow N'} a_{k'}^\dagger a_k$$

- Nuclear structure ingredient → one body density matrix :

$$\rho_{\alpha\alpha'}^{N \rightarrow N'} = \langle N' | a_{\alpha'}^\dagger a_\alpha | N \rangle$$

Microscopic model for direct inelastic scattering : spherical targets

(n,n') direct inelastic scattering double differential cross section

$$\frac{d\sigma(\mathbf{k}_i, \mathbf{k}_f)}{dE_f d\Omega_f} = \int dE_k \sum_N \frac{\mu^2}{(2\pi\hbar^2)^2} \frac{k}{k_i} f(E_{k_i} - E_k - E_N) \left| \langle \chi_f^{(-)}(\mathbf{k}), N | V_{\text{eff}} | \chi_i^{(+)}(\mathbf{k}_i), \tilde{0} \rangle \right|^2$$

V_{eff} : effective interaction (g matrix).

$$(T + U(E_i)) | \chi_i^{(+)}(\mathbf{k}_i) \rangle = E_i | \chi_i^{(+)}(\mathbf{k}_i) \rangle$$

$$(T + U^\dagger(E_f)) | \chi_f^{(-)}(\mathbf{k}_f) \rangle = E_f | \chi_f^{(-)}(\mathbf{k}_f) \rangle$$

$U(E_{i/f})$: optical potential (Koning-Delaroche).

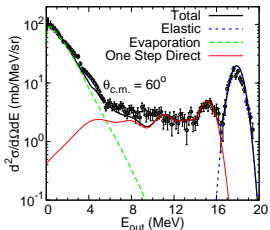
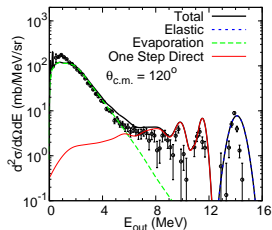
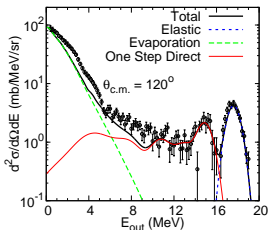
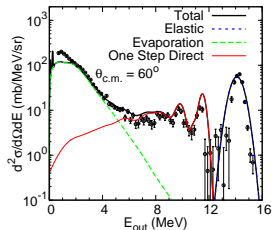
Target excitations

- Nuclear structure model : **Random Phase Approximation (RPA)**, Gogny D1S interaction.

$$\text{Target spectrum : one phonon excitations } |N\rangle = \sum_{ph} \left(X_{ph}^N a_p^\dagger a_h - Y_{ph}^N a_h^\dagger a_p \right) | \tilde{0} \rangle.$$

- RPA+D1S model checked for different J^Π excitations in magic nuclei (or closed sub-shell nuclei with weak pairing) → provides accurate description of **low energy collective states and giant resonances**
- Target excitation widths : $f(E_{k_i} - E_k - E_N) = \frac{1}{\pi} \frac{\Gamma_N}{(E_{k_i} - E_k - E_N + \Delta_N)^2 + \frac{\Gamma_N^2}{4}}$, $\Gamma_N = \Gamma^\downarrow + \Gamma^\uparrow$, energy shift
→ phenomenological input.

Direct (n,n') microscopic model : analysis of ^{90}Zr , ^{208}Pb (n,xn) spectra

18 MeV $^{90}\text{Zr}(n,xn)$ 14.1 MeV $^{208}\text{Pb}(n,xn)$ 

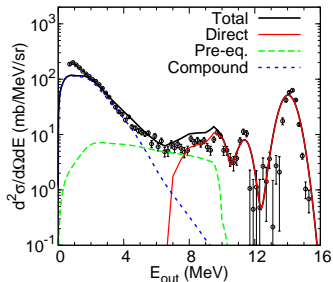
● Calculations performed with one phonon RPA excitations :

- Reproduce high energy emission : **no adjusted parameters** to get the cross section magnitude.
- Coherent description of direct inelastic scattering (one-step) : collective and non collective states.

