

Linear response theory as a tool to detect instabilities

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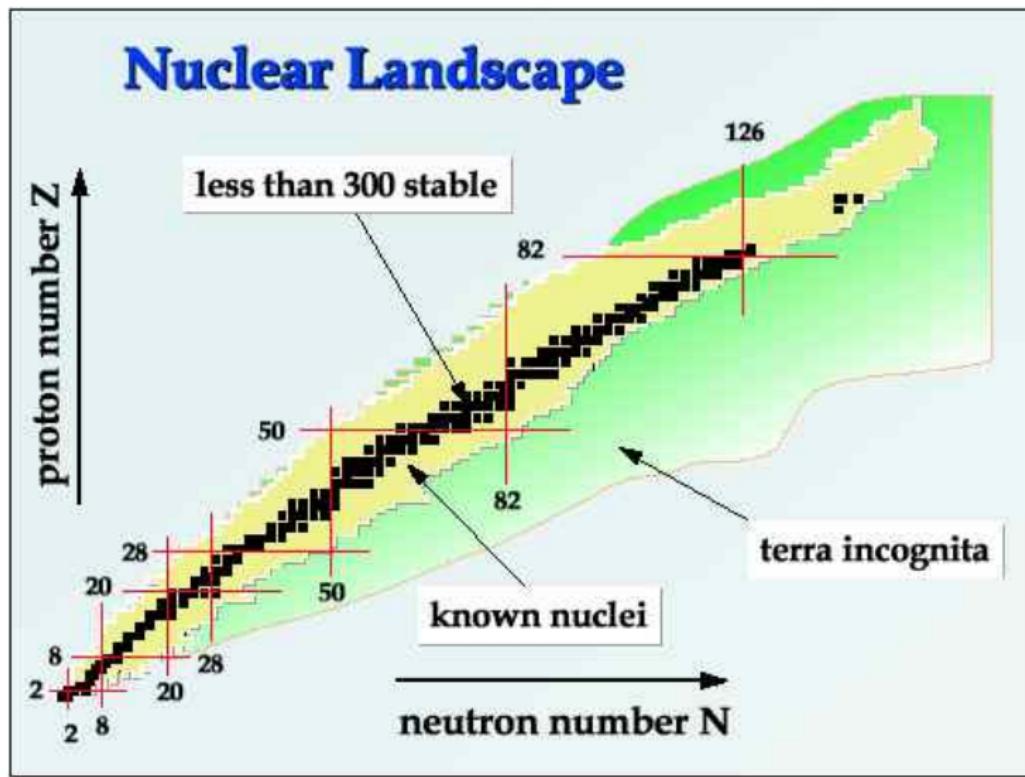
Outline

1 Introduction

2 Linear Response in IM

3 RPA Results

4 RPA Instabilities



Skyrme functionals

We can write the total energy of the system for a general Skyrme functional

$$\mathcal{E} = \mathcal{E}_{kin} + \mathcal{E}_{Skyrme} + \mathcal{E}_{pairing} + \mathcal{E}_{Coulomb} + \mathcal{E}_{corr.}$$

Skyrme functional

$$\begin{aligned}\mathcal{E}_{Skyrme} = & \sum_{t=0,1} \int d^3\mathbf{r} \left\{ C_t^\rho [\rho_0] \rho_t^2 + C_t^{\Delta\rho} \rho_t \Delta \rho_t + C_t^\tau \rho_t \tau_t + C_t^j \mathbf{j}_t^2 + C_t^s [\rho_0] s_t^2 \right. \\ & + C_t^{\nabla s} (\nabla \cdot s_t)^2 + C_t^{\Delta s} s_t \cdot \Delta s_t + C_t^T s_t \cdot \mathbf{T}_t + C_t^F s_t \cdot \mathbf{F}_t + C_t^{\nabla J} \rho_t \nabla \cdot \mathbf{J}_t \\ & + C_t^{\nabla j} s_t \cdot (\nabla \times \mathbf{j}_t) + C_t^{J^{(0)}} (J_t^{(0)})^2 + C_t^{J^{(1)}} (\mathbf{J}_t^{(1)})^2 + C_t^{J^{(2)}} \sum_{\mu\nu=x}^z J_{t\mu\nu}^{(2)} J_{t\mu\nu}^{(2)} \left. \right\}\end{aligned}$$

[E . Perlinska et al. Phys. Rev C 69, 014316 (2004))]

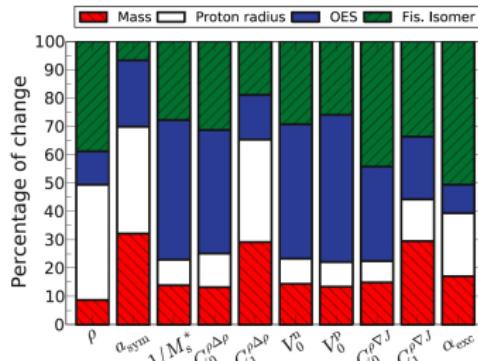
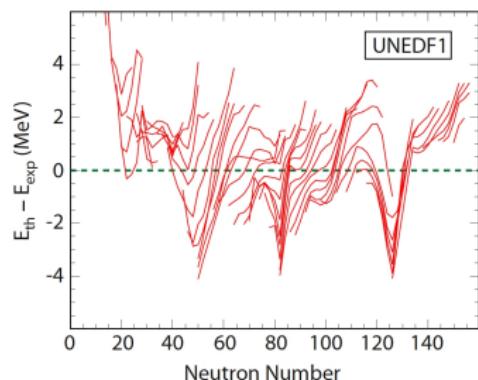
The coupling constants are fitted on data.

How to determine the coupling constants?

We impose a fitting protocol (observables and pseudo-observables)

- IM properties (*i.e.* E/A , K_∞ , m^* , ...)
- Ground state of some nuclei (*i.e.* ^{40}Ca , ^{48}Ca , ^{208}Pb , ...)
- Charge radii
- Spin orbit splitting
- ...