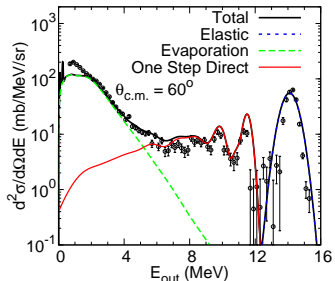
Comparison to TALYS

14.1 MeV $^{208}\text{Pb}(n,xn)$ angle=60°

TALYS



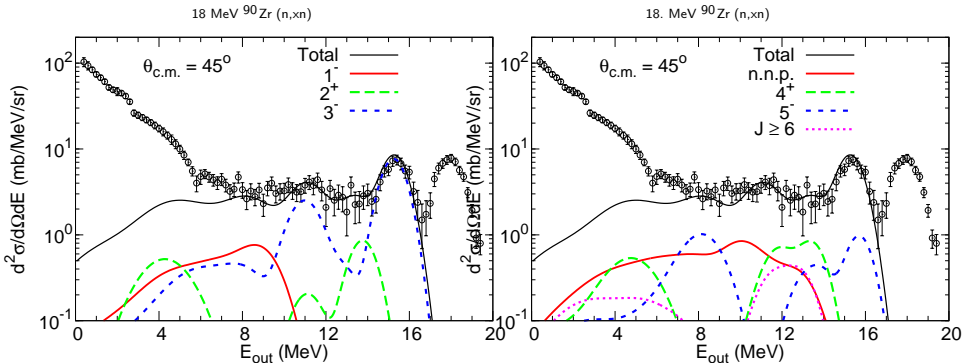
RPA one phonon excitations



- Discrete states.
- Giant resonances.
- Pre-equilibrium (excitons).

⇒ (re-)unified description.

Cross sections spin-parity components



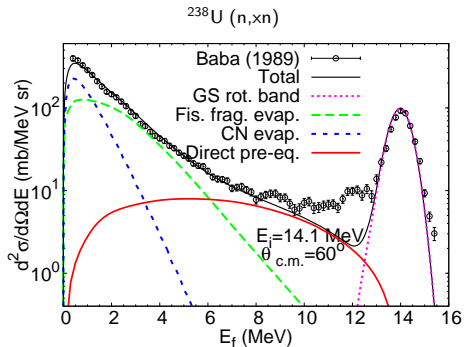
- Major contribution from collective states with total angular momentum from $J = 2$ to 5.

→ A description of collective states for all angular momentum is mandatory to account for direct nucleon emission well.

M. Dupuis, T. Kawano, J.-P. Delaroche, E. Bauge, PRC83, 014602 (2011).

Microscopic model for direct inelastic scattering off axially deformed targets

- Calculation with p-h excitations : Interaction fit to match (n,xn) data.
⇒ **underestimates high energy emission** [T. Kawano et al., Phys.Rev. C63, 034601 (2001)].
- Collective (vibrations) states in actinides (low energy and giant resonances) : not well characterized in experiments, usually not included in reactions modeling.
- Temporary solution used in evaluations : **pseudo states** = **collective states** with properties (energy and deformation length) adjusted to fit the observed high energy neutron emission (used in ENDF-BVII, BRC).



QRPA model that describes collective excitations in axially deformed nuclei has been recently developed in Bruyres and used to describe the excitations spectra in actinides :
S.Peru, G.Gosselin, M.Martini, M.Dupuis, S.Hilaire, J.-C.Devaux, Phys.Rev.C 83, 014314 (2011).

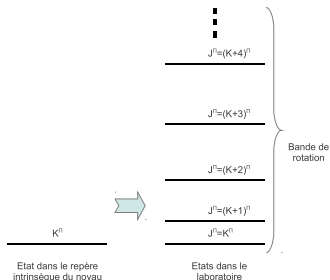
Excitation spectrum of a nucleus with a static axial deformation

- QRPA method : axial deformation, projection K of the total angular momentum on the symmetry axis is a good quantum number, parity is conserved.
- Target excitations in the **intrinsic frame** : **one phonon excitations**.

$$|\alpha K \Pi\rangle = \Theta_{\alpha K \Pi}^+ |\tilde{0}_I\rangle = \frac{1}{2} \sum_{ij \in (K \Pi)} \left(X_{ij}^{\alpha K \Pi} \eta_{ip_i, \Omega_i}^+ \eta_{jp_j, \Omega_j}^+ - (-)^K Y_{ij}^{\alpha K \Pi} \eta_{ip_i, -\Omega_i} \eta_{jp_j, -\Omega_j} \right) |\tilde{0}_I\rangle$$

- Target states in the **laboratory frame** : **projection on total angular momentum** \rightarrow **rotational band for each intrinsic excitation, with angular momenta $J \geq K$**

$$|\alpha J M K \Pi\rangle = \sqrt{\frac{2J+1}{16\pi^2}} \int d\Omega \mathcal{D}_{MK}^J(\Omega) R(\Omega) |\alpha K \Pi\rangle + (-)^{J+K} \mathcal{D}_{M-K}^J(\Omega) R(\Omega) |\alpha \bar{K} \Pi\rangle$$



Direct inelastic scattering cross section for axially deformed targets

Doubly differential cross section :

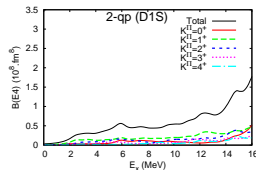
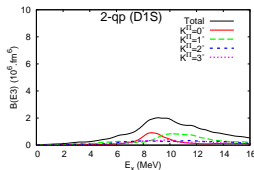
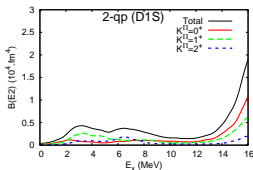
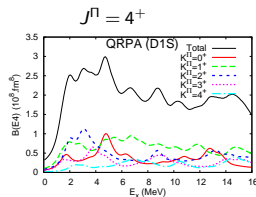
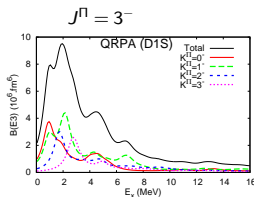
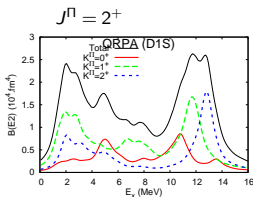
$$\frac{d\sigma(\mathbf{k}_i, \mathbf{k}_f)}{dE d\Omega_f} \sim \int dE \sum_N f(E_{k_i} - E_k - E_N) \frac{d\sigma_N(\mathbf{k}_i, \mathbf{k})}{d\Omega}$$

- Sum over target excitations : $\sum_N = \sum_{K^\Pi} \sum_{J \geq K}$
 - K^Π intrinsic excitations, $J \geq K$ rotational band states,
 - ~4000-10000 intrinsic excitations $E = 11 - 18$ MeV, 20,000 and 50,000 states in total.
- For one excitation : $\frac{d\sigma_N(\mathbf{k}_i, \mathbf{k})}{d\Omega}$
 - need coupling potential $U_L(r) = \int V_L(r, r') \rho_L^{QRPA}(r') r'^2 dr'$
- **V JLM Bruyères interaction** (*E. Bauge, J. P. Delaroche, and M. Girod, Phys. Rev. C63, 024607 (2001), Phys. Rev. C58, 1118 (1998)*)
- $\rho_L(r)$: multipole of order L of the **QRPA radial transition density** between the GS and an intrinsic excitation.

QRPA response functions in ^{238}U

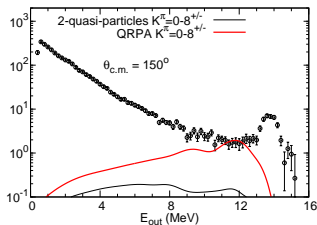
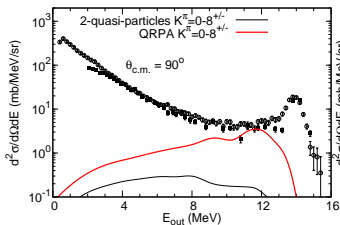
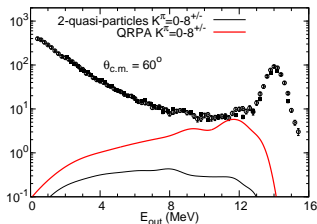
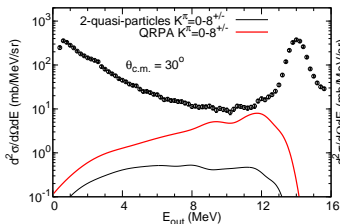
Reduce transition probabilities (proton+neutron) $B(EJ) \sim |\int \rho_J^{\delta J, \alpha K \Pi}(r) r^{J+2} dr|^2$ ($L > 2$): provide a measure of excitations collectivity (cross sections magnitude approximately proportional to $B(EJ)$)