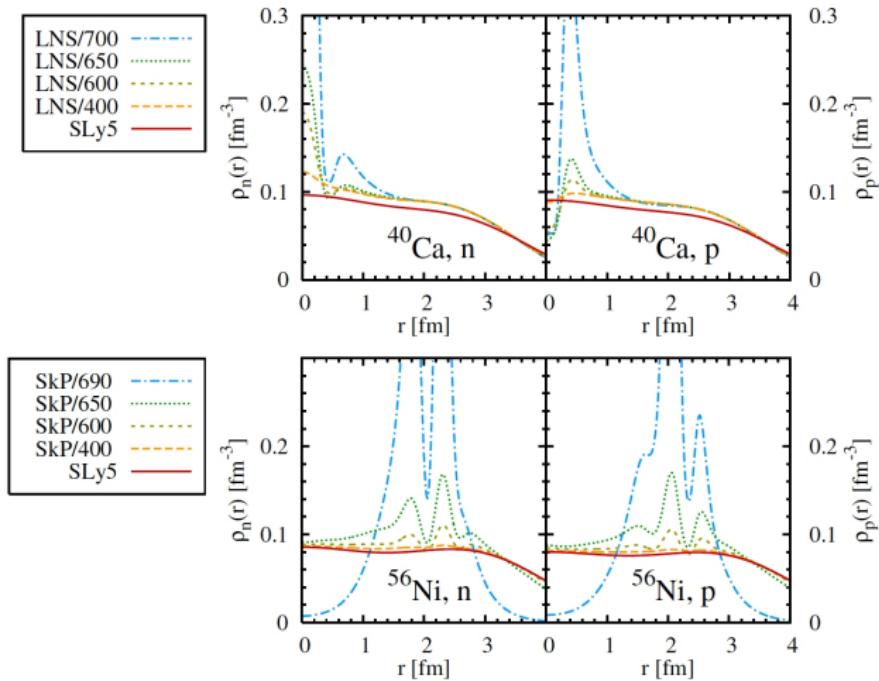
[M . Kortelainen et al. Phys. Rev C 85 (2012)024304]



Good description of masses $\sigma_{rms} = 0.582$ MeV.

[S . Goriely et al. Phys. Rev. Lett. 102 (2009), 152503]

... unexpected results ...



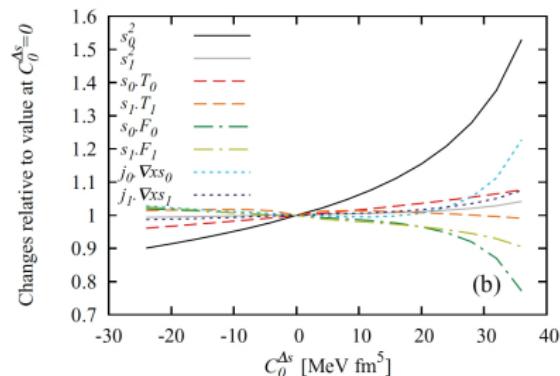
Instabilities

Instabilities can be very difficult to detect. A good way could be to run 3D
- codes to test *all* the coupling constant

- Talk of N. Schunck
- Talk of V. Hellemans

Cranking of ^{194}Hg at $J_z = 54\hbar$ with T22 ($C_0^{\Delta s} = 67.2908 \text{ MeV fm}^5$)

[T. Lesinski et al. Phys. Rev C 76, 014312 (2007)]



... time consuming calculations!!

[V. Hellemans et al. Phys. Rev C 85, 014326 (2012)]

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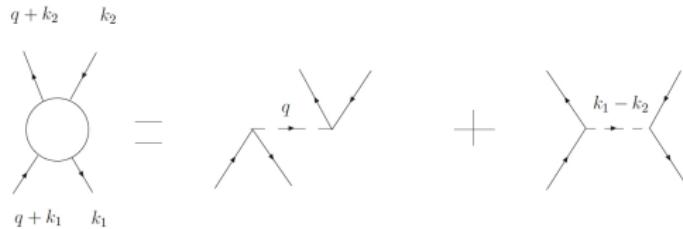
3 RPA Results

4 RPA Instabilities

RPA formalism I

We consider an infinite medium in the Hartree-Fock formalism ($T=0$).
We act with an external field

$$\sum_j \exp^{i\mathbf{qr}} \Theta_\alpha^j \quad \Theta_\alpha^j = 1, \boldsymbol{\sigma}^j, \hat{\boldsymbol{\tau}}^j, \boldsymbol{\sigma}^j \hat{\boldsymbol{\tau}}^j$$



Within the Green function formalism we have for non interacting system

$$G_{HF}(q, \omega, \mathbf{k}_1) = \frac{\theta(k_F - k_1) - \theta(k_F - |\mathbf{k}_1 + \mathbf{q}|)}{\omega + \varepsilon(\mathbf{k}_1) - \varepsilon(|\mathbf{k}_1 + \mathbf{q}|) + i\eta\omega}$$

RPA formalism II

The residual interaction among ph pairs reads

$$V_{ph}^{(\alpha, \alpha')}(q, \mathbf{k}_1, \mathbf{k}_2) \equiv \langle \mathbf{q} + \mathbf{k}_1, \mathbf{k}_1^{-1}, (\alpha) | V | \mathbf{q} + \mathbf{k}_2, \mathbf{k}_2^{-1}, (\alpha') \rangle.$$

Second functional derivative of the Skyrme functional

[E . Perlinska et al. Phys. Rev C 69, 014316 (2004))]

$$\begin{aligned} V_{ph} &= \frac{1}{4} W_1^{00} + \frac{1}{4} W_1^{01} \hat{\tau}_a \circ \hat{\tau}_b + \frac{1}{4} W_1^{10} \boldsymbol{\sigma}_a \cdot \boldsymbol{\sigma}_b + \frac{1}{4} W_1^{11} \boldsymbol{\sigma}_a \cdot \boldsymbol{\sigma}_b \hat{\tau}_a \circ \hat{\tau}_b \\ &+ \frac{1}{4} (W_2^{00} + W_2^{01} \hat{\tau}_a \circ \hat{\tau}_b + W_2^{10} \boldsymbol{\sigma}_a \cdot \boldsymbol{\sigma}_b + W_2^{11} \boldsymbol{\sigma}_a \cdot \boldsymbol{\sigma}_b \hat{\tau}_a \circ \hat{\tau}_b) \\ &\times \left[q_1^2 + q_2^2 - \frac{8\pi}{3} q_1 q_2 \sum_{\mu=-1,0,1} Y_{\mu}^{(1)*}(\hat{q}_1) Y_{\mu}^{(1)}(\hat{q}_2) \right] \\ &+ \left[+2\bar{\rho}C_1^{\rho,\gamma}\gamma\rho_0^{\gamma-1} \circ (\hat{\tau}_a + \hat{\tau}_b) + 2\gamma C_0^{s\gamma}\rho_0^{\gamma-1} \mathbf{s}_0 \cdot (\boldsymbol{\sigma}_a + \boldsymbol{\sigma}_b) + 2\gamma C_1^{s\gamma}\rho_0^{\gamma-1} \vec{\mathbf{s}} \cdot (\boldsymbol{\sigma}_a \circ \hat{\tau}_a + \boldsymbol{\sigma}_b \circ \hat{\tau}_b) \right] \\ &+ 2 \left(C_0^{\nabla s} + C_1^{\nabla s} \hat{\tau}_a \circ \hat{\tau}_b \right) \mathbf{q} \cdot \boldsymbol{\sigma}_a \mathbf{q} \cdot \boldsymbol{\sigma}_b + \left(C_0^F + C_1^F \hat{\tau}_a \circ \hat{\tau}_b \right) \left\{ \mathbf{k}_{12} \cdot \boldsymbol{\sigma}_a \mathbf{k}_{12} \cdot \boldsymbol{\sigma}_b - \frac{1}{2} \mathbf{q} \cdot \boldsymbol{\sigma}_a \mathbf{q} \cdot \boldsymbol{\sigma}_b \right\} \\ &- i \left(C_0^{\nabla J} + C_1^{\nabla J} \hat{\tau}_a \circ \hat{\tau}_b \right) (\boldsymbol{\sigma}_a + \boldsymbol{\sigma}_b) \cdot [\mathbf{q} \times \mathbf{q}_1 - \mathbf{q} \times \mathbf{q}_2] \end{aligned}$$