QRPA
 $\Theta^\dagger |\tilde{0}\rangle$

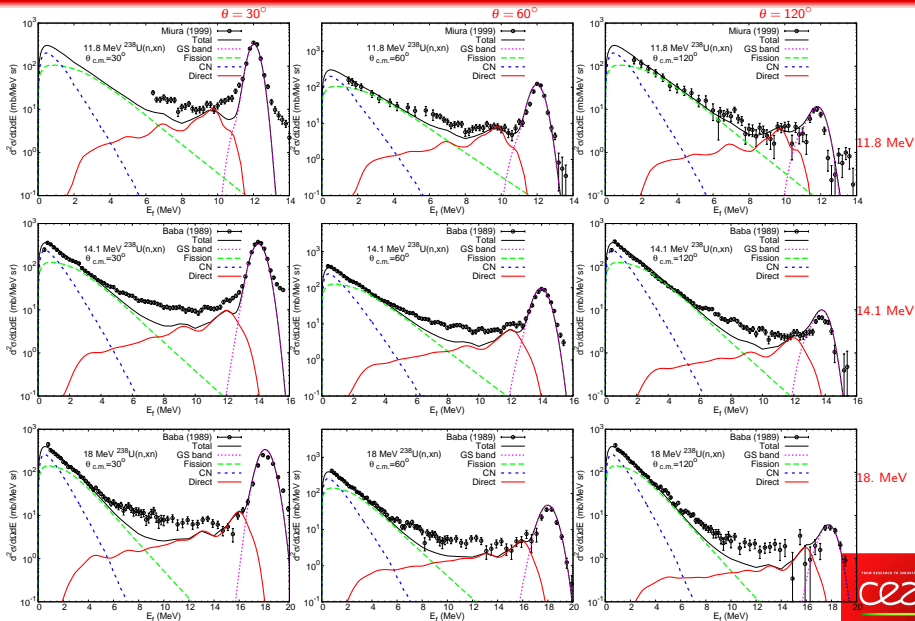


2 quasi-particles :
 $\eta^\dagger \eta^\dagger |HFB\rangle$

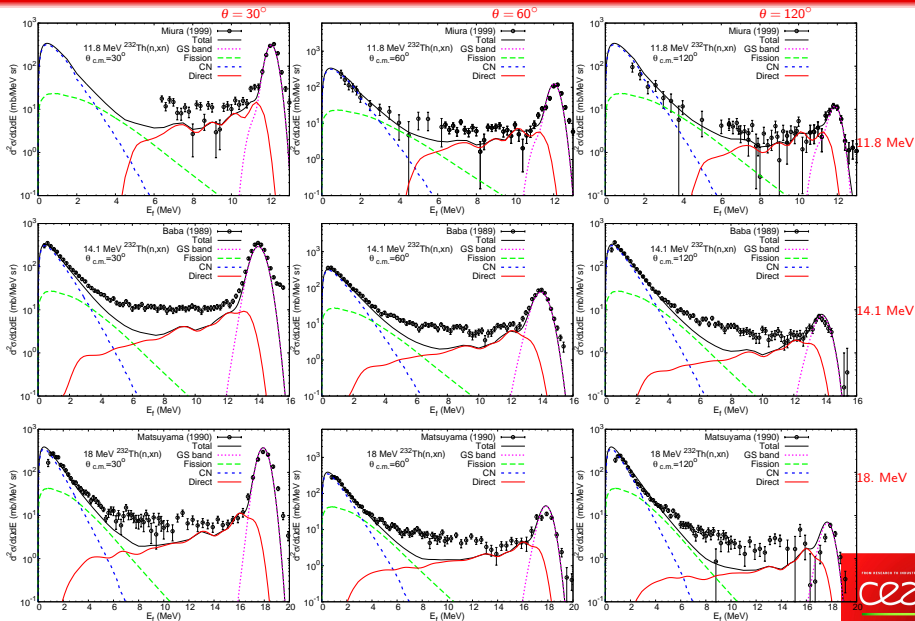
- Large number of collective excitations at low energy

Impact of collective excitations on cross sections : 14.1 MeV (n,n') ²³⁸U

Analysis of 11-18 MeV (n,xn) ^{238}U spectra

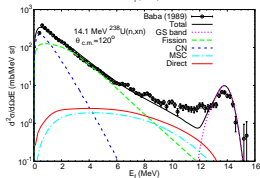
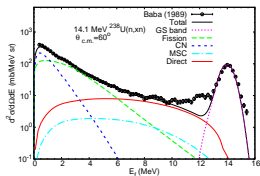


Analysis of 11-18 MeV (n,xn) ^{232}Th spectra

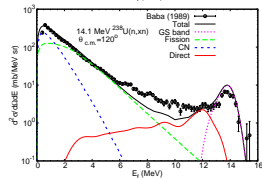
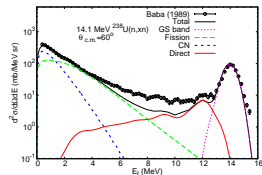


Comparison to previous more phenomenological calculations

Kawano one-step direct :



Microscopic model with QRPA :



- High energy cross section ($E_f > 10$ MeV): rather good.
- Clearly underestimated at $E_f \simeq 6-10$ MeV

Comparison to pre-equilibrium TUL model implemented in EMPIRE

PHYSICAL REVIEW C 78, 064611 (2008)

Deformation-dependent Tamura-Udagawa-Lenske multistep direct model

H. Wienke*

Belgonucleaire, B-2480 Dessel, Belgium

R. Capote

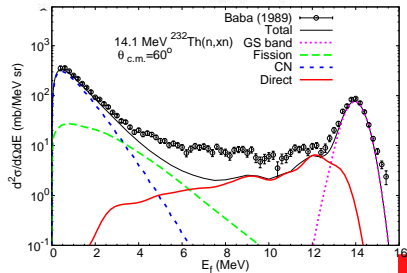
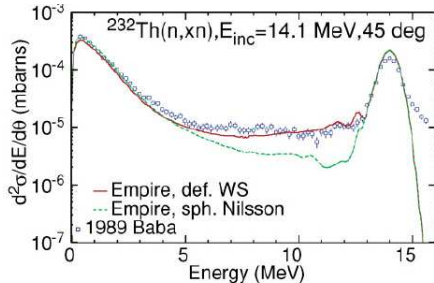
Nuclear Data Section, International Atomic Energy Agency, Wagramerstrasse 5, Vienna A-1400, Aust

M. Herman

National Nuclear Data Center, Brookhaven National Laboratory, Upton, New York 11973, USA

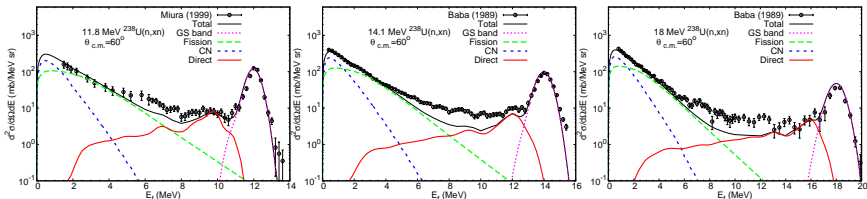
M. Sin

Nuclear Physics Department, University of Bucharest, P.O. Box MG-11, Bucharest-Magurele, Romar
(Received 6 September 2008; revised manuscript received 11 November 2008; published 22 December