RPA formalism III

The RPA correlated Green function is the solution of Bethe-Salpeter equation

$$\begin{aligned} G_{RPA}^{(S,M,I)}(q, \omega, \mathbf{k}_1) &= G_{HF}(q, \omega, \mathbf{k}_1) \\ &+ G_{HF}(q, \omega, \mathbf{k}_1) \sum_{S',M',I'} \int \frac{d^3 k_2}{(2\pi)^3} V_{ph}^{S,M,I;S',M',I'}(q, \mathbf{k}_1, \mathbf{k}_2) G_{RPA}^{S',M',I'}(q, \omega, \mathbf{k}_2) \end{aligned}$$

The response function is now defined as

$$\chi_{RPA}^\alpha(q, \omega) = g \int \frac{d^3 k_1}{(2\pi)^3} G_{RPA}^\alpha(q, \omega, \mathbf{k}_1)$$

$g = 4$ is the degeneracy of SNM.

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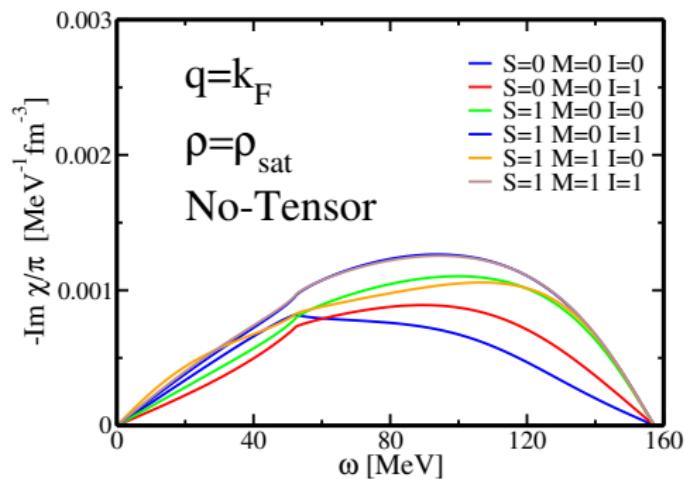
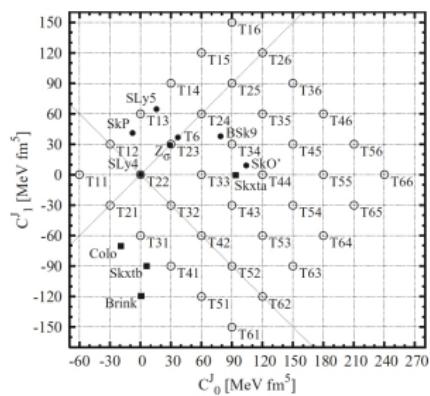
2 Linear Response in IM

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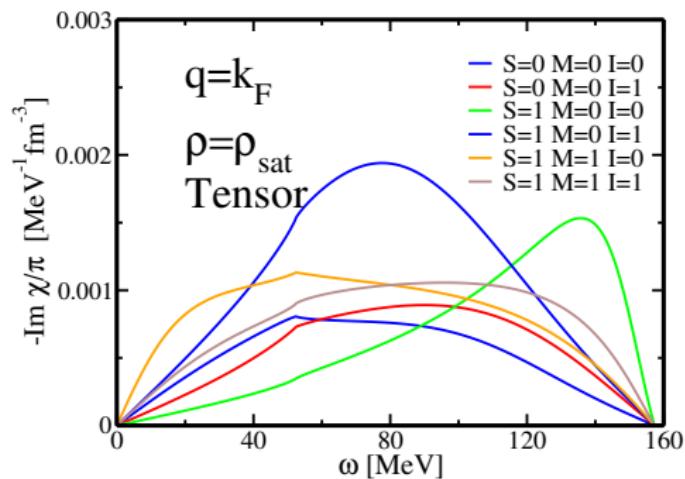
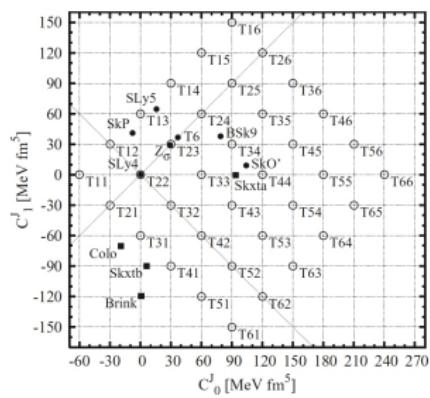
An example: T22

T22 has a zero tensor in the spherical g.s.



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T22 has a zero tensor in the spherical g.s.



Sum Rules

We can define the k-moments per particle in infinite matter

$$M_k(\mathbf{q})^{(S,M,I)} = -\frac{1}{\pi} \int_0^{+\infty} d\omega \omega^k \Im \left[\chi^{(S,M,I)}(\mathbf{q}, \omega) \right]$$

or through the expansions

- for $\omega \rightarrow +\infty$, the positive odd order moments read (M_1, M_3, \dots)

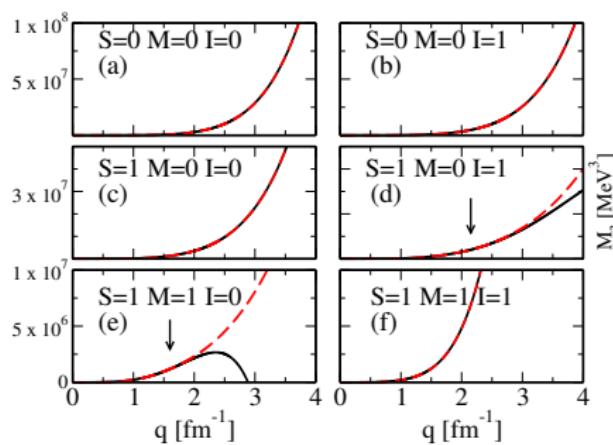
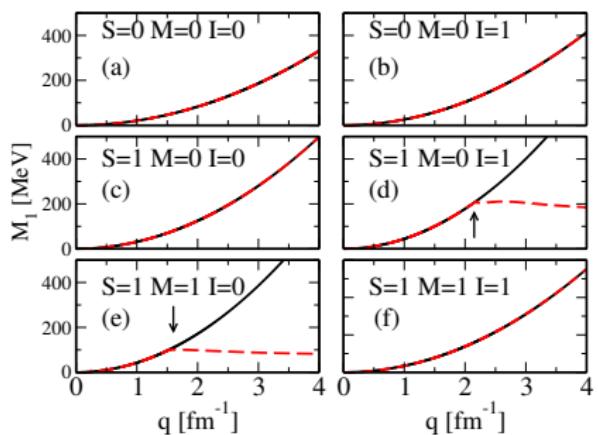
$$\chi^{(S,M,I)}(\omega, \mathbf{q}) \approx 2\rho \sum_{p=0}^{+\infty} (\omega)^{-(2p+2)} M_{2p+1}^{(S,M,I)}(\mathbf{q}),$$

- for $\omega \rightarrow 0$, the negative odd order moments read (M_{-1})

$$\chi^{(S,M,I)}(\omega, \mathbf{q}) \approx -2\rho \sum_{p=0}^{+\infty} (\omega)^{2p} M_{-(2p+1)}^{(S,M,I)}(\mathbf{q}),$$

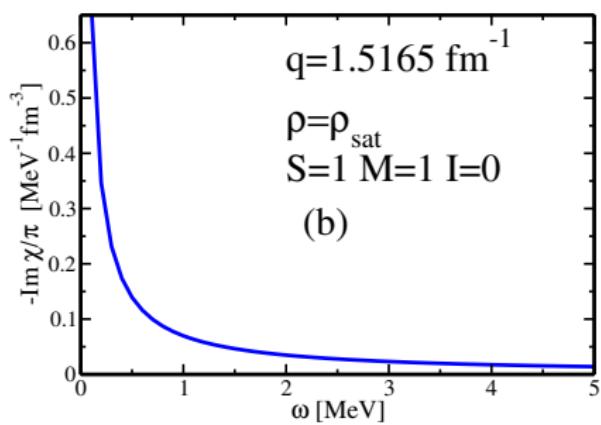
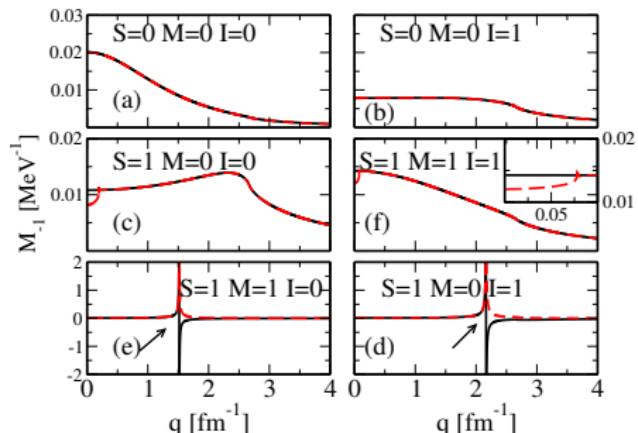
Results Sum Rules I

We take T44 as an example and we calculate the odd power sum rules [A . Pastore , Phys. Rev. C 85, 054317 (2012)]



Results Sum Rules II

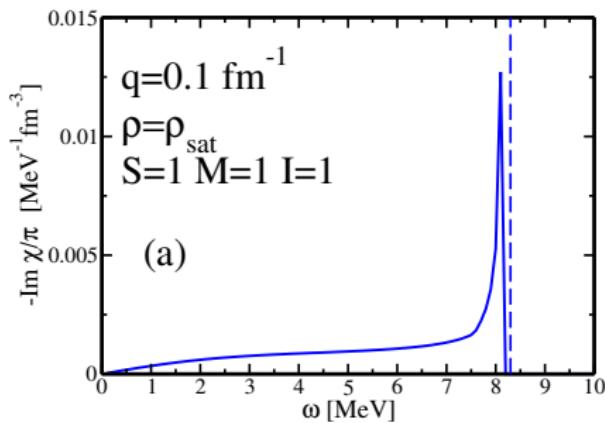
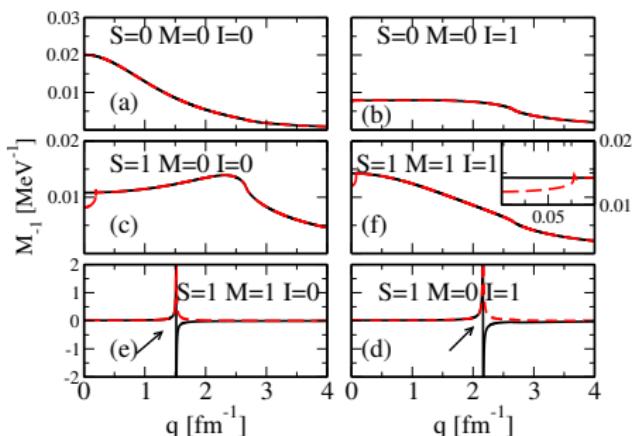
The M_{-1} sum rule is sensitive to the poles of the response function



$$1/M_{-1}(q) = 0 \longrightarrow 1/\chi(\omega = 0, q) = 0$$

Results Sum Rules III

When a zero-sound mode appears we loose some strength in the integrated some rules, but it is not an instability.



A single ph transition not damped.

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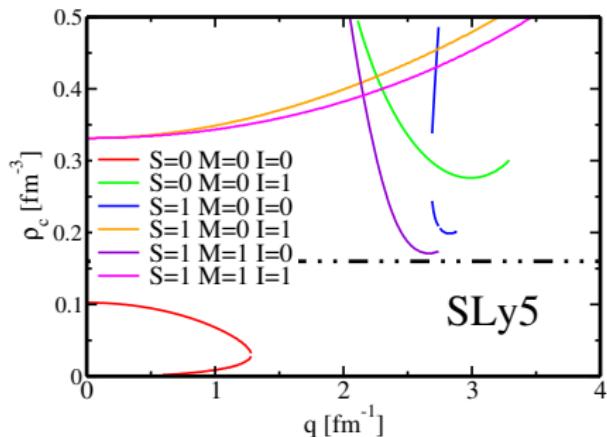
4 RPA Instabilities