QRPA one phonon excitations

Direct pre-equilibrium emission for: 11-18 MeV (n,n') ²³⁸U



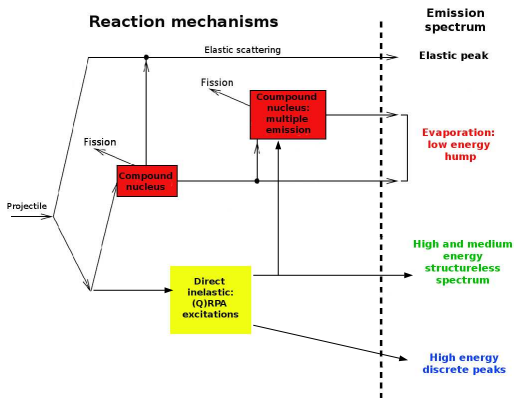
Improve the present direct pre-equilibrium emission model for axially deformed targets

- Non-natural parity transitions: tensor interactions.
- Model approximations (local density approximation, coupling scheme), ingredients are of course not perfect (effective interaction, damping widths, QRPA response functions): need to estimate error on overall normalization.

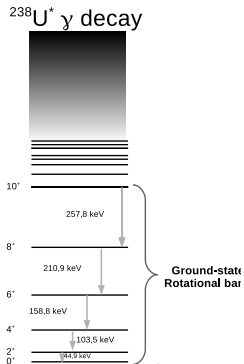
Microscopic model with realistic ingredients and no adjustable parameter: discrepancies with data allow to identify model deficiencies, important reaction mechanisms that were neglected.

- High one-phonon state density: excitation of two-phonons states → multi-step could be important even at these low incident energies.
- Multi-step compound contributions ?
- Close comparison with TUL model.

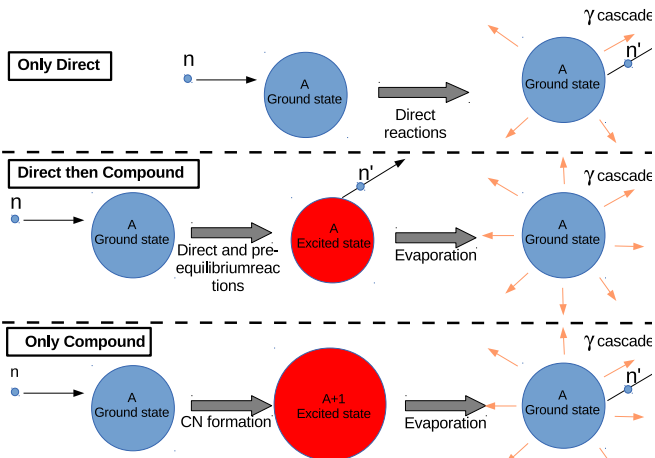
(n,xn γ) cross sections



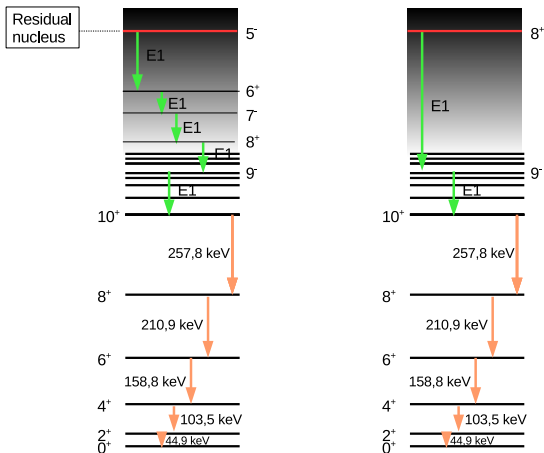
How new direct inelastic xs impact on (n,n' γ) xs.



Reaction mechanisms for $(n,n'\gamma)$



Reaction mechanisms for (n,n' γ)



Residual nucleus spin distribution in ^{238}U

Direct inelastic scattering $^{238}\text{U} (n,n')$

Equilibration to a compound nucleus with:

- excitation energy E
- spin-parity J, Π .

Spin distribution

Default in TALYS (for $E < 20$ MeV): $R(J) = \frac{(2J+1)^2}{2\sqrt{2\pi}\sigma^{\frac{3}{2}}} e^{-\frac{(J+\frac{1}{2})^2}{2\sigma}} \quad \sigma = 0.72A^{\frac{2}{3}}$

- CN level density while **direct reactions** (i.e. one-step pre-equilibrium process) **populate particle-hole or one phonon states**.
- Does not take into account the **propability of excitation via the direct process: low angular momentum transfer favored**.