Instabilities in SNM

Poles

We solve the equation for different values of $\rho \in [0, 0.5] \text{ fm}^{-3}$

$$1/M_{-1}^{(S,M,I)}(q) = 0$$



Relations with finite nuclei

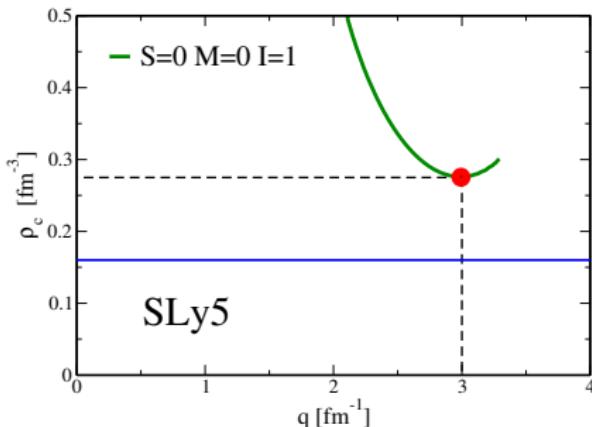
Can we relate a pole in SNM with an instability in a finite nucleus?

Some remarks

- Instabilities of SNM have different origin: spinodal (bound state in EoS), ferromagnetic,
- Not all instabilities in SNM have to be related to *problem* of the functional
- Not all the instabilities of finite nuclei can be found in IM (surface effects??) (\rightarrow Hellemans's talk)
- RPA is the quickest method to improve the quality of Skyrme functionals (\rightarrow Schunck's talk)

Example $C_1^{\rho\Delta\rho}$ instability

We Calculate the RPA poles in the S=0, M=0, I=1 channel for SLy5 functional



The $C_1^{\rho\Delta\rho} = 16.375 \text{ MeV fm}^5$ do not contribute to the Landau parameter

$$N_0^{-1}F_0 = 2C_0^{\rho 0} + (2 + \gamma)(1 + \gamma)C_0^{\rho\gamma}\rho_0^\gamma + 2k_F^2 [C_0^\tau]$$

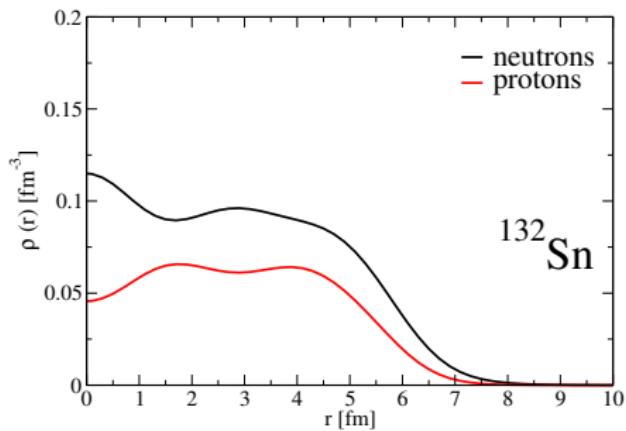
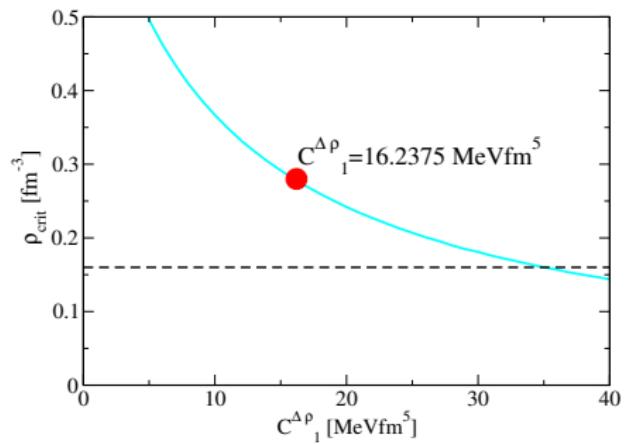
$$N_0^{-1}F'_0 = 2C_1^{\rho 0} + 2C_1^{\rho,\gamma}\rho_0^\gamma + 2k_F^2 [C_1^\tau]$$

$$N_0^{-1}F_1 = -2k_F^2 (C_0^\tau)$$

$$N_0^{-1}F'_1 = -2k_F^2 (C_1^\tau)$$

Example $C_1^{\rho\Delta\rho}$ instability

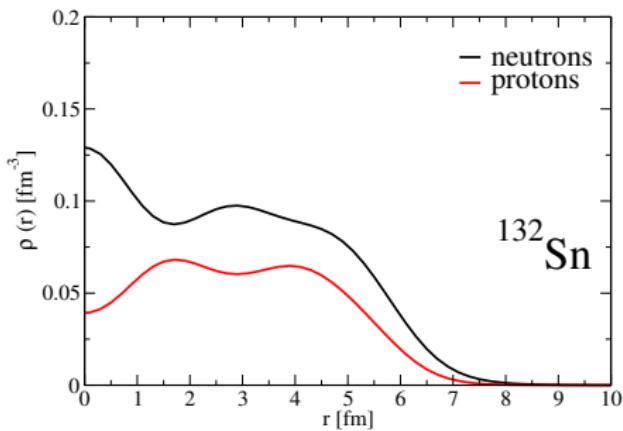
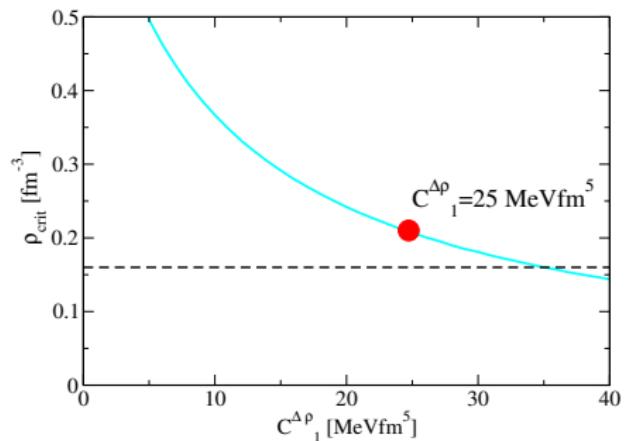
In the functional spirit we change $C_1^{\rho\Delta\rho}$ and we use a spherical HFB code



[A. Pastore et al., IJME 21, vol.5 1250040 (2012)]

Example $C_1^{\rho\Delta\rho}$ instability

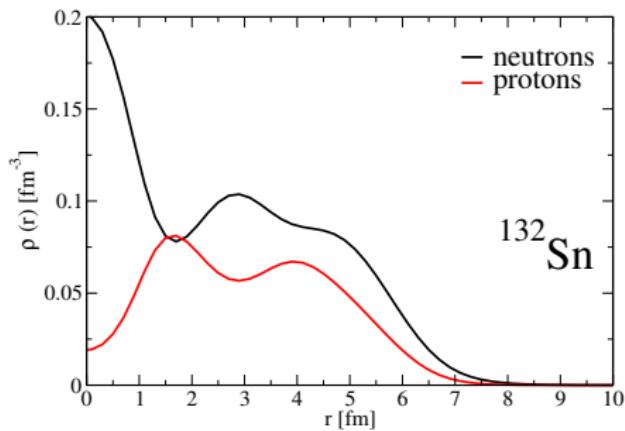
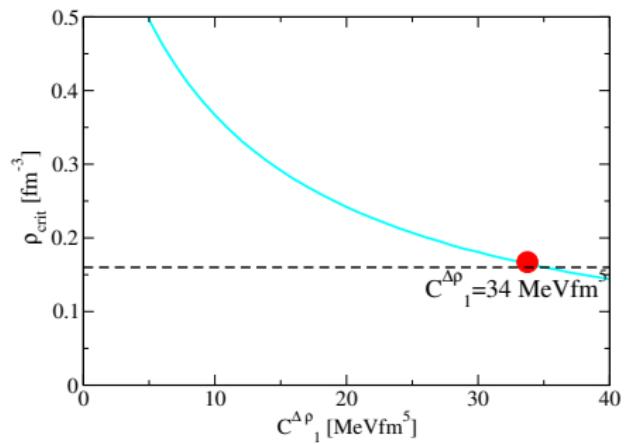
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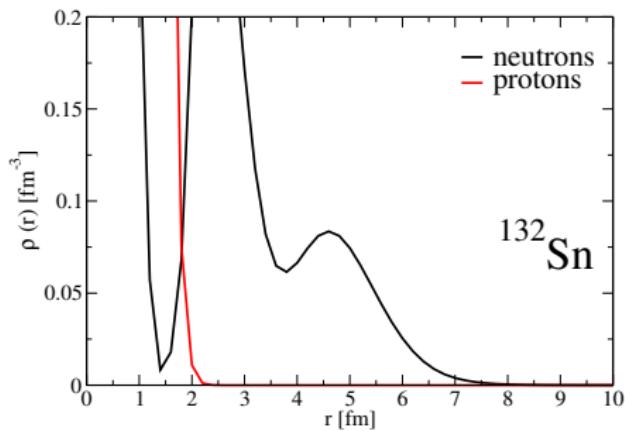
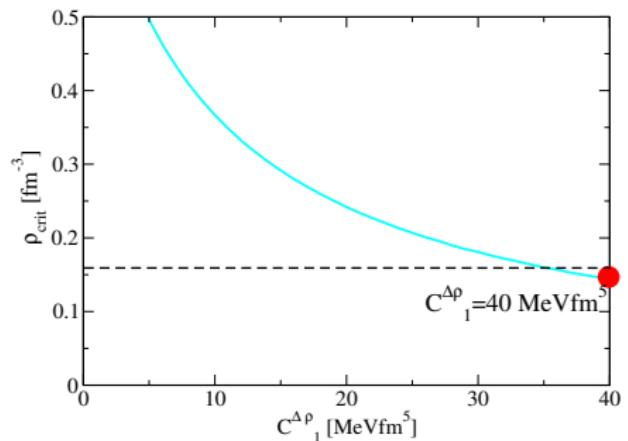
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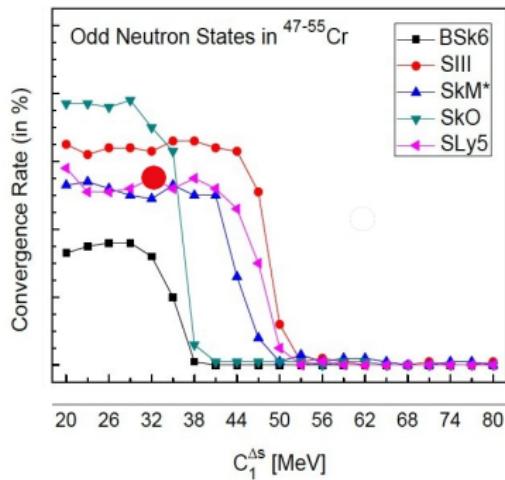
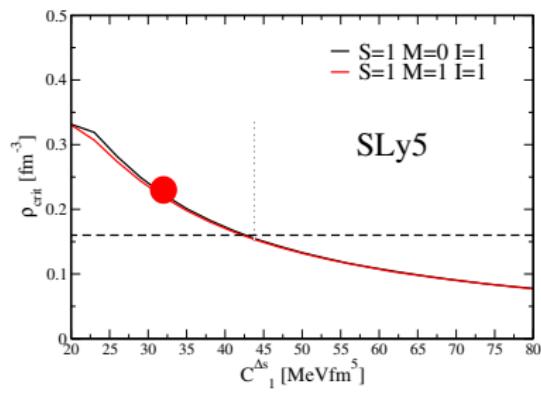
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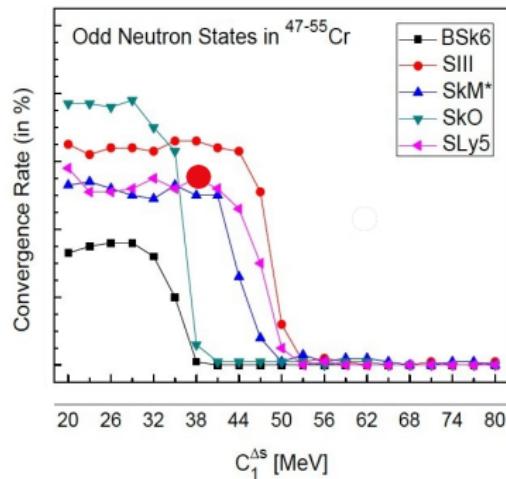
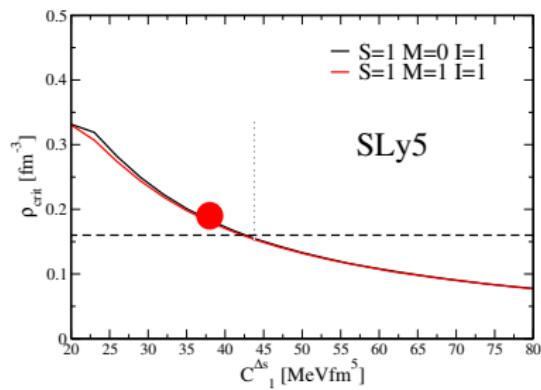
Blocking calculations with SLY5 modified (i.e. $C_0^{s\Delta s} = 0$)



[N Schunck, T Duguet et al. (in preparation)]