PHYSICAL REVIEW C 75, 054612 (2007)

Effect of preequilibrium spin distribution on $^{48}\text{Ti} + n$ cross sections

D. Dashdorj,^{1,2,3,*} T. Kawano,⁴ P. E. Garrett,^{3,5} J. A. Becker,³ U. Agvaanluvsan,³ L. A. Bernstein,³
M. B. Chadwick,⁴ M. Devlin,⁴ N. Fotiadis,⁴ G. E. Mitchell,^{1,2} R. O. Nelson,³ and W. Younes³

¹North Carolina State University, Raleigh, North Carolina 27695, USA

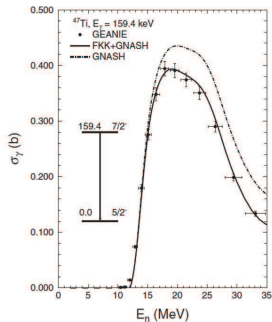
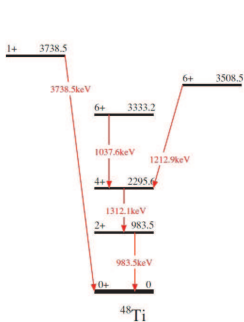
²Triangle Universities Nuclear Laboratory, Durham, North Carolina 27708, USA

³Lawrence Livermore National Laboratory, Livermore, California 94551, USA

⁴Los Alamos National Laboratory, Los Alamos, New Mexico 87545, USA

⁵Department of Physics, University of Guelph, Guelph, Ontario N1G2W1, Canada

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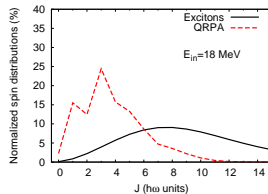
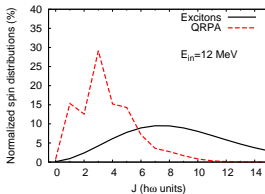
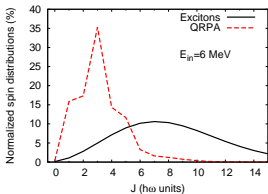


Residual nucleus spin distribution in ^{238}U

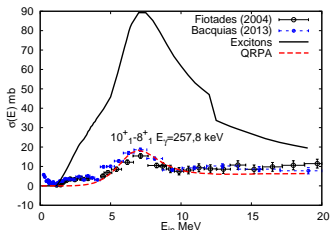
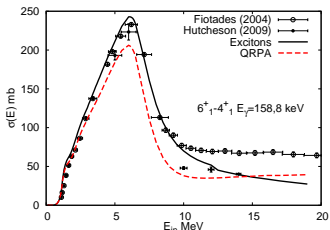
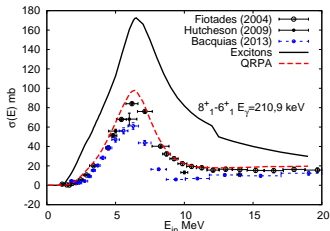
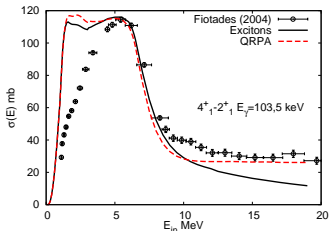
Spin distribution from direct reaction calculation

Results from QRPA inelastic scattering model : $R(J, E_{in}) = \frac{\sigma_J(E_{in})}{\sum_J \sigma_J(E_{in})}$,

$\sigma_J(E_{in})$: cross section summed over all states of spin J .