Example $C_1^{s\Delta s}$ instability

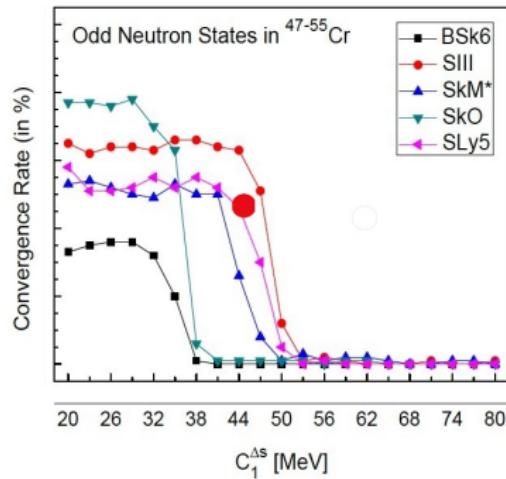
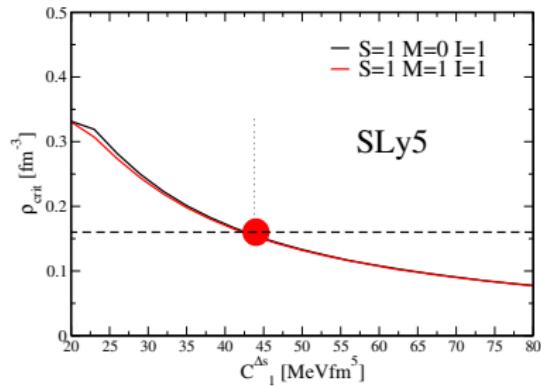
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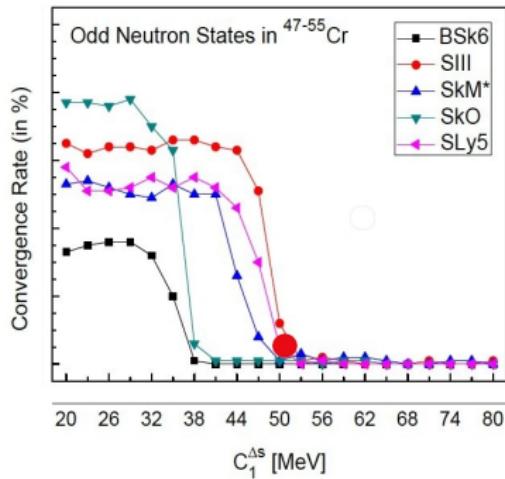
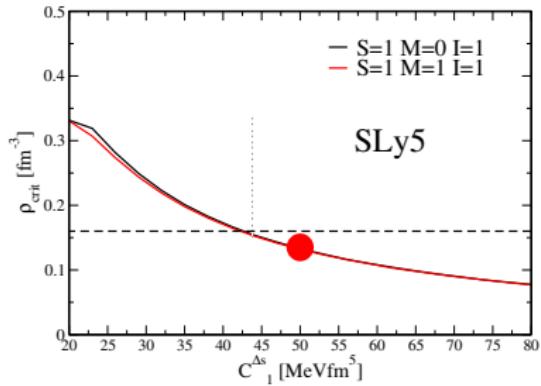
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RPA as a tool to detect instabilities

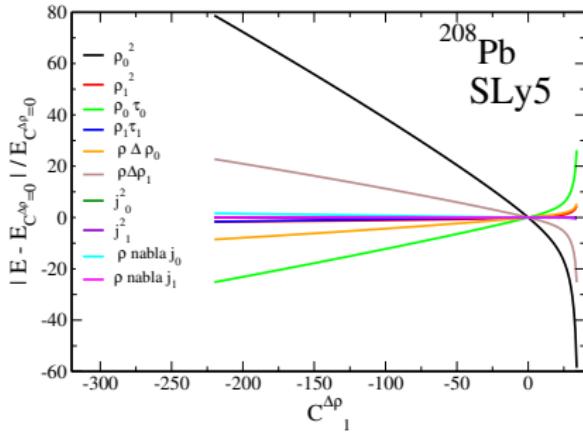
Some conclusions

A tool to detect instabilities

- We found a relation among the two systems
- ① Systematic calculations with HFB codes (spherical and 3D...)
② Determine the *sensitivity* of the RPA code
- We can find instabilities although Landau parameters are reasonable (*long – wavelength limit*)

Spherical calculations I

It is not easy to detect an instability in a finite nucleus. Example: ^{208}Pb using *Lenteur* [spherical HFB code] [K. Bennaceur](#), private

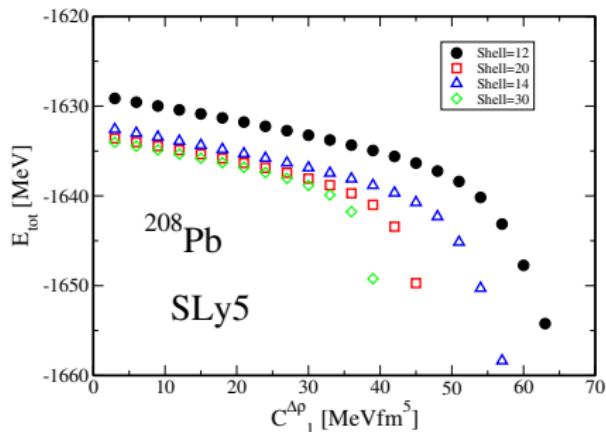


What is an instability?

- The code stops converging (explosion \rightarrow right – side)
- The code oscillates among two minima (possible deformation \rightarrow left – side)

Spherical calculations II

Example II: ^{208}Pb using *HOSPHE* [spherical HFB code] [J. Toivanen et al. Comp. Phys. Com. 181, 1641 \(2010\)](#)

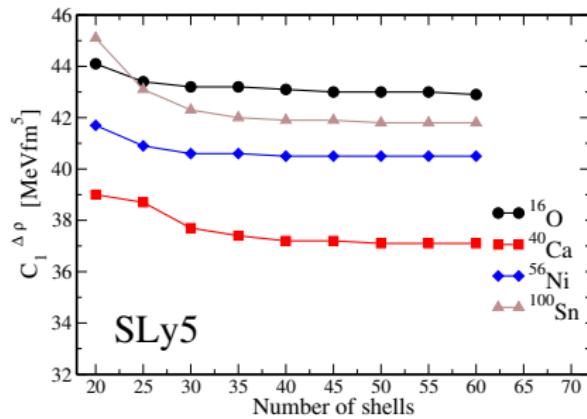
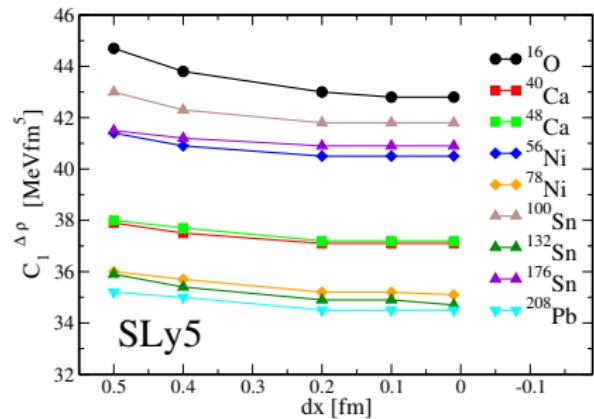


Model dependent!!