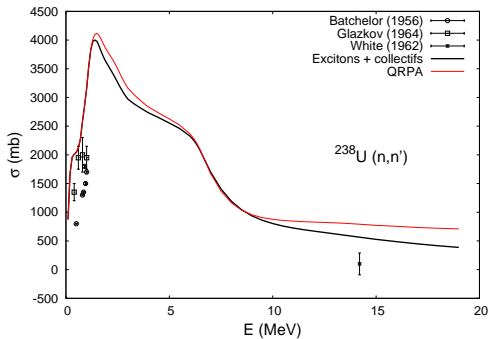
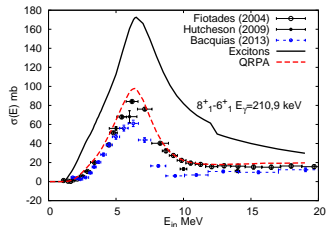
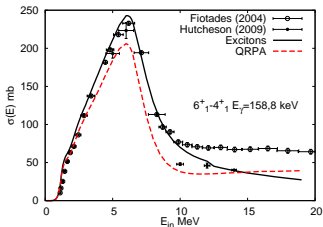


^{238}U (n,n' γ) cross sections for transitions in the GS rotational band

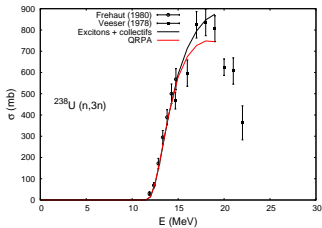
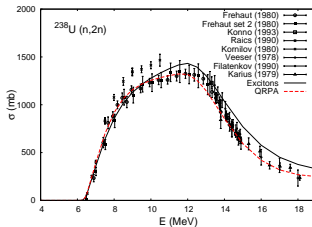
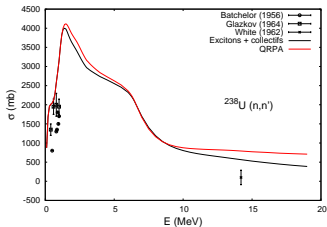


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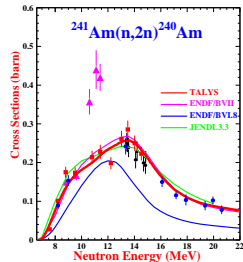
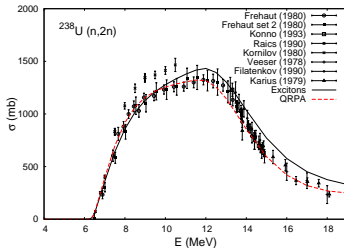
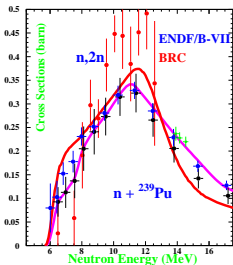
Link with (n,n') cross section



^{238}U (n,n'), (n,2n), (n,3n) cross sections



^{239}Pu (n,2n) problem



- Exp. data: similar (n,2n) slope for ^{238}U and ^{241}Am .
- Microscopic calculation of direct inelastic scattering: does not change ^{238}U (n,2n) slope.
- Perspectives :

→ Same calculation in progress for ^{239}Pu , but ...

→ ... we expect similar results that in ^{238}U :

⇒ could other reaction mechanisms explain the (n,2n) slope in ^{239}Pu ?

⇒ **New (n,2n) data interpretation in ^{239}Pu ?**

Conclusions

Microscopic models for direct nucleon inelastic scattering off spherical nuclei: RPA one-phonon excitations

- Observed high energy neutron emission is well reproduced (pour $E_{in} < 20$ MeV).
- No arbitrary distinction between direct inelastic scattering and pre-equilibrium emission.

Microscopic models for direct nucleon inelastic scattering off axially deformed nuclei: QRPA one-phonon excitations

- QRPA low energy collective states explain the pseudo states origin.
- Impact on ^{238}U $(n, n'\gamma)$ cross sections: global improvement transition in GS band.
- Discrepancy between predictions and data at lower emission energy in ^{238}U and ^{232}Th : other reaction mechanisms such as two-step process, non-natural parity excitations ?

Future work

- Non natural parity excitations for axially deformed targets.
- Estimate of second order with two phonons excitations, multistep-compound ?
- Impact on spin distribution, new $(n, n'\gamma)$ analysis ?
- Interband $(n, n'\gamma)$ in ^{238}U .
- Same study in ^{232}Th .
- Use present results to help interpret $(n, 2n\gamma)$ measures ?