We have to be very careful since using small basis can hide the problem!

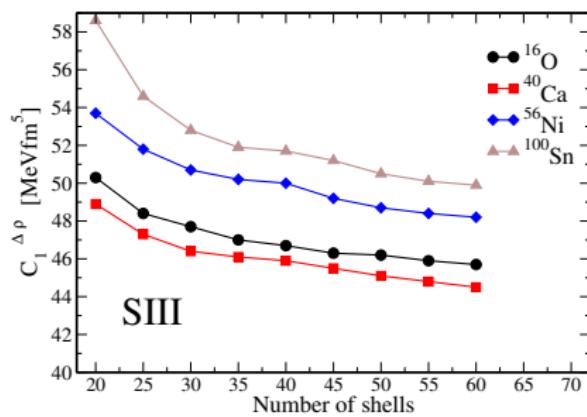
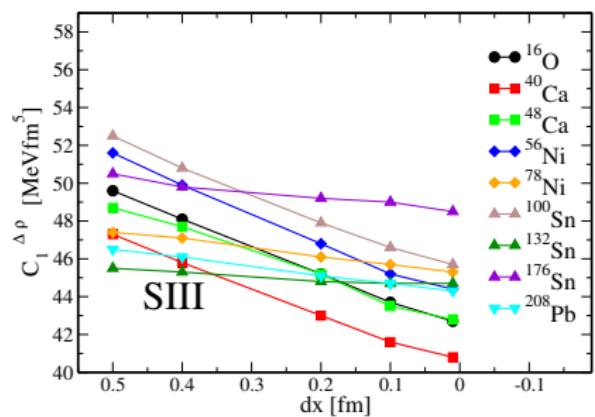
Comparing two basis

We observe that the *critical* value of $C_1^{\rho\Delta\rho}$ depends on: the nucleus, the basis type, the basis size...



Comparing two basis

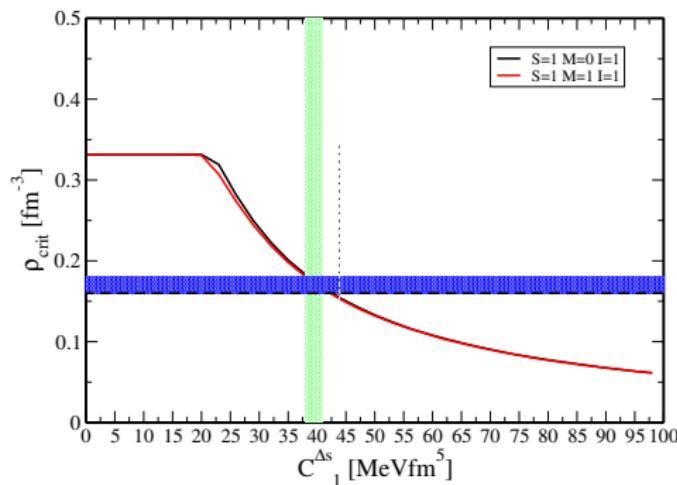
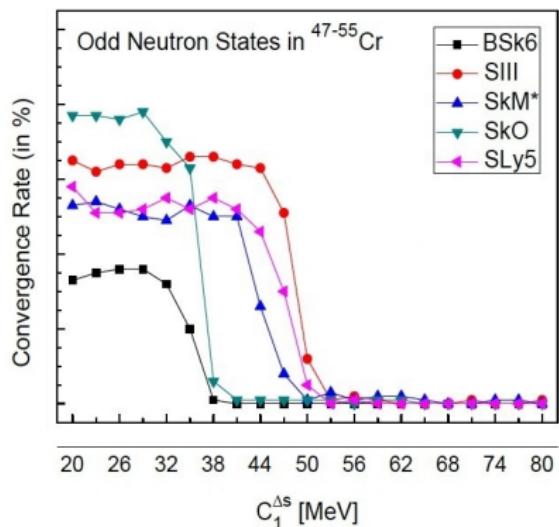
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Safe region: SLY5 functional

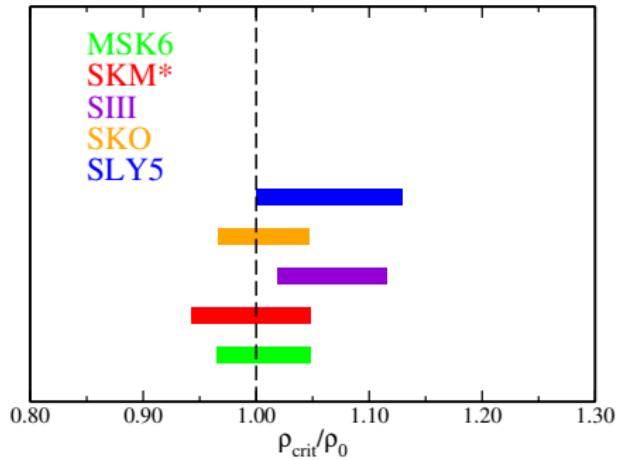
We want to build a functional without pathologies

- We can define a *dangerous* region in SNM
- We do not want to remove *all* the instabilities



[T Duguet , private communication]

Safe region: SLY5 functional



Safe region

Although the critical value of the coupling constant strongly depends on the functional, the *band* is quite similar!

- The band allows us to see if a functional is stable or not using the RPA code!

Conclusions

Status of the work

- We derived the RPA formalism for the most general 2-body Skyrme functional
- 3-body terms have been added [J. Sadoudi et al. , private communication]
- D-wave term has been added [K. Bennaceur et al. , private communication]
- We extended the formalism to Pure Neutron Matter
- We found the relation among IM and finite nuclei's instabilities

... and future development

- Asymmetric nuclear matter
- Finite temperature calculations
- Fitting new forces without instabilities

Thank you!!!

I thank for collaboration and/or discussions

- K. Bennaceur, D. Davesne, R. Jodon, J. Meyer (Lyon)
- M. Martini (Bruxelles)
- P.-H. Heenen, V. Hellemans (Bruxelles)
- N. Schunck (Livermoore)
- T. Lesinski (Seattle)
- T. Duguet (Saclay)
- M. Bender, J. Sadoudi (Bordeaux)